A review of basic spectroscopic properties of the ions with d³ electronic configurations, such as Cr³⁺ and Mn⁴⁺, for solid state lighting applications

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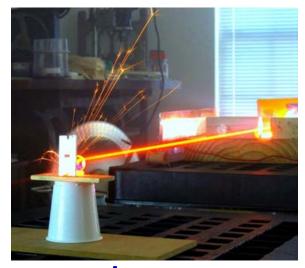
Outline

- > Introduction: white LEDs for solid state lighting
- > Mn⁴⁺ and Cr³⁺ as suitable red emitters for white LED
 - Basic properties of the d³ electron configuration: electronic terms, their energies
 - Properties of the Mn⁴⁺ and Cr³⁺ ions in solids: Tanabe-Sugano diagrams
 - Properties of the Mn⁴⁺ and Cr³⁺ ions in solids: calculations of the energy levels splitting in low symmetry hosts and analysis of the experimental results
- ➤ Main misconceptions related to the spectra of Cr³+ and Mn⁴+ ions in solids
 - Origin of the ²E → ⁴A₂ emission, zero-phonon lines and vibronics
- Conclusions

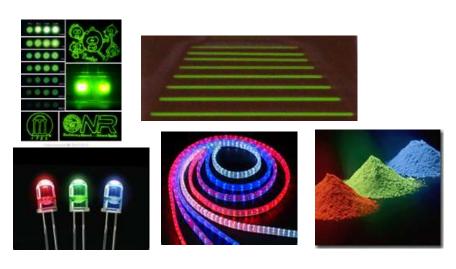
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Applications of optical materials



Lasers



Lighting





Mobile phones and TV displays

How to understand this and where does the color come from?...





Traffic and street lights

Lighting – it has always been VERY important...



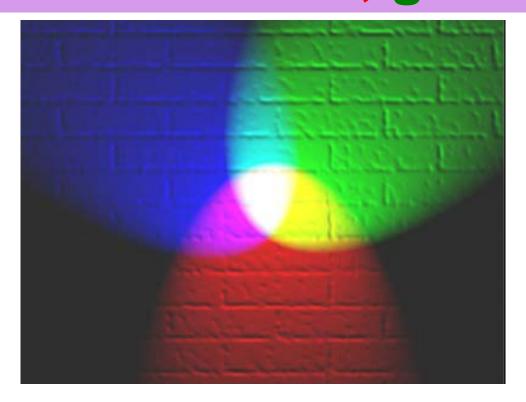
Lighting accounts for about 20% of global building electricity consumption (approximately 7 EJ (exajoule, 10¹⁸) in 2013.

https://videohive.net/item/world-map-night-lighting/19197777



Lighting – it has always been VERY important...

How to make white light? Mix three colors: red, green, blue



White light is of paramount importance for indoor lighting, since it resembles the Sun light

White light emitting diodes (LEDs)

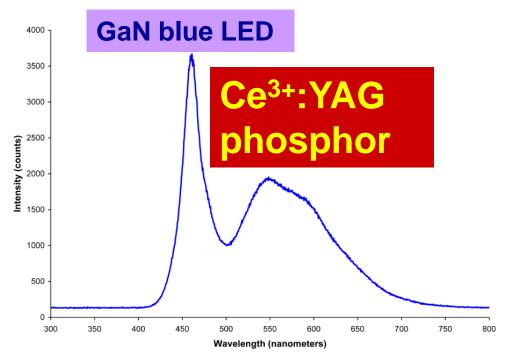
First white LEDs used the blue LED and yellow Ce³⁺:YAG phosphor.











Absence of the red component significantly deteriorates the emitted white light characteristics, leading to a rather high correlated color temperature (~6283 K) (the light appears to be "cold") and poor color rendering index (~76) [J. Mater. Chem. C, 2016, 4, 8611].

In view of this, great efforts are put into a search for efficient narrow band red phosphors to be used in white LEDs.

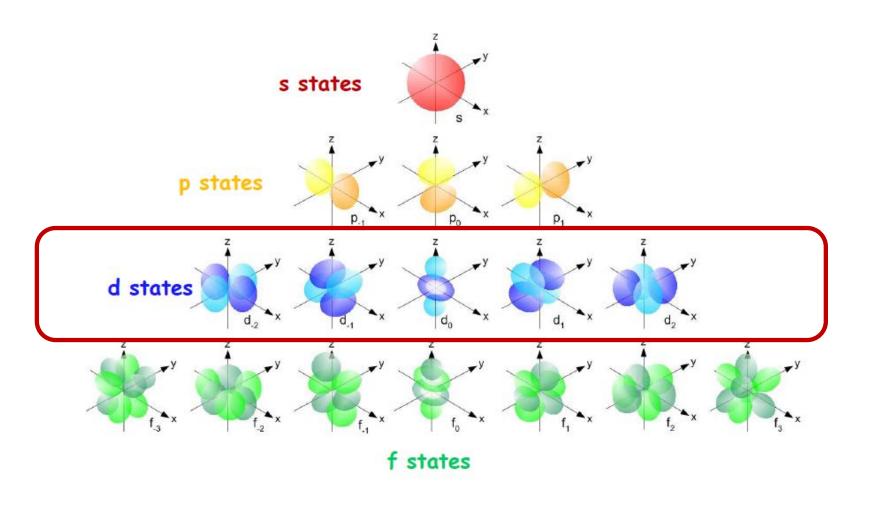
Mn⁴⁺ is an excellent candidate for this purpose!

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Electron configurations

Impurities used for the solid state lighting (3d, 4f ions) – MULTI-ELECTRON systems



Electron states of free ions

3d, 4f ions – MULTI-ELECTRON systems

d electrons quantum numbers:

Principal quantum number n = 3, or 4, or 5

Orbital quantum number / =2

Magnetic quantum number $m_i = -2, -1, 0, 1, 2$

Spin quantum number $m_S = -1/2$, +1/2

10 states in total

f electrons quantum numbers:

Principal quantum number n = 4, or 5

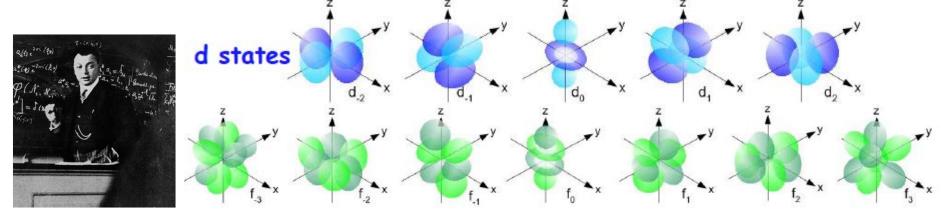
Orbital quantum number /=3

Magnetic quantum number $m_i = -3, -2, -1, 0, 1, 2, 3$

Spin quantum number m_S =-1/2, +1/2

14 states in total

states



Wolfgang Ernst Pauli, 25.04.1900-15.12.1958

Nobel prize in physics 1945

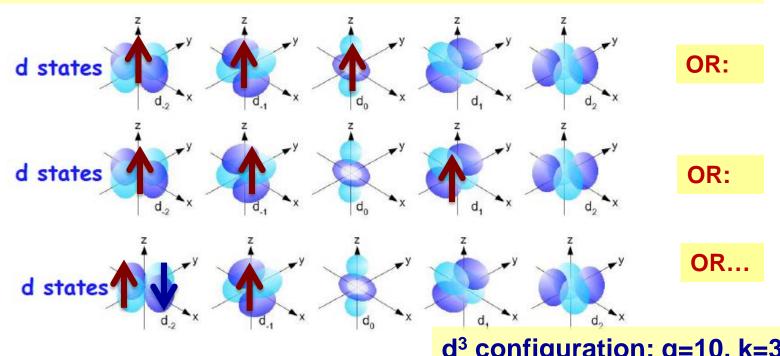
Pauli exclusion principle: no electrons with identical quantum numbers!



d³ electron configuration (3d transition metals)

The [Ar]3d³ electron configuration is characteristic of the following ions: V^{2+} , Cr^{3+} , Mn^{4+} , Fe^{5+} ([Ar]=1 $s^22s^22p^63s^23p^6$).

Different ways to arrange three d electrons in five d orbitals (Coulomb repulsion + Pauli exclusion principle)



d³ configuration: q=10, k=3

$$N = \frac{q!}{k!(q-k)!}$$

Number electrons through a orbitals

$$N = \frac{10!}{3!(10-3)!} = 120$$

Number of allowed states

Electron states of free ions

$$N = \frac{q!}{k!(q-k)!}$$

Increase of the number of states

Number of permutations of k electrons through q orbitals

Electron configu- ration	Number of states	Number of energy levels
p^1, p^5	6	?
p^2, p^4	15	?

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Electron configuration	Number of states	Number of energy levels	
d^{1}, d^{9}	10	?	
d^2, d^8	45	?	
d^3, d^7	120	?	
d^{4}, d^{6}	210	?	
d^{5}	252	?	

Electron configu- ration	Number of states	Number of energy levels	
f^{1}, f^{13}	14	?	
f^2, f^{12}	91	?	
f^3, f^{11}	364	?	
f^4, f^{10}	1001	?	
f^5, f^9	2002	?	
f^{6}, f^{8}	3003	?	
f^7	3432	?	

How can we find the number of <u>energy levels</u> for each of these configurations?..

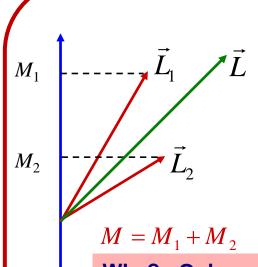
How can we <u>calculate</u> the energies of those levels?..

Electron states of free ions

$$\varphi_{nlm}(r,\theta,\varphi) = R_{nl}(r)Y_{lm}(\theta,\varphi)$$
 One-electron wave function

$$R_{nl}(r) = -\sqrt{\frac{(n-l-1)!}{(n+l)!^3 2n}} \left(\frac{2Z}{na_0}\right)^{3/2} \exp\left(-\frac{Zr}{na_0}\right) \left(\frac{2Zr}{na_0}\right)^l L_{n+l}^{2l+1} \left(\frac{2Zr}{na_0}\right) \text{General form of the radial wave functions}$$

Wave function of a particular state can be obtained from the one-electron functions using the angular momenta addition rules and (again!) Pauli exclusion principle.



In quantum mechanics 2+2 is not always 4! ©

The rule of addition of two momenta L₁ and L₂

$$\left|L_1 - L_2\right| \le L \le L_1 + L_2$$

For example, L₁=2, L₂=2, L=0, 1, 2, 3, 4

Why?.. Only one out of three components of angular momentum operator can be determined at the same time

$$\left[\hat{L}_{i},\hat{L}_{j}\right] \equiv i\hbar\hat{L}_{k}, i, j, k = x, y, z$$

Spectral terms of free ions

Addition of angular momenta of individual electrons allows to introduce a convenient notation for the multielectron states.

It is a common practice to use the ^{2S+1}L notation for the multi-electron states where S is the TOTAL spin, and L is the TOTAL orbital momentum

Each ^{2S+1}L spectral term is degenerated; the degree of degeneracy is (2S+1)(2L+1). E.g. ⁴F term: $(2S+1)(2L+1) = 4(2 \times 3 + 1) = 28$ states

How to memorize the above-given sequence ... mnemonic rules?..

Spectral terms of free ions with *d* electrons in the unfilled electron shell

Equivalent configurations of *d*-electrons:

d^{1} and $d^{9} - {}^{2}D$	1 energy level
d^2 and $d^8 - {}^1SDG$, 3PF	5 energy levels
d^{3} and $d^{7} - {}^{2}PD_{(2)}FGH$, ${}^{4}PF$	8 energy levels
d^{4} and $d^{6} - {}^{1}S_{(2)}D_{(2)}FG_{(2)}I$, ${}^{3}P_{(2)}DF_{(2)}GH$, ${}^{5}D$	16 energy levels
d^{5} – ² SPD ₍₃₎ F ₍₂₎ G ₍₂₎ HI, ⁴ PDFG, ⁶ S	16 energy levels

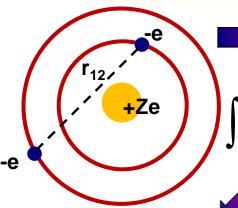
A subscript denotes a number of terms with the same S and L.



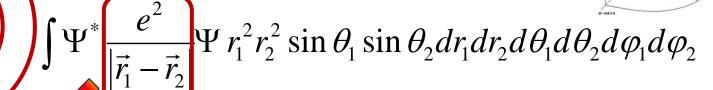
Hund's rule to find the ground term: i) max spin S; ii) max orbital momentum L

Energy of spectral terms of free ions

Coulomb interaction between electrons of the unfilled shell is mainly responsible for the formation of the energy level schemes







$$\frac{1}{r_{12}} = \sum_{k} \sum_{m=-k}^{k} \frac{4\pi}{2k+1} \frac{r_{<}^{k}}{r_{>}^{k+1}} Y_{km}(\theta_{1}, \varphi_{1}) Y_{km}^{*}(\theta_{2}, \varphi_{2})$$

$$\frac{1}{r_{12}} = \sum_{k} \sum_{m=-k}^{k} \frac{4\pi}{2k+1} \frac{r_{<}^{k}}{r_{>}^{k+1}} Y_{km}(\theta_{1}, \varphi_{1}) Y_{km}^{*}(\theta_{2}, \varphi_{2})$$

$$r_{2} > r_{1} > r_{2} \int \int \frac{r_{<}^{k}}{r_{>}^{k+1}} dr_{1} dr_{2} = \int_{0}^{\infty} dr_{1} \left(\int_{0}^{r_{1}} \frac{r_{2}^{k}}{r_{1}^{k+1}} dr_{2} + \int_{r_{1}}^{\infty} \frac{r_{1}^{k}}{r_{2}^{k+1}} dr_{2} \right)$$

$$\Psi \sim \varphi_{nlm}(r,\theta,\varphi) = R_{nl}(r)Y_{lm}(\theta,\varphi)$$
 Eventually we have the integrals from

the product of three spherical functions

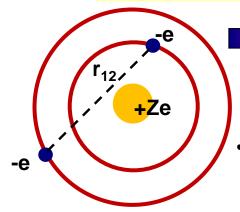
This integral is expressed in terms of the Wigner 3j-symbols:

$$\int_{0}^{\pi} \int_{0}^{2\pi} Y_{l_{1}m_{1}}^{*} Y_{km} Y_{l_{2}m_{2}} \sin \theta \, d\varphi \, d\theta = (-1)^{m_{1}} \sqrt{\frac{(2l_{1}+1)(2k+1)(2l_{2}+1)}{4\pi}} \begin{pmatrix} l_{1} & k & l_{2} \\ 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} l_{1} & k & l_{2} \\ -m_{1} & m & m_{2} \end{pmatrix}$$

Not zero, if l_1+k+l_2 is an even number. k=0, 2, 4 for d-electrons k=0, 2,4,6 for f-electrons

Energy of spectral terms of free ions

Coulomb interaction between electrons of the unfilled shell is mainly responsible for the formation of the energy level schemes



Spherical system of coordinates

$$\int \Psi^* \frac{e^2}{\left|\vec{r}_1 - \vec{r}_2\right|} \Psi r_1^2 r_2^2 \sin \theta_1 \sin \theta_2 dr_1 dr_2 d\theta_1 d\theta_2 d\varphi_1 d\varphi_2$$

The energy of the LS terms will be a combination of the F^k parameters (Slater integrals) defined as:

$$F^{k} = e^{2} \int \int \frac{r_{<}^{k}}{r_{>}^{k+1}} R_{1}(r_{1})^{2} R_{2}(r_{2})^{2} r_{1}^{2} r_{2}^{2} dr_{1} dr_{2}$$

The integrals can be found numerically k=0, 2, 4 for the d-electrons k=0, 2,4,6 for the f-electrons

R(r) – radial parts of the wave functions

It is a common practice to express the energy of the *LS* terms for 3*d* ions in the so called Racah parameters *A*, *B*, *C*:

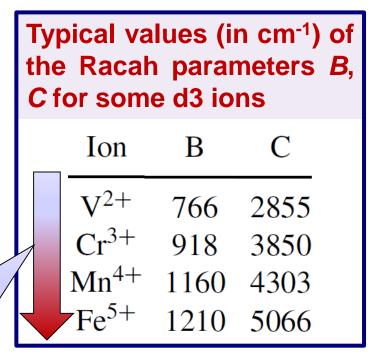
$$A = F^{0} - \frac{49}{441}F^{4}; B = \frac{1}{49}F^{2} - \frac{5}{441}F^{4}; C = \frac{5}{63}F^{4}$$

Giulio Racah (1909 - 1965), Italian-Israeli physicist

Energy of spectral terms of d³ electron configuration

Their energies as expressed in terms of the Racah parameters are as follows:

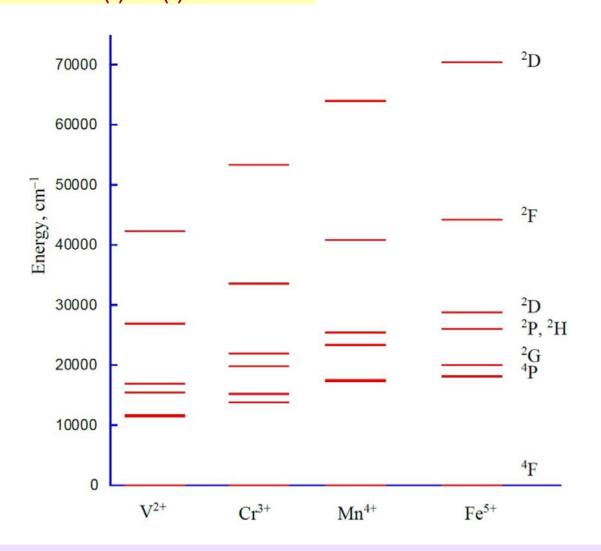
⁴ F	0		
⁴ P	15 <i>B</i>		
² G	4B + 3C		
2 P	9 <i>B</i> + 3 <i>C</i>		
² H	9B + 3C		
² D ₍₁₎	$20B + 5C - \sqrt{193B^2 + 8BC + 4C^2}$		
² F	24B + 3C		
² D ₍₂₎	$20B + 5C + \sqrt{193B^2 + 8BC + 4C^2}$		



Increase of the atomic number and electric charge → increase of the Racah parameters

Energy of spectral terms of d^3 electron configuration

8 LS terms: ⁴F, ⁴P, ²P, ²D₍₁₎, ²D₍₂₎, ²F, ²G, ²H



B and **C** – the Racah parameters, B_0 =1160 cm⁻¹, C_0 =4303 cm⁻¹ for a free Mn⁴⁺ ion and B_0 =918 cm⁻¹, C_0 =3850 cm⁻¹ for a free Cr³⁺ ion



Energy levels of free Mn⁴⁺ or Cr³⁺ ions

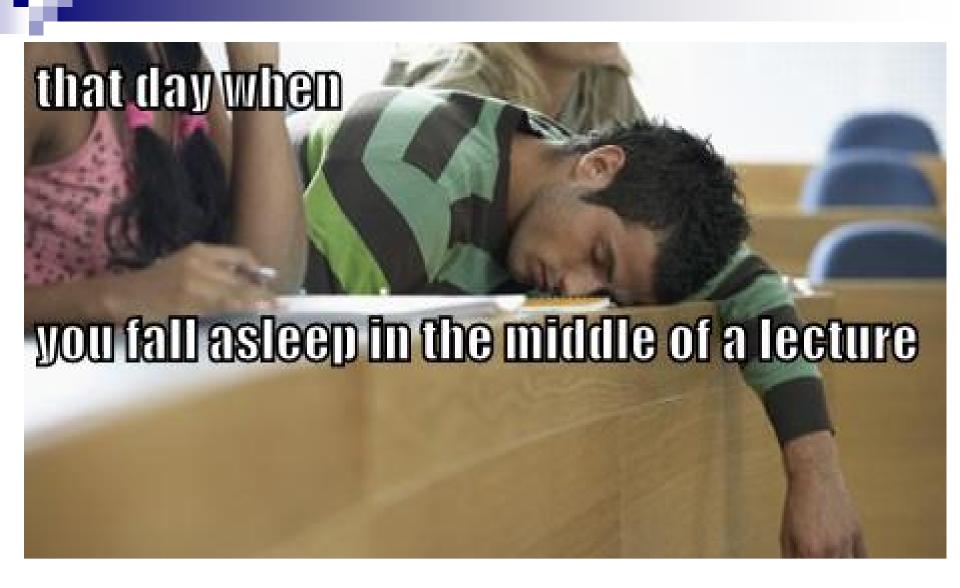
120 electronic states give rise to 8 energy levels (so called LS-terms) in a free state:

⁴F (ground term), ⁴P (spin-quartets) ²G, ²P, ²H, ²D, ²F, ²D (spin-doublets)

^{2S+1}L notation is used (S – total spin, L – orbital momentum).

These energy levels are split if these ions are incorporated into a solid. The reason for such splitting is the symmetry lowering – from the spherical symmetry of a free ion to the point symmetry of the crystal lattice site occupied by an impurity ion.

The splitting pattern depends on the crystal structure and charges of surrounding ions.



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Energy levels of three d-electrons in an octahedral field

Due to the symmetry lowering, the free ion terms are split according to the following table (group theory is used to get these splittings):



Splitting of LS terms of the d^3 (d^7) configuration in the O or T_d group.

 T_d or O groups irreducible representations*

P-term
$$(L = 1)$$
 T_1
D-term $(L = 2)$ $T_2 + E$
F-term $(L = 3)$ $A_2 + T_1 + T_2$
G-term $(L = 4)$ $A_1 + E + T_1 + T_2$
H-term $(L = 5)$ $E + 2T_1 + T_2$

^{*}Subscript "g" denoting the even irreducible representations should be added to all states if the local symmetry is O_h.



Tanabe-Sugano matrices for energy levels of impurity ions in a cubic crystal field

Three papers by Y. Tanabe and S. Sugano:

- 1. "On the absorption spectra of complex ions I". *Journal of the Physical Society of Japan* 9 (5), 1954, 753–766.
- 2. "On the absorption spectra of complex ions II". *Journal of the Physical Society of Japan* 9 (5), 1954, 766–779.
- 3. "On the absorption spectra of complex ions III". *Journal of the Physical Society of Japan* 11 (8), 1956, 864–877.

A famous book:

S. Sugano, Y. Tanabe, H. Kamimura, Multiplets of Transition-Metal Ions in Crystals, Acad. Press, New York, 1970

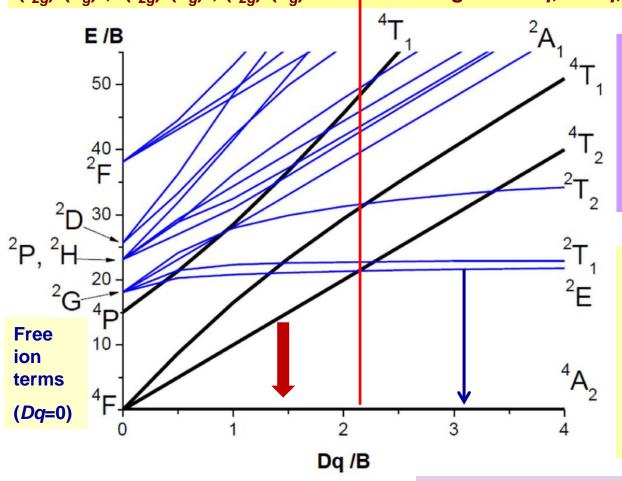
Splitting of all LS terms of the d-electron configurations in the cubic crystal field as a function of the *Dq*, *B*, *C* parameters.

How to visualize (we have three variables...)? Fix the C/B ratio and plot the energy E/B as a function of Dq/B

70

Energy levels of three d-electrons in an octahedral field

The ground state configuration $(t_{2g})^3(e_g)^0$ (the energy is 0), and three excited configurations $(t_{2g})^2(e_g)^1$, $(t_{2g})^1(e_g)^2$, $(t_{2g})^0(e_g)^3$ with the energies 10Dq, 20Dq, and 30Dq, respectively.



Tanabe-Sugano diagram for the d^3 configuration in an octahedral field. The horizontal axis – Dq/B; the vertical axis – energy in (E/B).

C/B = 4.25

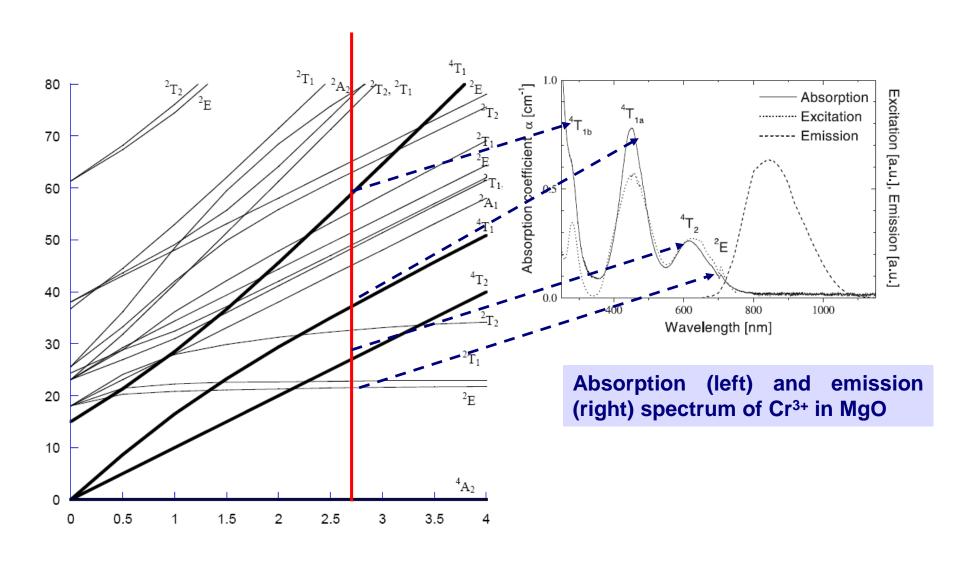
Mn⁴⁺ - is always a strong field case

Cr³⁺ – can be found in both strong and weak crystal fields

Weak field – broad emission corresponding to the spin-allowed transitions

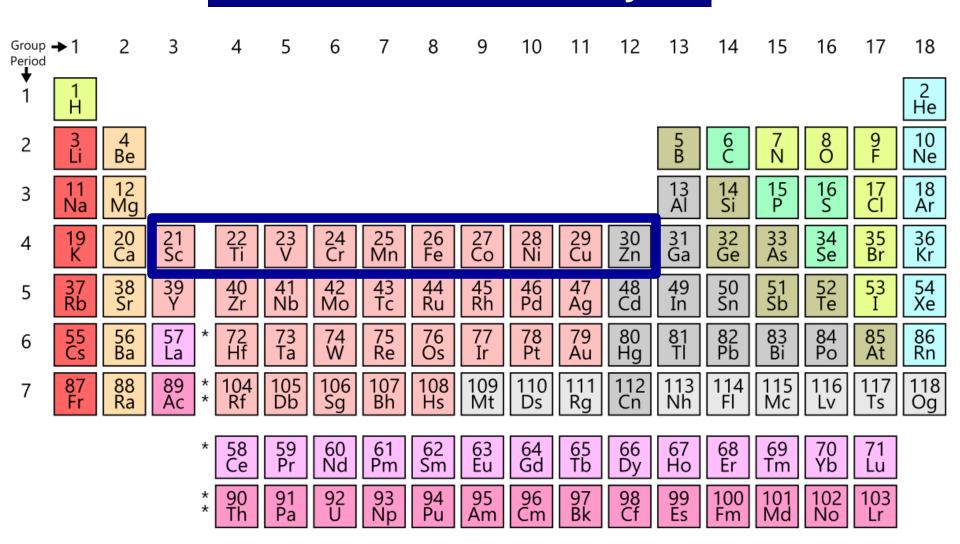
Strong field – narrow emission corresponding to the spin-forbidden transitions

Tanabe-Sugano diagram and absorption spectrum of Cr³⁺



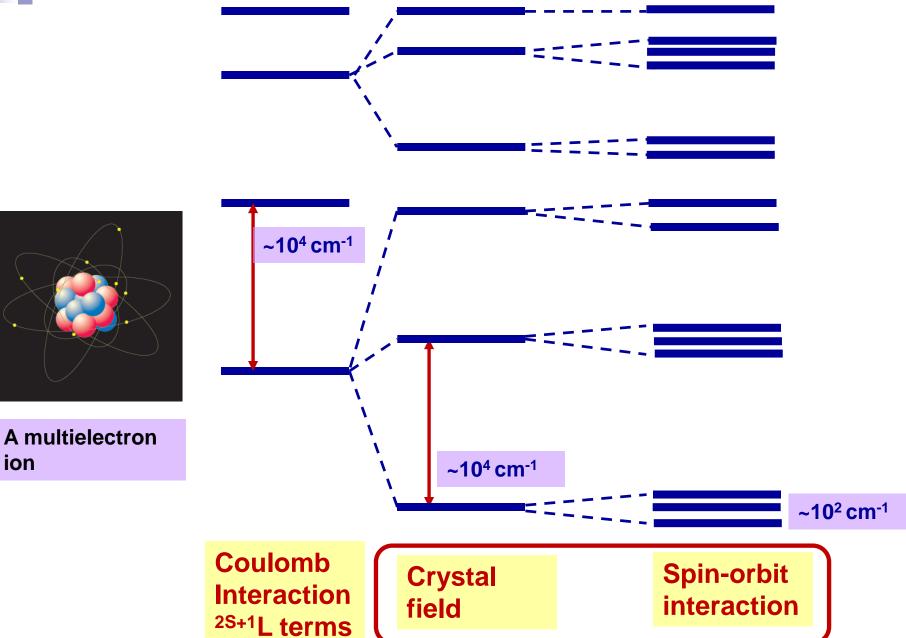
A general scheme of the energy levels splitting of 3*d*-ions in solids

3d ions: what are they?





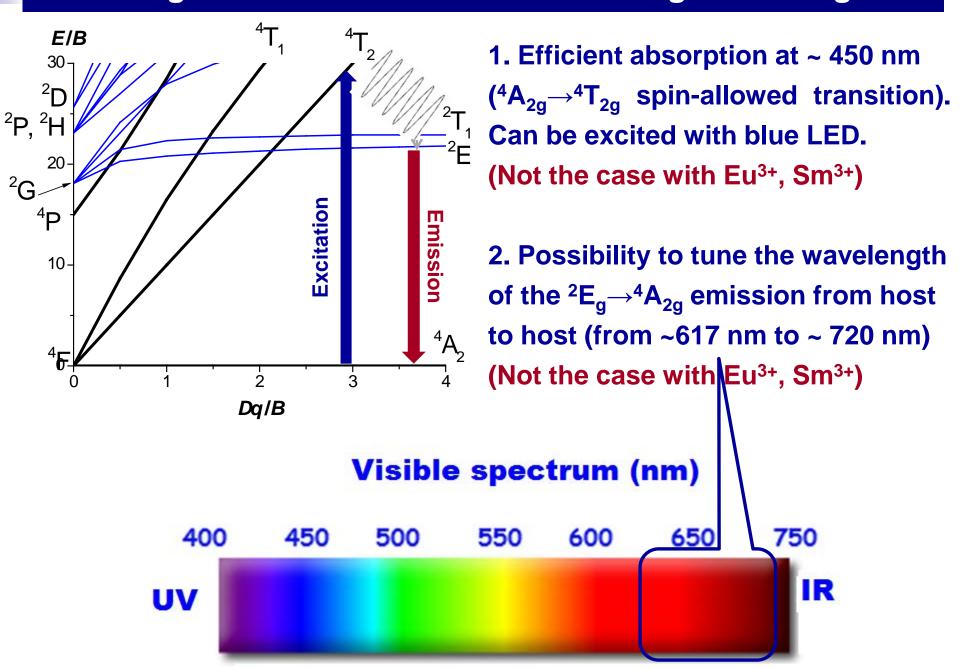
How the energy levels of the d ions are formed...



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Advantages of Mn⁴⁺ ions over other red-light-emitting ions



Exchange charge model of crystal field

$$\hat{H} = \sum_{p=2,4...}^{2l} \sum_{k=-p}^{p} B_p^k O_p^k$$

Calculation of CFP
$$B_p^k = B_{pq}^k + B_{ps}^k$$

$$B_{pq}^{k} = -K_{p}^{k} \left(1 - \sigma_{p}\right) e^{2} \left\langle r^{p} \right\rangle \sum_{L} \frac{Q_{L} V_{p}^{k} \left(\Theta_{L}, \Phi_{L}\right)}{R_{L}^{p+1}} \quad \text{Point charge contribution}$$

Spherical coordinates of ligands are needed

B.Z. Malkin

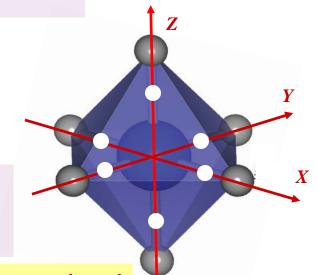
$$B_{ps}^{k} = \frac{2(2p+1)}{(2l+1)} K_{p}^{k} e^{2} \sum_{L} \frac{S_{p} V_{p}^{k} (\Theta_{L}, \Phi_{L})}{R_{L}}$$
 Exchange charge contribution

 $S_n = G(S_s^2 + S_\sigma^2 + \gamma_n S_\pi^2)$ Overlap integrals

G is a fitting parameter determined from the first absorption band in the exp. spectrum

$$S_s = \langle d0 | s0 \rangle; \ S_\sigma = \langle d0 | p0 \rangle;$$
 d-functions of the central ion and p - and s - functions of ligands

Exchange effects can be modeled by the imaginary charges placed between an impurity ion and ligands. The charges are proportional to the overlap integrals.



Mn⁴⁺ in Sr₄Al₁₄O₂₅ phosphor



Article

pubs.acs.org/cm

Site Occupancy Preference, Enhancement Mechanism, and Thermal Resistance of Mn⁴⁺ Red Luminescence in Sr₄Al₁₄O₂₅: Mn⁴⁺ for Warm WLEDs

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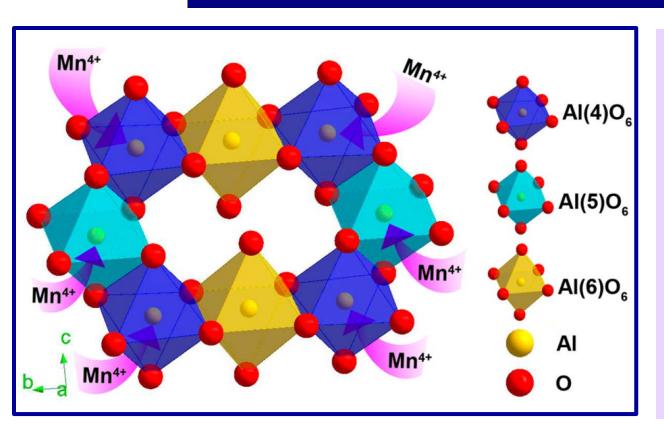
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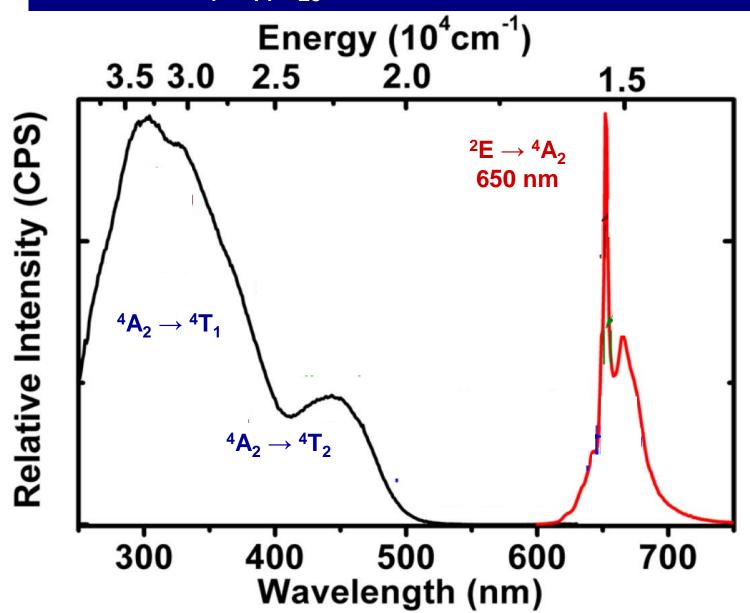
Mn⁴⁺ in Sr₄Al₁₄O₂₅ phosphor



Complicated structure – three octahedral Al sites suitable for the Mn⁴⁺ doping.

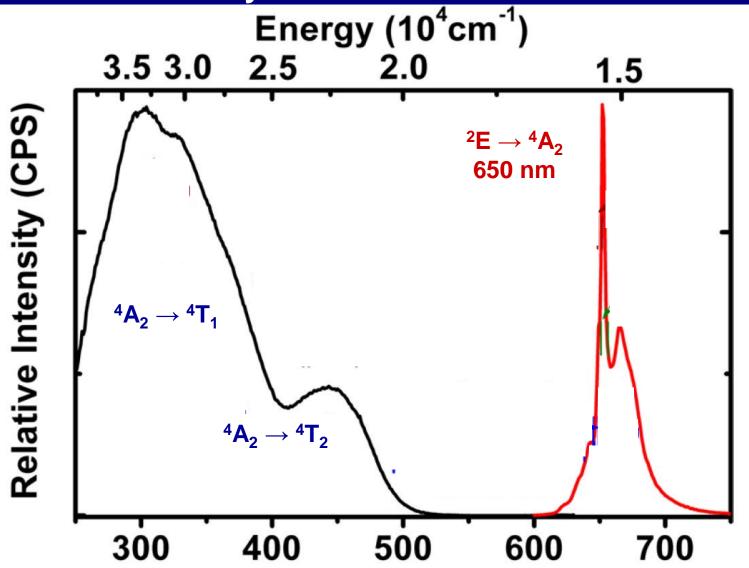
Is it possible to understand which site is preferably occupied?

Mn⁴⁺ in Sr₄Al₁₄O₂₅ phosphor: optical spectra



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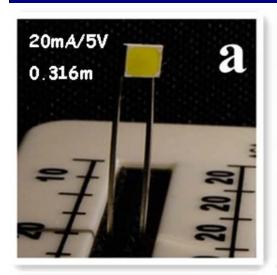
Mn⁴⁺ in Sr₄Al₁₄O₂₅ phosphor: optical spectra and crystal field calculations

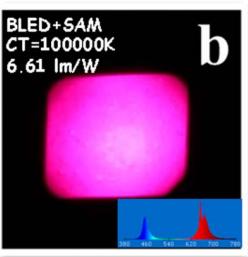


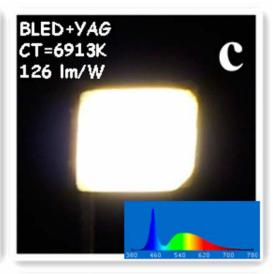
Possible to identify preferred sites (Al4, Al5) for the impurity!

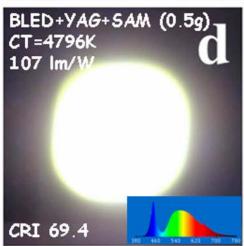
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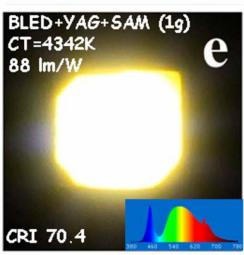
Mn⁴⁺ in Sr₄Al₁₄O₂₅ phosphor: white LED manufacturing

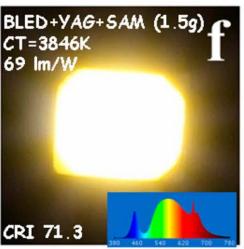






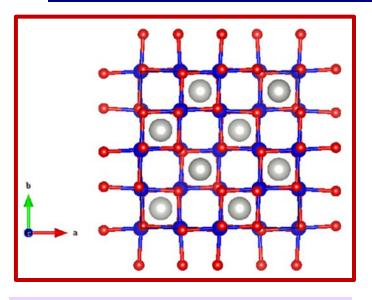


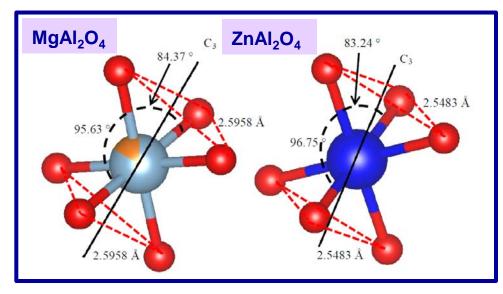




CCT can be tuned by the amount of the SAM phosphor: more SAM – lower CCT

Cr³⁺ in ZnAl₂O₄ and MgAl₂O₄ spinels: structure

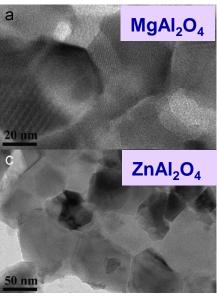




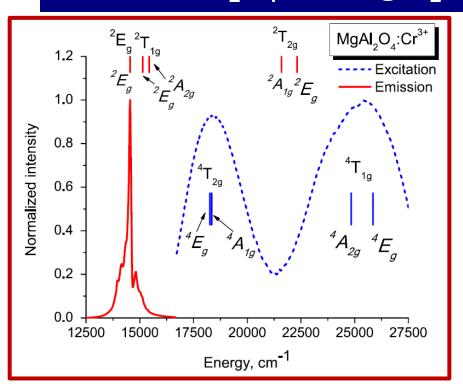
Trigonal symmetry of the Al site, which is suitable for the Cr³⁺ doping.

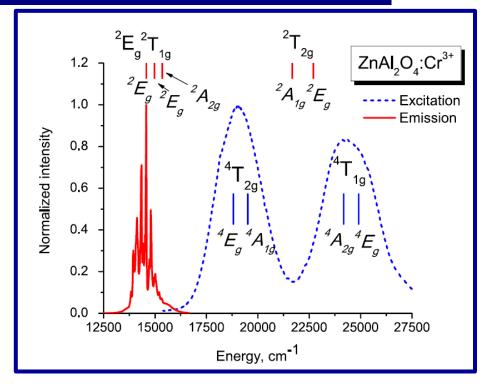
Structure → crystal field parameters

CFP	MgAl ₂ O ₄	ZnAl ₂ O ₄
B_{2}^{0} B_{4}^{0} B_{4}^{3}	1299 - 3480 87471	2838 -3671 90025



Cr³⁺ in ZnAl₂O₄ and MgAl₂O₄ spinels: optical spectra





Calculated energy levels (all in cm $^{-1}$) for the Cr $^{3+}$ ions at the Al sites in MAl $_2$ O $_4$ ($M=$ Mg, Zn) spinels. The orbital doublet states are denoted with an asterisk.					
O _h group nota- tion and "par- ent" LS term	D _{3d} group notation	MgAl ₂ O ₄		ZnAl ₂ O ₄	
ent Ls term		This work	[40]	This work	[40]
⁴ A _{2g} (⁴ F)	4 A $_{2g}$	0	0	0	0
${}^{2}E_{g}({}^{2}G)$	² E _g	14555°	14564"	14576*	14558
${}^{2}T_{1g}({}^{2}G)$	${}^{2}E_{g}$ ${}^{2}E_{g}+{}^{2}A_{2g}$	15135°,	15108,	14978,	15100,
		15436	15823	15355	15836
${}^{4}T_{2g}$ (${}^{4}F$)	${}^{4}E_{g} + {}^{4}A_{1g}$	18263°,	18495,	18811°,	18720,
		18347	19957	19509	20230
$^{2}T_{2g}(^{2}G)$	${}^{2}A_{1g} + {}^{2}E_{g}$	21592,	21555,	21680,	21572,
		22317	23265	22703*	23334
${}^{4}T_{1g}$ (${}^{4}F$)	${}^{4}E_{g} + {}^{4}A_{2g}$	24838,	25742,	24184,	26011,
		25853°	26562	24904	26835

Symmetry lowering: $O_h \rightarrow D_3$

Triplet states splitting: singlet + doublet

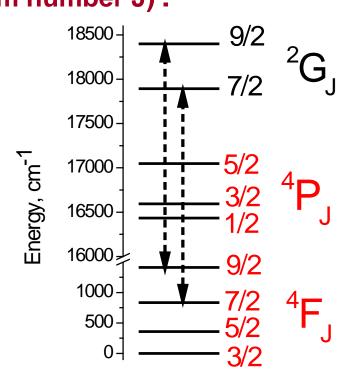
Outline

- > Introduction: white LEDs for solid state lighting
- > Mn⁴⁺ and Cr³⁺ as suitable red emitters for white LED
 - Basic properties of the d³ electron configuration: electronic terms, their energies
 - Properties of the Mn⁴⁺ and Cr³⁺ ions in solids: Tanabe-Sugano diagrams
 - Properties of the Mn⁴⁺ and Cr³⁺ ions in solids: calculations of the energy levels splitting in low symmetry hosts and analysis of the experimental results
- ➤ Main misconceptions related to the spectra of Cr³+ and Mn⁴+ ions in solids
 - Origin of the ²E → ⁴A₂ emission, zero-phonon lines and vibronics
- Conclusions

Main misconceptions about the ²E_g→⁴A_{2g} transition

1. The ${}^2E_g \rightarrow {}^4A_{2g}$ transition is allowed because of crystal lattice vibrations.

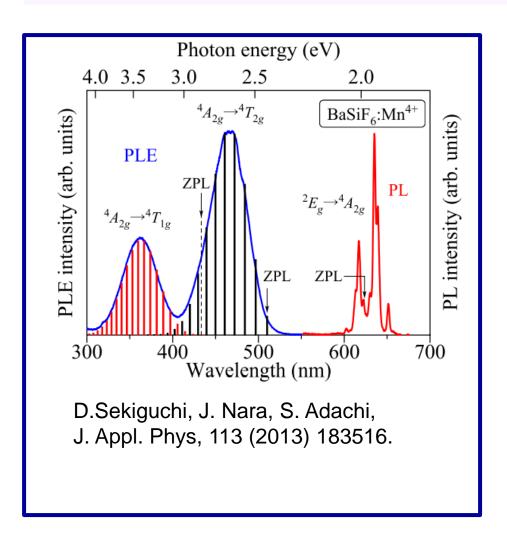
WRONG! Even in the free ion's spectra the spin-forbidden transitions are detected because of spin-orbit coupling (mixture of states with the same quantum number J).

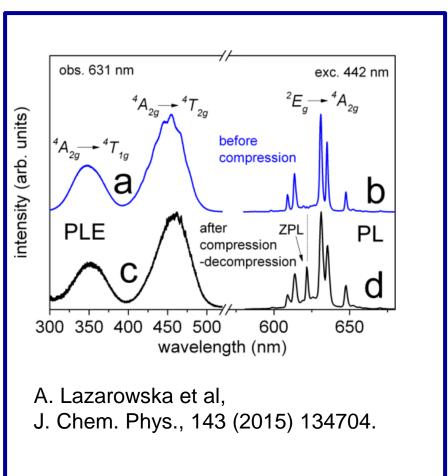


Total momentum J = L + S $|L - S| \le J \le |L + S|$ ⁴F term: L=3, S=3/2 J=3/2, 5/2, 7/2, 9/2 ⁴P term: L=1, S=3/2 J=1/2, 3/2, 5/2 ²G term: L=4, S=1/2 J = 7/2, 9/2

Main misconceptions about the ²E_g→⁴A_{2g} transition

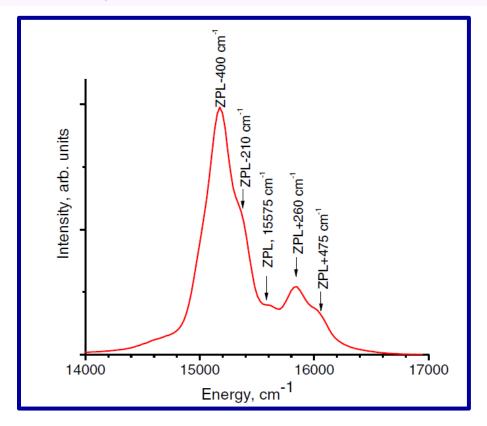
2. Difficulties with identification of the zero-phonon line of the ${}^2E_q \rightarrow {}^4A_{2q}$ transition (hidden by vibronic progressions).





Main misconceptions about the ²E_g→⁴A_{2g} transition

How to identify the zero-phonon line location?



Look at the emission spectrum, and find symmetric (about the ZPL position) peaks of the Stokes (longer wave lengths, lower energy and anti-Stokes (shorter wavelengths, higher energy) vibronic progressions.

Main misconceptions about ${}^{2}E_{g} \rightarrow {}^{4}A_{2g}$ transition

3. Attempts to attribute structure of the ${}^2E_g \rightarrow {}^4A_{2g}$ emission lines to the crystal field splitting.

The ${}^{2}E_{g}$ state is an orbital doublet; it can be split by crystal field into two states only (if and only if the symmetry is lower than trigonal or tetragonal).

A large number of peaks in the ${}^2E_g \rightarrow {}^4A_{2g}$ emission line can be due either to:

- i) vibronic progressions or
- ii) presence of several Mn⁴⁺ centers.

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d³ ions optical properties: things to remember

- ➤ This is a <u>multi-electron</u> configuration
- Electrostatic (Coulomb) and spin-orbit interactions produce the (rich) energy level schemes
- Different terms are denoted by the ^{2S+1}L notation (spin S and orbital momentum L)
- > These states are highly degenerated: (2S+1)(2L+1) number of states
- > The energies of different terms are expressed in terms of the Racah parameters
- Crystal field removes degeneracy of free ion energy levels
- ➤ Cr³+ and especially Mn⁴+ are very suitable for red phosphors in white LED applications



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Thank you for your attention!