# The Ethnogonic Tales of the Türks

### Peter B. Golden\*

This article is a tour de horizon of the origin myths that were recorded in the Chinese dynastic and other historical works written during the Türk era (552–ca. 744) and in subsequent official histories (e.g., the Zhoushu, Suishu, Beishi, Jiu Tangshu and Xin Tangshu), historical handbooks (the Tongdian) and historical collections (e.g., the Youyang zazu [and the Taiping Guangji] and the recent translations of and scholarship on them). Also included is a discussion of a Uyğur-origin Tibetan 'report' on the 'Northern Peoples'. The article focuses on the Ashina-Türk grouping that became the founding and ruling clan of the Türk Qağanate. The shaping of the Ashina-Türk took place in a range of areas extending from the Chinese border zones of Gansu and Xinjiang to Mongolia and Southern Siberia. The Ashina-Türks appear to have been a 'composite' of peoples with a variety of ethno-linguistic affiliations. A lupine ancestor figures in most of the origin accounts.

In the early 540s, a people bearing the name  $T\ddot{u}rk$  came to the Chinese borders 'for the first time' seeking to obtain silk goods. Taizu (534–56 AD), the Northern Zhou 'emperor', the real power holder during the reign of the Western Wei<sup>1</sup> Emperor, Wendi (535–51 AD), dispatched an embassy

<sup>1</sup>The Northern Wei (386–534), Eastern Wei (534–50) and Western Wei (535–56) were of Tabġač origin derived from the Xianbei (Serbi/Mongolic/Para-Mongolic) peoples as were also the Northern Zhou (557–81). The Northern Qi (550–77) were of mixed Chinese and Xianbei roots (Shimunek, *Languages*: 52–53, 555, n. 89).

\*Professor Emeritus, History, Turkish and Middle Eastern Studies, Rutgers University, Department of History, Rutgers University, Newark, New Jersey, USA; Center for Middle Eastern Studies, Rutgers University, New Brunswick, New Jersey, USA.

E-mail: pgolden@rutgers.edu

The Medieval History Journal, 21,2 (2018): 1–37 SAGE Publications ♦ LosAngeles/London/NewDelhi/Singapore/WashingtonDC/Melbourne DOI: 10.1177/0971945818775373 to them, in 545 AD, led by a Sogdian, An Nuopantuo (Nakbanda).<sup>2</sup> The notice about the embassy and the Türk reciprocal embassy sent in 546 is prefaced by an origin tale of the Türks. This and several other origin tales are reported in the Chinese accounts and one Tibetan source. They locate the (Ashina-) Türks in various parts north of the Chinese frontiers. Who were the Ashina-Türks and whence did they come?

# The Türk Empire and Spread of the Ethnonym Türk<sup>3</sup>

The Ashina,<sup>4</sup> the ruling clan of the Türks, forged a core union of 30 tribes,<sup>5</sup> the *Türk boδun* ('Türk People') noted in the Türk and Uyğur inscriptions.<sup>6</sup> They founded a Qağanate (empire) in Mongolia in 552, having successfully

<sup>2</sup> *Türk* refers only to the eponymous founders and rulers of the First and Second Türk Qağanates. *Turk* denotes speakers of a Turkic language. The notice is from the *Zhoushu*, 50.908 (Liu, *Die chinesischen Nachrichten*, vol. 1: 6–7, vol. 2: 490, n. 21; de la Vaissière, *Histoire*: 184–85).

<sup>3</sup> Türk or Tür<sup>4</sup>k/Türük (User, Köktürk ve Ötüken Uygur: 168–71, 293, Pulleyblank, 'The Chinese Name': 124–25; Divitçioğlu, Orta Asya Türk İmparatorluğu: 21–22; Kafesoğlu, Umumî Türk Tarihi: 18–21; Aydın, Uygur Kağanlığı Yazıtları: 153; Ölmez, Orhon-Uygur: 78–79 et passim). Türkü, proffered by Clauson, 'Turks and Wolves': 4 et passim, has not found wide acceptance.

<sup>4</sup>Ashina 阿史那 EMC\*?a şi'na', LMC ?a şt'na' (Pulleyblank, Lexicon: 23, 283, 221) < KhotanSaka âşşeina-âššena 'blue' (Bailey, Dictionary of Khotan Saka: 26–27) = Turk. kōk, 'blue' as argued by Kljaštornyj, 'The Royal Clan': 445–48. Atwood, 'Some Early': 68–78, connects Ashina with Tokh. Arši 'holy man' (cf. Sanskrit rşi, ONW: ?a-şə-na, see Coblin, Compendium: 124–25 [0016], 240–41 [0382], 121 [0005]). Beckwith, 'The Pronunciation': 39–46; Tokh.A: \*āršilāś 'noble kings'> Old Türk. aršilaš, 'an epithet or title', which the Chinese 'misunderstood' as a 'surname' or 'clan-name' (equated by Beckwith with 'Apoī\act the 'eldest' or 'senior ruler of the Turks' mentioned by Menander, History: 172/173, 276, n. 222). Attempts to see \*Ašinas in the Old Türk Xöl Asgat Inscription, E1, W1, W4, Asgat IIa W4, Asgat IIb E1 (Ōsawa, 'Revisiting Khöl-Asgat Inscription': 23, 24, 26, 28, dated to 729 [or 724]) and in the Sogdian part of the Qarabalgasun inscription, 810/821 (Sogd. 6: "'šn's, Yoshida, 'Some New Readings': 80–81) require further substantiation. Gumilëv, Drevnie tjurki: 23, mistakenly derived ashina from Mongolic šono/čino (Modern Mong. čono, Classical Mong. činu-a 'wolf'; Lessing, Mongolian–English Dictionary: 190).

<sup>5</sup> Dobrovits, 'The Thirty Tribes': 257-62; Kljaštornyj, 'Xunny i tjurki': 420-25.

<sup>6</sup>*Boδun*, collective plural of *boδ* 'clan' (Clauson, *Etymological Dictionary*: 296–97, 306, "'clans" [...] an organized tribal community, a people, in the sense of a community ruled by a particular ruler'); Berta, *Szavaimat*: 54 (Toń, S17, E18), 143 (KT, E 6). The Uyğur Šine Usu inscription (N8, 9–10) dated 759, notes the *türk boδwn* and the *üč tuğlwğ türk boδwn* 'the three-bannered Türk people' living in the southern zone of the Uyğur Empire (Aydın, *Uygur Kağanlığı Yazıtları*: 65, 66, 153–54; Berta, *Szavaimat*: 283–84).

### The Ethnogonic Tales of the Türks + 3

overthrown their Rouran/Avar overlords<sup>7</sup> and rapidly expanded across Eurasia, conquering lands from the borders of Manchuria to the Pontic steppes and Crimea. While retaining a nominal unity, the Qağanate divided into eastern and western halves, ca. 580–611,<sup>8</sup> over which the Ashina exercised a collective sovereignty and in which the Eastern Ashina Qağans were accorded a slightly higher status. The latter, beset by natural disasters and military setbacks, succumbed to Tang China in 630. The Western Qağans submitted in 657/659. The Eastern Qağanate revived in 682 and again brought the Western Qağanate under its rule. Nonetheless, internal discord continued and the Eastern Ashina-Türk realm fell to the subject Basmïl (also led by Ashina rulers) who were, in turn, toppled by their allies the Uyğurs, aided by the Qarluqs and Oğuz in a dizzying series of revolts in 742–43.

The Head of the last Eastern Ashina-Türk ruler, Ozmīš Qağan,<sup>9</sup> was dispatched to the Tang. The Qarluqs, fleeing (745) their erstwhile allies, the Uyğur Qağans, supplanted the fading Western Qağanate in 766.<sup>10</sup> The Uyğur Qağanate (744–840), largely controlling the old Eastern Türk realm, erected, like their predecessors, a series of steles with inscriptions in Turkic runiform script composed in the late 740s–late 750s, announcing themselves as the new—or restored—masters of the Turko-nomadic imperium, claiming a Qağanal status that had preceded that of the Ashina-Türks. Theirs, they implied, was the true *restauratio imperii*.<sup>11</sup>

<sup>7</sup>Golden, 'Some Notes': 43–66. Sinor, 'Some Components': 147, correctly views the events of 552 as an *internal* conflict', suggesting that the 'bulk' of the Rouran/Avars 'were incorporated' into the Türk realm. He ignores the evidence of further Türk conflict with elements of the Rouran (555) and the European Avar question (on the latter, see Pohl, *Die Awaren*).

<sup>8</sup>Dating of the division is disputed. For the most recent discussion, Erkoç, 'Batı Göktürk': 43–72.

<sup>9</sup>Liu, *Die chinesischen Nachrichten*, vol. 1: 180, 230; Uyğur Šine Usu inscription (N9–10, Berta, *Szavaimat*: 283–84) and the Tibeto-Uyğur report (Ms Pelliot Tibétain 1283: Venturi, 'An Old Tibetan': 28–29).

<sup>10</sup> On the Türk Qağanate, see Divitçioğlu, Orta Asya Türk İmparatorluğu; Ercilasun, Türk Kağanlığı: 36–337; Golden, An Introduction: 115–41; Gömeç, Kök Türk Tarihi; Scharlipp, Die frühen Türken: 18–67; see also Chavannes, Documents; Liu, Die chinesischen Nachrichten; Taşağıl, Gök-Türkler, vol. 1–3. Gumilëv, Drevnie tjurki has to be used with caution.

<sup>11</sup>Kamalov, *Drevnie ujgury VIII–IX vv.*: 58–95; Klyashtorny, 'The Tes Inscription of the Uighur Bögü Qaghan': 149–52. Vasjutin, 'Ujgurskoe "carstvo" VII v.': 41–65, questions whether this 'First Uyğur Qağanate' was actually a state. The Qarabalgasun inscription (810/821) in which the Turkic is largely effaced contains Chinese and Sogdian texts.

The Chinese accounts employed 突厥*Tujue*<sup>12</sup> 'Türks' not as a generic gentilic encompassing all Turkic-speaking groups but only to denote the Türks proper.<sup>13</sup> In Chinese sources, only two 'successor states' retained the ethnonym *Türk/Tujue*: the Khazars (ca. 630s/650–965/969) in the Western Eurasian steppes, whose royal house probably derived from the Ashina: 'Türk Khazar' (突厥可薩 *Tujue Kesa*, 突厥曷薩 *Tujue Hesa* in the Tang dynastic annals),<sup>14</sup> and the 'Shatuo Türks'沙陀突厥 (*Shatuo Tujue*, from the seventh to the tenth century),<sup>15</sup> who periodically figured in Chinese affairs.<sup>16</sup> Mention is also made of the *Muma Tujue* (木馬突薩 'wooden-horse Türks' using skis) and the *Niuti Tujue* (牛蹄突薩 'ox-

<sup>12</sup> Tujue: EMC dwat kuat LMC thut kyat (Pulleyblank, Lexicon: 311, 168) represents a plural form, Türküt, which came to Chinese via Sogdian intermediaries (Kafesoğlu, Umumî Türk Tarihi: 18–19; Kasai, 'The Chinese Phonetic Transcriptions': 102–10), cf. Sogd.tr 'wkt, trwkt, turkt 'Turks' >trwkc trukč 'Turk' (Gharib, Sogdian Dictionary: 389 [9635], 391 [9682]). The earliest known inscription from the First Türk Qağanate, Bugut (ca. 582), Moriyasu and Ochir, Provisional Report: 123, has: (Bugut-1,1): rty (m) [wn']k nwm (sn)k' "wst'o'r-"nt tr-'wkt '(')šy-n's kwtr'tt "xšy-wn-k-'Kings of the Turkish Ashinas tribe (kwtr'tt, kwt'r, kwttr 'race, family, lineage', Gharib, Sogdian Dictionary: 201 [5061, 5062]) have established [this] stone of law'. A rather different reading was given earlier by Klyashtornyi and Livšic, 'The Sogdian Inscription': 85: B1: 1:('mwh?) [...] (pt)s'kh 'ws't d'r 'nt tr'wkt c(yn)st'n kwt(s)'tt 'yšywn'k ('This [...] stele was erected by the Turks (under) Kwts'tt the ruler of China'). The readings remain problematic. Tibetan records drugu (Venturi, 'An Old Tibetan': 9, 12) but terms the Eastern Türks: 'Bug chor. Beckwith, 'The Chinese Names': 13-18 and Beckwith, 'The Frankish Name': 5–12, maintains that *Tujue* transcribed \*türkwač/\*türkβač 'ruler(s) of the Türks'. Gumilev, Drevnie tjurki: 22–23, considered Türküt a Mongolic (plural) form. In Old Turkic, türk meant 'the culminating point of maturity (of a fruit, human being, etc.)'. As an adjective it connoted 'just fully ripe; (of a human being) in the prime of life, young and vigorous' (Clauson, *Etymological Dictionary*: 542–43). Kafesoğlu derived it from Turk. Törü—'to come into existence, to be created' (cf. Clauson, Etymological Dictionary: 533) and rendered *Türk* as 'developed, fully developed', that is, 'strong, powerful', positing: Törük > Türük > Türk (Kafesoğlu, Umumî Türk Tarihi: 21-23). Róna-Tas (An Introduction to Turkology: 10-13) conjectured a non-Turkic origin: Khotan Saka tturakä 'covering' (semantically stretched to 'helmet', see Wolf Tale I) that in a Turkic-speaking environment could become Türk, a homonym of türk, 'strong' and so on. Khotan Saka documents, however, call the Türks ttūrka or ttrūka (Bailey, Indo-Scythian Studies: 101-02).

<sup>13</sup> Clauson, 'Turks and Wolves': 10. Senga, "'Northern' Neighbors': 68–69, opines that *Tujue* in Tang sources was not exclusively used for Turkic-speakers.

<sup>&</sup>lt;sup>14</sup> Shirota, 'The Chinese Chroniclers of the Khazars': 231–61.

<sup>15</sup> Shatuo 沙陀 EMC: sai/se:da LMC: sa: tha (Pulleyblank, Lexicon: 273, 314).

<sup>&</sup>lt;sup>16</sup> See Alptekin, Sha-t'o Türkleri.

### The Ethnogonic Tales of the Türks 5

hoof Türks').<sup>17</sup> The *Baifu* (白服 'white clothed') and *Huang tou* (黃頭 'yellow head') *Tujue*<sup>18</sup> are also noted, but their ethno-tribal connections are uncertain. In some situations, *Türk* became a politonym, embracing its subject peoples,<sup>19</sup> not all of whom accepted it willingly. When Türk political power collapsed, the older names resurfaced. The Uyğurs, among others, subsequently employed the name 'Türk' to denote a Turkic literary *koine* (*Türkčä*). The continuity of specific forms of governance and titulature by peoples stemming from the Türk Qağanate may also points to some consciousness of 'Türk' political roots. In time, however, due to migrations away from the Türk core 'holy' lands in Mongolia and the impact of new religions (especially Islam), new genealogical dispensations appeared.<sup>20</sup> *Turk* became widely used by Muslim, Byzantine and other authors as a generic term to denote the largely Turkic-speaking Central Eurasian nomads with whom they were coming into ever-increasing contact.<sup>21</sup>

The Turkic world becomes more visible following the collapse of the Xiongnu polity (by the mid-second century CE). Although Xiongnu

<sup>17</sup> These references stem from a report of Du Huan, one of the Arabs taken captive in the Battle of the Talas in 751. Fragments of it are preserved in Chapters 192, 193 of the *Tongdian*, the *Xin Tangshu* (217b.6144) and later works. The *Niuti Tujue* are also noted in the report of Hu Qiao (the mid-tenth century), who was a captive in the north, preserved in the *Qidan guozhi* (E lun-li, *Istorija*: 328), which makes reference to people(s) called *Tujue*. See also Senga, "'Northern" Neighbors': 59–72; Sinor, 'Some Components': 154, who cites (pp. 64–65) reports from Muslim authors about the use of ox thighbones 'as a kind of ski or skate' in Volga Bulğaria and lands to its north. Ms PelliotTibétain 1283 (Venturi, 'An Old Tibetan': 31 [1. 93]) notes the Turkic tribe *Ud ha dag leg* = Turk. *Uδ aδağliğ* 'ox [or bovine]-footed' (Senga, 'A T'ung-tien': 40–43, Senga, "Northern" Neighbors': 66–69, places them near the Urals or in the basins of the upper Ural and Tobol rivers).

<sup>18</sup> Liu, *Die chinesischen Nachrichten*, vol. 1: 388, vol. 2: 732–33, nn. 1877–78 (*Jiu Tangshu*, 194B, 196B); Mackerras, *The Uighurs*: 102–03, 164, n. 202; Sinor, 'Some Components': 152–53; Sinor, 'The Establishment': 288–89.

<sup>19</sup> User, *Köktürk ve Ötüken Uygur*: 293: (KT, E18): *türgiš qağan türkümüz bodunumiz ärti* 'the Turgiš Qağan was our Türk, our people', that is, a 'subordinate, subject people'.

<sup>20</sup> Golden, *Ethnicity and State Formation*; Golden, 'The Turkic World in Mahmūd al-Kāshgharī': 503–55.

<sup>21</sup> See Kafesoğlu, *Umumî Türk Tarihi*: 24–25. Lee, 'The Historical Meaning': 101–32, argues that the ethnonym *Türk* virtually disappears from non-Muslim sources after the fall of the Türk Qağanate and did not have an afterlife except as a generic in the Muslim sources. However, Τοῦρκοι in Byzantine and *Turkāyē* in Syriac sources (Dickens, *Turkāyē: Turkic Peoples*) encompassed a wide array of steppe peoples. *Twrq* (Hebrew) and *Tork* (Eastern Slavic), presumably deriving from *Türk*, denoted the Oğuz in the Hazar and post-Hazar era (Golb and Pritsak, *Khazarian Hebrew Documents*: 37, 104, 114–15, 120–21, 132–33).

ethno-linguistic affiliations remain uncertain, it is clear that their subjects included Turkic-speaking peoples.<sup>22</sup> Usually stateless, the clans and tribes of this nomadic world in the course of interaction with their 'imperial' neighbours were transformed into militarily more effective polities<sup>23</sup> led by a ruling clan or tribe. Scholarship is divided as to whether their political morphology was monocentric, stemming from a single large confederation termed '*Oğur*' (West Old Turkic)~'*Oğuz*' (East Old Turkic),<sup>24</sup> or polycentric, that is, having multiple origins.<sup>25</sup>

# **Türk Origin Tales**

With these prefatory remarks, we may now turn to the ethnogonic reports about the Türks. From the Türks we have little. They began to leave

<sup>22</sup>Sima Qian, *The Grand Scribe's Records*: 267, 271 [110.2893, 2896] (Sima Qian, 146–86? BCE, completed the *Shiji* in 91 BCE) and the *Hanshu*: 9, 14 [94A.3752,3757] (by Ban Gu et al.) presented in 96 CE (Wilkinson, *Chinese History*: 704–14, 26), report that the Xiongnu *chanyu* 冒顿/頓 Modu/Modun (ca. 234–174 BCE, OC *mək tûns*, LH \**mək tuən*<sup>c</sup> (Schuessler, *Minimal*: 113 [5-37a], 336 [34-17j]; Beckwith, *Empires*: 387, n.8 = \*bağtur > \*bağatur) ca. 206–202 BCE (Di Cosmo, 'Aristocratic Elites': 27; Pulleyblank, 'The Chinese and Their Neighbors': 454–56), conquered in southern Siberia the Dingling (subsequently the Tiele, see further), 墜昆 Jiankun LH *ken kuən < kên-kûn* (Schuessler, *Minimal*: 333 [34-1a]), 隔昆 Gekun OC: *krêk kûn* LH: *kɛk kuən* (Schuessler, *Minimal*: 130 [8-2f], 333 [34-1a]), *Qirqiz < Qirqiř, 薪犁* Xinli OC *sin rî/ri* LH *sin lei/li* (Schuessler, *Minimal*: 322 [32-33n], 281 [26-24g]: *Sir* and the 為揭 Wujie OC *2â gat, kat* LH: *2a g iat, k iatl*<sup>re</sup>持 Hujie OC: *hâ, hâh gat*, LH: ha(°), *g iat, k iat* (Schuessler, *Minimal*: 49 [1-17h], 231 [21-1n], 51[1-28a], 231 [21-1n]),: \**Hagar* = \*Oğur-Oğuz? The Ashina-Türk are conspicuously absent.

<sup>23</sup> Definitions are problematic, see Barfield, *The Perilous Frontier*: 5–9: 'imperial confederacies'; Kljaštornyj, *Runičeskie pamjatniki*: 200–02: 'archaic empires'; Kradin, *Kočevniki Evrazii*: 36, 61–65, 111–73; Kradin, 'Stateless Empire': 77–96: 'super complex chieftaincies', 'primitive early states', 'exopolities', 'xenocracies' and 'steppe empires'.

<sup>24</sup> Kljaštornyj, *Runičeskie pamjatniki*: 198–99. *Oğur~Oğuz*, initially appears to have denoted some kind of organised kinship grouping and later acquired a politico-ethnonymic association (Golden, 'Oq and Oğur~Oğuz': 180–81, 184–86). The Chinese accounts often rendered *Oğuz* as *xing* 挫 'surname, family' (cf. Toquz Oğuz=*Jiu Xing* 九姓, Tibetan *drugu rus dgu* 'Turks of the nine bones', Venturi, 'An Old Tibetan': 24 [1. 25] and n. 59), thereby referencing the earlier meaning of this term ('kin, kinship grouping'). The *-r~z* shift (and other phonological features that distinguish West Old Turkic from East Old Turkic) probably took place sometime after the third century BCE and most definitely before the fifth century CE (Róna-Tas, *The Hungarians and Europe*: 101–04; Róna-Tas and Berta, *West Old Turkic*, vol. 2: 1112–14).

<sup>25</sup>Saraev, 'Diskussija o proisxoždenii': 98–99. Lee and Kuang, 'A Comparative Analysis': 204, n. 26–29, concludes that the various Turkic peoples did not have a 'single origin' or 'common (Turkic) identity'. Overall, they were of East Asian phenotype 'with a number of exceptions'.

historical records in the form of steles inscribed in Sogdian during the first Türk Qağanate.<sup>26</sup> These have survived only in fragments. Writings in Turkic touching on historical matters appear in the early eighth century with the second Türk Qağanate. In the runiform inscriptions for Köl Tegin (E, 1)/Bilgä Qağan (N, 2) we find only a formulaic statement of cosmic and human origins:

[W]hen the blue heaven/sky above and brown earth below were created, between them humankind was created and my ancestors, Bumïn Qağan and İštämi/İstämi Qağan having sat upon the throne (as master) over humankind, organized and set in order the Türk realm and law.<sup>27</sup>

The origin tales of the Türks, which may be divided into 'Wolf Tale I', 'Wolf Tale II',<sup>28</sup> 'the Shemo/Žama Tale' and a'Historical Account', were recorded in Chinese dynastic histories and historical compilations based on or copied from the same source(s) and repeated in later collections of historical tales.<sup>29</sup> In addition, there is a 'Dog Tale' reported in a Tibetan-Uyğur account.<sup>30</sup>

# Wolf Tale I

The earliest version of Wolf Tale I is recorded in the *Zhoushu* (50.907–08),<sup>31</sup> which was written in a period in which China was in frequent, direct

<sup>26</sup> Bugut, which also has a section written in Brahmi script (still undeciphered) and Xiao Hongnahai/Mongolküre, ca. 600 (Stark, *Die Alttürkenzeit*: 71–75; Stark, 'Luxurious Necessities': 477–83).

<sup>27</sup> Berta, Szavaimat: 139–40; Ölmez, Orhon-Uygur: 80, 123.

<sup>28</sup> According to Kljaštornyj, *Runičeskie pamjatniki*: 199: both are variants of the same legend reflecting the migrations that brought the Ashina to the Altay. They also point, he opines, to the beginnings of the four major groups of ancient Turkic-speaking peoples: Türk, Qïrğïz, Qïpčaq and Oğuz, reflecting their 'genealogical kinship'.

<sup>29</sup> The dynastic histories: *Weishu* (551–54), *Zhoushu* (636), *Suishu* (636), *Beishi* (659), *Jiu Tangshu* (945), *Xin Tangshu* (1060), *Qidan guozhi* (1247), the *Tongdian* (801), an 'encyclopaedic history of institutions of government', the *Youyang zazu* (a miscellany from ca. 855), the *Taiping Guangji* (976–78), historical fiction, the *Cefuyuangui* (1013), a historico-literary collection and *Zizhi Tongjian* (1086), a critical compilation (Wilkinson, *Chinese History*: 615–16, 626, 631, 646, 736–37, 741, 769–77, 957).

<sup>30</sup> Ms Pelliot Tibétain 1283 (see further).

<sup>31</sup> Chapters in the Chinese accounts generally follow those given in Erkoç, 'Türk Mitlerindeki'. As a non-Sinologist, the renderings and paraphrases of these tales given

contact with the Türks.<sup>32</sup> It is largely identical with the account in the *Beishi* (99.3285), which contains additional comments. It has been argued that the *Zhoushu*'s section on the Türks was lost and was replaced by the account in the *Beishi*, both, perhaps, drawing on no longer extant earlier works. Neither is the original account.<sup>33</sup> The *Suishu* (84.1863), compiled at virtually the same time as the *Zhoushu*, has an almost identical version, taken from the latter or derived from the same source(s).<sup>34</sup> Later versions are found in the *Tongdian* (197.5401–02) and considerably abbreviated in the *Cefuyuangui* (958, 112251b–52a)<sup>35</sup>—again drawing on the same source(s). The 'origin tale', with its slight variations in the aforementioned sources, should be read as one account, to or from which the authors added or subtracted elements:

The ancestors of the Türks lived on the right bank of the Western Sea.<sup>36</sup> The Türks are a separate tribe of the Xiongnu. Their family name is Ashina. They formed a tribe that was independent of the Xiongnu, but later were attacked by a neighbouring state<sup>37</sup> and all were killed except for a ten-year old boy. When the enemy soldiers saw that he was so young, they did not have the heart to kill him, so they cut off his feet and threw him into a grass-covered swamp. Here, there was a she-wolf who fed the young boy meat. He grew up and had relations with the she-wolf, who became pregnant. When the king of the neighbouring state learned that the youth was still alive, he again sent men to kill him. When they saw a she-wolf beside the young man, they wanted to kill her too. The she-wolf fled to a mountain in the north in the state of Gaochang (Turfan).<sup>38</sup> There was

further are based on the translations of Liu, Sinor, Taşağıl, Erkoç, Kırilen and Kapuzoğlu and examinations of the original texts (with the help of Sylvia Wu Golden).

<sup>32</sup> Clauson, 'Turks and Wolves': 11; Sinor, 'Legendary Origin': 223–24, suggests that it 'represents the tradition probably most current among the majority of the Türks themselves'.

<sup>33</sup> Clauson, 'Turks and Wolves': 11–13; Liu, Die chinesischen Nachrichten, vol. 1: 473.

<sup>34</sup> Harmatta, 'A türkök eredetmondája': 386–87; Liu, *Die chinesischen Nachrichten*, vol. 1: 474.

<sup>35</sup> Taşağıl, *Gök-Türkler*, vol. 1: 110–11.

<sup>36</sup>Liu, *Die chinesischen Nachrichten*, vol. 2: 495, n. 41, 'Western Sea' (*xi hai* 西海) has many possible meanings designating different bodies of water from the Mediterranean, Caspian and Aral Seas to Kuku-nor. In the Sui era (581–618) it was viewed as being near Byzantium (Sinor, 'Legendary Origin': 226). Taşağıl, *Gök-Türkler*, vol. 1: 95, n. 553 identifies it with Etsin-Gol, which is more likely.

<sup>37</sup>Kırilen, 'Türeyiş Destanı'nda': 73–88, on the basis of circumstantial evidence, suggests that this state was Kucha in Xinjiang (Yıldırım, *Doğu Türkistan'ın*: 169–73).

<sup>38</sup> Beishi: 'at that moment, it was as if a divine entity threw the she-wolf to the east of the Western Sea. She landed in the northwest of the Gaochang state', see Liu, *Die chinesischen* 

a cave in this mountain in which there was a broad plain with abundant grass. This plain, which stretched out for hundreds of *li* and was surrounded on all sides by mountains. The she-wolf hid in the mountains. Here, she gave birth to ten sons. When they grew up, they went out of the cave and married women from the outside. They brought many children into the world. Each of these descendants took a family name and one of them took the name Ashina.<sup>39</sup> Their children and grandchildren increased until they constituted some hundreds of families. After some generations,<sup>40</sup> they became subjects of the Ruru.<sup>41</sup> In the period of the Great Yabğu<sup>42</sup> their lines/families became stronger. They settled/ lived in the southern slopes of the Jinshan (Altay Mountains)<sup>43</sup> and worked as blacksmiths for the Ruru. Since the Jinshan had the appearance of a helmet ( $\Re$  *doumou*); and they called a 'helmet' *tujue*, they called themselves *Tujue*.<sup>44</sup>

<sup>39</sup> Beishi, Suishu: 'He was the cleverest among them and became their ruler. In front of the gate to the camp (the Türks) placed a standard with a wolf's head on it, so as to show that they had not forgotten their origins', see Clauson, 'Turks and Wolves': 14; Sinor, 'Legendary Origin': 225. Liu, *Die chinesischen Nachrichten*, vol. 1: 9 'a golden wolf's head' (*Zhoushu*), 40 (*Suishu*).

<sup>40</sup> Beishi: the tribe or band was led out of the cave by Axian (阿賢): OC:  $\hat{a}$  yien (Harmatta, 'A türkök eredetmondája': 395, suggests: Turk. *aqïn* 'flowing, jet (of water), ray, shaft of light'), EMC: ?*a* yen LMC: ?*a* xfijian (Liu, Die chinesischen Nachrichten, vol. 1: 6, 40 II: 490 n. 18; Pulleyblank, *Lexicon*: 23, 335; Sinor, 'Legendary Origin': 225). Axian bore the high title šad, of probable Iranian origin (Clauson, *Etymological Dictionary*: 866).

<sup>41</sup>*Ruru 茹茹*: EMC ŋ iǎ ŋ iǎ LMC riǎ/ryǎ riǎ/ryǎ, variant: 蠕蠕 EMC: ŋiǎ ŋiǎ LMC: riǎ/ ryǎ (Pulleyblank, *Lexicon*: 268, also pronounced *Ruǎnruǎn* 'wriggling [worms, insects]', a pejorative version of the name used by the Tabġač/Northern Wei rulers of Northern China). These are the Asian Avars/*Rouran* 柔然, EMC ŋuw ŋian (ibid., 267, 264), OC nu nan, LH ńu ńan MC ńźjau ńźjän (Schuessler, *Minimal*: 180 [13-48a], 258 [24-36ab]); MC: nyuw nyen (Kroll, *Dictionary*: 389, 383): see Golden, 'Some Notes': 52–53.

<sup>42</sup> Da yehu 大葉護[護]. Yabğu a high-ranking Inner Asia title (Clauson, Etymological Dictionary: 873) going back to the Yuezhi and Kušans (Sims-Williams, de la Vaissière, Bosworth, 'Jabğuya' http://www.iranicaonline.org/articles/jabguya).

<sup>43</sup> Jinshan (金山), lit. 'Golden Mountain', cf. Turk.*altun/altin>*, Mong.alta[n], Tungus-Manchuric *altan>* Manchu *aysin* 'gold', see Dybo, *Lingvističeskie kontakty*: 67, 125; Sevortjan, *Étimologičeskij slovar*': 142–43.

<sup>44</sup> Erkoç, 'Türk Mitlerindeki': 39–40, 46; Kırilen, *Eski Çinin Ötekisi*: 159–60; Liu, *Die chinesischen Nachrichten*, vol. 1: 5, 40; Ögel, 'Doğu Göktürkleri': 84–86; Ögel, *Türk Mitilojisi*, I: 20–21; Sinor, 'Legendary Origin': 224; Taşağıl, *Gök-Türkler*, vol. 1: 95. Clauson, 'Turks and Wolves': 13, pointed out that Old and Middle Turkic texts note a very different word for 'helmet': *yišiq* (subsequently *yašik*, *yošuq*, Clauson, *Etymological Dictionary*:

*Nachrichten*, vol. 2: 488, n. 5; Sinor, 'Legendary Origin': 225. Gaochang = Qočo/Qara Hoja/Turfan İdiqut Şähri in Xinjiang (Yıldırım, *Doğu Türkistan'ın*: 95–98, 122–24). Kucha and Gaochang/Qočo were areas of Tokharian speech that were later Turkicised (Tremblay, *Pour une histoire*: 36–46).

The *Zhoushu*, following a discussion of Türk investiture customs titles and offices of government, adds that the Türks:

place on the tops of their standards a golden wolf's head. Also, the imperial guard corps<sup>45</sup> of the ruler was called *Fuli*, a word that has the meaning of 'wolf' in Chinese translation. The Türks stem from a she-wolf and do not want to forget their origins.<sup>46</sup>

Wolf Tale I is followed by another in the *Zhoushu* (50.908), *Beishi* (99.3286), *Tongdian* (197.5402) and *Cefuyuangui* (956.11252a).<sup>47</sup>

# Wolf Tale II

Other traditions report that the ancestors of the Tujue derived from the Suo state,<sup>48</sup> which was located north of the Xiongnu:

<sup>45</sup> Chin. 侍衛 shi wei 'imperial body guard(s)' (Ošanin, Kitajsko-russkij slovar', vol. 3: 44 [5326]); Sinor, 'Legendary Origin': 234.

<sup>46</sup>Liu, *Die chinesischen Nachrichten*, vol. 1: 9; Sinor, 'Legendary Origin': 234; Taşagıl, *Gök-Türkler*: 10. Chin. 附緯 *fuli*: EMC: *buð<sup>k</sup>lið/li* LMC: *fſijyð/fſiuðli* (Pulleyblank, *Lexicon*: 101, 187) = Turk. *börü/böri* 'wolf'.

<sup>47</sup> Erkoç, 'Türk Mitlerindeki': 40–41; Kırilen, *Eski Çinin Ötekisi*: 160–61; Liu, *Die chinesischen Nachrichten*, vol. 1: 5–6; Ögel, 'Doğu Göktürkleri': 86–88; Sinor, 'Legendary Origin': 226–27; Taşağıl, *Gök-Türkler*, vol. 1: 96. The *Hanshu* (66b.3908) mentions 持緯 OC: *po/boh*, *bôhrai/raih* LH: *puo/buo<sup>c</sup>*, *bo<sup>c</sup> liai/liai<sup>c</sup>* MC: *pju/bju<sup>c</sup>*, *bau<sup>c</sup>lje<sup>c</sup>* (Schuessler, *Minimal*: 155 [10-39d], 214 [18-11f]), a Wusun king with a name that might represent *böri* (Jila, 'Myths': 167).

<sup>48</sup> Suo 索 OC: sâk LH: sak MC: sâk (Schuessler, *Minimal*: 72 [2-33a]); EMC, LMC: sak (Pulleyblank, *Lexicon*: 298); sak, sak, sag (Coblin, *Compendium*: 383[0881]). Harmatta, 'A türkök eredetmondája': 391, identified Suo with the ethnonym Saka and noted the connection with Han-era Sai 塞 OC: sôk(h) LH: sək, sə<sup>C</sup> (Schuessler, *Minimal*: 111 [5-28a]) but considered the terms representations of two different Saka groupings. Beckwith, *Empires*: 405, n. 53, also argues that Suo renders Saka and cites Menander, *History*: 116/117: 'the Turks, who had formerly been called the Sacae' (ὅτι των Τούρκων τῶν Σακῶν καλούμενων) as evidence for the Saka connection. Vásáry, *Eski İç Asya*: 99–100, associates Suo with the Xianbei. Clauson, 'Turks and Wolves': 14 and Sinor, 'Legendary Origin': 227, were doubtful about attempts to identify Suo.

<sup>977,</sup> with uncertainty regarding vocalisation; Nadeljaev et al., *Drevnetjurkskij slovar*': 269 yišiğ, yišiq), cf. Middle Qīpčaq ašiq, yišiq (Toparlı, Vural, Karaatlı, Kıpçak Türkçesi Sözlüğü: 14, 322; Golden, *The King's Dictionary*: 285: نو ف yošuq/Güner, *Resûlî*: 134: yašuq). Ottoman: نم ف ašiq 'an iron helmet' (Redhouse, *A Turkish and English Lexicon*: 125). Obviously, none of these forms can be connected with *Tujue*, most probably a folk etymology from an unidentified language.

### The Ethnogonic Tales of the Türks 11

The chief of the tribe was Abangbu,<sup>49</sup> who had seventeen (*Beishi*: seventy) brothers.<sup>50</sup> One of them was called Yizhi Nishidu,<sup>51</sup> who was born of a she-wolf. Abangbu and his brothers were stupid and slow-witted (愚癡 yu chi) and thus their state was, in the end, attacked and destroyed (by others). However, (Yizhi) Nishidu was touched by a spirit {had supernatural powers} and because of that he could summon forth wind and rain.<sup>52</sup> He married two women. One was the daughter of the Summer-God and the other the daughter of the Winter-God.<sup>53</sup>

<sup>49</sup> 阿诱步 OC: ?âi pâŋh bâh LH: ?a <?aipaŋ<sup>C</sup>ba<sup>C</sup>MC: ?â pwâŋ<sup>C</sup> buo<sup>C</sup> (Schuessler, Minimal: 211[18-1m], 88 [3-57k'], 60 [1-65a]); OC: â pwâng b 'uo NWTang: âp<sup>w</sup> âŋ-b<sup>u</sup>o (Harmatta, 'A türkök eredetmondája': 393); EMC ?a paŋ<sup>h</sup> bʒ<sup>h</sup> LMC ?a paŋ` pſuð` (Pulleyblank, *Lexicon*: 23, 29, 43), ONWC: ?a paŋ bo (Coblin, *Compendium*: 124 [0016], 384 [0886], 147 [0079]), MC 'a pwangH buH (Kroll, *Dictionary*: 1, 10, 29). Harmatta, 'A türkök eredetmondája': 393, finds apaŋpu reminiscent of Kettic femba, haŋba, hèaŋba, the term for 'Tungus', which can be traced back to \*faŋba or \*p'aŋba.

<sup>50</sup> Erkoç, 'Türk Mitlerindeki'; Kırilen, *Eski Çinin Ötekisi*: 160, n. 160; Liu, *Die chinesischen Nachrichten*, vol. 2: 489. n. 9; Sinor, 'Legendary Origin': 226: 54 points out that '70' was also the number of followers who first joined İlteriš Qağan when he revolted against the Tang and revived the Türk Qağanate, as reported in the Köl Tegin Inscription (732). His followers fell like 'wolves' (*böri täg*) on their foes (KT, E12, see Berta, *Szavaimat*: 147). The KT inscription in describing the revival of the Türk Qağanate may be harkening back to the earlier origin myth.

<sup>51</sup>伊質泥師都 OC: *i tšič niei și tuo*, NW Tang: *i tši(ð) ni și t"o* (Harmatta, 'A türkök eredetmondája': 393); OC: *?i təts ni/ni?/nih sri/*OCB: *srjij/ tâ* LH: *?i tšis nei/nei<sup>b</sup>/nei<sup>c</sup> și ta* MC: *?i 4 tši<sup>c</sup>niei/ niei<sup>b</sup>/niei<sup>c</sup> si tuo* (Schuessler, *Minimal*: 278 [26-13a], 307 [30-10a], 281[26-25d], 283 [26-36a], 33 [1-38e']); EMC \* *?ji tçit nɛj<sup>h</sup> și tɔ*, LMC \* *?ji tșit niaj` şr tuă* (Pulleyblank, *Lexicon*: 365, 406, 224, 281, 81). The etymology and linguistic affiliations are problematic. Harmatta, 'A türkök eredetmondája': 393, proffers Yeniseic (Kottic) \**Itšinişit"o* 'sorcery/magic-teacher'.

<sup>52</sup>A reference to the *Yada tašī* 'rain-making stone' that various Turkic rulers were credited with possessing. Ibn al-Faqīh (writing ca. 903) comments that the 'king of the Toquz Oğuz' (in Arab accounts usually denoting the Uyǧurs) possessed 'little stones' (*haşan*) with which he could summon rain at will (Ibn al-Faqīh, *Kitāb al-Buldān*: 639); Gardīzī, *Ta'rī*h: 547, has a long tale about a holy name, which when uttered would bring rain. Noah transmitted it to his son Japheth (Yāfit), who wrote it on a stone. Japheth was considered the ancestor of the Turks. The stone also had healing powers. Its possession was contested among the Oğuz, Qarluqs and Khazars and others. Gardīzī reports that it was in the possession of the Oğuz, see Golden, *An Introduction*: 119. On wind and rain-summoning shamans et al. among Siberian peoples and the *yada tašī*, see also Harva, *Die religiösen Vorstellungen*: 220–23. *Yada* is of Iranian origin: Avest. *yātu* 'magic, sorcery', Old Pers. *yātūka* 'magician, sorcerer', Class. Pers. *jādū* 'magician', etc. (Édel'man, *Étimologičeskij slovar'*, vol. 4: 133–34). Harmatta, 'A türkök eredetmondája': 390, n. 23, 391, also notes connections with Siberian shamanistic lore.

<sup>53</sup> According to Ibn Fadlān, *Two Arabic Travel Books*: 214/215, the Bāšģird (Bashkirs) had 12 'lords' (*rabb*) including one for winter and one for summer as well as lords for rain,

One of them bore four sons. One son changed himself into a white swan.<sup>54</sup> Another son founded a state between the Afu<sup>55</sup> and Jian<sup>56</sup> Rivers. The state was called Qigu.<sup>57</sup> The third son ruled on the Chuzhe River.<sup>58</sup> The fourth brother

wind, trees, people, horses, water, night, day, death, the Earth and the sky. The latter was 'the greatest but he acts consensually'; each lord 'approves of the actions of his partners'. The lord of the sky is *Tängri*.

<sup>54</sup> Zhoushu: 白鴻 bai hong 'white taiga bean goose, brenta' (Ošanin, *Kitajsko-russkij slovar*', vol. 3: 509 [7707]); Ögel, *Türk Mitolojisi*, vol. 2: 140–41, translates hong as 'stork' (*leylek*), noting this as an example of shape-shifting.

<sup>55</sup> 阿輔: OC: *â b'ju*, NW Tang: *â b'i* (Harmatta, 'A türkök eredetmondája': 391, who relates it to Iran. *âpa* 'water'>*aba*; OC: *?âi ba?* LH: *?a bua<sup>8</sup><?ai* MC: *?â bju<sup>8</sup>* (Schuessler, *Minimal*: 311 [18-1m], 60 [1-67v]; EMC: *?a buā'* LMC: *?a ffijyð/fhuð* (Pulleyblank, *Lexicon*: 23, 100). Erkoç, 'Türk Mitlerindeki': 41; Sinor, 'Legendary Origin': 228: Abakan River. Liu, *Die chinesischen Nachrichten*, vol. 2: 489, n. 10, identified it with the Ubsa Lake/(Ubsu/ Uvs Nu[u]r) in Mongolia-Tuva.

<sup>56</sup> A. OC kiom (Harmatta, 'A türkök eredetmondája': 391); OC: kams, ONW: kam LH: kima<sup>c</sup> MC: kjem<sup>c</sup> (Schuessler, Minimal: 348 [36-6i]); EMC kiam<sup>h</sup>LMC: kiam<sup>^</sup> (Pulleyblank, Lexicon: 148) = the Kem River = the Yenisei/Upper Yenisei (Erkoç, 'Türk Mitlerindeki': 41; Liu, Die chinesischen Nachrichten, vol. 2: 489, n. 11; Sinor, 'Legendary Origin': 228; User, Köktürk ve Ötüken: 146; Yıldırım, Türk Tarihi İçin: 58: Ulukem, Tuvin. Ulu xem 'big River' = Upper Yenisei). The word Kem/Käm is perhaps of Samoyed origin (Vásáry, 'Käm, an Early Samoyed Name': 469; but Janhunen, 'Etymological and Historical Aspects': 70–73, questions its Samoyedic or Yeniseic origins). Kem is today the name of a tributary of the Yenisei.

<sup>57</sup> 契骨 EMC: k<sup>h</sup>ɛj<sup>h</sup> kwət/ k<sup>h</sup>it kwət LMC: k<sup>h</sup>jiaj kut; k<sup>h</sup>it kut, (Pulleyblank, Lexicon: 248) 111); MC khejH kwot (Kroll, Dictionary: 140) = Qïrqïr (Qïrqïz> Mod. Turk.Qïrğïz). Sinor, 'Legendary Origin': 228-29, cites the Youyang zazu that the Qïrğïz 'do not belong to the race of the wolf'. Rather, Qïrğïz origin tales relate that they stemmed from the mating of a spirit and a cow and lived in a cave north of the Kögmän Mountains (see further); see also Ögel, Türk Mitolojisi, vol. 1: 21–22. In the Orxon inscriptions, the Oïrgïz are noted as having a Qağan (BO, E, 20 N, 26-28, KT, E, 25, N, 13; Berta, Szavaimat: 155, 161, 164–65, 185). Eberhard, Kultur und Siedlung: 46, also reports that they lived mixed with the 丁 霊 Dingling: LH ten len< \*têŋ-rêŋ (Schuessler, Minimal: 137 [9-11a])>狄歷 Dili (EMC: dɛjk-lɛjk)/特勒 Tele (EMC: dək lək)/勑勒 Chile (EMC: trhik lək)/直勒 Zhile (EMC: drik-lək) >鐵勒 Tiele (EMC: thet-lək) = \*tägräg. The Tiele union included the Toquz Oğuz, among whom the Uyğurs were paramount (Erkoç, 'Türk Mitlerindeki': 63, n. 34; Pulleyblank, 'High Carts': 22). Tiele et al. may be a Tabgač (Mongolic/Para-Mongolic) term for 'wagon' (Kljaštornyj, Runičeskie pamjatniki: 162-63, that is, 'the people of the wagons' denoting the Oğuz). The *Tiele* were also termed 高 車 Gaoche 'high carts' (Pulleyblank, 'The High Carts': 22), pointing to a semantic connection with \*tägräg. Some later tales replace the cow of the Qïrğïz origin myth with a red dog.

<sup>58</sup> 處折 OC: *tś'iwo tśiät* = \**tśutśe* = \**čočs*; Harmatta, 'A türkök eredetmondája': 392, EMC: *tc<sup>h</sup>ið' tciat* LMC: *ts<sup>t</sup>ið' | ts<sup>t</sup>vð' tsiat* (Pulleyblank, *Lexicon*: 60, 400). Harmatta, Liu, *Die chinesischen Nachrichten*, vol. 2, n. 13; Sinor, 'Legendary Origin': 228, identified it with the Yenisei, or middle Yenisei.

### The Ethnogonic Tales of the Türks + 13

lived on Jiansi Chuzheshi mountain.<sup>59</sup> He was the eldest of the four sons. On this mountain there also lived other branches of Abangbu's tribe. It was often very cold {and damp} there and the oldest son provided fire for them so they could warm themselves and remain alive. Thus, they were saved and they chose the oldest son as their chief and gave him the name Tujue. He was NeduliuŠad.<sup>60</sup> He had ten wives, each of whose sons took the clan [& *zu*] (name) of [his] mother as his family name ( $\pounds$  *xing*).<sup>61</sup> Ashina was the son of his concubine ( $\because$   $\oiint$  *xiao qi*, lit. smallest/least of his wives). After the death of Neduliu, they wished to elect one of the sons of the ten mothers to succeed him. They gathered under a great tree and agreed that the one who could jump the highest up the tree would be selected as their leader.<sup>62</sup> The son born of Ashina was the youngest son, but he jumped the highest. Thus, the other sons made him their chief ( $\pounds$  *zhu*'lord, master'). He was called Axian Šad.<sup>63</sup>

This account differs from the other one (in the *Zhoushu*), but both agree that the Tujue stem from a she-wolf. The descendant (grandson) of Axian

<sup>59</sup> 殘斯處祈淹 Jian si chu zhe shi: EMC: *dzian' sið/sitc<sup>h</sup> ið' tciat cið/ci* LMC: *tsfiian' szsfiis<sup>h</sup>ið'/tsjat și* (Kırilen, *Eski Çin'in Ötekisi*: 161, n. 164: citing Baxter and Sagart, *Old Chinese Reconstruction*, version of 20 February 2011; Pulleyblank, *Lexicon*: 148, 291, 60, 400, 282). OC: *dzjenX sej tsyhoH tsyet sye.* According to Harmatta, 'A türkök eredetmondája': 392. OC: *dz'ian sig tsíwo tsíjät sig/ig*, NW Tang: *džän si tsí tsíð si* = Kettic: *son siś ïi~ïix dži/sg,síx* 'Blue stone Mountain'/'Blue Mountain' suggesting it could be the Kögmän Mountain (< Turk. *kōk* 'blue') usually identified with the western Sayan or the Tannu Ola Range (Aydın, *Eski Türk Yer Adları*: 107–10; Erkoç, 'Türk Mitlerindeki': 41; Liu, *Die chinesischen Nachrichten*, vol. 2: 489–90, n. 14; User, *Köktürk ve Ötüken*: 150–51).

<sup>60</sup>Zhoushu: 訥都六 Neduliu: EMC nwət tə luwk LMC nut tuð liwk (Pulleyblank, Lexicon, 222, 81,198, 279, 訥 has alternate pronunciations: na and nuo); see also the Tongdian and Cefuyuangui (Sinor, 'Legendary Origin': 227–28; Taşağıl, Gök-Türkler, vol. 1: 96, 111). The Xin Tangshu, 215, 2b (Liu, Die chinesischen Nachrichten, vol. 2: 490, n. 18): 訥都陸 Nedulu: EMC nwət tə luwk LMC nut tuð liwk (Pulleyblank, Lexicon: 222, 81, 201), MC nwot tu ljuwk (Kroll, Dictionary: 320, 92, 285).

<sup>61</sup> Ögel, 'Doğu Göktürkleri': 109, indicating a matriarchal society?

<sup>62</sup>The 'great tree' is clearly a reference to the 'sacred tree'/'tree of life', a 'frequently invoked and ritually significant' element in Inner Asian belief systems (DeWeese, *Islamization*: 45).

<sup>63</sup> Liu, *Die chinesischen Nachrichten*, vol. 1: 6, 40 II: 490, n. 18). Sinor, 'Legendary Origin': 227, Axian Šad, is again noted, as in Tale I, but not as the one who led them out of the ancestral cave. While Sinor viewed him as 'a legendary figure', Harmatta ('A türkök eredetmondája': 388) considers him the 'first truly historical' figure in the ethnogonic legends, while not completely excluding the mythical or quasi-mythical Neduliu. He suggests that 訥都六 *Neduliu* could be a corruption of \*語 ('vulgar' form of 哂) 部六 \**Yülüliu* = Old Northwest Tang China. \**iu-l(i)-l'uy*, that is, Yolluğ noted among the earliest Türk qağans in the Terx inscription, E1 (*yol[l]wy*, Berta, *Szavaimat*: 248; Rybatzki, 'Titles': 211–13: *yol[l] uğ* 'lucky'). 哂however, is not pronounced *yu/yü*, but *shen*:EMC *cim* 'LMC *şin*' (Pulleyblank, *Lexicon*: 280). My thanks to Ching-i Tu, Victor Mair and Fangyi Cheng for assistance with .

Šad was Tumen<sup>64</sup> (i.e., Bumïn, first Qağan of the Türks). (*Xin Tangshu* 215, 2b: the ancestor of the Western Türks was Tuwu,<sup>65</sup> a grandson of Nedulu. He was called a Great Yabğu. Tumen (Bumïn) was the oldest son of Tuwu and grandson of Nedulu.)<sup>66</sup> When Tumen's tribe became somewhat stronger, they came to the border to trade for silk fabrics.

# **Historical Account**

The 'historical account' precedes 'Wolf Tale I' in the *Suishu* (84.1863). It is repeated in the *Beishi* (99.3285), *Tongdian*, 197.5401) (159), *Cefuyuangui* (956.11251b)<sup>67</sup> and *Zizhi Tongjian* (159.4926).<sup>68</sup>

<sup>64</sup> ± ا<sup>#</sup> EMC: *t<sup>h</sup>o<sup>h</sup> mən* LMC: *t<sup>h</sup>uð' min* (Pulleyblank, *Lexicon*: 312, 211); MC: *t<sup>h</sup>uo<sup>B</sup> mwən* (Schuessler, *Minimal*: 53, 332 [1-36a], [33-35a]); MC *thuH mwon* (Kroll, *Dictionary*: 460–61), 300 meaning 'earth, soil, land, ground, god of the soil–gate/door', 'gateway, portal, family, group, faction, sect, community'± has the alternate literary pronunciations *du* and *cha*. Kljaštornyj and Livšic, 'The Sogdian Inscription': 85–86; Dobrovits, 'Silziboulos': 67, n. 1, read Bugut (B2: 8–9): \**by*[*y*] *bwmyn y'y'n*, but Moriyasu and Ochir, *Provisional Report*: 123–24 and Ölmez, *Orhon-Uygur*: 67–68 lack \**Bumyn*. If \**byy bwmyn y'y'n* is correct, it could denote 'Lord of the Earth Qağan': Bumın < Iran. *būmī* 'land, country', Midle Pers. *būm*, Soġd. *βwmh*, *βwm* [*vūm*] (Rastorgueva and Édel'man, *Étimologičeskij slovar'*, vol. 2: 134–35; Rybatzki, 'Titles': 206–08, 217–19). Lurje, *Personal Names*: 238 [663] notes *Bwmyn* [*Būmēn*] recorded on a post-Sâsânid seal. *#*\**i*] ज:*i*\**Vîli Kehan* EMC: *?ji li<sup>th</sup> k<sup>h</sup>a' yan* (Pulleyblank, *Lexicon*: 364, 188, 173, 118), a title, which Bumīn bears in the *Zhoushu* (50). *Suishu* (84, Liu, *Die chinesischen Nachrichten*, vol. 1: 7, 41) may render Turk. *ellig Qağan* 'the Qağan possessing land/a polity', that is, the 'lord of the Earth Qağan', but other readings (e.g., *İri Qağan*) are possible (Atwood, 'Some Early': 50–53). See also Erkoç, 'Batı Göktürk': 44, n. 1, 45, n. 2.

<sup>65</sup> Liu, *Die chinesischen Nachrichten*, vol. 2: 490, n. 18; Chavannes, *Documents*: 47: 'the ancestor of the western Türks is Tuwu, grandson of Nadulu'. 吐務/吐务 Tuwu: OC: *t'uo-miu*, NW Tang: *t'om'u* from *\*tuβu*, which could stem from Kamasin Samoyed *t'iβi*, *t'iβi* 'man' (Harmatta, 'A türkök eredetmondája': 396), EMC: *t<sup>h</sup>o'* muð<sup>h</sup> LMC: *t<sup>h</sup>uð 'ujyð/ vuð'* (Pulleyblank, *Lexicon*: 312, 327).

<sup>66</sup> Kljaštornyj, *Runičeskie pamjatniki*: 199–200, views this 'genealogical legend' as containing elements of the stages of the ethnogenesis of the Qirğïz, Qipčaq ('white swan') and Tiele (Oğuz), confirmed, in his view, by archaeological finds in the Sayan-Altay zone from the third to the fifth century. The claim to the lofty titles *Šad* and *Yabğu* implying ties with the Rouran Qağanal house is probably a fiction invented by the Ashina-Türks after they took the Qağanate. Bumïn's revolt in 552 was sparked by the Rouran Qağan's disdainful rejection of his request for a Rouran princess-bride (Liu, *Die chinesischen Nachrichten*, vol. 1: 7), calling him 我敏友 'my iron-working slave'.

<sup>67</sup> Erkoç, 'Türk Mitlerindeki': 40, n. 7; Liu, *Die chinesischen Nachrichten*, vol. 1: 40; Ögel, 'Doğu Göktürkleri': 85; Ögel, *Türk Mitolojisi*, vol. 1: 84; Taşağıl, *Gök-Türkler*, vol. 1: 12, 95, 110–11.

68 Cited in Kljaštornyj, Drevnetjurkskie runičeskie pamjatniki: 106, n. 142.

### The Ethnogonic Tales of the Türks + 15

The ancestors of the Tujue were mixed Hu<sup>69</sup> from Pingliang.<sup>70</sup> They had the family name Ashina. When the Emperor Taiwu (r. 424–52) of the Northern/Later Wei destroyed Mujian<sup>71</sup> ruler of the Xiongnu state of Northern Liang (397–439) in the Gansu Corridor led by the Juqu clan,<sup>72</sup> the Ashina fled with 500 families to the Ruru.<sup>73</sup> They lived for generations on the Jinshan (Altay) Mountains, where they worked as makers of iron implements.<sup>74</sup> Since<sup>75</sup> the Jinshan looked like a helmet and people call a 'helmet' *tujue*, for this reason they called themselves by this name. According to another legend, their ancestors reigned above the Western Sea…

<sup>69</sup> Liu, *Die chinesischen Nachrichten*, vol. 2: 519, n. 207: 維胡 za hu 'Barbaren, die rassenmässig mit anderen Barbaren vermischt waren'; za hu 'mixed, not homogeneous, not of one kind, heterogeneous'. 'Northern foreign tribesmen (Xiongnu, Mongols, Turks), foreigner, northern barbarian' (Ošanin, *Kitajsko-russkij slovar*', vol. 2: 351 [1462], vol. 3: 159). Kljaštornyj, *Drevnetjurkskie runičeskie pamjatniki*: 106–07, defines: za hu as Xiongnu 'mixed with the local population of this part of Gansu'. Hu 'Steppe nomads', a term of unknown etymology (Schuessler, *ABC Etymological Dictionary*: 281), in Han times (206 BC–221 CE), was usually associated with the Xiongnu (Di Cosmo, *Ancient China*: 127–30; Pulleyblank, 'The Chinese and their Neighbors': 449–50). In the Sui era (581–618) hu denoted Central Asian Iranians, especially the Sogdians (Abramson, *Ethnic Identity*: viii, 19–20, 87; de la Vaissière, *Histoire des marchands sogdiens*: 56, 58, 61, 65, 120–21 *et passim*; Liu, *Die chinesischen Nachrichten*, vol. 2: 490–91, n. 22, 584, n. 786).

<sup>70</sup> In Gansu, see Erkoç, 'Türk Mitlerindeki': 46; Harmatta, 'A türkök eredetmondája': 386; Liu, *Die chinesischen Nachrichten*, vol. 2: 519, n. 207; Taşağıl, *Gök-Türkler*, vol. 1: 12.

<sup>71</sup>牧犍 LH: muk kian (Schuessler, Minimal: 113 [5-39a], 253 [24-8c]).

<sup>72</sup> Liu, *Die chinesischen Nachrichten*, vol. 2: 519, n. 209. 沮渠 Juqu: EMC *dz ið ' g ið* LMC: *tsfið \tsfiyð kfiið \tfijð* (Pulleyblank, *Lexicon*: 164, 260). In the *Tongdian* (197, 1067/1c, Taşağıl, *Gök-Türkler*, vol. 1: 95 (Chinese text in appendix), the clan name is written as: Qiequ 且渠 EMC: *ts<sup>h</sup>ia 'g ið* LMC: *ts<sup>h</sup>ia 'g ið* (Pulleyblank, *Lexicon*: 253, 260). 且 also pronounced *ju* EMC: *tsið* LMC: *tsið*/tsyð (Pulleyblank, *Lexicon*: 162) = EMC: *tsiðg ið* LMC: *tsið*/tsyð g *ið*.

<sup>73</sup> In 439, a period of drought and political uncertainty prevailed (Xudjakov, *Zolotaja volč'ja golova*: 22–23). Survivors of the Northern Liang/Hexi state (Borovkova, *Gaočan*: 45–48, 50–59) continued on in Gaochang until the Rouran took it in 460 (Kim, *Huns*: 30–31).

<sup>74</sup> Borovkova, *Gaočan*: 92–94, suggests that they acquired their metallurgical skills in Pingliang, where they were weapons makers for the Rouran, and expresses doubts that the Jinshan ('Golden Mountain') noted here is necessarily the Altay, which is at some distance from Pingliang. Archaeological evidence indicates that the Ashina brought with them from Gaochang and Gansu a variety of bows and swords superior to those of other groupings in the Altay assuring their domination of local tribes (Xudjakov, *Zolotaja volč'ja golova*: 19–30). Whether they were masters of weapon making or had become masters of local weapon makers is unclear. Access to iron was one of their sources of power (Stark, 'Luxurious Necessities': 465–77).

<sup>75</sup> Clauson, 'Turks and Wolves': 13, labels this part of an otherwise 'plausible story', a lapse 'into phantasy'.

This passage sums up a concluding phase in the pre-history of the Ashina. Following the fall of the Han dynasty in 220, there was an influx of Xiongnu, Xianbei and other tribes into Northern China, where they created ephemeral polities (the 'Sixteen States', 304–429). The forebears of the Ashina, a 'Xiongnu' grouping,<sup>76</sup> came to Gansu after 265. Here, they absorbed local elements becoming the 'mixed Hu' of Pingliang, the later Ashina-Türks. The Ashina, together with the Northern Liang princes, Wuhui (d. 444) and Anzhou (d. 460),<sup>77</sup> fled to Gaochang, which came under Rouran rule in 460. The Ashina were subsequently resettled on the Altay probably ca. 439 and most certainly before 487 or 492, other dates that have been suggested.<sup>78</sup>

# Wolf Motif

In the Orkhon inscriptions, the Ashina-Türk Qağans repeatedly proclaimed their heavenly mandate to rule, addressing often recalcitrant, newly subdued core and subject peoples. The tale of a numinous wolf ancestress had an ideological–spiritual resonance among many of their northern subjects.<sup>79</sup> Implicit in this were hints at shaman-like connections to the spirit world exemplified by the ceremonial ritual strangulation of the Qağan at his investiture, which brought him into contact with the supernatural and allowed him to predict the length of his reign.<sup>80</sup> Wolf Tales I and II share a highly significant theme beyond lupine ancestry: Ashina is one of 10 siblings. In reality, the Ashina were the leading clan of a grouping of 10 clans (or tribes), the inner core of the Türk union.<sup>81</sup> The decimal

 $^{76}$  Pulleyblank, 'The Chinese and Their Neighbors': 454–55, suggests that the Ashina derived from 'the debris of the Southern Hsiungnu who settled along the northern Chinese frontier'.

<sup>77</sup> 無諱 Wuhui LH: *mua<sup>B</sup>/mua hui<sup>c</sup>* (Schuessler, *Minimal*: 62 [1-69agh], 292 [28-5s]), 安 周 Anzhou (LH: *?an tśu* (Schuessler, *Minimal*: 253 [24-11a], 174 [13-26ahi]).

<sup>78</sup>Borovkova, *Gaočan*: 52–60 (on the Northern Liang); Kim, *Huns*: 30–31; Kljaštornyj, 'Xunny i tjurki': 121–25; Kljaštornyj, *Drevnetjurkskie runičeskie pamjatniki*: 106–11; Mair and Cheng, 'The Relationship': 236.

<sup>79</sup> Drompp, 'Lone Wolf': 525–26.

<sup>80</sup> Liu, Die chinesischen Nachrichten, vol. 1: 8.

<sup>81</sup> Czeglédy, 'On the Numerical Composition': 275–81. Dobrovits, 'The Thirty Tribes': 257–62, argues that the 30 tribes of the Türks consisted of the 10 tribes of the Eastern Türks (Liu, *Die chinesischen Nachrichten*, vol. 1: 453–54, with their tamğas, citing the *Tang huiyao*, Wilkinson, *Chinese History:* 645, compiled by Wang Pu, 922–82) plus the Ashina = 11, the 10 tribes of the Western Türks (On Oq) and the nine tribes of the Toquz Oğuz.

system of socio-military organisation, well known in Eurasia, probably existed in the Ashina-Türk pre-polity.<sup>82</sup> The wolf motif found physical expression in the relief on the Bugut stele in which a child appears to be under a wolf's belly.<sup>83</sup>

The Wusun and Uyğurs shared the wolf motif in different forms. The Wusun<sup>84</sup> (from the second century BCE to the early sixth century CE) are first noted as neighbours of the Yuezhi<sup>85</sup> in the Qilian-Dunhuang region and west of the Xiongnu. Both were driven westward by the Xiongnu in the second century BCE. Their ethno-linguistic affiliations—Indo-European (perhaps Indo-Iranian or Tokharian)—remain disputed.<sup>86</sup> The Wusun have a tale in which the *Kunmo/Kunmi*,<sup>87</sup> orphaned heir of their vanquished tribal leader, Nan doumi 難免靡<sup>88</sup> (defeated by the Xiongnu), is nourished

<sup>84</sup> 烏孫 Wusun: OC 2â sūn, LH 2a suan (Schuessler, Minimal: 333 [34-1a51 [1-28a], 339 [34-28E]), perhaps \*Aświn = Aśvin 'the Cavaliers', see Beckwith, *Empires*: 376–77, who suggests that they 'could well have been Old Indic speakers'. Cf. discussion in Hill, *Through the Jade Gate*, vol. 2: 91–105. Jila, 'Myths': 162–63, on arguments for their Turkic speech.

<sup>85</sup> 月氏 (old form: 月支) OC: \**ŋ<sup>w</sup>jat/ŋwat ge? /ke* LH: *ŋyat*, S *ŋiotdże<sup>8</sup><gie<sup>8</sup>/tśe<kie* (Schuessler, *Minimal*: 241[22-8ag], [alt. pronunciation *shi*] 121 120 [7-3a]). Beckwith, *Empires*: 5–7, 83, 380–83: Early OC \**nokwet* in a 'highly archaic border dialect' of OC: \**Tok<sup>w</sup>ar* = Tokharians. The literature on the Yuezhi question is too extensive to cite here.

<sup>86</sup> Mair and Cheng, 'The Relationship': 239, n. 15. Wusun represented 'a country or a mixed group, rather than a single ethnic group', including Iranian (Saka) and Yuezhi.

<sup>87</sup> 昆英 OC kûn mâkh, LH kuən ma<sup>c</sup> MC kwən muo<sup>c</sup> 昆嶺, kunmi OC kûn me?, LH kuən mie<sup>B</sup> MC kwən mjie kwən mjie<sup>B</sup> 4 (Schuessler, Minimal: 333[34-174], 74 [2-40ad 嶺 = 滴 125 [7-200]). Beckwith, Empires: 376–77, prefers the Hanshu form: 昆嶺, kunmi MC \*kwənmji and suggests that this represents \*kin/\*kēnmē/bē, perhaps Old Indic.

<sup>88</sup> Hanshu, 61.4216 (Hill, Through the Jade Gate: 93; Pulleyblank, 'The Wu-sun': 156–57) OC: nân tô mai?LH: nanto miai<sup>8</sup> MC: nân tou mje<sup>8</sup> 3 (Schuessler, Minimal: 258 [24-35dg], 148 [10-12a], 218[18-18h]).

Clauson, 'Turks and Wolves': 15, mistakenly identified the 10 tribes of the Türks with the Western Türks and maintained that the origin tale legends referred only to the latter. He also suggested (with caveats) that the tales were not from the Türks but from others, quite possibly foes, who wished to spread derogatory tales about their ancestry. The usually perceptive Clauson completely missed the wolf motif so common in ethnogonic tales across Eurasia.

<sup>&</sup>lt;sup>82</sup> Stark, 'On Oq Bodun': 163.

<sup>&</sup>lt;sup>83</sup>Kljaštornyj and Livšic, 'The Sogdian Inscription': 71, 96. Drompp, 'Lone Wolf': 519–24, considers the Bugut image 'our most important piece of evidence' for the wolf ancestral theme 'beyond the Chinese sources'. This interpretation of the images has been challenged by Stark, 'Luxurious Necessities': 478–81, who sees a dragon, and Hayashi, 'Illig Qayan': 49, 'a pair of dragons' heads' and no baby's body.

by a she-wolf (or wolves) and ravens in the steppe.<sup>89</sup> The similarities between the Wusun and Türk wolf tales as well as a posited connection between Ashina and \**Aśvin* have suggested a relationship between the two<sup>90</sup>—although this is far from certain. Interestingly, in the Ashina tale, lupine descent is maternal. In contrast, the Gaoche (Dingling/Dili/Tiele)<sup>91</sup> ~Toquz Oğuz (subsequently Uyğurs-led) union, claimed a lupine male ancestor. In the *Weishu* (103.2307) and *Beishi* (98.3270), they are presented as the fruit of the union of a daughter of the Xiongnu *chanyu* and a wolf.<sup>92</sup> Like the Türks, the Uyğurs also had wolf-head banners.<sup>93</sup> However, the Uyğurs (and Tiele union) are presented as distinct from the Ashina-Türks, although both shared 'Xiongnu' connections. The Ashina-Türk-Uyğur enmity antedated the rise of the Türk state.<sup>94</sup>

The wolf theme is ancient and widespread across Eurasia, from Rome to Inner Asia.<sup>95</sup> Whether it came to the Ashina from neighbouring 'Scythian' peoples<sup>96</sup> or was part of a possible ancient Iranian patrimony remains an open question. 'Wolf-men' figure prominently in the warrior cults and foundation tales of a number of states of Indo-European origin.<sup>97</sup> Among

<sup>89</sup>Cf. *Hanshu*, 61.4A-B: Beckwith, *Empires*: 6–7; Hulsewé, *China in Central Asia*: 214–15; Jila, 'Myths': 163–65; Yu, *A Study of Saka History*: 131–45.

<sup>90</sup> Dromp, 'Lone Wolf': 517; Mair and Cheng, 'The Relationship': 235–44; Zuev, *K* étničeskoj istorii usunej: 14.

<sup>91</sup> Kljaštornyj, *Runičeskie pamjatniki ujgurskogo kaganata*: 164–65. Lee and Kuang, 'A Comparative Analysis': 200–01. The Tiele (see earlier) were largely, but not universally, Turkic speaking and appear well before the Ashina-Türk are first recorded in the sources.

<sup>92</sup> Bičurin, *Sobranie*, vol. 1: 213–15; Erkoç, 'Türk Mitlerindeki': 63–64; Ögel, 'Doğu Göktürkleri': 96–97, Ögel, *Türk Mitilojisi*, vol. 1: 17–18; Roux, *La religion*: 188–89.

<sup>93</sup> Xin Tangshu (217A.3b) in Mackerras, The Uighur Empire: 57.

<sup>94</sup> Lee and Kuang, 'A Comparative Analysis': 203. The Türks defeated a Tiele (Toquz Oğuz–Uyğur) attack on their Rouran overlords in 546 (Liu, *Die chinesischen Nachrichten*, vol. 1: 7). The Terx inscription (E, 16-19) references the fall of the Türk Qağanate, the disorders among the (Toquz) Oğuz and the earlier Uyğur Qağanates (Kljaštornyj, *Runičeskie pamjatniki*: 36, 39, 176–78.

<sup>95</sup> Drompp, 'Lone Wolf': 517–18; Jila, 'Myths': 166–75.

<sup>96</sup> Roux, *Le sang*: 42–43, 52. Pole-axes of chieftains decorated with a wolf's head are found in the Kama region and date from the sixth to the fourth century BC, see Goldina and Chernykh, 'Forest and Steppe': 42.

<sup>97</sup>Kershaw, *The One-eyed God*: 27, 59, 60–61, 118–19, 134–42; Roux, *La religion*: 188; White, *Myths of the Dog-Man*: 28. Vatic powers were associated with them as were warrior cults, whose members were caught up in 'wolfish rage' (Lincoln, *Death, War, and Sacrifice*: 131–37; Speidel, 'Berserks': 280–81, 85; West, *Indo-European*: 450–51). Berserkers (Norse *úlfheðnar* 'wolf-skinned') mimicked wolfish ways and donned wolf skins.

the Türks (and later the Činggisid Mongols) the wolf was worshipped as an ancestor, whereas in other wolf tales (e.g., Roman, Sāsānid), it is a 'divinely guided nurse'.<sup>98</sup>

The wolf motif and the cavern motifs, whatever their origins, played an important role in the symbolic life of the Türks.<sup>99</sup> 'Holy caves' were a means to enter the 'underground world', which was much like the one previously mentioned. These notions were well known in Siberian shamanism.<sup>100</sup>

A wolf-headed man is noted in a tale in the *Taiping Guanji*<sup>101</sup> (292.128) and *Xin Tangshu* (6139), appearing as a 'guest' (or mendicant) seeking the leader of the Xueyantuo union<sup>102</sup> (which briefly rose to power after the fall [630] of the First Türk Qağanate in the east). The wolf-headed guest was fed by his Xueyantuo host and then left. The Xueyantuo followed this curious being to the Ötüken. There, they were frightened off by two men they encountered who said: 'We are supernatural ( $\hbar \phi$  *shen*) beings. The Xueyantuo will be destroyed. We have come to take the head of your leader.'<sup>103</sup>

Gardīzi in his section on the Turkic peoples recounts a tale in which the 'sparseness' of the facial hair of the Turks and their 'canine disposition' are attributed to the wolf's milk (along with ant's eggs) that was given

<sup>99</sup> Zhoushu (Pelliot, 'Neuf notes': 213–14): 'The Qağan resides continually on the Ötükän mountain. Every year he leads the nobles to perform sacrifices at the ancestral cavern.' *Suishu* (Chavannes, *Documents*: 15): 'Every fifth month, on the eighth day, they (the Western Türks) gather to offer sacrifices to the spirits. Every year they send a high functionary to the cavern where their ancestors resided to offer sacrifices to them.'

<sup>100</sup> Tatár, 'Mythology as an Areal Problem': 269–70.

<sup>101</sup> A collection of fiction/literary works of the Song era (it appeared in 978), it was copied from the *Youyang zazu* (Kapusuzoğlu, *Taping Derlemesinde Türkler*: 9–11; Wilkinson, *Chinese History*: 651–52).

<sup>102</sup> Chin. 薛延陀 EMC: *siat jian da* LMC: *siat jian tfia*, Pulleyblank, *Lexicon*: 351, 356, 314). Kljaštornyj, *Istorija Central'noj Azii*: 305 saw in the Xueyantuo a possible rendering of \*Sir Yamtar. While the *Sir* constituted an important Turkic confederation, *Yamtar* is noted only as a personal name (cf. KT, E33, ĭšβara Yamtar/YamDar, Berta, *Szavaimat*: 159). On the Ashina-Türk-Xueyantuo rivalry and relations with China, see Pan, *Son of Heaven*: 140–43, 176–78, 189–94, 200, 214; Taşağıl, *Çin Kaynaklarına Göre*: 102–11 (his identification of the Xueyantuo as the Sir Tarduš, often made, is questionable).

<sup>103</sup> Kapusuzoğlu, *Taiping Derlemesinde Türkler*: 14, 82 (Chinese text); Liu, *Die chinesischen Nachrichten*, vol. 1: 460, with a variant of this tale.

<sup>98</sup> See Drompp, 'Lone Wolf': 518.

to Japheth, the ancestor of the Turks, during a childhood illness.<sup>104</sup> The evocation of wolf's milk points to what may be a reflection of the Ashina tale. Al-Birūnī (973–1048) in his comments on the origins of the Turkišāhī dynasty of Kabul mentions that they derived from the Turks and the first of their kings was 'Burha Tegin' (or Baraha Tegin) who entered a cave in Kabul and several days later a person 'emerged as though being born from his mother's belly', attired in Turkic clothing. He was designated king with the title 'Shah of Kabul'. Kljaštornyj connected Baraha with Turk. *Baraq* is 'a long-haired dog',<sup>105</sup> which was perhaps a taboo name for the lupine ancestor and with the importance of a cave in the Ashina legend.<sup>106</sup>

The wolf continued as a potent symbol in Qīpčaq religious beliefs,<sup>107</sup> before their conversion to Islam in the Činggisid era. Relics of it remained among the Qaračay-Balqars of the North Caucasus.<sup>108</sup> In the southwestern/ Oğuz Turkic languages, *böri* is regularly replaced with Osm. *qurt/kurt*, Azeri *ġurd* and Türkmän *ġurt*, a word originally (and still) denoting 'worm' in other Turkic languages (including Oğuz).<sup>109</sup> *Böri* was probably tabooed in Oğuz, as it denoted a magical, holy totem/ancestor,<sup>110</sup> but its continuation in the other Turkic languages was not affected. A satisfactory explanation is still lacking. Interestingly, in the version of the *Oğuznāma* recorded in Uyğur script and composed in old Oğuz-Qarluq mixed Turkic sometime in the fourteenth century,<sup>111</sup> Oğuz Han, the eponymous founder of the Oğuz polities who bestows names on other Turkic peoples, declared that *kök böri* ('blue wolf') will be the battle cry (*uran*) of his people.<sup>112</sup>

<sup>104</sup> Dromp, 'Lone Wolf': 518–19; Gardîzî, *Ta'rīḥ-i Gardīzī*: 547; Martinez, 'Gardîzî's Two Chapters': 118. The Japhetic origin of the Turks is a commonplace in many medieval Muslim and Jewish genealogies of the nations.

<sup>105</sup> Clauson, *Etymological Dictionary*: 360.

<sup>106</sup> Kljaštornyj, 'Al-Birūnī's Version': 248–51. For the reading *burha* see Rezakhani, *Reorienting the Sasanians*: 168.

<sup>107</sup> Golden, 'Wolves, Dogs and Qıpčaq Religion': 97-98.

<sup>108</sup> Golden, 'Qaračay Nart Tale': 160–63.

<sup>109</sup> In Turkish dialects, *böri* can denote 'worm, insect, scorpion, spider' (Blagova, *Étimologičeskij slovar'...na bukvu 'K'*: 167–68; Sevortjan, *Étimologičeskij slovar'...na bukvu 'B'*: 219–21.

<sup>110</sup>İnan, Makaleler: 625–27; Liu, Die chinesischen Nachrichten, vol. 1: 461.

<sup>111</sup> Bayat, Mitten Tarihe: 37–40.

<sup>112</sup>Bang and Rachmati, 'Die Legende': 690 [l. 99], 696 [ll. 217–18] (the *kök böri* speaks to Oğuz Han); Drompp, 'Lone Wolf': 519; Bayat, *Oğuz Destanı*: 64–75 (equating the *boz qurt* ['gray wolf'] of Oğuz tradition with the *kök böri* and repeating the inaccurate identification of Ashina with Mong. *čino* 'wolf').

# Shemo (Žama) and the Deer Tale

The 'Shemo/Žama Tale' is recorded in the *Youyang zazu* (4.44–45) and the *Taiping Guangji* (480.3957).<sup>113</sup>

The ancestor of the Tujue bore the name Shemo,<sup>114</sup> the god of the \*Šar Sea.<sup>115</sup> This sea was west of the cave of the Ashide tribe.<sup>116</sup> Shemo had a divine power. Every day at sunset, the daughter of the Sea-God mounted a white deer<sup>117</sup> and met with Shemo. Together with him she went into the sea and the next day, emerged from it and saw him off. This went on for years. Later, when a great hunt drew near, at midnight the daughter of the Sea-God said to Shemo: 'Tomorrow when you are going to hunt, a white deer with golden antlers will come out of the cave where your ancestors were born and it will try to run

<sup>113</sup> Erkoç, 'Türk Mitlerindeki': 41–43 (*Youyang zazu~Taiping Guangji*); Kapusuzoğlu, *Tapiing Derlemesinde Türkler*: 12–13 (*Taiping Guangji*); Ösawa, 'The Cultural Relationship': 401–03 (*Youyang zazu*); Sinor, 'Legendary Origin': 230–31 (*Youyang zazu*).

<sup>114</sup>Chin. 射摩 Shemo EMC: zia<sup>h</sup> ma, LMC: shia mua (Pulleyblank, Lexicon: 279, 217), alternate pronunciation shi mo /ye mo /yi mo: EMC: ziajk ma /jiajk ma LMC: sfiajk mua /jia` mua /jiajk mua (Pulleyblank, Lexicon: 283, 364, 370, 217). Erkoç, 'Türk Mitlerindeki': 42, 60 reads it as Yama, following Ōsawa, 'Revisiting the Ongi Inscription': 168; Ōsawa, 'The Cultural Relationship': 401, n. 2, (dated 732?, Ongi, W-1): ačümiz apamiz \*yama Qağan ('Our ancestor Yama Qağan'), but most readings of the inscription have: \*yamï Qağan (cf. Ölmez, Orhon-Uygur: 190). We find the name (title?) in Tibet Ms Pelliot Tibétain 1283: Zha-ma Kha-gan (Venturi, 'An Old Tibetan': 20-21, 27, 29). Atwood, 'Some Early Inner Asian Terms': 78-79, n. 157, argues that Tibet. zha-ma is from EMC zia<sup>h</sup> ma (see also Erkoc, 'Türk Mitlerindeki': 42–43, n. 10). Rybatzki, 'Titles': 209, finds the Zhama-Yamï identification unconvincing. A later, LMC (Tang-era) form, Atwood avers, would have produced in Tibetan sha-'ba. This would imply Chinese intermediation in the Uvguro-Tibetan text, for which we have no evidence. Overlooked in these discussions is the still unidentified 'Sâba [هباَش ] Hāgān' a great 'king' of the Türks defeated at Herat in 588/589 by Bahrām Čōbīn. His name (or more probably title) is strikingly similar (Golden, 'The Great King': 26–43). The  $m \sim b$  alternation is well known in Turkic.

<sup>115</sup> Chin. 射摩舍利海神 shemo sheli hai shen. Sheli 舍利: EMC cia' li<sup>th</sup>LMC sia' li<sup>th</sup> SheliEMC cia<sup>h</sup> li<sup>th</sup>, LMC sia`li` (Pulleyblank, Lexicon: 278, 279, 188). Sheli = \*Šar (unidentified). Sinor, 'Legendary Origin': 230, translates it as 'Shê-mo-shê-li, a lake spirit'.

<sup>116</sup> 时史德Ashide: EMC *?as itsk* (Pulleyblank, *Lexicon*, pp. 23, 283, 74) = \*Aštaq? This is the name of the consort or 'in-law' clan of the Ashina (Zuev, *Rannie tjurki:* 33, 34, 86–88, 168). Bailey, *Indo-Scythian Studies*: 104–05, suggested a resemblance to Iranian \**xšaita* 'ruler', cf. Sogd. *xšēô*, *axšēô* 'ruler'. Atwood, 'Some Early Inner Asian Terms': 57, 73–75, proffers *A-she-tig* or *A-shi-teg*. Ms Pelliot Tibétain 1283: *a-sha-sde* = *Ashide* (Venturi, 'An Old Tibetan': 21).

<sup>117</sup> On the deer and white-coloured sea-goddess motifs in Turkic and Turko-Mongolian tradition, see Ögel, *Türk Mitolojisi*, vol. 1: 569–83, vol. 2: 101–09.

away from there. If you shoot an arrow and hit it, you will be able to continue to maintain a close relationship with me. If you shoot at it and cannot hit it, we will part forever'. The next day when he surrounded the cave where his ancestors were born, the white deer with golden horns appeared at the mouth of the cave. Shemo sent his attendants left and right to surround [the area] and when the white deer was going to jump out, one of his men hit it [with an arrow]. Shemo was extremely angry and with his own hands he killed the leader A'er<sup>118</sup> and swore an oath: 'After this we will have to offer human sacrifices to Heaven (天 tian)'. The majority of the sacrifices will come from A'er's tribe (部落 buluo). Thereafter, they will kill and sacrifice the sons and grandsons of this tribe to Heaven. Up to our day, the Türks (Tujue), offer human sacrifices in accordance with this tradition to a banner ( $\frac{1}{2} \frac{du}{dao}$ ) and the group uses this banner. Shemo after having killed A'er, when evening came, the daughter of the Sea-God came returned to him, however the daughter of the Sea-God seized Shemo and said 'you have killed someone with your own hands and stink of foul blood, we have no shared future [together]' and ended their relationship.

# **Tibetan Dog Myth**

The Ms Pelliot Tibétain 1283 includes a report of a mission of five Uyğur envoys to the Inner Asian Turkic world.<sup>119</sup> It contains a version of a legend that would appear to reflect points of contact with the Wolf Tale. The report breaks off with fragments of yet another legend regarding the 'savage Turks', which is too incomplete to be intelligible. The 'report' has been discussed by a number of scholars and still poses many problems<sup>120</sup>:

To the north of these, beyond the great mountain chain of sandy desert (l. 69) there are two tribes of the king of heaven.<sup>121</sup> At the time when the rule of Zha

<sup>118</sup> F 党 *A er* (Ošanin, *Kitajsko-russkij slovar'*, vol. 4: 439 [12656]: *ěr/wa*): LH: *?a* <*?aińe* MC: *?âńźje* (Schuessler, *Minimal*: 211 [18-1m], 123 [7-11e]), MC *'anye* (Kroll, *Dictionary*: 1, 101).

<sup>119</sup> Most probably from an oral source and then written in Tibetan (hence the various renderings of foreign names) composed sometime between 744 and the end of the eighth century (Venturi, 'An Old Tibetan': 1–8: Tibetan text: 15–18, trans.: 19–32, in particular 29–30, ll. 68–84).

<sup>120</sup> See bibliography in Erkoç, 'Türk Mitlerindeki': 44–46 and Venturi, 'An Old Tibetan': 33–35.

121 Venturi, 'An Old Tibetan': Tängri Qağan?

ma qaghan, the king of the 'Bug chor,<sup>122</sup> was peaceful, when [he] led the army in this direction, the army could not pass and (1.71) two men went wandering about. Having run into the tracks of a female camel, as the tracks went towards pure water, near a herd of female camels (1.73), they ran into a pure woman, and, speaking in Turkic, the woman led [them] and they followed [her] into hiding. A pack of dogs<sup>123</sup> (1.75) that was chasing game came back, so the dogs perceived them with their nose and [they] prostrated to the dogs. Then, the dogs loaded on ten female camels whatever they needed and water to cross over the sandy desert (1.77), and having sent [them] back, they arrived (i.e., returned) to the country of the Turks. [p. 30] The first dog descended from heaven. It emanated into two, a red dog and a black dog, and as a consort, (1. 79) whilst [they] found a she wolf and mated [with her], she was not suitable for children. Then, [they] abducted a maiden from the wing of a Turkic household and united with that girl. As for the male children, [they] came out as dogs. Concerning the daughters, (1. 81) [they] came out as human and appeared as pure women (i.e., genuine women). Concerning the tribe of the red dog, it is called Ge zir gu shu.<sup>124</sup> Concerning the tribe of the black dog, it is called Ga ra gu shu<sup>125</sup> and the dogs and (1.83) the women speak in Turkic. As for [their] wealth and food, such as cattle, (1.83) the women put it together and use it. Beyond that, none have heard tell that there are men.

## Conclusions

There are no direct references to the Ashina-Türks as necessarily a nomadic people in the origin tales. The references to *Hu* components and Xiongnu antecedents are open to several interpretations. *Hu*, by this time, denoted not only 'Northern steppe nomads' but included other groupings,

<sup>122</sup> Cf. Chin. 默愛 Mochuo EMC: *mok tc<sup>h</sup>wiat* LMC: *muǎk ts<sup>h</sup>yat* (Pulleyblank, *Lexicon*: 218, 63); MC *mjuk ts<sup>h</sup>jwät* (Schuessler, *Minimal*: 113 [5-38de], 241 [22-10c]) = Beg čor? Bögü čor? This is Qap[a]ğan Qağan killed by the Bayırqu (716), following a campaign. Venturi, 'An Old Tibetan': 20–21: 'twelve tribes of the 'Bug chor Turks: the king Zha ma Qaghan (...) [among the tribes are the] A sha sde' (= Ashide), 24: 'the 'Bug chor the great chief of the nine tribes, which are called in Tibetan the nine bones'. Sinor, 'Some Components': 152–53 (citing Liu, *Die chinesischen Nachrichten*, vol. 2: 733, n. 1876), 156, notes the *mochuo* 默愛 *tujue* mentioned by the Tang official Zhang Jiuling (648–740) and equates them with 'Bug chor, which he views as a 'political' term denoting 'a real people'.

 $^{123}$  Erkoç, 'Türk Mitlerindeki': 61–63, viewing this 'report' as a variant of the wolf tale, suggests that the Tibetans in their translation of the Uyğur report rendered 'wolf' as 'dog'.

<sup>124</sup> Venturi, 'An Old Tibetan': 'red snouts' Turk. *qizil küšü* (Ligeti, 'A propos du ''Rapport''': 186).

<sup>125</sup> Venturi, 'An Old Tibetan': 'black snouts' Turk. *qara küšü* (Ligeti, 'A propos du "Rapport": 186).

in particular Central Asian Iranians, especially the Iranian-Sogdian mercantile diaspora. The Xiongnu, while predominantly pastoral nomads, also practised 'small-scale farming' and controlled subject agrarian populations.<sup>126</sup> 'Nomads' in Central Eurasia and the Middle East have often moved along a sliding scale, from a 'pure nomad' (a relative rarity) to a settled agriculturalist with a strong pastoralist component. Economic strategies were flexible.<sup>127</sup> The culture of the later imperial-age Türks was 'complex' but pastoralism predominated.<sup>128</sup>

The themes of 'enclosure and emergence', which figure in the origin accounts, were part of a complex web of themes in which 'a Mountain, a Tree, a Cave, Water and a Female Spirit' all figure prominently.<sup>129</sup> The cave/ ancestral cavern theme appears in the legends and historical accounts.<sup>130</sup> It should be underscored that the she-wolf tales focus on the Ashina, not on other Turkic peoples who have their own origin accounts (lupine and non-lupine), while the Ashide/\*Aštaq appear indirectly in the Tibetan Dog Tale. The tales, as Sinor noted, clearly represent different traditions, albeit with more points of intersection than he accepted. Nonetheless, one can agree with his conclusion regarding 'the composite ethnic character of the Türks', comprising non-Turkic elements as well.<sup>131</sup> The eastward orientation of the Türks (not typical of the Turkic peoples), and their peculiar numerical system, Sinor argues, further underscores their non-Turkic origins.<sup>132</sup> Some DNA tests point to the Iranian connections of the Ashina and Ashide,<sup>133</sup> highlighting further that the Turks as a whole 'were made up of heterogeneous and somatically dissimilar populations'.<sup>134</sup> Geographically,

126 Di Cosmo, 'Ancient Inner Asian': 1094-195.

<sup>127</sup> Honeychurch, Inner Asia; Khazanov, Nomads.

128 Kubarev, Kul'tura: 149.

<sup>129</sup> DeWeese, *Islamization*: 11, 43–48, 273.

<sup>130</sup> Sinor, 'Legendary Origin': 235–36; Sinor, 'Some Components': 147–49; Sinor and Kljaštornyj, 'The Türk Empire': 329–30.

<sup>131</sup> Sinor, 'Some Components': 146–47, 152; Sinor and Kljaštornyj, 'The Türk Empire': 328–30.

<sup>132</sup> Sinor and Kljaštornyj, 'The Türk Empire': 330. Mair and Cheng, 'The Relationship': 241–43 present a more complicated picture, based on Türk-era burials, indicating a variety of practices. Moreover, the early Uyğur inscriptions employed the same numerical system (Erdal, *Grammar*: 220) and elements akin to it are partially preserved in Sarīğ Uyğur (Šera Yoğur). Tenišev, *Sravnitel'no-istoričeskaja Grammatika[...]Morfologija*: 179–80, viewed this system, which evolved over time, as part of 'Proto-Turkic'.

<sup>133</sup> Wen, Muratov and Suyunov, 'The Haplogroups': 154–57.

<sup>134</sup>Lee and Kuang, 'A Comparative Analysis': 198, 211–30.

the accounts cover the regions of Inner Mongolia, Gansu, Xinjiang, the Yenisei zone and the Altay, regions with Turkic, Indo-European (Iranian [Saka] and Tokharian), Yeniseic, Uralic and other populations. Wusun elements, like most steppe polities of an ethno-linguistic mix, may have also played a substratal role.

The history of the Turkic peoples and their languages are marked by fluidity and migrations. Languages are often spread by groups that are themselves composite in origin but have adopted the ethnonym and language of one of their components.<sup>135</sup> Turkic, an imperial language since the Türk era, spread across Eurasia and the Middle East in such a process that it absorbed new elements as it moved.

As a consequence of their mobility, ethnogenetic processes were dynamic, taking place in multiple territories.<sup>136</sup> Attempts to locate a Turkic *Urheimat* have ranged across Eurasia, from the Northern Caspian steppe zone to Southern Siberia and Mongolia, regions in which there was contact with Indo-European, Uralic, Yeniseic<sup>137</sup> or other Palaeo-Siberian languages. As the other 'Altaic'/transeurasian languages (whatever their ultimate relationship, genetic, melded, completely unrelated) appear to have been located in Manchuria,<sup>138</sup> the Turkic *Urheimat*, a culminating point in Turkic ethno- and glottogenesis, can be placed on its Western border in Mongolia, South Siberia,<sup>139</sup> most probably in the ethno-linguistically complex Sayano-Altay, Xing'an region.<sup>140</sup> The argument for such a location is buttressed by the vocabulary for natural surroundings, flora and fauna in Turkic.<sup>141</sup> The Türk origin tales appear to place them,

<sup>135</sup> D'jakonov, Puti istorii: 25; Lee and Kuang, 'A Comparative Analysis': 229-30.

<sup>136</sup> Kljaštornyj, Runičeskie pamjatniki: 198.

<sup>137</sup> Attempts to connect Yeniseic to other language families of Eurasia have been unsuccessful. It appears to be an isolate (Georg, 'Yeniseic Languages': 151–68).

<sup>138</sup> Janhunen, *Manchuria*: 238.

<sup>139</sup> Genetic studies are also suggestive of this region as 'a point of origin' (Yunusbayev et al., 'The Genetic Legacy': 1–24).

<sup>140</sup> Bobrov, Vasjutin and Vasjutin, *Vostočnyj Altaj*: 84–86. This was already an area of admixture during the Bronze Age (Hollard et al., 'Strong Genetic Admixture': 199–207). Lee and Kuang, 'A Comparative Analysis': 229, on the basis of DNA, argue for an *Urheimat* in 'northern and western Mongolia and Tuva' and hypothesise that 'Turkie' was the result of the fusion of Uralic, Mongolic, Yeniseic and Indo-European. The overwhelming majority of scholars of Turkic do not consider it a 'fusion language'.

<sup>141</sup> Briefly discussed in Golden, 'Ethnogenesis in the Tribal Zone': 75, 87–94. In detail, see Tenišev, *Sravnitel'no-istoričeskaja Grammatika: Leksika* and Tenišev and Dybo, *Sravnitel'no-istoričeskaja Grammatika.Kartina mira pratjurkskogo étnosa*.

at different stages, in and around the probable Turkic *Urheimat* and the areas of Gansu and Xinjiang.

The anthroponyms noted in the ethnogenetic accounts cannot be explained on the basis of Turkic. Yeniseic, Palaeo-Siberian and Iranian etymologies have been suggested but require further confirmation. The Old Türk inscriptions contain words of Uralic (Samoyed and Ugric) provenance, pointing to early contacts or to constituent elements.<sup>142</sup> Iranian and Yeniseic connections cannot be ignored. If the Ashina-Türk were originally non-Turkic linguistically, the question arises, when did they become Turkic-speakers? Their 'Xiongnu' connections, real or a literary topos, do not provide an answer, as the ethno-linguistic affiliations of the actual Xiongnu remain uncertain. By the time of the Second Türk Oağanate, the Ashina-Türks are definitely Turkic in speech, employing a sophisticated and polished Turkic in their Orkhon inscriptions. Famed as equestrian, pastoral-nomadic warriors, were they earlier a semi-settled people with livestock-breeding and metal-working components in their economy? Interestingly, aside from Wolf Tale I, no further mention is made of metallurgy, which was important to the Ashina-Türks. Indeed, after establishing relations with Constantinople, one of the products they offered to the East Romans was iron.143 The ancient ties with the Qïrqïz implied in Wolf Tale II, a people led by their own Qağan and frequent foes of the Ashina-Türks, require further exploration. Chinese and other accounts note a population (or at least part of it) that was Europoid, leading to speculation that the Oïrqïz were a Turkicised people. The evidence is ambiguous at best.<sup>144</sup> They were clearly Turkic speaking at the time of the Türk Oağanate.145

142 Sinor and Kljaštorynj, 'The Türk Empire': 330.

<sup>143</sup> Menander, *The History*: 116–19; Sinor, 'The Establishment': 313 notes that metallurgy, in this early stage, was 'the basis of Türk political power'. Whether this was based on their own skills or political domination of peoples possessing metallurgical skills is unclear (Golden, *Introduction*: 126; Stark, 'Luxurious Necessities': 476).

<sup>144</sup>Drompp, 'The Yenisei Kyrgyz': 480–88. The Qïrqïz title *are* 阿熱 EMC: *?a ŋiat* LMC: *?a riat* (Pulleyblank, *Lexicon*: 23, 265), recorded in the *Xin Tangshu* and elsewhere and long suspected to be a non-Turkic term, is now read as rendering Turk. *\*änäl*, a variant of *inäl* (usually a qualifying adjective, 'reliable' trustworthy', User, *Köktürk ve Ötüken*: 175, 276). Other terms remain problematic.

<sup>145</sup> Cf. the Qïrqïz runiform Turkic inscriptions from the eighth to ninth century (Aydın et al., *Yenisey–Kırgızistan Yazıtları;* Kormušin, *Tjurkskie enisejskie épitafii. Tektsy;* Kormušin,

Knowledge of Türk origins had faded—or were suppressed—by the time of Mahmūd Kāšġarī (writing in the 1070s), replaced with Islamic and Judaic (the Khazars) genealogies and fleeting references to Alp är Toŋa, a mythical Turkic hero noted in Qarakhanid-era works, often equated with Afrāsiyāb of the Iranian tradition.<sup>146</sup> In the conversion tales of the various Turkic peoples under Činggisid rule, there is the familiar 'mythic complex' of holy mountain, tree (of life), cave (ancestral or otherwise), water and female deity that are adumbrated in one form or another in the Ashina-Türk origin tales.<sup>147</sup> In the aftermath of the Mongol invasions, a new genealogical dispensation in which Oğuz Han<sup>148</sup> or Ulu Han Ata (and tales of the 'primal man', popular among the Qïpčaqs and Mongols) played a central role also incorporated these symbols.<sup>149</sup> The 'primal man', Ay Atam and his wife Ay Wa, bore names suspiciously like Adam and Eve (cf. Arab. Hawwā, Heb. Hawa) and their grandson was Küčük/Kičik Äri Bülčägi ('the wolf cub' of Küčük Äri),<sup>150</sup> a memory of the lupine theme.

# Abbreviations

OC = Old Chinese ONW = Old Northwest Chinese LH = Late Han EMC = Early Middle Chinese LMC = Late Middle Chinese MC = Middle Chinese Tokh. = Tokharian

*Tjurkskie enisejskie épitafii: Grammatika*). Kormušin, *Tjurkskie enisejskie épitafii: Grammatika*: 310–11, suggests that *qïrqïz* was an 'exoethnonym'.

<sup>&</sup>lt;sup>146</sup>Golden, 'The Turkic World': 520.

<sup>&</sup>lt;sup>147</sup> Similar elements are found in the Khazar accounts in Hebrew of their conversion to Judaism, DeWeese, *Islamization*: 273–78, 300–07.

<sup>&</sup>lt;sup>148</sup> Binbaş, 'Oğuz Khan Narratives'; Kamola, 'History and Legend': 561–74. http://www. iranicaonline.org/articles/oguz-khan-narratives, Jahn, *Die Geschichte der Oguzen*; Demir and Aydoğdu, *Oğuzname (Kazan Nüshası)*; Demir, *Oğuzname (İngiltere Nüshası)*.

<sup>&</sup>lt;sup>149</sup> Demir, *Ulu Han*; DeWeese, *Islamization*: 278–82; Golden, 'Religion among the Qupčaqs': 196–200.

<sup>&</sup>lt;sup>150</sup> Misread as Küčikeri Beljegi by Demir, *Ulu Han*: 90. The Arab accounts (noted in Golden, 'Religion among the Qıpčaqs': 199–200) term him the *farh* ('animal young') of Küčük Äri, cf. Qīpčaq *bülčāk* 'young of a wolf', Toparlı, Vural, Karaatlı, *Kıpçak Türkesi Sözlüğü*: 40.

## **Turkic Inscriptions**

BQ = Bilgä Qağan Inscription (734/735) KT = Kül Tegin Inscription (732) Toń = Tońuquq Inscription (726) In references to the inscriptions, N = North, S = South, E = East, W = West

# References

- Abramson, Marc S. 2008. *Ethnic Identity in Tang China*. Philadelphia, PA: University of Pennsylvania Press.
- Alptekin, Cengiz. 2008. Sha-t'o Türkleri: Siyasi ve Kültürel Tarihi. İstanbul: IQ Kültür Sanat Yayıncılık.
- Atwood, Christopher P. 2012–2013. 'Some Early Inner Asian Terms Related to the Imperial Family and the Comitatus', *Central Asiatic Journal*, vol. 56: 49–86.

Aydın, Erhan, 2011. Uygur Kağanlığı Yazıtları. Konya: Kömen.

. 2016. Eski Türk Yer Adları. İstanbul: Bilge Kültür Sanat.

- Aydın, Erhan, Risbek Alimov and Fikret Yıldırım. 2013. Yenisey-Kırgızistan Yazıtları ve Irk Bitig. Ankara: Bilge Su.
- Bailey, Harold W. 1985a. *Dictionary of Khotan Saka*. Cambridge: Cambridge University Press.

——. 1985b. Indo-Scythian Studies, Being Khotanese Texts, vol. VII. Cambridge: Cambridge University Press.

- Bang, Willi and Gabdul R. Rachmati. 1932. 'Die Legende von Ovuz Qavan', Sitzungsberichte der preussischen Akademie der Wissenschaften: Philosophisch-historische Klasse, vol. 25: 683–724.
- Barfield, Thomas J. 1989. *The Perilous Frontier: Nomadic Empires and China*. Oxford: Blackwell.
- Baxter, William H. and Laurent Sagart. 2011. Old Chinese Reconstruction, version of 20 February, 2011, https://www.researchgate.net/publication/265110209\_Baxter-Sagart\_ Old\_Chinese\_reconstruction\_version\_of\_20\_February\_2011 (accessed on 24 May 2018).
- Bayat, Fuzuli. 2006. Oğuz Destan Dünyası: Oğuznamelerin Tarihi, Mitolojik Kökenleri ve Teşekkülü. İstanbul: Ötüken.

——. 2016. Mitten Tarihe Sözden Yazıya Dede Korkut Oğuznameleri. İstanbul: Ötüken.

Beckwith, Christopher I. 2005. 'The Chinese Names of the Tibetans, Tabghatch, and Türks', *Archivum Eurasiae Medii Aevi*, vol. 14: 5–20.

- ——. 2006/2007. 'The Frankish Name of the King of the Turks', Archivum Eurasiae Medii Aevi, vol. 15: 5–12.
  - ——. 2009. Empires of the Silk Road. Princeton, NJ: Princeton University Press.

—. 2016. 'The Pronunciation, Origin and Meaning of *A-shih-na* in Early Old Turkic'. In *Central Eurasia in the Middle Ages: Studies in Honour of Peter B. Golden*, edited by István Zimonyi and Osman Karatay. Turcologica Bd. 104. Wiesbaden: Harrrassowitz Verlag: 39–46.

#### The Ethnogonic Tales of the Türks + 29

- Bemmann, Jan and Michael Schmauder (eds). 2015. The Complexity of Interaction along the Eurasian Steppe Zone in the First Millennium CE: Empires, Cities, Nomads and Farmers, Bonn Contributions to Asian Archaeology, 7. Bonn: Universität Bonn Inst. f. Vor- u. Frühgeschichtliche Archäologie.
- Berta, Árpád. 2004. Szavaimat jól halljátok...A türk és ujgur rovásírásos emlékek kritikai kiadása. Szeged: JatePress.
- Bičurin (Iakinf), Nikita Ja. 1950. Sobranie svedenij o narodax obitavšix v Srednej Azii v drevnie vremena, 2 vols. Moskva-Leningrad: Izdatel'stvo Akademii Nauk.
- Binbaş, İlker Evrim. 2010. 'Oğuz Khan Narratives', *Encyclopaedia Iranica*, http://www. iranicaonline.org/articles/oguz-khan-narratives (accessed on 24 May 2018).
- Blagova, F.G. 2000. Étimologičeskij slovar' tjurkskix jazykov: Obščetjurkskie i mežtjurkskie leksičeskie osnovy na bukvu 'K'. Moskva: Indrik.
- Bobrov, V.V., A.S. Vasjutin and Sergej A. Vasjutin. 2003. Vostočnyj Altaj v époxu velikogo pereselenija narodov (III–VII veka). Novosibirsk: Izdatel'stvo Instituta arxeologii i étnografii SO RAN.

Borovkova, Ljudmila A. 1992. Problema mestopoloženija carstva Gaočan. Moskva: Nauka.

Chavannes, Edouard. 1941. Documents sur les Tou-kiue (turcs) Occidenteaux. Paris: Adrien-Masonneuve.

Clauson, Gerard. 1964. 'Turks and Wolves', Studia Orientalia, vol. 38(2): 3-22.

- ———. 1972. An Etymological Dictionary of Pre-thirteenth Century Turkish. Oxford: Clarendon Press.
- Coblin, W. South. 1994. A Compendium of Phonetics in Northwest Chinese, published by the Journal of Chinese Linguistics. Monograph Series, 7. Berkeley, CA: The Project on Linguistic Analysis.
- Czeglédy, Károly. 1972. 'On the Numerical Composition of the Ancient Turkish Tribal Confederation', Acta Orientalia Academiae Scientiarum Hungaricae, vol. 25: 275–81.
- de la Vaissière, Étienne. 2016. Histoire des marchands sogdiens, third revised edition. Paris: Collège de France, Institut des Hautes Études Chinoises.
- Demir, Necati. 2016. Ulu Han: Türklerin En Eski Destanı; Ulu Han Ata Bitiği. İstanbul: Ötüken Neşriyat.
- ——. 2016. *Oğuzname (İngiltere Nüshaları): İnceleme-Metin-Sözlük-Tıpkıbasım.* İstanbul: h Yayınları.
- Demir, Necati and Aydoğdu, Özkan (eds). 2015. Oğuzname (Kazan Nüshası): İnceleme-Metin-Sözlük-Tıpkıbasım. İstanbul: Kesit.
- DeWeese, Devin. 1994. *Islamization and Native Religion in the Golden Horde*. University Park, PA: Penn State Press.
- Di Cosmo, Nicola. 1994. 'Inner Asian Nomads: Their Economic Basis and Its Significance in Chinese History', *The Journal of Asian Studies*, vol. 53(4): 1092–26.
- ———. 2002. Ancient China and Its Enemies: The Rise of Nomadic Power in East Asian History. Cambridge: Cambridge University Press.

— 2013. 'Aristocratic Elites in the Xiongnu Empire as Seen from Historical and Archaeological Evidence'. In *Nomad Aristocrats in a World of Empires*, edited by Jürgen Paul. Wiesbaden: Dr. Ludwig Reichert Verlag: 23–53.

Dickens, Mark. 2008. Turkāyē: Turkic Peoples in Syriac Literature Prior to the Seljüks. Unpublished PhD Thesis: Faculty of Asian and Middle Eastern Studies, University of Cambridge, UK.

- Divitçioğlu, Sencer. 2005. Orta Asya Türk İmparatorluğu VI–VIII Yüzyıllar, third revised edition. Ankara: İmge.
- D'jakonov, Igor' M. 2007. Puti istorii: Ot drevnejšego čeloveka do našix dnej. Moskva: KomKniga.
- Dobrovits, Mihály. 2004. 'The Thirty Tribes of the Turks', Acta Orientalia Academiae Scientiarum Hungaricae, vol. 57(3): 257–62.

. 2008. 'Silziboulos', Archivum Ottomanicum, vol. 25: 67-78.

- Drompp, Michael. 2002. 'The Yenisei Kyrgyz from Early Times to the Mongol Conquest'. In *The Turks*, edited by Yusuf Halaçoğlu, Hasan Celâl Güzel, C. Cem Oğuz, Osman Karatay, vol. 1. Ankara: Yeni Türkiye: 480–88.
- ——. 2011. 'The Lone Wolf in Inner Asia', Journal of the American Oriental Society, vol. 131(4): 515–26.
- Dybo, Anna V. 2007. *Lingvističeskie kontakty rannix tjurkov: Leksičeskij fond*. Moskva: Vostočnaja literature.
- E Lun-li [Ye Lungli]. 1979. *Istorija gosudarstva kidanej (Cidan go čži)*. Translated by Vsevolod S. Taskin. Moskva: Nauka.
- Eberhard, Wolfram. 1942. Kultur und Siedlung der Randvölker Chinas. Leiden: Brill.
- Édel'man, Džoj I. 2011. Étimologičeskij slovar'iranskix jazykov, vol. 4. Moskva: Vostočnaja literatura.
- Ercilasun, Ahmet Bican. 2016. Türk Kağanlığı ve Türk Bengü Taşları. İstanbul: Dergâh.
- Erdal, Marcel. 2004. A Grammar of Old Turkic. Leiden and Boston, MA: Brill.
- Erkoç, Hayrettin İhsan. 2016. 'Batı Göktürk Kağanlığı'nın Kuruluşu', *Hacettepe Üniversitesi Edebiyat Fakültesi Dergisi*, vol. 33(1): 43–72.
- ——. 2017. 'Türk Mitlerindeki Motifler (VI.–VIII. Yüzyıllar)', Journal of Old Turkic Studies, vol. 1(1): 36–75.
- Gardīzī. 1984. Ta'rīh-i Gardīzī, edited by 'Abd al-Hayy Habībī. Tehran: Dunyâ-i Kitâb, 1363.
- Georg, Stefan. 2008. 'Yeniseic Languages and the Siberian Linguistic Area'. In Evidence and Counter-Evidence: Essays in Honor of Frederik Kortlandt, edited by Alexander Lubotsky, Jos Schaeken and Jeroen Wiedenhof, vol. 2. General Linguistics, Studies in Slavic and General Linguistics, 33. Amsterdam and New York, NY: Rodopi: 151–68.
- Gharib, Badr al-Zamān. 2004. Sogdian Dictionary (Sogdian-Persian-English). Tehran: Farhangan Publications.
- Golb, Norman and Omeljan Pritsak. 1982. *Khazarian Hebrew Documents of the Tenth Century*. Ithaca, NY: Cornell University Press.
- Golden, Peter B. 1992. An Introduction to the History of the Turkic Peoples. Turcologica, 9. Wiesbaden: Harrassowitz Verlag.
  - ——. 1997. 'Wolves, Dogs and Qıpčaq Religion', *Acta Orientalia Academiae Scientiarum Hungaricae*, vol. 50(1–3): 87–97.

. 1998. 'Religion among the Qıpčaqs', Central Asiatic Journal, vol. 42(2): 180-237.

- ——. (ed.). 2000. *The King's Dictionary: The Rasûlid Hexaglot; Fourteenth Century Vocabularies in Arabic, Persian, Turkic, Greek, Armenian and Mongol.* Translated by Tibor Halasi-Kun, Peter B. Golden, Louis Ligeti and Edmond Schütz. Leiden, Boston, MA and Köln: Brill.
- —. 2001. *Ethnicity and State Formation in Pre-Činggisid Turkic Eurasia*, The Central Eurasian Studies Lectures, I. Bloomington, IN: Department of Central Eurasian Studies, Indiana University.
- ♦ The Medieval History Journal, 21, 2 (2018): 1–30

Golden, Peter B. 2007. 'A Qaračay Nart Tale of Lupine Origins: An Echo of the Ašina Tradition?' In Omeljan Pritsak Armağanı: A Tribute to Omeljan Pritsak, edited by Mehmet Alpergu, and Yücel Öztürk. Sakarya: Sakarya Üniversitesi Basımevi: 149–67.

—. 2008–2009. 'Ethnogenesis in the Tribal Zone: The Shaping of the Turks', *Archivum Eurasiae Medii Aevi*, vol. 16: 73–112.

-----. 2012. 'Oq and Oğur~Oğuz', Turkic Languages, vol. 16(2): 155–99.

— 2013. 'Some Notes on the Avars and Rouran'. In *The Steppe Lands and the World Beyond Them: Studies in Honor of Victor Spinei on his 70th Birthday*, edited by Florin Curta and Bogdan-Petru Maleon. Iași: Editura Universității 'Alexandru Ioan Cuza': 43–66.

—. 2015. 'The Turkic World in Mahmūd al-Kāshgharī'. In *The Complexity*, edited by Jan Bemmann and Michael Schmauder. Bonn: Universität Bonn Inst. f. Vor- u. Frühgeschichtliche Archäologie: 503–55.

. 2016. 'The Great King of the Türks', *Turkic Languages*, vol. 20: 26–59.

- Goldina, Rimma D. and Elizaveta M. Chernykh. 2005. 'Forest and Steppe: A Dialogue of Cultures', Acta Orientalia Academiae Scientiarum Hungaricae, vol. 58(1): 41–58.
- Gömeç, Saadettin. 1999. Kök Türk Tarihi. Ankara: Akçağ.
- Gumilëv, Lev N. 1967. Drevnie tjurki. Moskva: Nauka.
- Güner, Galip. 2017. Resûlî Sözlüğü 'nün Türkçe Söz Varlığı. İstanbul: Kesit.
- Harmatta, János. 1999. 'A türkök eredetmondája', Magyar Nyelv, vol. 95(4): 385-96.
- Harva, Uno. 1938. Die religiösen Vorstellungen der altaischen Völker, Folklore Fellows Communications No. 125. Porvoo-Helsinki: Suoalainen Tiedeakatemia.
- Hayashi, Toshio. 2015. 'Illig Qavan or Illik Qavan: A Small Inscription on a Roof Tile'. In Interpreting the Turkic Runiform Sources and the Position of the Altaic Corpus, edited by Irina Nevskaya and Marcel Erdal. Berlin: Klaus Schwarz: 46–54.

Hill, John E. 2015. Through the Jade Gate—China to Rome: A Study of the Silk Routes 1st to 2nd Centuries CE, 2 vols. Self-published.

- Hollard, Clémence et al. 2004. 'Strong Genetic Admixture in the Altai at the Middle Bronze Age Revealed by Uniparental and Ancestry Informative Markers', *Forensic Science International: Genetics*, vol. 12: 199–207.
- Honeychurch, William. 2015. *Inner Asia and the Spatial Politics of Empire*. New York, NY, Heidelberg, Dordrecht and London: Springer.
- Hulsewé, Anthony F.P. 1979. China in Central Asia: The Early Stage; 125 BC-AD 23. Leiden: Brill.

Ibn al-Faqīh. 1996. Kitāb al-Buldān. Beirut: 'Ālam al-Kutub.

Ibn Fadlān, Ahmad. 2014. Two Arabic Travel Books: Abū Zayd al-Sīrāfī, Accounts of China and India, edited and translated by Tim Mackintocsh-Smith, Ibn Fadlān, Mission to the Volga, edited and translated by James E. Montgomery. New York, NY and London: New York University Press.

İnan, Abdülkadir. 1968. Makaleler ve İncelemeler. Ankara: Türk Tarih Kurumu.

- Jahn, Karl (ed. and trans.). 1969. Die Geschichte der Oguzen des Rašīd ad-Dīn. Forschungen zur islamischen Philologie und Kulturgeschichte, IV. Graz, Vienna and Cologne: Hermann Böhlaus Nachf. Kommissionsverlag der Österreichischen Akademie der Wissenschaften in Wien.
- Janhunen, Juha. 1996. *Manchuria: An Ethnic History*. Mémoires de la Société Finno-Ougrienne, 222. Helsinki: The Finno-Ugrian Society.

- Janhunen, Juha. 2012. 'Etymological and Historical Aspects of the Yenisei', *Studia Etymologica Cracoviensia*, vol. 17: 67–87.
- Jila, Namu. 2006. 'Myths and Traditional Beliefs about the Wolf and Crow in Central Asia: Examples from the Turkic Wu-sun and the Mongols', *Asia Folklore Studies*, vol. 65(2): 161–77.
- Kafesoğlu, İbrahm. 2014. *Umumî Türk Tarihi Hakkında Tespitler, Görüşler, Mülâhazalar*. İstanbul: Ötüken.
- Kamalov, Ablet K. 2001. Drevnie ujgury VIII-IX vv. Almaty: Naš Mir.
- Kamola, Stefan. 2015. 'History and Legend in the Jāmi' al-Tawārīkh: Abraham, Alexander and Oghuz Khan', *Journal of the Royal Asiatic Society*, vol. 25(4): 555–77.
- Kapusuzoğlu, Gökçen. 2017. Taiping Derlemesinde Türkler. Ankara: Gece Kitaplığı.
- Kasai, Yukio. 2014. 'The Chinese Phonetic Transcriptions of Old Turkish Words in the Chinese Sources from 6th–9th Century: Focused on the Original Word Transcribed as *Tujue* 突厥', *Studies on the Inner Asian Languages*, vol. 29: 57–135.
- Kershaw, Kris. 2000. The One-Eyed God: Odin and the (Indo-)Germanic M\u00e4nnerb\u00fcnde, Journal of Indo-European Studies, Monograph Series No. 36. Washington, DC: Journal of Indo-European Studies.
- Khazanov, Anatoly M. 1984. Nomads and the Outside World. Translated by Julia Crookenden. Cambridge: Cambridge University Press.

Kim, Hyun Jin. 2016. The Huns. London and New York, NY: Routledge.

Kırilen, Gürhan. 2014a. 'Türeyiş Destanı'nda Geçen 'Komşu Ülke (lin Guo)' Sorunu Üzerine', Ankara Üniversitesi Dil ve Tarih-Coğrafya Fakültesi Türk Tarih Bölümü Tarih Araştırmaları Dergisi, vol. 33(56): 73–88.

——. 2014b. Eski Çin'in Ötekisi Türkler. Ankara: Gece Kitaplığı.

Kljaštornyj, Sergej G. 1964. Drevnetjurkskie runičeskie pamjatniki kak istočnik po istorii Srednej Azii. Moskva: Nauka.

—. 1992. 'Xunny i tjurki'. In Vostočnj Turkestan v drevnosti i rannem srednevekov'e: Étnos, jazyki, religii, edited by Boris A. Litvinskij. Moskva: Nauka: 116–56, reprinted in Kljaštornyj, Istorija Central: 414–59.

- —. 1994. 'The Royal Clan of the Türks and the Problem of Early Turkic-Iranian Contacts', *Acta Orientalia Academiae Scientiarum Hungaricae*, vol. 47: 445–48.
- ——. 1998. 'Al-Birūnī's Version of an Old Turkic Genealogical Legend: On the Semantics of Turkic "baraq", *Turkic Languages*, vol. 2(2): 247–52.

—. 2003. Istorija Central'noj Azii i pamjtaniki runiceskogo pis'ma: Otečestvennye issledovanija. Sankt-Peterburg: Filologičeskij fakul'tet Sankt-Peterburgskogo gosudarstvennogo universiteta.

— 2010. Runičeskie pamjatniki ujgurskogo kaganata i istorija Evrazijskix stepej. Sankt-Peterburg: Peterburgskoe vostokovedenie.

Kljaštornyj, Sergei G. and Vladimir A. Livšic. 1972. 'The Sogdian Inscription of Bugut Revised', Acta Orientalia Academiae Scientiarum Hungarica, vol. 26(1): 69–102.

Kormušin, Igor' V. 1997. *Tjurkskie enisejskie épitafii: Tektsy i issledovanija*. Moskva: Nauka. ——\_\_\_\_\_. 2008. *Tjurkskie enisejskie épitafii: Grammatika, Tekstologija*. Moskva: Nauka.

Kradin, Nikolaj N. 2007. Kočevniki Evrazii. Almaty: Dajk-Press.

### The Ethnogonic Tales of the Türks 33

- Kradin, Nikolaj N. 2011. 'Stateless Empire: The Structure of the Xiongnu Nomadic Supercomplex Chiefdom'. In *Xiongnu Archaeology*, edited by Ursula Brosseder and Bryan K. Miller. Bonn Contributions to Asian Archaeology, 5. Bonn: Vor- und Frühgeschichtliche Archäologie, Friedrich-Wilhelms-Universität: 77–96.
- Kroll, Paul W. 2015. A Student's Dictionary of Classical and Medieval Chinese. Leiden and Boston, MA: Brill.
- Kubarev, G.V. 2005. Kul'tura drevnix tjurok Altaja (po materialam pogrebal'nyx pamjatnikov. Novosibirsk: Izdatel'stvo Instituta arxeologii i étnografii Sibirskgogo otdelenija Rossijskij Akademii Nauk.
- Lee, Joo-Yup. 2016. 'The Historical Meaning of the Term *Türk* and the Nature of the Turkic Identity of the Chingisid and Timurid Elites', *Central Asiatic Journal*, vol. 159(1–2): 101–32.
- Lee, Joo-Yup and Shuntu Kuang. 2017. 'A Comparative Analysis of Chinese Historical Sources and Y-DNA Studies with Regard to the Early and Medieval Turkic Peoples', *Inner Asia*, vol. 19(2): 197–289.
- Lessing, Ferdinand (ed.). 1995. *Mongolian–English Dictionary*. Bloomington, IN: The Mongolia Society.
- Ligeti, Louis. 1971. 'A propos du "Rapport sur les rois demeurant dans le nord". In Études tibétaines dédiées à la mémoire de Marcelle Lalou. Paris: Adrien Maisonneuve: 166–89.

Lincoln, Bruce. 1991. Death, War, and Sacrifice. Chicago, IL: University of Chicago Press.

- Liu, Mau-tsai. 1958. *Die chinesischen Nachrichten zur Geschichte der Ost-Türken (T'u-küe)*, 2 vols. Wiesbaden: Harrassowitz.
- Lurje, Pavel B. 2010. Personal Names in Sogdian Texts, Iranisches Personnamenbuch, vol. 2: Mitteliranische Personennamen, Faszikel 8. Wien: Österreichische Akademie der Wissenschaften.
- Mackerras, Colin (ed. and trans.). 1972. The Uighur Empire According to the T'ang Dynastic Histories: A Study in Sino-Uighur Relations 744–840. Canberra: Australian National University Press.
- Mair, Victor and Fangyi Cheng. 2016. 'The Relationship of the Early Turks with the Wusun'. In Central Eurasia in the Middle Ages: Studies in Honor of Peter B. Golden, edited by István Zimonyi and Osman Karatay, Turcologica 104. Wiesbaden: Harrassowitz: 235–44.
- Martinez, Arsenio P. 1982. 'Gardîzî's Two Chapters on the Turks', Archivum Eurasiae Medii Aevi, vol. 2: 109–217.
- Menander. 1985. The History of Menander the Guardsman, edited and translated by Roger C. Blockley. Liverpool: Francis Cairns.
- Moriyasu, Takao and Ayudai Ochir. 1999. Provisional Report of Researches on Historical Sites and Inscriptions in Mongolia from 1996 to 1998. Osaka: The Society of Central Eurasian Studies.
- Nadeljaev, Vladimir M. et al. 1969. Drevnetjurkskij slovar'. Leningrad: Nauka.
- Ögel, Bahaeddin. 1957. 'Doğu Göktürkleri Hakkında Vesikalar ve Notlar', *Belleten*, vol. 21(sayı 81): 81–137.
  - ——. 1971, 1995. Türk Mitolojisi, 2 vols. Ankara: Türk Tarih Kurumu.
- Ölmez, Mehmet. 2012. Orhon-Uygur Hanlığı Dönemi Moğolistan'daki Eski Türk Yazıtları. Metin-Çeviri-Sözlük. Ankara: BilgeSu.
- Ošanin, Il'ya M. (ed.). 1983–1984. Bol'šoj kitajsko-russkij slovar', 4 vols. Moskva: Nauka.

Ōsawa, Takashi. 2009. 'The Cultural Relationship Between Old Turkic Kingship and Deer Image'. In Current Archaeological Research in Mongolia: Papers from the First International conference on 'Archaeological Research' in Mongolia held in Ulaanbaatar, August 19th–23rd 2007, edited by Jan Bemmann, Hermann Parzinger, Ernst Pohl and Damdinsüren Tseveendorzh, Bonn Contributions to Asian Archaeology, 4. Bonn: Vorund Frühgeschichtliche Archäologie. Rheinisch Friedrich-Wilhelms Universität: 401–16.

— 2010. 'Revisiting Khöl-Asgat Inscription in Mongolia from the Second Turkic Qaghanate', *Studies in the Inner Asian Languages*, vol. 25: 1–73.

—. 2011. 'Revisiting the Ongi Inscription of Mongolia from the Second Turkic Qavanate on the Basis of rubbings by G. Ramstedt', *Journal de la Société Finno- Ougrienne*, vol. 93: 147–203.

Pan, Yihong. 1997. Son of Heaven and Heavenly Qaghan: Sui-Tang China and Its Neighbors, Studies on East Asia, vol. 30. Bellingham, Washington, DC: Center of East Asian Studies, Western Washington University.

Pelliot, Paul. 1929. 'Neuf notes sur des questions d'Asie Centrale', T'oung-Pao, vol. 26: 202–65.

- Pohl, Walter. 1988. Die Awaren: Ein Steppenvolk in Mitteleuropa 567–822 n. Chr. München: C.H. Beck, reprinted: 2002.
- Pulleyblank, Edwin G. 1965. 'The Chinese Name for the Turks', Journal of the American Oriental Society, vol. 85(2): 121–25.

——. 1970. 'The Wu-sun and Sakas and the Yüeh-Chih Migration', *Bulletin of the School of Oriental and African Studies*, vol. 33(1): 154–60.

---. 1983. 'The Chinese and Their Neighbours in Prehistoric and Early Historic Times'.

In *The Origins of Chinese Civilization*, edited by David N. Keightley. Berkeley, CA and Los Angeles, CA: University of California Press: 411–66.

—. 1990. "The High Carts": A Turkish Speaking People Before the Türks', Asia Major, vol. 3(1): 21–26.

—. 1991. Lexicon of Reconstructed Pronunciation in Early Middle Chinese, Late Middle Chinese, and Early Mandarin. Vancouver: University of British Columbia Press.

- Rastorgueva, Vera S. and Édel'man, Džoj. 2003. *Étimologičeskij slovar ' iranskix jazykov*, vol. 2. Moskva: Vostočnaja Literatura.
- Redhouse, Sir James W. 1974. A Turkish and English Lexicon. Constantinople: A.H. Boyajian, 1890, reprint: Beirut: Librairie du Liban.
- Rezakhani, Khodadad. 2017. *Reorienting the Sasanians*. Edinburgh: Edinburgh University Press.
- Róna-Tas, András. 1991. An Introduction to Turkology. Studia uralo-alatica, 33. Szeged: Szeged University.

——. 1999. Hungarians and Europe in the Early Middle Ages. Translated by N. Bodoczky. Budapest: Central European University Press.

Róna-Tas, András and Árpád Berta. 2011. West Old Turkic: Turkic Loanwords in Hungarian, 2 vols. Turcologica, 84. Wiesbaden: Harrassowitz Verlag.

Roux, Jean-Paul. 1984. La religion des Turcs et des Mongols. Paris: Payot. ———. 1988. Le sang. Paris: Fayard.

Rybatzki, Volker. 2000. 'Titles of Türk and Uygur Rulers in the Old Turkic Inscriptions', Central Asiatic Journal, vol. 42(2): 205–92.

### The Ethnogonic Tales of the Türks 35

- Saraev, A.S. 2016. 'Diskussija o proisxoždenii rannix tjurkojazyčnyx narodov i vklad v neë S.G. Kljaštornogo'. In *Tjurko-mongol'skij mir v prošlom i nastojaščem: Materialy naučnoj konferencii pamjati Sergeja Grigor 'eviča Kljaštornogo (1928–2014)*, edited by Čuluun Sampildondov. Sankt-Peterburg and Ulan Bator: Institut vostočnyx rukopisej, Rossijskoi akademii nauk, Institut istorii i arxeologii Mongol'skoj akademii nauk: 95–111.
- Scharlipp, Wolfgang Ekkehard. 1992. Die Frühen Türken in Zentral Asien: Eine Einführung in ihre Geschichte und Kultur. Darmstadt: Wissenschaftliche Buchgesellschaft.
- Schuessler, Axel. 2007. ABC Etymological Dictionary of Old Chinese. Honolulu: University of Hawai'i Press.
- ———. 2009. Minimal Old Chinese and Later Han Chinese: A Companion to Grammata Serica Recensa. Honolulu: University of Hawai'i Press.
- Senga, Toru. 1992. "Northern" Neighbors of the Khazars Around 750 A.D.', Ural-Altaische Jahrbücher–Ural-Altaic Yearbook, vol. 64: 59–72.
- ———. 1996. 'A T'ung-tien híradásai a közép-eurázsiai népekről'. In A honfoglaláskor írott forrásai, edited by László Kovács and László Veszprémy. Budapest: Balassi kladó: 35–48.
- Sevortjan, Ervand V. 1974. Étimologičeskij slovar' tjurkskix jazykov: Obščetjurkskie i mežtjurkskie osnovy na glasnye. Moskva: Nauka.
- ———. 1978. Étimologičeskij slovar' tjurkskix jazykov: Obščetjurkskie i mežtjurkskie osnovy na bukvu 'B'. Moskva: Nauka.
- Shimunek, Andrew. 2017. Languages of Ancient Southern Mongolia and North China, Tunguso-Mongolica, 40. Wiesbaden: Harrassowitz Verlag.
- Shirota, Sun. 2005. 'The Chinese Chroniclers of the Khazars: Notes on Khazaria in Tang Period Texts', Archivum Eurasiae Medii Aevi, vol. 14: 231–61.
- Sima Qian: Ssu-ma Ch'ien, 2011. The Grand Scribe's Records, vol. 9, The Memoirs of Han China, Part II, edited by William H. Nienhauser, translated by J. Michael Farmer et al. Bloomington, IN: Indiana University Press.
- Sims-Williams, Nicholas, Étienne de la Vaissière, and Clifford E.Bosworth. 2012. 'Jabguya', *Encyclopaedia Iranica*, vol. 14, Fasc. 3: 314–17, http://www.iranicaonline.org/articles/ jabguya (accessed on 24 May 2018).
- Sinor, Denis. 1982. 'The Legendary Origin of the Türks'. In *Folklorica: Festschrift for Felix J. Oinas*, edited by Egle Victoria Žygas and Peter Voorheis, Indiana University Uralic and Altaic Series, vol. 141. Bloomington, IN: Research Institute for Inner Asian Studies: 223–57.
  - —. 1985. 'Some Components of the Civilization of the Türks (6th to 8th Century A.D.'. In Altaistic Studies: Papers Presented at the 25<sup>th</sup> Meeting of the Permanent International Altaistic Conference at Uppsala, 7–11 June 1982, edited by Gunnar Jarring and Staffan Rosén. Kungl. Vitterhets Historie och Antikvitets Akademien, Konferenser, 12. Stockholm: Almqvist & Wiksell International: 145–59.
  - . 1990. 'The Establishment and Dissolution of the Türk Empire'. In *The Cambridge History of Early Inner Asia*, edited by Denis Sinor. Cambridge: Cambridge University Press: 285–316.
- Sinor, Denis and Sergei G. Kljaštornyj. 1996. 'The Türk Empire'. In *History of Civilizations of Central Asia*, vol. 3: The Crossroads of Civilizations: A.D. 250 to 750, edited by Boris A. Litvinsky, Zhang Guang-da and R. Shabani Samghabadi. Paris: UNESCO: 327–47.

Speidel, Michal. 2002. 'Berserks: A History of Indo-European "Mad Warriors", Journal of World History, vol. 13(2): 253–90.

Stark, Sören. 2006–2007. 'On Oq Bodun, the Western Türk Qağanate and the Ashina Clan', Archivum Eurasiae Medii Aevi, vol. 15: 159–72.

—. 2008. *Die Alttürkenzeit in Mittel-und Zentralasien*. Wiesbaden: Dr. Ludwig Reichert Verlag.

—. 2015. 'Luxurious Necessities: Some Observations on Foreign Commodities and Nomadic Polities in Central Asia in the Sixth to Ninth Centuries'. In *The Complexity*, edited by Jan Bermann and Michael Schmauder. Bonn: Universität Bonn Inst. f. Vor-u. Frühgeschichtliche Archäologie: 463–502.

Taşağıl, Ahmet. 1995, 1999, 2004. Gök-Türkler, 3 vols. Ankara: Türk Tarih Kurumu.

———. 2004. Çin Kaynaklarına Göre Eski Türk Boyları (M.Ö. III–M.S. X. Asır). Ankara: Türk Tarih Kurumu.

- Tatár, Magdolna M. 1996. 'Mythology as an Areal Problem in the Altai-Sayan Area: The Sacred Holes and Caves'. In *Shamanism and Northern Ecology*, edited by Juha Pentikäinen. Berlin and New York, NY: Mouton de Gruyter: 268–77.
- Tenišev, Édxjam [Edhäm] R. (ed.). 1988. Sravnitel'no-istoričeskaja grammatika tjurkskix jazykov: Morfologija. Moskva: Nauka.

——. (ed.). 2001. Sravnitel'no-istoričeskaja grammatika tjurkskix jazykov: Leksika, second, revised edition. Moskva: Nauka.

Tenišev, Édxjam [Edhäm] R. and Dybo, Anna V. (eds.). 2006. Sravnitel'no-istoričeskaja grammatika tjurkskix jazykov: Pratjurkskij jazyk-osnova; Kartina mira pratjurkskogo étnosa po dannym jazyka. Moskva: Nauka.

Toparlı, Recep, Hanifi Vural and Recep Karaatlı. 2003. *Kıpçak Türkçesi Sözlüğü*. Ankara: Türk Dil Kurumu.

- Tremblay, Xavier. 2001. *Pour une histoire de la Sérinde*. Wien: Verlag der Österreichischen Akademie der Wissenschaften.
- User, Hatice Şirin. 2010. Köktürk ve Ötüken Uygur Kağanlığı Yazıtları: Söz Varlığı İncelemesi. Konya: Kömen

Vásáry, István. 1972. 'Käm, an early Samoyed Name of the Yenisei'. In *Studia Turcica*, edited by Louis Ligeti. Budapest: Akadémiai Kiadó: 469–82.

—. 2007. Eski İç Asya Tarihi, çev. İ. Doğan. İstanbul: Ötüken Neşriyat.

Vasjutin, Sergej A. 2016. 'Ujgurskoe 'carstvo' VII v.: problemy interpretacii političeskogo statusa'. In *Tjurkologičeskij sbornik 2013–2014*, edited by Tatijana D. Skrynnikova, Tursun. I. Sultanov and Vadim V. Trepavlov. Moskva: Nauka-Vostočnaja literatura: 41–65.

Venturi, Frederika. 2008. 'An Old Tibetan Document on the Uighurs: A New Translation and Interpretation', *Journal of Asian History*, vol. 42(1): 1–35.

Wen, Shao-Qing, Bulat A. Muratovm and R.R. Suyunov. 2016. 'The Haplogroups of the Representatives from Ancient Turkic Clans—Ashina and Ashide', *BEHPS*, vol. 3(2) [1, 2]: 154–57.

West, Martin L. 2007. Indo-European Poetry and Myth. Oxford: Oxford University Press.

White, David G. 1991. Myths of the Dog-Man. Chicago, IL: University of Chicago Press.

Wilkinson, Endymion. 2015. Chinese History: A New Manual, fourth edition. Harvard University Asia Center, Harvard–Yenching Institute. Cambridge, MA and London: Harvard University Press.

### The Ethnogonic Tales of the Türks 37

- Xudjakov, Ju. S. 2007. Zolotaja volč ja golova na boevyx znamenax: Oružie i vojny drevnix tjurok v stepjax Evrazii. Sankt-Peterburg: Peterburgskoe Vostokovedenie.
- Yıldırım, Kürşat. 2010. Türk Tarihi İçin Eski Çince-Türkçe Sözlük. İstanbul: Otopsi. ———. 2016. Doğu Türkistan'ın Tarihî Coğrafyası. İstanbul: Ötüken.
- Yoshida, Yutaka. 2011. 'Some New Readings in the Sogdian Version of Karabalgasun Inscription'. In *Ötüken'den İstanbul'a Türkçenin 1290 Yılı (720–2010)*, edited by Mehmet Ölmez, Erhan Aydın, Peter Zieme and Mustafa S. Kaçalin.. İstanbul: Büyükşehir Belediyesi Kültür ve Sosyal İşleri Daire Başkanlığı Kültür Müdürlüğü: 77–86.
- Yu, Taishan. 1998. A Study of Saka History. Sino-Platonic Papers, 80. Philadelphia, PA: Department of Asian and Middle Eastern Studies, University of Pennsylvania.
- Yunusbayev, Bayezit et al. 2015. 'The Genetic Legacy of the Expansion of Turkic-Speaking Nomads across Eurasia', *PlosGenet*, vol. 11(4): 1–24.
- Zuev, Ju. A. 1960. K étničeskoj istorii usunej. Trudy Instituta Istorii, Arxeologoii i Étnografii, Akademija Nauk Kazaxskoj SSR, t. VIII. Alma-Ata: Izdatel'stvo Akademii Nauk Kazaxskoj SSR.
  - —. 2002. Rannie tjurki: očerki istorii i ideologii. Almaty: Dajk-Press.