

## Sexually Transmitted Diseases

The prevalence of syphilis from the early HIV period is correlated with peak HIV prevalence at a country level

--Manuscript Draft--

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1 **The prevalence of syphilis from the early HIV period is correlated with peak**  
2 **HIV prevalence at a country level**

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45

46 **Short summary**

47 This study found that populations with generalized HIV epidemics had a higher  
48 prevalence of syphilis in the pre-HIV period.

49

50 **Abstract**

51 **Background**

52 Could we have predicted national peak HIV based on syphilis prevalence in the  
53 1990s? Earlier studies have shown positive correlations between various STIs at  
54 different population levels. In this paper we test the hypothesis that there was a  
55 residual variation in the national prevalence rates of syphilis and that these rates  
56 could predict subsequent peak-HIV prevalence rates.

57 **Methods**

58 This analysis uses linear regression to evaluate the country-level relationship  
59 between antenatal syphilis prevalence (1990-1999) and peak HIV prevalence.

60 **Results**

61 A moderately strong association is found for the 76 countries with data available ( $R^2$   
62 = 0.53,  $P < 0.001$ ). The association was weakened but remained significantly  
63 positive when we adjusted for the type of syphilis testing used and the prevalence of  
64 circumcision.

65 **Conclusion**

66 Syphilis prevalence in the 1990s predicted approximately 52% of the variation in  
67 peak HIV prevalence. Populations with generalized HIV epidemics had a higher  
68 prevalence of syphilis in the pre-HIV period. This finding provides additional rationale  
69 to carefully monitor sexual behavior, sexual networks and STI incidence in these  
70 populations.

71 **Keywords:** antenatal syphilis, HIV, generalized HIV epidemics

72

### 73 **Introduction**

74 Previous studies have found positive correlations between the prevalence of various  
75 STIs at different levels of aggregation. At the level of ethnic/racial groups, studies  
76 have found a relationship between the prevalence of HIV and syphilis (1, 2), HSV-2  
77 (2, 3), chlamydia (1) and gonorrhoea (1). At a national level peak HIV prevalence has  
78 been found to be correlated with HSV-2 prevalence in women (3). At the world-  
79 regional level HIV prevalence was correlated with the prevalence of HSV-2,  
80 gonorrhoea, chlamydia, syphilis and trichomonas but this relationship was only  
81 statistically significant in the case of HSV-2 and gonorrhoea (4).

82

83 In this paper we extend these analyses to assess if the prevalence of syphilis from  
84 the early HIV period is correlated with peak HIV prevalence at a country level. We  
85 chose syphilis as the widespread testing for syphilis in routine antenatal care means  
86 that there are more data available from population-based samples for syphilis in the  
87 early HIV period than there is for other STIs (4-7). An advantage of using the peak  
88 HIV prevalence as the measure for HIV prevalence is that this measure helps avoid  
89 the HIV introduction time bias which is caused by the widely differing times that the  
90 HIV epidemics began (8).

91

92 Further, we take into consideration the impact of circumcision on HIV and syphilis  
93 prevalence since this has been shown to reduce the acquisition of HIV and syphilis  
94 in men, which in turn likely results in a lower prevalence of these infections in  
95 populations where circumcision is more uniform (5-7).

96

97

98

99 **Methods**

100 Antenatal syphilis data for 1980 to 2010 were taken from an Institute for Health  
101 Metrics and Evaluation (IHME) database on the prevalence of syphilis in low risk  
102 populations compiled for GBD 2010 (9). The IHME data sources included UNAIDS  
103 epidemiologic fact sheets, UNGASS country progress reports, reports from country  
104 specific surveillance systems, WHO reports on syphilis epidemiology, and  
105 unpublished data from correspondence with GBD 2010 collaborators. These were  
106 supplemented by a systematic literature review of syphilis seroprevalence (most  
107 recent PubMed search was October 2011). To be included, a study needed to  
108 provide data on the prevalence of syphilis in populations considered representative  
109 of the general population and have a sample size of 100. Data from high-risk  
110 populations (e.g. MSM, sex workers and STI clinic attendees) were excluded (9-12).

111

112 For each country we extracted the studies conducted in antenatal populations  
113 between 1990 and 1999 and used the median of these studies as a proxy for the  
114 national prevalence of antenatal syphilis (termed the *syphilis prevalence* variable).  
115 The period 1990-1999 was chosen as this was the first decade for which sufficient  
116 data was available in the dataset and the beginning of this period predates the year  
117 that most countries attained their first peak HIV prevalence.

118

119 For the *adjusted syphilis prevalence* we generated new syphilis prevalence  
120 estimates adjusted according to the syphilis-testing algorithm used since different  
121 types of testing algorithms influence the estimates of prevalence. Studies that use

122 both a treponemal and a non-treponemal test to diagnose infection are regarded as  
123 offering the most accurate measure of active syphilis infection (13). We applied  
124 correction factors to different syphilis testing algorithms used based on a systematic  
125 review and meta-analysis that estimated the proportion of pregnancies with  
126 "probable active syphilis" according to the of testing methodology used in the study  
127 (14).

128

129 National peak HIV prevalence was obtained for 149 countries for which HIV  
130 prevalence estimates were available from 1990 to 2009 in the Global Health  
131 Observatory Data Repository of the World Health Organization  
132 (<http://apps.who.int/gho/data/node.main.622>). These estimates are based on  
133 population-based testing, antenatal clinical surveillance and epidemic models (15).  
134 From this data we derived the *peak HIV prevalence* variable which was defined as  
135 the highest national HIV prevalence in 15 to 49 year-old between the years 1990-  
136 2009 (median year 1998, interquartile range 1996-2005) (16).

137

138 We controlled for the national circumcision prevalence in our adjusted analyses. The  
139 national prevalence rates of male circumcision as of December 2006 were taken  
140 from a World Health Organization and Joint United Nations Programme on HIV/AIDS  
141 publication. These estimates were based on Demographic and Health Survey data  
142 or from other published sources (17). Countries were classified as having  
143 circumcision prevalence rates < 20%, 20 - 80% or >80%.

144

145 Simple linear regression was used to evaluate the relationship between syphilis  
146 prevalence and peak HIV prevalence – unadjusted, adjusted for laboratory testing

147 used, and adjusted for circumcision prevalence. Because the severity and  
148 heterogeneity of the HIV epidemics have been greater in sub-Saharan Africa than  
149 elsewhere the analyses were repeated limited to the countries of sub Saharan Africa.  
150 All analyses were performed in STATA 12.0 (StataCorp LP, College Station, TX,  
151 USA).

152

### 153 **Results**

154 We found a moderately strong association between syphilis prevalence and peak  
155 HIV prevalence for the 76 countries with data available ( $R^2 = 0.53$ ,  $P < 0.001$ ; Figure  
156 1). The association was weakened but remained significantly positive when we  
157 adjusted for the type of syphilis testing used ( $R^2 = 0.34$ ,  $P < 0.001$ ). The inclusion of  
158 the circumcision prevalence variable did not attenuate the association. For every 1%  
159 increase in syphilis there was a 1.5% (95% CI 1.2-1.9;  $n = 76$ ) and 2.0% (95% CI  
160 1.3-2.6;  $n = 69$ ) increase in HIV prevalence in the models using the unadjusted and  
161 adjusted syphilis prevalence estimates, respectively. In the models including  
162 circumcision these figures changes to 1.6% (95% CI 1.2-2.0;  $n = 69$ ) and 1.9% (95%  
163 CI 1.3 to 2.5;  $n = 76$ ), respectively. A similar positive association was found when we  
164 restricted the analyses to 28 countries of sub-Saharan Africa ( $R^2 = 0.69$ ,  $P < 0.001$ ,  
165 for unadjusted syphilis prevalence versus peak HIV prevalence).

166

### 167 **Discussion**

168 This study found a positive association between syphilis prevalence during the  
169 period 1990-1999 and peak-HIV prevalence at a country level. Syphilis prevalence in  
170 the 1990s predicted approximately 52% of the variation in peak HIV prevalence. This  
171 same relationship has been found at the levels of individuals (18, 19), ethnic/racial



172 groups (1, 2) and world regions (4). For example, a study that assessed this  
173 relationship at the ethnic group level in the United States of America and South  
174 Africa found a strong positive association between syphilis prevalence (predating  
175 peak HIV prevalence) and HIV prevalence (2). However, not all studies have found  
176 this positive association (19). The fact that the same positive association has been  
177 found between HIV and other STIs at the levels of individuals, ethnic/racial groups  
178 and countries (2, 4) reduces the chance that this relationship is explained by  
179 confounding, or is due to an ecological or other form of bias. Rather, this association  
180 suggests that either syphilis played a significant role in the spread of HIV, or that  
181 both are determined by the same or similar factors.

182

183 There are a number of limitations with this type of retrospective study; chief amongst  
184 these is the quality of the syphilis prevalence data. Peak HIV data was only reported  
185 for 149 countries and we only had combined HIV and syphilis data for 76 countries.  
186 Of the countries with data, prevalence estimates were based on relatively small or  
187 somewhat selected samples that may not be representative of the general  
188 population. Specifically, many of the studies are from urban areas and are studies of  
189 women presenting for antenatal care. It would also would have been optimal to have  
190 had an earlier range syphilis prevalence estimates since some countries started  
191 attaining their peak HIV prevalences in the early/mid 1990's, such as Uganda where  
192 HIV peaked in 1991. We controlled for the effect of circumcision rates on the  
193 association between syphilis and peak HIV prevalence, but we cannot exclude the  
194 possibility that there are other confounders that we have not controlled for. We  
195 performed a regression of syphilis data *versus* modeled estimates of HIV. Ideally  
196 data *versus* data or estimates *versus* estimates would be compared. The effect of

197 each of these data and methodological limitations would dilute the strength of an  
198 association between syphilis and peak HIV prevalence.

199

200 A syphilis testing regime correction factor was also included in the analysis and  
201 when this factor was applied the association between syphilis and HIV was  
202 weakened. This could be explained by the fact that syphilis prevalences were  
203 overestimated in the studies that only use antibody testing which cannot distinguish  
204 reinfections from previous infections. The correction factors have not been validated  
205 in separate studies and hence they may lead to inaccurate prevalence estimates  
206 which is an alternative explanation for the observed attenuation in HIV/syphilis  
207 association.

208

209 There is increasing evidence that AIDS mortality played a role in the remarkable  
210 decline of syphilis prevalence in general populations in Southern and Eastern Africa  
211 (20-22) and MSM populations in North America (23). In high income countries, the  
212 widespread availability of antiretroviral therapy has led to a decline in AIDS mortality  
213 and partly as a result, MSM sexual networks are returning towards a high-risk pre-  
214 AIDS structure with a concomitant increase in syphilis and other STIs (24, 25). It is  
215 important to appreciate that populations with generalized HIV epidemics had a  
216 higher prevalence of other STIs such as syphilis in the pre-HIV period (2) as this  
217 provides an additional rationale to carefully monitor sexual behavior, sexual networks  
218 and STI incidence in these populations to allow for the early detection of any return  
219 towards to a pre HIV risk configuration (20).

220

221

222

223 Figure 1. Association between peak HIV prevalence and the median prevalence of  
224 syphilis (expressed as a percentage) in all studies performed between the years  
225 1990-1999 in antenatal women in 76 countries unadjusted for testing strategy ( $R^2 =$   
226  $0.53$ ,  $P < 0.001$ ,  $n = 76$ ). Blue colored dots in the figure indicate countries located in  
227 sub-Saharan Africa; red colored dots indicate non-sub-Saharan African countries.

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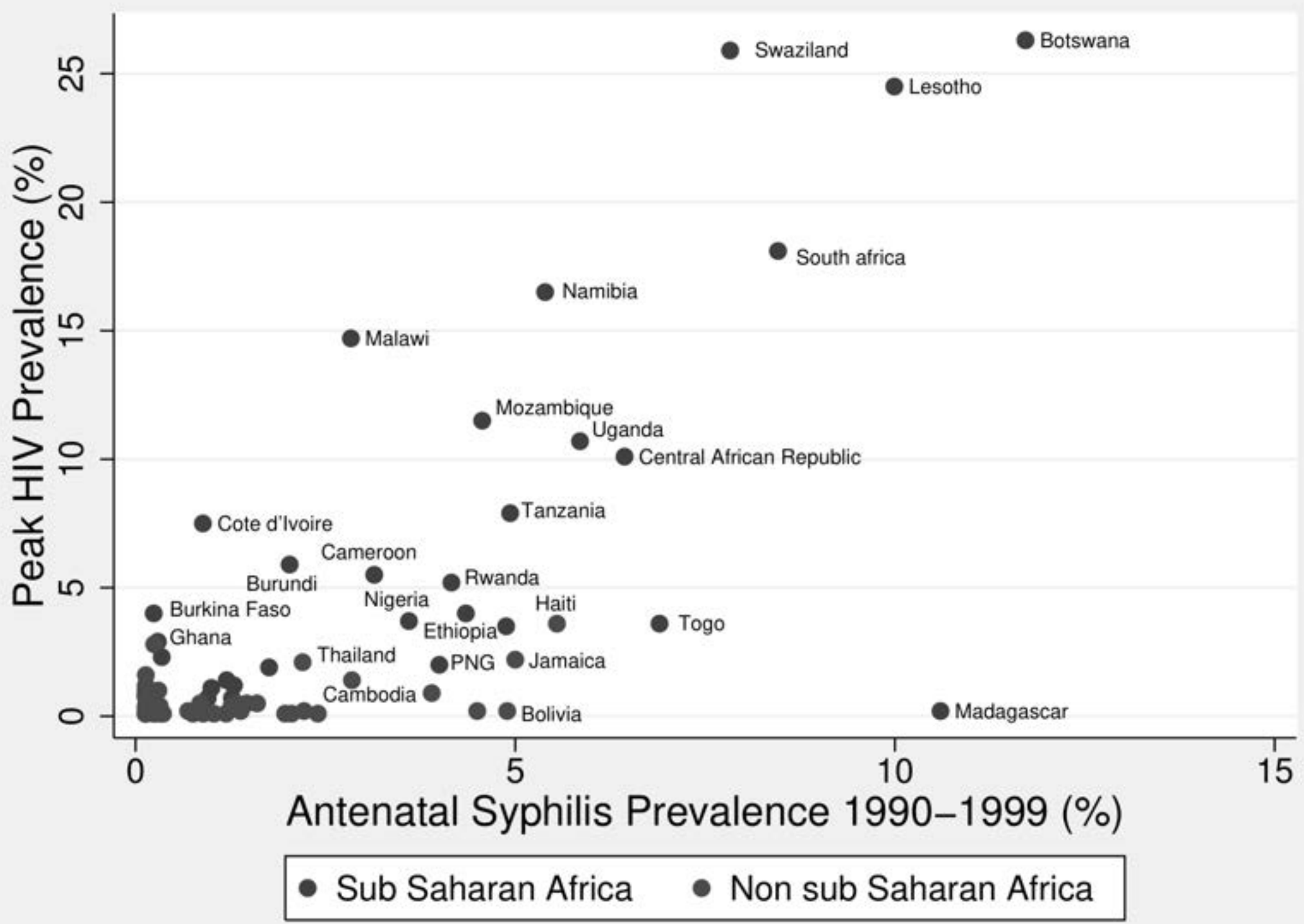
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Figure



# PLOS ONE

## Voluntary Medical Male Circumcision for HIV prevention among adolescents in Kenya: unintended consequences of pursuing service-delivery targets

--Manuscript Draft--

Manuscript Number:	PONE-D-19-03571R1
Article Type:	Research Article
Full Title:	Voluntary Medical Male Circumcision for HIV prevention among adolescents in Kenya: unintended consequences of pursuing service-delivery targets
Short Title:	VMMC and unintended consequences of service-delivery targets in Kenya
Corresponding Author:	Adam Gilbertson Pacific Institute for Research and Evaluation Chapel Hill, North Carolina UNITED STATES
Keywords:	voluntary medical male circumcision; VMMC; targets; public health intervention targets; HIV prevention; VMMC mobilization; VMMC demand creation; VMMC sensitization; public health intervention; service-delivery targets; VMMC recruitment; Ethics; Kenya; western Kenya; qualitative research
Abstract:	<p><b>Abstract</b></p> <p>Voluntary medical male circumcision (VMMC) provides significant reductions in the risk of female-to-male HIV transmission. Since 2007, VMMC has been a key component of the United States President's Emergency Plan for AIDS Relief's (PEPFAR) strategy to mitigate the HIV epidemic in countries with high HIV prevalence and low circumcision rates. To ensure intended effects, PEPFAR sets ambitious annual circumcision targets and provides funding to implementation partners to deliver local VMMC services. In Kenya to date, 1.9 million males have been circumcised; in 2017, 60% of circumcisions were among 10-14-year-olds. We conducted a qualitative field study to learn more about VMMC program implementation in Kenya.</p> <p>The study setting was a region in Kenya with high HIV prevalence and low male circumcision rates. From March 2017 through April 2018, we carried out in-depth interviews with 29 VMMC stakeholders, including "mobilizers", HIV counselors, clinical providers, schoolteachers, and policy professionals. Additionally, we undertook observation sessions at 14 VMMC clinics while services were provided and observed mobilization activities at 13 community venues including, two schools, four public marketplaces, two fishing villages, and five inland villages. Analysis of interview transcripts and observation field notes revealed multiple unintended consequences linked to the pursuit of targets. Ebbs and flows in the availability of school-age youth together with the drive to meet targets may result in increased burdens on clinics, long waits for care, potentially misleading mobilization practices, and deviations from the standard of care.</p> <p>Our findings indicate shortcomings in the quality of procedures in VMMC programs in a low-resource setting, and more importantly, that the pursuit of ambitious public health targets may lead to compromised service delivery, consent practices, and protocol adherence. There is a need to develop improved or alternative systems to balance the goal of increasing service uptake with the responsible conduct of VMMC.</p>
Order of Authors:	<p>Adam Gilbertson</p> <p>Barrack Ongill</p> <p>Frederick S. Odongo</p> <p>Denise D. Hallfors</p> <p>Stuart Rennie</p> <p>Daniel Kwaro</p> <p>Winnie K. Luseno</p>
Response to Reviewers:	We have revised our manuscript, "Voluntary Medical Male Circumcision for HIV



	<p>prevention among adolescents in Kenya: unintended consequences of pursuing service-delivery targets" in accordance with our two reviewers' recommendations. These revisions include those aimed to mask the exact location of this study as well as the individuals and organizations involved in it, including removing the "western" from "western Kenya" in the original title.</p> <p>To reduce the risk of deductive disclosure and protect the privacy and confidentiality of our research participants, we have revised our manuscript to be intentionally vague about the location of the study and have made extensive efforts to ensure that our individual participants and their employers (VMMC implementation partners or IPs) cannot be identified.</p>
Additional Information:	
Question	Response
<p><b>Financial Disclosure</b></p> <p>Enter a financial disclosure statement that describes the sources of funding for the work included in this submission. Review the <a href="#">submission guidelines</a> for detailed requirements. View published research articles from <i>PLOS ONE</i> for specific examples.</p> <p>This statement is required for submission and <b>will appear in the published article</b> if the submission is accepted. Please make sure it is accurate.</p> <p><b>Unfunded studies</b> Enter: <i>The author(s) received no specific funding for this work.</i></p> <p><b>Funded studies</b> Enter a statement with the following details:</p> <ul style="list-style-type: none"> <li>• Initials of the authors who received each award</li> <li>• Grant numbers awarded to each author</li> <li>• The full name of each funder</li> <li>• URL of each funder website</li> <li>• Did the sponsors or funders play any role in the study design, data collection and analysis, decision to publish, or preparation of the manuscript?</li> <li>• <b>NO</b> - Include this sentence at the end of your statement: <i>The funders had no role in study design, data collection and analysis, decision to publish, or preparation of the manuscript.</i></li> <li>• <b>YES</b> - Specify the role(s) played.</li> </ul> <p>* typeset</p>	<p>This research was funded by the U.S. National Institute of Mental Health grant number 3R01MH102125-03S1 (Luseno, W. K., Principal Investigator) <a href="https://www.nimh.nih.gov/index.shtml">https://www.nimh.nih.gov/index.shtml</a></p> <p>The funders had no role in study design, data collection and analysis, decision to publish, or preparation of the manuscript.</p>
Competing Interests	The authors have declared that no competing interests exist.

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The institutional review boards of the Pacific Institute for Research and Evaluation (PIRE) and the Kenya Medical Research Institute (KEMRI) approved all study activities, including all the informed consent procedures described above. Locally, the county-level Ministries of Health and Education and the directors of each IP gave us approval to conduct this research.

In-depth interview participants were offered the option to provide either written or verbal informed consent to participate. We requested and were granted a waiver of Documentation of Informed Consent for these interviews since the only record linking the participant and the research would be the consent document and the principal risk would be potential harm resulting from a breach of confidentiality. The type of informed consent (verbal or written) for each participant was recorded in a file by a research staff member. Most interviewees (28 of the 29) chose written consent. Signed consent forms were stored securely at KEMRI.

We also conducted observation sessions within VMMC clinics and during mobilization activities in non-clinical settings (e.g., fishing villages, schools, markets). Research staff provided information about the study to those in charge of VMMC facilities. VMMC recruiters known as "mobilizers" provided verbal informed consent to participate, which

<p>information entered here is included in the <b>Methods section of the manuscript.</b></p>	<p>was noted/confirmed by our research staff who followed a script for administering informed consent procedures). We sought, and were granted, a Waiver of Documentation of Informed Consent for the recruiters and/or mobilizers based on the reason that the research presented no more than minimal risk of harm to subjects and involved no procedures for which written consent is normally required outside of the research context (See International Ethical Guidelines for Health-related Research Involving Humans, CIOMS, 2016, Guideline 9, p. 34-35, <a href="https://cioms.ch/wp-content/uploads/2017/01/WEB-CIOMS-EthicalGuidelines.pdf">https://cioms.ch/wp-content/uploads/2017/01/WEB-CIOMS-EthicalGuidelines.pdf</a>).</p>
<p><b>Format for specific study types</b></p> <p><b>Human Subject Research (involving human participants and/or tissue)</b></p> <ul style="list-style-type: none"> <li>• Give the name of the institutional review board or ethics committee that approved the study</li> <li>• Include the approval number and/or a statement indicating approval of this research</li> <li>• Indicate the form of consent obtained (written/oral) or the reason that consent was not obtained (e.g. the data were analyzed anonymously)</li> </ul> <p><b>Animal Research (involving vertebrate animals, embryos or tissues)</b></p> <ul style="list-style-type: none"> <li>• Provide the name of the Institutional Animal Care and Use Committee (IACUC) or other relevant ethics board that reviewed the study protocol, and indicate whether they approved this research or granted a formal waiver of ethical approval</li> <li>• Include an approval number if one was obtained</li> <li>• If the study involved <i>non-human primates</i>, add <i>additional details</i> about animal welfare and steps taken to ameliorate suffering</li> <li>• If anesthesia, euthanasia, or any kind of animal sacrifice is part of the study, include briefly which substances and/or methods were applied</li> </ul> <p><b>Field Research</b></p> <p>Include the following details if this study involves the collection of plant, animal, or other materials from a natural setting:</p> <ul style="list-style-type: none"> <li>• Field permit number</li> <li>• Name of the institution or relevant body that granted permission</li> </ul>	<p>Concerning the observations that took place at VMMC clinics and during mobilization activities in public spaces, neither written nor verbal consent was sought from VMMC clients or their parents since they were observed in the context of a clinic which in this part of Kenya is a <i>de facto</i> public space, and seeking formal consent was not feasible. Study staff approached a clinical officer (or another staff member who could authorize our research activity) at the clinic to explain the research project and the proposed observation. Prior to in-school observation sessions, school authorities and teachers were informed about the research and gave us permission to conduct the research activities. We sought and received a waiver of informed consent for adolescents and their parents (if in attendance) as well as from other individuals in attendance at the clinic (e.g., doctors, nurses, etc.) for the following reasons: the nature of this part of the research was observational and low risk; we were not collecting individual-level data; the waiver would not adversely affect their rights and welfare; the observational data could not be feasibly collected without the waiver; the research had important social value; and the only identifiable record linking the participant and the research would be the consent document, creating a principal risk for potential harm from a breach of confidentiality. This waiver is also consistent with the guidance in the International Ethical Guidelines for Health-Related Research Involving Humans (2016) by the Council for International Organizations of Medical Sciences (CIOMS, Guideline 10, p.37). The ethics committees at the Pacific Institute for Research and Evaluation (PIRE) and the Kenya Medical Research Institute (KEMRI) both approved all our consent procedures.</p>
<p><b>Data Availability</b></p> <p>Authors are required to make all data underlying the findings described fully available, without restriction, and from the time of publication. PLOS allows rare exceptions to address legal and ethical</p>	<p>No - some restrictions will apply</p>

concerns. See the [PLOS Data Policy](#) and [FAQ](#) for detailed information.

A Data Availability Statement describing where the data can be found is required at submission. Your answers to this question constitute the Data Availability Statement and **will be published in the article**, if accepted.

**Important:** Stating 'data available on request from the author' is not sufficient. If your data are only available upon request, select 'No' for the first question and explain your exceptional situation in the text box.

Do the authors confirm that all data underlying the findings described in their manuscript are fully available without restriction?

**Describe where the data may be found in full sentences. If you are copying our sample text, replace any instances of XXX with the appropriate details.**

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- If the data are all contained **within the manuscript and/or Supporting Information files**, enter the following: *All relevant data are within the manuscript and its Supporting Information files.*
- If neither of these applies but you are able to provide **details of access elsewhere**, with or without limitations, please do so. For example:

*Data cannot be shared publicly because of [XXX]. Data are available from the XXX Institutional Data Access / Ethics Committee (contact via XXX) for researchers who meet the criteria for access to confidential data.*

We conducted a small, qualitative study that gathered sensitive data via in-person, in-depth interviews and field observation sessions. Our participants were VMMC stakeholders including VMMC policy professionals, clinical service providers (e.g., surgeons, nurses, infection prevention officers), VMMC and HIV counsellors, local school teachers, and VMMC client recruiters known as "mobilizers". Identification of participants through deductive disclosure could lead to harm, particularly harm to livelihood and reputation.

To reduce the risk of deductive disclosure and protect the privacy and confidentiality of our research participants, we have revised our manuscript to be intentionally vague about the exact location of the study. However, if our data are made publicly available, even in an anonymized form, there remains a risk that the participating stakeholders and/or their employers (local NGOs that receive funding as "Implementation Partners" from PEPFAR, etc. to carry out circumcisions in Kenya) could be identified. This risk of deductive disclosure was our reviewers' top concern. As such, there are strong ethical reasons not to make our data publicly available.

Based on advice from our Institutional Review Board (IRB), we have determined that we cannot make the data publicly available because we did not obtain consent from our participants to share their data for other research purposes. Contact information for the PIRE IRB is: Elysia Oudemans-Tilley, Director of Research Integrity and Compliance, PIRE, phone 301-755-2757, [oudemans@pire.org](mailto:oudemans@pire.org).

<p><i>The data underlying the results presented in the study are available from (include the name of the third party and contact information or URL).</i></p> <ul style="list-style-type: none"><li>• This text is appropriate if the data are owned by a third party and authors do not have permission to share the data.</li></ul> <p>* typeset</p>	
Additional data availability information:	

**Title:** Voluntary medical male circumcision for HIV prevention among adolescents in Kenya: unintended consequences of pursuing service-delivery targets

**Short title:** VMMC and unintended consequences of service-delivery targets in Kenya

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1 **Abstract**

2 Voluntary medical male circumcision (VMMC) provides significant reductions in the risk of  
3 female-to-male HIV transmission. Since 2007, VMMC has been a key component of the United  
4 States President's Emergency Plan for AIDS Relief's (PEPFAR) strategy to mitigate the HIV  
5 epidemic in countries with high HIV prevalence and low circumcision rates. To ensure intended  
6 effects, PEPFAR sets ambitious annual circumcision targets and provides funding to  
7 implementation partners to deliver local VMMC services. In Kenya to date, 1.9 million males  
8 have been circumcised; in 2017, 60% of circumcisions were among 10-14-year-olds. We  
9 conducted a qualitative field study to learn more about VMMC program implementation in  
10 Kenya.

11  
12 The study setting was a region in Kenya with high HIV prevalence and low male circumcision  
13 rates. From March 2017 through April 2018, we carried out in-depth interviews with 29 VMMC  
14 stakeholders, including "mobilizers", HIV counselors, clinical providers, schoolteachers, and  
15 policy professionals. Additionally, we undertook observation sessions at 14 VMMC clinics while  
16 services were provided and observed mobilization activities at 13 community venues including,  
17 two schools, four public marketplaces, two fishing villages, and five inland villages. Analysis of  
18 interview transcripts and observation field notes revealed multiple unintended consequences  
19 linked to the pursuit of targets. Ebbs and flows in the availability of school-age youth together  
20 with the drive to meet targets may result in increased burdens on clinics, long waits for care,  
21 potentially misleading mobilization practices, and deviations from the standard of care.

22  
23 Our findings indicate shortcomings in the quality of procedures in VMMC programs in a low-  
24 resource setting, and more importantly, that the pursuit of ambitious public health targets may  
25 lead to compromised service delivery, consent practices, and protocol adherence. There is a  
26 need to develop improved or alternative systems to balance the goal of increasing service  
27 uptake with the responsible conduct of VMMC.

28 **INTRODUCTION**

29 Voluntary medical male circumcision (VMMC) provides significant reductions in the risk of  
30 female to male HIV transmission.[1-3] Since 2007, VMMC has been a key component of the  
31 Joint United Nations Program on HIV/AIDS (UNAIDS) strategy for ending AIDS by 2030 and  
32 a top priority of the United States Agency for International Development (USAID) and the  
33 United States President's Emergency Plan for AIDS Relief (PEPFAR) to combat HIV in sub-  
34 Saharan Africa.[4, 5] Between 2007 and 2018, almost 19 million PEPFAR-supported  
35 circumcisions were performed in 14 priority World Health Organization (WHO) designated  
36 countries in eastern and southern Africa (ESA); 10% (more than 1.9 million) of these MCs  
37 took place in Kenya.[6] From early on, Kenya was ahead of other countries in VMMC scale-  
38 up, meeting 34% of its target in 2012 compared to 16% achieved by the next best country.[7]  
39 With a consistent record of meeting national VMMC uptake goals that sets it apart from other  
40 countries,[8] Kenya is often presented as a leading VMMC success story in ESA.[4, 9]

41  
42 The United States (US), via PEPFAR, took an early lead in funding VMMC for HIV  
43 prevention programs in ESA. In 2016, PEPFAR spent over 15 million US dollars (USD) for  
44 VMMC services in Kenya alone.[10] These funds are distributed to VMMC implementing  
45 partners (IPs) in Kenya and other ESA countries to establish and maintain VMMC services  
46 within designated catchments in regions of high HIV prevalence and low levels of male  
47 circumcision (MC).[11, 12] These IPs include non-governmental organizations (NGOs),  
48 community-based organizations, faith-based organizations, or other non-profit or for profit  
49 entities. Each IP is accountable to its funding body via in-country intermediates and is  
50 required to keep accurate records and to make regular reports concerning the services  
51 provided, numbers of circumcisions performed, and observed adverse events.[13, 14]

52  
53 As a part of this process, epidemiological model-informed quotas or "targets" are set by  
54 funders for the number of MCs to be performed within a given timeframe (e.g., annually). In  
55 2016, 264,490 PEPFAR-funded MCs were performed in Kenya,[15] thus exceeding the 2016



56 target of 240,000 MCs.[16] In Kenya, PEPFAR has identified 11 VMMC underserved, priority  
57 counties.[16] In 2017, the coverage rates for 15-29 year-old males among all priority  
58 counties were reported to be approaching or to have reached 80%.[16] though more recent  
59 studies have called into question these coverage estimates.[17] To achieve this goal of 80%  
60 MC coverage within all these underserved areas by 2019, PEPFAR Kenya set a target of  
61 300,000 new MCs in 2017, a 25% increase from the 2016 target.[16] In 2014, Kenya began  
62 offering VMMC services to boys aged 10-14 years citing "high demand for MC amongst this  
63 age group", cultural preference, and pre-sexual debut and/or less sexual activity as the  
64 rationale.[18] Between October 2016 and September 2017, 227,272 PEPFAR-sponsored  
65 MCs were performed in Kenya; 60% of these were among boys aged between 10-14 years,  
66 23% were among 15-19 year-olds, and 14% were among 20-29 year-olds.[15] Among all  
67 priority-designated counties in Kenya, UNAIDS estimates cited by PEPFAR suggest that an  
68 additional 290,000 MCs may be needed to achieve 80% MC coverage among 10-29 year-  
69 olds, or an additional 500,000 to achieve 90% coverage by 2021.[16]

70

71 Previous research has highlighted ethical and practical concerns associated with VMMC  
72 implementation for HIV prevention.[19] Among school-going adolescent and young adult  
73 males in ESA, these concerns include recruitment practices (referred to as mobilization in  
74 VMMC programs),[20] consent and assent,[21] and access to follow-up care.[22] Additional  
75 issues include adolescent understanding of VMMC's purpose,[23] MC's relevant benefits  
76 and risks,[21, 24, 25] and the importance of HIV protective behaviors following  
77 circumcision.[26] A 2014 assessment of VMMC quality of care across four countries (Kenya,  
78 South Africa, Tanzania, Zimbabwe) identified deficiencies including providers' failures to  
79 adhere to national VMMC best-practice guidelines and a lack of equipment and supplies at  
80 VMMC clinics.[27] Similarly, a 2017 report prepared for review by USAID identified multiple  
81 "quality gaps" in VMMC implementation, including adverse events, low client follow-up rates,  
82 and inconsistent messaging to clients.[28]

83

84 During research for a parent study on the responsible conduct of HIV research in adolescent  
 85 populations in Kenya we received anecdotal reports from local health leaders and  
 86 community stakeholders that raised concerns about the ethical implementation of VMMC  
 87 among adolescents. Given the known ethical and practical concerns linked to VMMC  
 88 implementation across ESA, we set out to conduct a qualitative study to learn more about  
 89 VMMC practices “on the ground” in Kenya. Presented below, an analysis of the qualitative  
 90 data we collected revealed that the pressure to meet funder-set service-delivery targets  
 91 appear to generate some unintended consequences concerning the services provided  
 92 and/or standards maintained by VMMC IPs.

93

## 94 **METHODS**

95 HIV prevalence in Kenya in 2017 was 4.8% overall among individuals aged 15–49, with a  
 96 range at the county level of between 0.1% and 21.0%.[29] This study was conducted in an  
 97 area of Kenya with elevated rates of HIV and historically low uptake of MC. From March  
 98 2017 through April 2018, we conducted in-depth interviews with 29 VMMC stakeholders (21  
 99 males and 8 females), including schoolteachers, program recruiters (known locally as  
 100 “mobilizers”), HIV counsellors, clinical providers, and policy professionals (e.g., local VMMC  
 101 technical advisors). Table 1 lists these stakeholder interviewee categories, the number of  
 102 stakeholders from each IP or school, and descriptions of each category’s role or links to  
 103 VMMC program implementation. In addition to these interviews, we conducted field  
 104 observation sessions at VMMC clinics located in the research area.

105 Table 1. Stakeholder interviewee categories, number of interviewees’ and their employers,  
 106 and descriptions of stakeholders’ involvement in VMMC.

Stakeholders	Interviewees/ employers	Involvement in VMMC
<b>School teachers</b> N=6	5 primary schools and 1 high school	Many adolescents are mobilized for VMMC at school; teachers facilitate access to students for VMMC mobilizers, are a source of information for students and parents, and often help to distribute and collect consent forms.
<b>Mobilizers</b> N=9	IP A: 5 IP B: 3 IP C: 1	Mobilizers are recruiters of VMMC “clients” (individuals who receive VMMC services). They are paid to conduct informational outreach activities, including “health talks” in schools, and to ensure that VMMC facilities have access to adequate numbers of clients to meet targets.

<b>Counselors N=4</b>	IP A: 2 IP B: 2	VMMC counsellors inform boys about VMMC benefits and risks, as well as post-circumcision wound care and healing best practices. They oversee consent and assent and conduct HIV counselling and testing.
<b>Clinical providers N=7</b>	IP A: 4 IP B: 1 IP D: 1 IP E: 1	Clinical providers perform circumcisions and provide post-procedure care, at VMMC facilities. These include clinical officers (surgeons), nurses, and infection prevention officers. Nurses assist clinical officers during circumcisions. Infection prevention officers oversee the surgical instruments, sanitary practices, and waste collection and disposal.
<b>Policy makers N=3</b>	IP A: 1 IP B: 1 GOK: 1	Polycymakers are individuals involved in, or with in-depth knowledge concerning, VMMC practices and policies in Kenya.

107 VMMC, Voluntary Medical Male Circumcision; IP, Implementation partner; GOK,  
108 Government of Kenya.

109 To recruit stakeholders for interviews, our Community Liaison Officer asked for assistance  
110 from authorities at the regional Ministry of Health, Ministry of Education, and Health  
111 Department. Additionally, we sought approval from six VMMC IP NGOs to conduct  
112 interviews with their staff members and observation sessions at their clinics (see Table 1).  
113 Two of these IPs approved our request to conduct interviews and observation sessions (IPs  
114 A and B). Three of these IPs gave their approval for interviews but declined our request to  
115 conduct observation sessions (IPs C, D, E). One IP declined to approve both interviews and  
116 observation sessions. These authorities and IPs assisted us to identify local VMMC  
117 professionals with at least three years of experience related to policy, clinical services,  
118 counselling, or mobilization. In turn, VMMC mobilizers helped us to identify teachers involved  
119 with in-school VMMC mobilization activities referred to as "health talks". Once identified, our  
120 Community Liaison Officer and study coordinator (FSO) contacted potential participants in  
121 person or via telephone and inquired regarding their willingness to take part in an interview.  
122 Out of the 38 stakeholders contacted, 32 agreed to take part in an interview; of these, 29  
123 were available to attend the interview. Out of the 23 mobilizers, counsellors, clinical  
124 providers, and policy professionals interviewed, 12 were employed by IP A, seven by IP B,  
125 one by IP C, one by IP D, and one by IP E (see Table 1). An additional policy professional  
126 interviewee was employed by the Government of Kenya. The six teachers we interviewed  
127 came from five different local primary schools and one high school.

128

129 Based on preliminary fieldwork in the region in 2016, we developed interview guides for each  
130 stakeholder category via an iterative process that relied heavily on the input and experience  
131 of our Kenyan team members (WKL, BO, FSO, DK). These guides included questions  
132 related to careers, roles, and experiences with VMMC, use of targets/quotas,  
133 clinical/mobilization protocols and practices, record keeping/documentation, informed  
134 consent, HIV testing, benefits and risks, training, the structure/hierarchy of VMMC IP  
135 organizations, and remuneration (see S1 Interview Guides).

136

137 Study participation was voluntary, and all participants gave consent before interviews began.  
138 Stakeholder interviews were conducted by AG in English (Swahili and English in one  
139 interview). These interviews took place in quiet, secluded locations, lasted up to 90 minutes,  
140 and were audio-recorded with permission. Kenyan team members who are fluent in English,  
141 Swahili, and the local language listened to the audio-recorded interviews and translated and  
142 transcribed them in English. The transcription process involved three steps. First, audio-  
143 recorded interviews were transcribed verbatim. Second, the transcriber conducted quality  
144 assurance by reading the transcript while re-listening to the audio. Third, the transcriber  
145 submitted the English transcript and the audio file to the Study Coordinator (FSO) for final  
146 review and designation as the final version of the transcript. Any portions of interviews that  
147 contained Swahili were first transcribed in Swahili before being checked, translated to  
148 English, and rechecked for accuracy by the Study Coordinator. Each interviewee received  
149 500 Kenyan shillings (approximately 5 USD) for their time and transportation.

150

151 A Kenyan nurse and public health worker who is knowledgeable about VMMC clinical  
152 procedures and is fluent in English, Swahili, and the local language (BO) was our primary  
153 field observer. He conducted two- to five-hour observation sessions at 14 VMMC clinics run  
154 by the two NGOs (six administered by IP A and eight by IP B) and alongside the mobilization  
155 team activities at 13 community venues (six from IP A and seven from IP B). These  
156 venues/activities included two schools (health talks), four public marketplaces (mobilization

157 among local motorcycle taxi drivers), two fishing villages (informational speeches, music,  
158 skits; referred to as "roadshows"), and five inland villages (door-to-door adolescent  
159 mobilization). The nurse/public health worker documented what he observed in detailed field  
160 notes. A medical anthropologist (AG) supervised observation activities.

161

162 We identified four health facility levels (levels 2, 3, 4, and 5) for VMMC observation. Level 2  
163 facilities include dispensaries and small clinics usually run by nurses that provide basic  
164 outpatient care to up to 10,000 people. Level 3 facilities are health centers that serve  
165 populations up to 30,000 and are staffed by midwives, nurses, clinical officers, and  
166 occasionally doctors. They provide basic curative and preventive, minor surgical and  
167 reproductive health services. Level 4 facilities are primary, high-volume referral hospitals that  
168 serve populations of 100,000 people. Services offered include curative and preventive care  
169 and surgeries and emergency services that are not available at smaller facilities. Level 5  
170 facilities serve larger populations. They focus on specialized services and provide clinical  
171 supervision and support to lower level, primary referral facilities.[30, 31]

172

173 Our Kenya-based research team selected VMMC clinics to ensure that we conducted  
174 observations at a variety of facility levels in various sub-counties, as well as during relatively  
175 active (more than 30; up to 100 or more) and slow periods (less than 15) circumcisions per  
176 day. Out of the 14 VMMC facilities we observed, two were Level 2, six were Level 3, five  
177 were Level 4, and one was Level 5. Upon arrival at each facility, BO, our Community Liaison  
178 Officer, or our Study Coordinator (FSO) informed facility staff members about our research  
179 and provided them with details concerning their IP's approval for our observations to take  
180 place before they gave verbal consent. Observation sessions tended to coincide with the  
181 arrival of adolescent VMMC clients and their subsequent intake, counselling, testing, and  
182 circumcision. The mobilization teams associated with these clinics were identified and  
183 approached outside of the clinical setting for permission to observe their activities (e.g.,  
184 mobilization events at schools or public markets). Before these observation sessions began,

185 members of the mobilization teams gave their verbal consent to be observed. Prior to  
186 beginning in-school observation sessions, school authorities and teachers were informed  
187 about the research and gave us permission to conduct the research activities.

188

189 The institutional review boards of the Pacific Institute for Research and Evaluation (PIRE)  
190 and the Kenya Medical Research Institute (KEMRI) approved all study activities, including all  
191 the informed consent procedures described above. Locally, the county-level Ministries of  
192 Health and Education and the directors of each IP gave us approval to conduct this  
193 research.

194

### 195 *Analysis*

196 Kenyan team members translated and transcribed the audio-recorded interviews and  
197 checked the final transcripts for accuracy. U.S.-based team members conducted an early  
198 analysis of interviewee transcripts and observation-obtained field notes. The potential for the  
199 pursuit of VMMC targets to contribute to unintended consequences emerged during early  
200 data analysis. We created the codebook to define and identify themes related to the use of  
201 targets in VMMC policy and service delivery.[32] Two team members then independently  
202 reviewed and coded the full set of transcripts and field notes using MAXQDA 12[33]. Any  
203 coding discrepancies were discussed and resolved by the two coders. Code reports were  
204 produced and reviewed, and quotes were selected to illustrate typical responses for each  
205 theme. We present these quotes below, along with corroborating field observations, and  
206 participant demographics and identification numbers.

207

## 208 **RESULTS**

209 To meet VMMC quotas, local IPs communicate target numbers to their VMMC clinics and to  
210 the mobilizers who are responsible for supplying these clinics with clients to circumcise. The  
211 numbers of adolescent clients who undergo VMMC vary across the year, depending on the  
212 relative availability of clients and/or the ability of mobilizers to access them.

213

214 *Implications of the pursuit of VMMC targets*

215 Data collected from the stakeholder interviews and field notes suggest that funder-  
216 designated targets for VMMC service delivery effectively motivate mobilizers and clinic staff.  
217 As one 33-year-old, male mobilization supervisor put it, "...target or quotas act as the engine  
218 to make us do a lot of work and ensure that there is continuous [flow of clients]" [Mobilizer 1].  
219 For mobilizers especially, monthly remuneration (and their future employment) depends on  
220 referring enough clients to the clinic each week; failing means earning less, regardless of the  
221 amount of time and effort invested in mobilization. From our interviews we learned that while  
222 most mobilization supervisors receive a base salary from their IPs regardless of the targets,  
223 other lower-level, subcontracted "associate" mobilizers or "peer educators" only receive  
224 payment by providing clients and meeting targets. Describing the competition this system  
225 fosters among mobilizers, a 28-year-old, male community-level mobilizer explained, "...we  
226 are paid upon reaching the target [...] so we are really competing, until somebody may grab  
227 your clients before you know it" [Mobilizer 2]. For VMMC clinics, achieving targets is  
228 essential to the continued funding of their respective IPs. A 49-year-old, male mobilization  
229 supervisor offered, "...targets... are about funding, and [if] you don't reach your target, you  
230 don't get funding" [Mobilizer 3]. However, data analysis revealed that beyond motivating staff  
231 members, the pursuit of targets may introduce problems for VMMC implementation.  
232 Presented below, these areas of concern relate to the ebb and flow periods of VMMC client  
233 availability (referred to with reference to agricultural "seasonality" elsewhere),[34, 35] and the  
234 resulting increased burdens on clinic resources and staff, long waits for clients, potentially  
235 misleading or problematic mobilization practices, including possibly undue inducements)  
236 questionable uses of social pressure, and circumcision of children under age ten. By undue  
237 inducements, we mean benefits (monetary or non-monetary) that are used to motivate  
238 prospective participants (in this case adolescents or young adults) to join a study—benefits  
239 that may be inappropriate because of their potential to distort a participant's understanding

240 of the study.[36] A final issue that we link to the pursuit of targets is the reduced quality of  
241 clinical care.

242

243 *Variations in VMMC client availability: "high and low seasons"*

244 Adolescent client availability for circumcision rises and falls throughout the year, primarily  
245 due to school schedules: schools do not allow VMMC mobilization to take place on school  
246 grounds near the time of exams or other especially busy periods in the year. This results in  
247 "high" and "low" seasons for VMMC among these age groups. As Mobilizer 1 explained:

248         There are times when they are having exams, or when exams are approaching, or when there  
249         is athletics or ball [soccer]; when those are taking place, we don't get people coming... we  
250         end up having zero clients cut [circumcised]. And you know, when there are zero clients cut,  
251         all the pressure comes to the mobilization supervisor because the surgeons will be saying,  
252         'We are ready to cut, where are the clients?' So the buck stops on me as the mobilizer; so it is  
253         not a very easy task.

254  
255 Likewise, a 30-year-old, female mobilization supervisor offered, "We bank on schools so  
256 much. And now, when they are taking their exams, you can't pick a child from school  
257 [...] ...when schools are so engaged, then definitely I will not meet that target" [Mobilizer 4].  
258 Recognizing the need to strategize VMMC service provision around local school schedules  
259 (and client availability), some IPs may institute "Rapid Results Initiatives" or similar short-  
260 term drives aimed at increasing dramatically the number of MCs conducted during school  
261 holidays.[9, 37] If funding is insufficient, or if IPs are otherwise unable to commit the  
262 resources (e.g., personnel, transportation, clinics, and medical supplies) needed for these  
263 drives, then targets may go unmet.

264

265 *Increased burden on VMMC facilities and staff*

266 VMMC clinical teams are generally comprised of at least one surgeon, surgical assistant,  
267 counsellor, and infection prevention officer. During the high season, efforts to meet targets  
268 may push some teams to circumcise more clients than the approved quota to be conducted  
269 per day per team. In 2015, this limit was 15 circumcisions per day.[18] In 2018, we observed  
270 an IP internal document dated December 2017 that was posted to the wall in a public space



271 of one of the clinics in which we conducted observations. This memo made clear that the IP  
272 was aware that "some teams are circumcising over and above the safe maximum number of  
273 clients per team" and that this limit is 25 clients per day. During one observation session on  
274 a high season day at a Level 4 facility, we counted over 100 boys waiting to be circumcised  
275 at a clinic with four surgical beds. At this same facility in the low season, less than half this  
276 number may be circumcised in a month (see Fig 1). On days when an unexpectedly high  
277 number of boys are mobilized for circumcision, VMMC teams may be left scrambling to call  
278 in additional staff. As one 39-year-old, male Clinical Officer explained: "If the number is high,  
279 you have to get those who are locum [temporary, fill-in staff]; for instance, like today, if the  
280 number is beyond 60 you have to get those for locum because one counselor cannot handle  
281 60 clients. So we do arrangements prior and we talk to the office and ask them to give us  
282 assistance [Provider 1]"

283 Fig 1. VMMC Progress Chart for 2017 displayed on the wall of a clinic.

284

285 Referred to as "moonlighting" by our interviewees, VMMC staff may sometimes work extra  
286 hours, late into the evening, and/or over weekends or holidays when they would not normally  
287 be expected to work (for which they receive extra compensation) in order to handle large  
288 client loads, meet current targets, or make up for previous months when a lack of clients  
289 meant targets were missed. One 33-year-old, female counselor explained, "Yeah, you are  
290 put into pressure and you have to get the targets. We always know we have to get our  
291 targets, come what may. So we do moonlight to get those targets" [Counselor 1]. Similarly, a  
292 39-year-old male Clinical Officer told us: "...if the number is high sometimes we can extend  
293 to weekends; and based on the clients flow like now... schools are going to be closed, and  
294 hence the number of clients is going to be high. So tomorrow [Good Friday] we may work"  
295 [Provider 2].

296

297 While severe adverse events (AEs) beyond minor swelling, bleeding, or infection are  
298 rare,[38] when they do occur a few of our interviewees suggested that provider fatigue may

299 be a contributing factor. Referencing one case, a 42-year-old male VMMC Clinical Officer  
300 offered:

301 Then the other factor is the high numbers given as targets annually. So if you look at the  
302 number of clients you should do, you get fatigued along the line because remember you stand  
303 all day. So if you do 20 clients or 25 clients in a day, every day, say from Monday to Friday, it  
304 is a hell of a tiresome job.... So most of those AEs [are] attributed to fatigue, because if you  
305 look at the timings of most of the AEs, it is usually late in the evening, after lunch, afternoon;  
306 so it has always been attributed to high volume in terms of target, and then fatigue, then  
307 people not following the protocol. You find somebody, because he wants to finish faster, they  
308 want to split [meaning the surgeon and the assistant each operate on their own] instead of  
309 [following] MC protocol [and working together].... It reaches a point where you have to split  
310 where everyone is doing [operating alone] because the number is high.... [Provider 3]  
311

### 312 *Long waits at VMMC clinics*

313 Another unintended consequence of targets for school-aged clients is the long waits they  
314 may experience at VMMC clinics before and after MC, especially during the high season.  
315 Despite mobilizer claims about the short duration of the procedure, inadequate numbers of  
316 vehicles to transport boys, rough road conditions (especially during the rainy season), and  
317 sometimes understaffed and under-resourced VMMC clinics mean that adolescents may  
318 spend hours waiting to be circumcised and then to be ferried back home. While making  
319 observations at one Level 3 facility, boys arrived for circumcision at approximately 8am; due  
320 to the staff's late arrival (and possibly understaffing), circumcisions began at 12.30pm. At  
321 4.15pm, when we left, these children were still waiting at the facility for transportation home.  
322 Some of the children were crying and saying that they want the pain "to find them at home".  
323 One child stated, "We were told it will only take 20 minutes. This is not 20 minutes!"  
324 According to one 32-year-old, male primary school teacher, "...one day I attended a facility  
325 and [found] that a number of people are to be cut, but the officers who are doing this are just  
326 two. So they will do it up to very late [in the evening] and these boys are just there hungry,  
327 just with one bottle of soda which will not sustain them" [Teacher 1].  
328

### 329 *Suggestions of misleading or questionable mobilization practices*

330 Data collected during interviews and observations suggest that the drive to meet targets may  
331 lead some VMMC mobilizers to use misleading or otherwise questionable mobilization

332 practices to increase the number of adolescents they can refer for circumcision. As Mobilizer

333 1 explained:

334 Another thing is the peer mobilizers, yeah, someone wants to meet his or her targets and that  
335 is the time that they engage in what I called the uncouth methods, whereby the end justifies  
336 the means; whichever means that can make those clients come out. So you end up having  
337 the clients coming, but they are not coming for the VMMC the way we want it. They have  
338 been pushed; they have been coerced...yeah. This one is happening because of these  
339 targets. So that is the downside of the targets.

340

341 These practices are sometimes blatant: during one door-to-door village mobilization, the  
342 mobilizer kept referring to himself as "doctor" although he had no medical training. Another  
343 mobilizer, a 38-year-old male who referred to himself as a "VMMC champion" explained how  
344 he pays boys to help him mobilize their friends:

345 For us we are calling it 'broking [brokering] system'. So after the exercise, you tell him to go...  
346 and convince a friend and bring a friend... [...] For me I am using my own system being that  
347 we are not even allowed to give children money. But for me, because I have a target, and if I  
348 get my target, I am expecting 10800 KES [108 USD]. So I do divide this 10800 KES; maybe if  
349 he brings me two boys, I can give him up to 50 KES. I can even give out 100 KES [1 USD].  
350 The moment I give that money, 50 bob, to that boy, he is going to bring me more four boys.  
351 So the more he bring boys for instance if they are four, I give 100-150 KES. At the end of the  
352 day, I will hit my target [Mobilizer 5].

353

354 Other times, these practices are subtler and easy to overlook. During a promotional skit in  
355 which mobilizers played the roles of a husband, wife, and brother all discussing VMMC, the  
356 message to the audience was one of strong female preference for circumcised men. The  
357 wife told her husband, "Go and cut your *firimbi* [whistle] ... go and remove that sleeve of a  
358 sweater. That whistle is not going inside me...don't blow the whistle inside me. I have  
359 refused.... I am not giving you [sex]." Later, when the husband's brother arrived, he  
360 explained that his wife is refusing to have sex. In response, his brother said, "I was passing  
361 here to tell you to go for circumcision. Look at me, I have gone and I am fine. I am now a  
362 clean person. Do you want your wife to conceive and have children?" Explaining the  
363 benefits, his brother offered that MC reduces HIV risk by 60% and enhances cleanliness  
364 ("you avoid smelling") and prevents cervical cancer ("women whose husbands have been  
365 circumcised don't get cervical cancer").

366

367 While VMMC does reduce HIV incidence rates by 60%[39-41] or more,[42, 43] it is  
368 misleading to suggest that MC inherently reduces an individual's risk of infection by 60% if  
369 the differences between absolute and relative risk are left unexplained. Similarly, while  
370 studies suggest that female preference for circumcised males exists,[44-46] it may also be  
371 misleading to generalize about female preferences during recruitment efforts. Furthermore,  
372 though MC does reduce the risk of human papillomavirus transmission from men to women,  
373 thereby reducing their risk for cervical cancer,[47] it is false to claim that women whose  
374 husbands are circumcised do not or cannot get cervical cancer.

375

376 This practice of emphasizing the benefits (including reducing the time needed to bathe and  
377 risk of acquiring penile cancer) and incentives related to MC while downplaying the risks was  
378 common among our participants. The most commonly discussed risks included temporary  
379 pain, minor swelling, and bleeding, while the Government of Kenya VMMC consent form  
380 (see S2 Government of Kenya consent form) in use at this time lists risks including bleeding,  
381 swelling, pain, infection, injury, numbness, sensitivity loss, mutilation, amputation, and HIV  
382 infection. These latter, more severe but rarer adverse events did not feature in the health  
383 talks and other mobilization activities we observed.

384

385 According to interviewees, food, money, water, sanitation, clothing, and other basic needs  
386 are issues of concern for many adolescents' households. During health talks, some  
387 mobilizers emphasized the incentives that boys will receive (e.g., sodas and/or new  
388 underwear) following circumcision—items that may be significant inducements among  
389 impoverished children. During a village mobilization effort, one mother explained her  
390 reluctance to let her son be circumcised: "...this one is small. Even his heart was there  
391 because those who have come from circumcision are 'seducing' their friends to go also.  
392 They are telling them, 'go and it is not painful.' They are also giving people sodas. So that  
393 soda is what brings them nearer. I told this boy not to sneak, but to wait and I will take him  
394 there..." Offering a similar analysis, Provider 3 told us:

395 Sometimes they also entice them for us; in the facility we give them a bottle of soda, Fanta to  
396 be specific because one, some of the boys come probably from poor families, they haven't  
397 had a meal in the morning or breakfast and sometimes stay in the clinic for more than two  
398 hours, three hours and thus to maintain their sugars. [But] that one [soda] becomes a bait,  
399 especially for the young ones "We will give you a soda; we pick you with a vehicle" ...which is  
400 actually wrong because, it is like enticing a client which you are not supposed to, but that is  
401 how they [mobilizers] ply their trade.

402 However, according to our interviewees and as we observed first hand, clinics sometimes  
403 lack sodas and/or underwear to give to clients.

404

#### 405 *Social pressure*

406 All six of the teachers we interviewed recognized social pressure as a primary motivator for  
407 MC among adolescents. During observations of mobilization activities, we saw firsthand how  
408 mobilizers sometimes make use of or encourage peer pressure to promote circumcision,  
409 including through the use of abusive and/or stigmatizing language. During a health talk in  
410 front of a large group of boys at a primary school, one male mobilizer referred to foreskins as  
411 the "sleeve of a sweater" and "cold *matumbo*" [boiled goat intestines]; at the end of the talk,  
412 he implored those who still had their "whistles" to have them removed. He also told them:  
413 "Those who are still having the foreskin, you are the ones who are going to spread the  
414 diseases. In the future, you are the ones who are going to spread the HIV virus." Later that  
415 same day, the mobilizer asked a large group of older students, "How many of you wish to get  
416 the HIV virus? If you don't want to get the HIV virus, the only option is to remove the  
417 foreskin." Revealing his awareness of this type of language and messaging within VMMC  
418 mobilization, Provider 3 explained:

419 This is demeaning and they try to create some peer pressure. Like when you go to those  
420 mass cuts in high schools, probably they registered like 100 clients, you would likely get  
421 another probably 30 or so who, because of the peer pressure, just jump into it. But if it was  
422 like walking from home to hospital and demanding for the service, they are not likely to have  
423 gone. But now that it is here, and so and so has gone and is my friend, I have to be in it.  
424

#### 425 *Circumcision of children*

426 Current VMMC for HIV prevention guidelines and national protocols approved for use in  
427 Kenya require that adolescent clients must be at least ten years of age.[48] Despite this  
428 directive, interviews and observations confirmed that VMMC staff sometimes mobilize and

429 circumcise boys who are under ten years of age in order to boost their numbers to meet  
430 targets. When asked about potential links between targets, mobilizer strategies, and  
431 underage circumcision, Provider 3 offered:

432 Yes, they bend the rules... because you know sometimes our current age according to WHO  
433 is 10 years and above. But when they [mobilizers] go to the field and get a nine-year-old for  
434 example, they coach this client and the parent, because we insist on seeing the consent. We  
435 always insist they put the ID and telephone number of the parent [on the consent form]. When  
436 they come to the facility, we [call to] confirm the age and whether the parent has consented  
437 for the child to be circumcised. And you know, they will tell you 'yes I am 10 years old', but  
438 when you look at the guy, he is probably 8 or....

439  
440 We observed evidence for the mobilization of underage boys at ten of the 14 VMMC facilities  
441 we visited for observation, including boys (and their mobilizers) who readily admitted that  
442 they were underage. However, as Mobilizer 1 noted, age verification is not easy or  
443 straightforward in Kenya: "Yes, we have had some cases where children try to adjust their  
444 age. For instance, he is eight years old, but insists he is ten years old; and they are even  
445 able to calculate that they were born on this date and in this year. Being that we don't have a  
446 way to verify that... then later you realize that this boy is underage." Yet, during observations  
447 made during school health talks, as well as during community-based door-to-door  
448 mobilization activities, we frequently observed mobilizers not asking boys their ages, opting  
449 instead to ask for their class in school as a proxy for their age.

450

#### 451 *Reduced quality of clinical care*

452 Under pressure to meet targets, some Clinical Officers and other VMMC medical staff find  
453 ways to speed up the clinical process. For example, as we were told during interviews and  
454 observed firsthand at multiple VMMC facilities, providers may opt not to take patient medical  
455 histories or conduct preoperative examinations (e.g., blood pressure, weight, preexisting  
456 conditions, etc.) and/or 30-minute post-operative check-ins, and yet fill in the requisite forms  
457 as if these activities had been completed. Other avenues for time-saving included, rushing  
458 circumcisions, inadequate stitching, splitting surgical utensil and bandage packs between  
459 patients, not following recommended protocols or procedures (e.g., the dorsal slit method for  
460 circumcision), and stacking patients one after another with little pause in between, which

461 raises additional sanitary and privacy concerns. According to an experienced VMMC  
462 surgeon:

463 We cut a lot of corners and I will give you an example: [...] so because of speed, you  
464 find that while one client is dressing [after circumcision], the other one is [already]  
465 undressing. Ideally, it is supposed [to be] that you finish with this client, you give  
466 [them] instructions on how to take medication and all that, but because of the high  
467 volume, you find that some of us we are not the ones giving out the medication. We  
468 assign somebody randomly, you know, who will be giving out the medication and the  
469 refreshment and so [the] information [they provide to the client] may not be correct.  
470 [...] Sometimes even the vitals of post-operation 30 minutes after [circumcision] are  
471 not done. It is skipped, or somebody else does [it] – probably a receptionist who is  
472 nonmedical, just to fulfill the requirements of the form. [This] is wrong because you  
473 are supposed to do the circumcision and the client rests for 30 minutes in a bed or a  
474 couch, then after that, you do the vitals then you discharge. Oh, even the wound care  
475 instructions, [that] information may not sink in for the client because it is presented so  
476 fast and there may not be [time] for questions. Yeah, there are so many corners we  
477 cut. [...] ...at a clinic last year, I saw them hold that yellow form [medical files] and  
478 going through [and just] check, check, check... adding blood pressure, adding all  
479 these things, just filling them in [without actually measuring blood pressure, etc.].  
480 [Provider 3].

481  
482 One assistant surgeon, a 25-year-old female nurse, claimed her record time for completing a  
483 circumcision was six minutes. She too associated VMMC targets with client overload, and  
484 with rushing surgeries and an increase in adverse events. She explained:

485 Sometimes you compete cutting clients and the clients are too much. The average time for a  
486 client's [circumcision] is between 12 to 20 minutes. So when the clients are too much, and  
487 you want to meet your targets, you will perform the surgery a bit fast. So upon that you will  
488 have [an] adverse event and maybe a child will come back with a bleeder [an oozing artery or  
489 other blood vessel] you did not close [suture] well [Provider 4].

490  
491 Despite these concerns, it was beyond the scope of our study to determine whether an  
492 increase in major or minor adverse events was associated with high volume periods. This  
493 issue should be addressed by future research.

#### 494 *Target alternatives*

495  
496 When asked about possible improvements to VMMC implementation and potential  
497 alternatives to the use of targets, interviewees made two suggestions. The first was to move  
498 away from active VMMC mobilization toward more passive strategies like those used by  
499 sites that provide walk-in HIV testing services in Kenya. The second was to keep donor  
500 targets, but to lower them to make them more possible to achieve. As Mobilizer 1 put it,  
501 "[VMMC] should be a walk-in thing where people are circumcised at their time of

502 convenience.... Basically, I [don't] have problems with targets, but what I have issues with is  
503 the extremely large targets. A situation where the surgeon strains to meet the target. And I  
504 know when the surgeon is strained, the probability of having adverse events is high." Citing a  
505 similar alternative, as well as the implementation of more reasonable targets, Provider 1  
506 offered:

507           The targets will dilute VMMC as a practice because the number is overwhelming. Imagine if  
508 you start working at 8.00am and you want to do circumcision [until] around 10 pm... it is a  
509 long day. So targets should be at least reasonable. So from my side, I can talk about the  
510 targets, and the targets [should be] revised ....okay. Another thing is for instance... it should  
511 be incorporated to be like a clinic, in that if I come to the hospital I can even walk into the  
512 VMMC clinic. Not that I am being coerced to go for circumcision, but it should be part of us  
513 [an integrated part of the healthcare system]. You know the issue of targets is forcing people  
514 to go around looking for (clients) per day. It should be like a TB clinic. Yeah, like a walk-in  
515 clinic. So anybody can just come at his own time.

516  
517 Yet another provider, when asked about the effects of targets on mobilizers, made  
518 comments that suggest the importance of improved communication as a means to decrease  
519 target burden on mobilizers for the benefit of all: "They [mobilizers] are a bit intimidated [by  
520 high targets]. So when they [VMMC technical advisors, program coordinators, etc.] set  
521 targets without consulting them, sometimes they even go slow and yeah, it affects our  
522 performance [numbers of clients to be circumcised]" [Provider 4].

523

## 524 **DISCUSSION**

525 Much effort has been made in epidemiological modelling of VMMC to determine who, when,  
526 and where to circumcise, and how many circumcisions will be necessary in order to curb the  
527 ESA HIV epidemic.[49-55] Yet in South Africa and elsewhere, studies have indicated that the  
528 pursuit of targets (for uptake of combination antiretroviral therapy) may be at odds with  
529 quality of care.[56] This study identifies multiple unintended consequences linked directly or  
530 indirectly to the use of targets in VMMC services in Kenya. As detailed above, these include  
531 pressures associated with VMMC client availability, extra burdening of facilities, overworked  
532 clinical staff, deviations from the standard of care, and long waits at the clinic.

533



534 In 2008, soon after the randomized controlled trials on HIV and male circumcision, and in  
535 advance of global efforts to implement VMMC, UNAIDS issued guidance for program  
536 decision-makers on human rights, ethics, and legal considerations.[57] This guidance  
537 advocates for a human rights based approach to the implementation of VMMC programs  
538 that ensure that the procedure is carried out safely, under conditions of informed consent,  
539 and without coercion or discrimination. On this basis, the UNAIDS guidance also explicitly  
540 states that, "target numbers of procedures, incentives to men, and incentives to providers  
541 should be avoided." [57] Similarly, PEPFAR guidelines have cautioned IPs against using  
542 targets to motivate mobilization or tethering VMMC remuneration to the number of  
543 procedures performed in order to avoid coercion.[13] According to the latest version of these  
544 guidelines, PEPFAR funded IPs who reward mobilizers are:

545           ...required to reward a team of mobilizers... so that any reward is based upon collective  
546           (versus individual) success. [This] approach limits the likelihood of coercion by separating any  
547           immediacy of reward resulting from an individual mobilizer referring a particular client.  
548           Mechanisms that further minimize perceived or actual rewards on a per-client/per-mobilizer  
549           basis are encouraged.[14]

550  
551 Despite these guidelines, our data suggest that this stated preference for team rather than  
552 individual targets may be misplaced, or at least may not make as much of a difference as  
553 intended, since teams may still be incentivized to use misleading or otherwise questionable  
554 practices. While individual mobilizers may not receive an immediate payment on a per-client  
555 basis, they may be remunerated individually once they collectively (as a team) meet or  
556 surpass a given target. By coupling target achievement to remuneration, targets provide  
557 pressure to help ensure that adequate numbers of adolescent circumcisions take place. Yet  
558 perversely, target pressure sometimes leads mobilization teams and providers to choose  
559 ethically dubious, but rational strategies to increase uptake, reduce effort, and/or shorten  
560 circumcision times to accomplish more MCs per day. Unfortunately, such strategies carry  
561 potentially deleterious outcomes for the boys this intervention is intended to protect.  
562 Moreover, they may not be in keeping with the ethics and human rights values to which  
563 VMMC funders and IPs purport to adhere.

564

565 Such strategies may include the mobilization of preadolescent clients, imbalanced  
566 discussions of benefits versus risks, abusive language and exploitation of social pressure to  
567 drive demand, and even dangerous shortcuts (e.g., rushing surgeries). One solution might  
568 involve increased efforts to ensure that target achievement is entirely uncoupled from staff  
569 remuneration: mobilizers could draw salaries like the community health workers already  
570 working in the same communities—salaries that do not depend on targets. However, future  
571 research is needed to determine whether target alternative programs, such as the integrated  
572 healthcare/walk-in clinic/passive models suggested by our interviewees, can achieve the  
573 necessary number of MCs and do so without overly increasing costs. Indeed, efforts in  
574 Zimbabwe to integrate VMMC services into routine healthcare are encouraging,[58] and  
575 endeavors to integrate VMMC services in Kenya are already planned.[48] Yet, the  
576 performance based financial incentives used in Zimbabwe have been shown to be  
577 problematic[59] and our data suggest that this model would lead to similar issues in Kenya.

578

579 Concerning mobilizer messaging, others have previously criticized the PEPFAR-endorsed  
580 tactic of claiming as a “key message”[13] the benefit of a “60% reduction” in HIV risk.[20, 60-  
581 63] During observations of VMMC mobilization activities in our study area, it became clear  
582 that few mobilizers and adolescent clients truly understood what the cited 60% reduction  
583 statistic would mean for them. More often, adolescents seemed confused and asked  
584 mobilizers to explain the “missing 40%”. Although some researchers have suggested moving  
585 beyond VMMC mobilization messages that emphasize HIV risk reduction to those that focus  
586 on a spectrum of benefits, including “hygiene, appearance, attractiveness to partners, peer  
587 norms, and modernity”,[64] our data suggest that mobilization strategies that rely on peer  
588 pressure may be equally problematic. For instance, is it ethical (or accurate) to suggest that  
589 to be circumcised is to be “modern” when less than 40% of men globally are circumcised  
590 and only a few high-income countries, including the US, have relatively high rates of MC [65,  
591 66] (and even in the US, rates of MC have been falling)?[67-69] For similar reasons, the  
592 practice of public health workers persuading boys to become circumcised by claiming that

593 their future female partners will definitely prefer them that way (and reject them if they are  
594 not) should be questioned if not discouraged.[45] In an effort to improve VMMC messaging,  
595 future studies could test the effectiveness of providing mobilizers with training in ethical  
596 conduct, as well as on the benefits versus risks of MC and how fairly and effectively to  
597 communicate this information to adolescents.

598

599 The practice of using in-school health talks for VMMC mobilization warrants special ethical  
600 scrutiny. Not only do teachers and other school authorities often attend these talks—  
601 individuals who may have undue influence over boys' circumcision decisions—but the group-  
602 based nature of the talks, and the openness with which adolescent circumcision status is  
603 discussed and mobilization takes place, make these environments ripe for coercion.

604 Recruitment of students for research in schools by teachers and other school authorities is  
605 discouraged by ethicists for similar reasons.[70] One possible solution might be to separate  
606 health talks from VMMC recruitment: mobilizers could conduct health talks one day and  
607 schedule a day to return. In a private setting on this second day, mobilizers could answer  
608 boys' questions, discuss consent and assent, and take down the details of any boy who  
609 would like to proceed with MC.

610

611 In January 2019, our research team presented study findings to policy professionals and  
612 program officials at a VMMC stakeholder meeting in Kenya. In the discussion that followed,  
613 we considered various solutions to the ethical and practical concerns highlighted here. One  
614 strategy we discussed to address the problem of high and low seasons of client availability  
615 and improve the quality of care provided at VMMC clinics was for funders and IPs to  
616 collaborate to develop "smart targets" that rise and fall with low and high seasons to match  
617 more efficiently resources and mobilization efforts to client demand and availability. As a part  
618 of this process, IP technical advisors could also work closely with school administrators in  
619 their catchment areas to find ways to conduct more MCs throughout the school year while  
620 minimizing the impact on educational activities and progress. Stakeholders also recognized

621 that the training and awareness of mobilizers, counsellors, and clinic staff needs to be  
622 strengthened and that quality assurance measures should be enhanced and better  
623 monitored. Future studies should test the cost and feasibility of improving these aspects of  
624 care under real-world conditions.

625

## 626 **LIMITATIONS**

627 This is a qualitative study with very limited generalizability. Although we used purposive or  
628 convenience sampling methods in this study, efforts were made to select facilities of various  
629 sizes (levels 2-5), and to work equally with VMMC clinical staff and mobilization teams from  
630 both IP organizations. In addition, we conducted mobilization and clinic observation sessions  
631 during both the "high" and "low" VMMC seasons, including separate visits to a Level 3 and a  
632 Level 4 VMMC clinic on high and low volume days.

633

634 This study relied on in-depth interviews and clinic observations. As in most qualitative  
635 studies of this kind, interviewee responses could be subject to social desirability bias. While  
636 we cannot exclude entirely the possibility of this bias, we made clear that we were only  
637 interested in interviewees' honest recollections of, and reflections on, their VMMC  
638 experiences. Moreover, the fact that interviewees were often critical of VMMC practices and,  
639 for instance, in the case of some clinical providers, admitted to cutting corners in care  
640 suggests that this type of bias may be less of an issue in this study.

641

642 Finally, our presence as observers at VMMC facilities and during mobilization activities may  
643 have changed the behavior of staff members and clients. We anticipated this possibility early  
644 on and in response, chose a two-pronged approach method (i.e., in-depth interviews and  
645 observations) to ensure the quality of data. This potential bias was also considered during  
646 data analysis and presentation for publication. For instance, all findings based on  
647 observations were cross-verified by data from in-depth interviews, and vice versa.

648

649 **CONCLUSION**

650 When VMMC for HIV prevention first began to be implemented in ESA, much attention was  
651 paid to the ethical, human rights, and legal considerations by the organizations that were  
652 (and are) involved in it. Since then, much of the discourse has been replaced by  
653 programmatic and technical guidance concerning how best to meet ambitious VMMC  
654 targets, with less attention to the broader effects of pursuing targets in the field. As our  
655 research shows, those considerations have not gone away. VMMC funders, governmental  
656 organizations, and IPs remain strongly committed to human rights and ethical values in the  
657 pursuit of public health goals. As such, they have a robust interest in information about how  
658 VMMC programs are implemented on the ground in Kenya and across ESA. These ethical  
659 considerations should be given more weight in future research and in the routine quality  
660 assurance checks conducted by IPs and funders, and where feasible, by independent  
661 authorities.

662

663 Further research is required to consider more fully the benefits versus risks associated with  
664 targets in mobilization activities and to develop improved or alternative systems through  
665 which to drive VMMC uptake. While PEPFAR already monitors VMMC clinical standards and  
666 practices (including recordkeeping) through the Site Improvement through Monitoring (SIMs)  
667 scheme, future partnerships between funders, IPs, and local civil society organizations could  
668 be fostered or strengthened to avoid the unintended consequences noted above and to  
669 ensure that clients receive the best of available care. At a minimum, funders and  
670 governmental authorities should take on more target-setting input from IPs, including  
671 mobilizers and other staff on the ground, in an iterative and ongoing way. While it may not be  
672 reasonable or wise to call for the complete abandonment of the use of targets, given their  
673 necessity to intervention modelling and program budgeting, it is justified to be more critical of  
674 their use and aware of their potential unintended consequences.

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683

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888 **Supporting information:**

889 S1 Interview guides: The stakeholder interview guides used in this study.

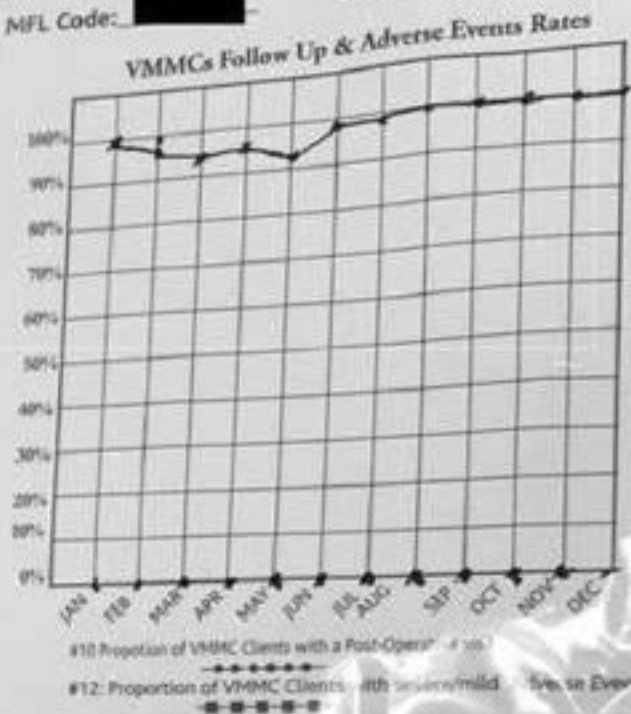
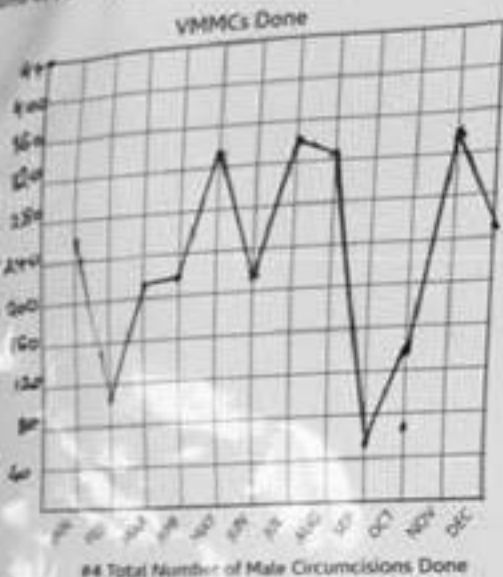
890 S2 VMMC consent form: The Government of Kenya consent form for use in voluntary medical  
891 male circumcision.

# VMMC PROGRESS CHART

Year: 2017

MFL Code: [REDACTED]

Name of Facility: [REDACTED]

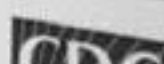


#4 Total Number of Male Circumcisions Done

#10 Proportion of VMMC Clients with a Post-Operative Follow-up

#12: Proportion of VMMC Clients with severe/mild Adverse Events

Indicators	Monthly Target	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	
<b>A. CIRCUMCISIONS</b>														
1. Male Circumcisions done -> 10-14 years old		204	107	167	163	318	195	312	294	40	54	124	372	233
2. Male Circumcisions done -> 15-24 years old		30	8	39	41	7	28	37	54	7	2	13	15	7
3. Male Circumcisions done -> 25+ years old		26	3	1	2	3	5	3	0	1	1	3	3	3
4. Total number of male (1) circumcisions done (Sum of indicators #1, #2, #3)		260	118	207	216	328	228	352	347	48	57	140	390	243
5. Total Number With a HIV Test Done (incl. Known Positives)		260	116	206	216	328	228	352	347	48	53	137	368	225
6. Proportion of VMMC Clients who Know Their HIV Status (Indicator #5 / Indicator #4) x 100		100	98	99	100	100	100	100	100	93	98	94	92	
7. Total Number Tested HIV-Positive		0	0	0	0	0	0	0	0	0	0	0	0	0
8. Total number linked to HIV clinic (Count all with a CCC number indicated in HTC linkage region)		100%	0	0	0	0	0	0	0	0	0	0	0	0
<b>B. VMMC POST OPERATIVE FOLLOW UP RATES</b>														
9. Total Post-Operative Visits		260	118	199	211	311	226	346	347	48	57	140	370	243
10. Proportion of VMMC Clients with a Post-Operative visit (%) (Indicator #9 / Indicator #4) x 100		100	100	96	98	95	99	99	100	100	100	100	100	100
<b>C. VMMC ADVERSE EVENT RATES</b>														
11. Total Moderate and Severe AEs Reported During Post-surgery		0	0	0	0	0	0	0	0	0	0	0	0	0
12. Proportion of Moderate and Severe AEs (Indicator #11 / Indicator #4) x 100		0%	0	0	0	0	0	0	0	0	0	0	0	0



**Title:** Voluntary mMedical mMale cCircumcision for HIV prevention among adolescents in western Kenya: unintended consequences of pursuing service-delivery targets

**Short title:** VMMC and unintended consequences of service-delivery targets in Kenya

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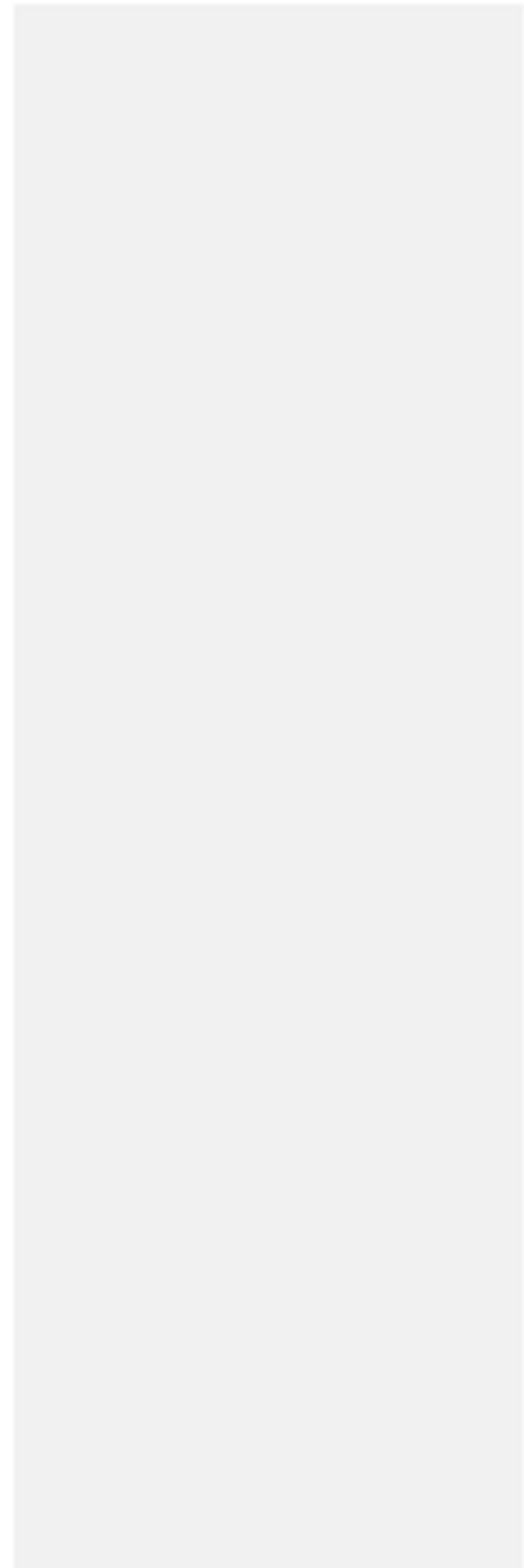
1 **Abstract**

2 **Background:** Voluntary medical male circumcision (VMMC) provides significant reductions in  
3 the risk of female-to-male HIV transmission. Since 2007, VMMC has been a key component of  
4 the United States President's Emergency Plan for AIDS Relief's (PEPFAR) strategy to mitigate  
5 the HIV epidemic in countries with high HIV prevalence and low circumcision rates. To ensure  
6 intended effects, PEPFAR sets ambitious annual circumcision targets and provides funding to  
7 implementation partners, usually non-governmental organizations, to deliver local VMMC  
8 services. In Kenya to date, over 1.96 million males have been circumcised; in 2017, 60% of  
9 circumcisions were among 10-14-year-olds. We hypothesized ~~conducted a qualitative field~~  
10 ~~study that to learn more about VMMC program implementation in Kenya, pressure among~~  
11 ~~VMMC field staff to meet service delivery targets might have deleterious effects on VMMC~~  
12 ~~recruitment practices ("mobilization") and service standards.~~

13  
14 **Methods and Findings:** We conducted this study in ~~The study setting was a region in of Kenya~~  
15 ~~with high HIV prevalence and low male circumcision rates; the Nyanza region of western Kenya.~~  
16 From March 2017 through April 2018, we carried out in-depth interviews with 29 VMMC  
17 stakeholders, including "mobilizers", HIV counselors, clinical providers, schoolteachers, and  
18 policy professionals. Additionally, we undertook observation sessions at 14 VMMC clinics while  
19 services were provided and observed mobilization activities at 13 community venues including,  
20 two schools, four public marketplaces, two fishing villages, and five inland villages. We  
21 conducted an early analysis of interview transcripts and observation-obtained field notes to  
22 create a codebook following the Framework Analysis approach. Two team members then  
23 independently reviewed and coded the full set of transcripts and field notes using MAXQDA 12  
24 in order to define and identify themes related to the use of targets in VMMC policy and service  
25 delivery. This ~~An~~ analysis of interview transcripts and observation field notes revealed multiple  
26 unintended consequences linked to the pursuit of targets. The ~~Ebbs and flows in the~~ availability  
27 of male ~~school-age~~ youth only during short periods ("VMMC seasonality"), together with the  
28 drive to meet targets, ~~may~~ resulted in overburdening ~~increased burdens on~~ clinic resources  
29 and staff, long waits for care, ~~potentially misleading~~ coercive and deceptive mobilization  
30 practices, peer pressure, circumcision of children under the approved age of 10 years, and  
31 deviations from the standard of care. This ~~is a~~ qualitative study with limited generalizability and  
32 interviewee responses could be subject to social desirability bias. We present findings that were  
33 crosschecked by both interview and observation data.

34  
35 **Conclusions:** Our findings indicate shortcomings in the quality of procedures in VMMC  
36 programs in a low-resource setting, and more importantly, that the pursuit of ambitious public  
37 health targets ~~can~~ ~~may~~ lead to compromised service delivery, consent practices, and protocol  
38 adherence. There is a need to develop improved or alternative systems to balance the goal of  
39 increasing VMMC ~~service~~ uptake with the responsible conduct of VMMC. At a minimum,  
40 funders, government authorities, and implementation partner organizations should be more  
41 aware of the deleterious effects target setting may have during VMMC implementation. Further  
42 research is needed to consider more fully the advantages and disadvantages associated with  
43 the use of VMMC targets. While public health implementation programs will likely always require

- 44 use of service delivery targets; stakeholders should be more critical of their use and seek to
- 45 mitigate their negative impacts.



46 **INTRODUCTION**

47 Voluntary medical male circumcision (VMMC) provides significant reductions in the risk of  
48 female to male HIV transmission.[1-3] Since 2007, VMMC has been a key component of the  
49 Joint United Nations Program on HIV/AIDS (UNAIDS) strategy for ending AIDS by 2030 and  
50 a top priority of the United States Agency for International Development (USAID) and the  
51 United States President's Emergency Plan for AIDS Relief (PEPFAR) and the Centers for  
52 Disease Control and Prevention (CDC) to combat HIV in sub-Saharan Africa.[4, 5] Between  
53 2007 and 2018, well over almost 195 million PEPFAR-supported circumcisions were  
54 performed in 14 priority World Health Organization (WHO) designated countries in eastern  
55 and southern Africa (ESA); 101% (more than 1.96 million) of these MCs took place in  
56 Kenya.[6] From early on, Kenya was ahead of other countries in VMMC scale-up, meeting  
57 34% of its target in 2012 compared to 16% achieved by the next best country.[7] With a  
58 consistent record of meeting national VMMC uptake goals that sets it apart from other  
59 countries.[8] Kenya is often presented as a leading VMMC success story in ESA.[4, 9]

60  
61 Through PEPFAR and the CDC, (The United States (US), via PEPFAR, took an early lead in  
62 funding VMMC for HIV prevention programs in ESA. In 2016, PEPFAR spent over 15 million  
63 US dollars (USD) for VMMC services in Kenya alone.[10] These funds are distributed to  
64 VMMC implementing partners (IPs) in Kenya and other ESA countries to establish and  
65 maintain VMMC services within designated catchments in regions of high HIV prevalence  
66 and low levels of male circumcision (MC).[11, 12] These IPs include non-governmental  
67 organizations (NGOs), community-based organizations, faith-based organizations, or other  
68 non-profit or for profit entities. Each IP is accountable to its funding body via in-country  
69 intermediates and is required to keep accurate records and to make regular reports  
70 concerning the services provided, numbers of circumcisions performed, and observed  
71 adverse events.[13, 14]

72



73 As a part of this process, epidemiological model-informed quotas or "targets" are set by  
74 funders for the number of MCs to be performed within a given timeframe (e.g., monthly,  
75 quarterly, or annually). In 2016, 264,490 PEPFAR-funded MCs were performed in  
76 Kenya,[15] thus exceeding the 2016 target of 240,000 MCs.[16] In Kenya, PEPFAR has  
77 identified 11 VMMC underserved, priority counties.[16] In 2017, the coverage rates for 15-  
78 29 year-old males among all priority counties were reported to be approaching or to have  
79 reached 80%.[16] though more recent studies have called into question these coverage  
80 estimates.[17], primarily in the Nyanza and Rift Valley regions; [17] [to achieve this stated  
81 goal of 80% MC coverage among males aged between 15-29 years within within all these  
82 counties underserved areas by 2019, PEPFAR Kenya set a target of 300,000 new MCs in  
83 2017, a 25% increase from the 2016 target.[16] In 2014, Kenya began offering VMMC  
84 services to boys aged 10-14 years citing "high demand for MC amongst this age group",  
85 cultural preference, and pre-sexual debut and/or less sexual activity as the rationale.[18] In  
86 Between October 2016 and September 2017, 227,272 PEPFAR-sponsored MCs were  
87 performed in Kenya; 60% of these were among boys aged between 10-14 years, and 23%  
88 were among 15-19 year-olds, and 14% were among 20-29 year-olds.[15] Among all priority-  
89 designated counties in Kenya, UNAIDS/PEPFAR estimates cited by PEPFAR suggest that  
90 an additional 290,000 MCs are may be needed to achieve 80% MC coverage among 10-29  
91 year-olds, or an additional 500,000 to achieve 90% coverage by 2021.[16]

92  
93 Previous research has highlighted ethical and practical concerns associated with VMMC  
94 implementation for HIV prevention.[19] Among school-going adolescent and young adult  
95 males in ESA, these concerns include recruitment practices (referred to as mobilization in  
96 VMMC programs),[20] consent and assent,[21] and access to follow-up care.[22] Additional  
97 issues include as well as adolescent understanding of VMMC's purpose,[23] its MC's  
98 relevant benefits and risks,[21, 24, 25] and the importance of HIV protective behaviors  
99 following circumcision.[26] Additionally, a 2014 assessment of VMMC quality of care  
100 across four countries (Kenya, South Africa, Tanzania, Zimbabwe) identified deficiencies

101 including providers' failures to adhere to national VMMC best-practice guidelines and a lack  
102 of equipment and supplies at VMMC clinics.[27] Similarly, a 2017 report prepared for review  
103 by USAID identified multiple "quality gaps" in VMMC implementation, including adverse  
104 events, low client follow-up rates, and inconsistent messaging to clients.[28]

105  
106 During research for a parent study on the responsible conduct of HIV research in adolescent  
107 populations in Kenya we received anecdotal reports from local health leaders and  
108 community stakeholders that raised concerns about the ethical implementation of VMMC  
109 among adolescents. Given the known ethical and practical concerns linked to VMMC  
110 implementation across ESA, we set out to conduct a qualitative study to learn more about  
111 VMMC practices "on the ground" in Kenya. Presented below, an analysis of the qualitative  
112 data we collected revealed that the pressure to meet funder-set service-delivery targets  
113 appear to generate some unintended consequences concerning the services provided  
114 and/or standards maintained by VMMC IPs. Given the ethical and practical concerns linked  
115 to VMMC implementation across ESA, and the standard use of funder-determined targets to  
116 drive adolescent mobilization, we set out to consider what effects these targets might have  
117 on the responsible conduct of VMMC practices in Kenya. Directing our interest was the  
118 hypothesis that the pressure to meet targets might lead to some unintended consequences  
119 concerning the services provided and/or standards maintained by VMMC IPs.

120

## 121 **METHODS**

122 HIV prevalence in Kenya in 2017 was 4.8% overall among individuals aged 15–49, with a  
123 range at the county level of between 0.1% and 21.0%.[29] This study was conducted in an  
124 area of Kenya with elevated rates of HIV and historically low uptake of MC, the Nyanza  
125 region of western Kenya—the area near Lake Victoria with the highest HIV prevalence and  
126 lowest rates of MC in the country.[30] From March 2017 through April 2018, we conducted  
127 in-depth interviews with 29 VMMC stakeholders (21 males and 8 females), including  
128 schoolteachers, program recruiters (known locally as "mobilizers"), HIV counsellors, clinical

129 providers, and policy professionals (e.g., local VMMC technical advisors). Table 1 lists these  
 130 stakeholder interviewee categories, the number of stakeholders from each IP or school, and  
 131 describes descriptions of each category's their roles or links to VMMC program  
 132 implementation. In addition to these interviews, we conducted field observation sessions at  
 133 VMMC clinics located in the research area.

134 Table 1. VMMC-stakeholder interviewee categories, number of interviewees' and their  
 135 employers, and descriptions of VMMC-stakeholders' involvement in VMMC.

Stakeholders	Interviewees/ employers	Involvement in VMMC
<b>School teachers N=6</b>	5 primary schools and 1 high school	Many adolescents are mobilized for VMMC at school; teachers facilitate access to students for VMMC mobilizers, are a source of information for students and parents, and often help to distribute and collect consent forms. Teachers also sometimes accompany pupils to VMMC facilities.
<b>Mobilizers N=9</b>	IP A: 5 IP B: 3 IP C: 1	Mobilizers are recruiters of VMMC "clients" (individuals who receive VMMC services). They are paid to conduct informational outreach activities, including "health talks" in schools, and to ensure that VMMC facilities have access to adequate numbers of clients to meet targets.
<b>Counselors N=4</b>	IP A: 2 IP B: 2	VMMC counselors inform boys about VMMC benefits and risks, as well as post-circumcision wound care and healing best practices. They oversee consent and assent and conduct HIV counselling and testing.
<b>Clinical providers N=7</b>	IP A: 4 IP B: 1 IP D: 1 IP E: 1	Clinical providers perform circumcisions and provide post-procedure care, at VMMC facilities. These include clinical officers (surgeons), nurses, and infection prevention officers (IPOs). Nurses assist clinical officers during circumcisions. Infection prevention officers IPOs oversee the surgical instruments, sanitary practices, and waste collection and disposal.
<b>Policy makers N=3</b>	IP A: 1 IP B: 1 GOK: 1	Policymakers are individuals involved in, or with in-depth knowledge concerning, VMMC practices and policies in Kenya.

136 VMMC, Voluntary Medical Male Circumcision; IP, Implementation partner; GOK,

137 Government of Kenya.

138 To recruit stakeholders for interviews, our Community Liaison Officer (CLO) asked for  
 139 assistance from approached authorities at the regional Ministry of Health, Ministry of  
 140 Education, and Health Department. Additionally, we sought approval from six VMMC IP  
 141 NGOs to conduct interviews with their staff members and observation sessions at their  
 142 clinics (see Table 1). Two of these IPs approved our request to conduct interviews and  
 143 observation sessions (IPs A and B). Three of these IPs gave their approval for interviews but  
 144 declined our request to conduct observation sessions (IPs C, D, E). One IP declined to  
 145 approve both interviews and observation sessions, and VMMC IPs (in this case, five

146 NGOs) to gain approval for this project. These authorities and IPs assisted us to identify  
147 local VMMC professionals with at least three years of experience related to policy, clinical  
148 services, counselling, or mobilization. In turn, VMMC mobilizers helped us to identify  
149 teachers involved with in-school VMMC mobilization activities referred to as "health talks".  
150 Once identified, our Community Liaison Officer (GLG) and study coordinator (FSO) contacted  
151 potential participants in person or via telephone, and inquired regarding their willingness to  
152 take part in an interview. Out of the 38 stakeholders contacted, 32 agreed to take part in an  
153 interview; of these, 29 were available to attend the interview. Out of the 23 mobilizers,  
154 counsellors, clinical providers, and policy professionals interviewed, 12 were employed by IP  
155 A, seven by IP B, one by IP C, one by IP D, and one by IP E (see Table 1). An additional  
156 policy professional interviewee was employed by the Government of Kenya. The six  
157 teachers we interviewed came from five different local primary schools and one high school.  
158  
159 Based on preliminary fieldwork in the region in 2016, we developed interview guides for each  
160 stakeholder category via an iterative process that relied heavily on the input and experience  
161 of our Kenyan team members (WKL, BO, FSO, DK). These guides included questions  
162 related to careers, roles, and experiences with VMMC, use of targets/quotas,  
163 clinical/mobilization protocols and practices, record keeping/documentation, informed  
164 consent, HIV testing, benefits and risks, training, the structure/hierarchy of VMMC IP  
165 organizations, and remuneration. (see S1 Interview Guides).  
166  
167 Study participation was voluntary, and all participants gave consent before interviews began.  
168 Stakeholder interviews were (conducted by BO and by AG in English (Swahili and English in  
169 one interview). These interviews took place in quiet, secluded locations, lasted up to 90  
170 minutes, and were audio-recorded with permission. Kenyan team members who are fluent in  
171 English, Swahili, and the local language listened to the audio-recorded interviews and  
172 translated and transcribed them in English. The transcription process involved three steps,  
173 First, audio-recorded interviews were transcribed verbatim. Second, the transcriber

174 conducted quality assurance by reading the transcript while re-listening to the audio. Third,  
175 the transcriber submitted the English transcript and the audio file to the Study Coordinator  
176 (FSO) for final review and designation as the final version of the transcript. Any portions of  
177 interviews that contained Swahili were first transcribed in Swahili before being checked,  
178 translated to English, and rechecked for accuracy by the Study Coordinator. Each  
179 interviewee received 500 Kenyan shillings (approximately 5 USD) for their time and  
180 transportation.

181  
182 In addition to interviews, we A Kenyan nurse and public health worker who is knowledgeable  
183 about VMMC clinical procedures and is fluent in English, Swahili, and the local language  
184 (BO) was our primary field observer. He conducted two- to five-hour observation sessions at  
185 14 VMMC clinics run by the two NGOs (six administered by organization-IP\_A and eight by  
186 organization-IP\_B) and alongside the mobilization team activities at 13 community venues  
187 (six run by from organization-IP\_A and seven by from organization-IP\_B). These  
188 venues/activities included two schools (health talks), four public marketplaces (mobilization  
189 among local motorcycle taxi drivers), two fishing villages (informational speeches, music,  
190 skits; referred to as "roadshows"), and five inland villages (door-to-door adolescent  
191 mobilization). The nurse/public health worker A Kenyan nurse and public health worker who  
192 is knowledgeable about VMMC clinical procedures and fluent in English, Swahili, and the  
193 Luo language (BO) carried out the observation sessions and documented what he observed  
194 in detailed field notes. A medical anthropologist (AG) supervised these observation  
195 activities. The two NGO IPs selected for observation activities were the only IPs providing  
196 VMMC services in our research area during our data collection period. A Kenyan nurse and  
197 public health worker who is knowledgeable about VMMC clinical procedures and fluent in  
198 English, Swahili, and the Luo language (BO) carried out the observation sessions and  
199 documented what he observed in detailed field notes. A medical anthropologist (AG)  
200 supervised these observations.

201

202 We identified four health facility levels (levels 2, 3, 4, and 5) for VMMC observation. Level 2  
203 facilities include dispensaries and small clinics usually run by nurses that provide basic  
204 outpatient care to up to 10,000 people. Level 3 facilities are health centers that serve  
205 populations up to 30,000 and are staffed by midwives, nurses, clinical officers, and  
206 occasionally doctors. They provide basic curative and preventive, minor surgical and  
207 reproductive health services. Level 4 facilities are primary, high-volume referral hospitals that  
208 serve populations of 100,000 people. Services offered include curative and preventive care  
209 and surgeries and emergency services that are not available at smaller facilities. Level 5  
210 facilities serve larger populations. They focus on specialized services and provide clinical  
211 supervision and support to lower level, primary referral facilities.[31, 32]

212  
213 ~~We~~ Our Kenya-based research team selected VMMC clinics to ensure that we conducted  
214 observations at a variety of facility levels in various sub-counties, as well as during relatively  
215 active (more than 30; up to 100 or more) and slow periods (less than 15) circumcisions per  
216 ~~day. We conducted observations at~~ Out of the 14 VMMC facilities in ~~we~~ which we conducted  
217 observations, two were Level 2 facilities, six were Level 3 facilities, five were Level 4  
218 facilities, and one was Level 5 facility. Upon arrival at each facility, ~~we~~ BO, our Community  
219 Liaison Officer, or our Study Coordinator (FSO) informed facility staff members about our  
220 research and provided them with details concerning their organization's-IP's approval for our  
221 observations to take place before they gave verbal consent. Observation sessions lasted  
222 between one and four hours and tended to coincide with the arrival of adolescent VMMC  
223 clients and their subsequent, intake, counselling, testing, and circumcision. The mobilization  
224 teams associated with these clinics were identified and approached outside of the clinical  
225 setting for permission to observe their activities (e.g., mobilization events at schools or public  
226 markets). Before these observation sessions began, members of the mobilization teams  
227 gave their verbal consent for observation to be observed. Prior to beginning in-school  
228 observation sessions, school authorities and teachers were informed about the research and  
229 gave us permission to conduct the research activities.

230

231 The institutional review boards of the Pacific Institute for Research and Evaluation (PIRE),  
232 University of North Carolina at Chapel Hill, and the Kenya Medical Research Institute  
233 (KEMRI) approved all study activities, including all the informed consent procedures  
234 described above. Locally, the county-level Ministries of Health and Education, and the  
235 directors of each of the two IP organizations (A and B) gave us approval to conduct this  
236 research at their respective implementation sites.

237

#### 238 Analysis

239 Kenyan team members translated and transcribed the audio-recorded interviews and  
240 checked the final transcripts for accuracy. U.S.-based team members conducted an early  
241 analysis of interviewee transcripts and observation-obtained field notes. The potential for the  
242 pursuit of VMMC targets to contribute to unintended consequences emerged during early  
243 data analysis. We created the codebook to define and identify themes related to the use of  
244 targets in VMMC policy and service delivery to create a codebook following the Framework  
245 Analysis approach. [33] Two team members then independently reviewed and coded the full  
246 set of transcripts and field notes using MAXQDA 12 [34] in order to define and identify  
247 themes related to the use of targets in VMMC policy and service delivery. Any coding  
248 discrepancies were discussed and resolved by the two coders. Code reports were produced  
249 and reviewed, and quotes were selected to illustrate typical responses for each theme. We  
250 present these quotes below, along with corroborating field observations, including and  
251 participant demographics and identification numbers.

252

#### 253 RESULTS

254 To meet VMMC quotas, local IPs communicate monthly target numbers to their VMMC  
255 clinics and they oversee and through the hierarchy of regional, sub-county, and  
256 community-level the mobilizers who are responsible for supplying these clinics with clients to  
257 circumcise. The numbers of adolescent clients who undergo VMMC targets vary across the

258 year, depending on the relative availability of clients and/or the ability of mobilizers to access  
259 them.

260

#### 261 *Implications of the pursuit of VMMC targets*

262 Data collected from the stakeholder interviews and field notes suggest that funder-  
263 designated targets for VMMC service delivery mobilization effectively motivate mobilizers  
264 and clinic staff. As one 33-year-old, male mobilization supervisor put it, "...target or quotas  
265 act as the engine to make us do a lot of work and ensure that there is continuous [flow of  
266 clients]" [Mobilizer 1]. For mobilizers especially, monthly remuneration (and their future  
267 employment) depends on referring a sufficient number of enough clients to the clinic each  
268 week; failing means earning less, or even nothing at all, regardless of the amount of time  
269 and effort invested in mobilization. From our interviews we learned that while most  
270 mobilization supervisors receive a base salary from their IPs regardless of the targets, other  
271 lower-level, subcontracted "associate" mobilizers or "peer educators" only receive payment  
272 by providing clients and meeting targets. Describing the competition this system fosters  
273 among mobilizers, a 28-year-old, male community-level mobilizer explained, "...we are paid  
274 upon reaching the target [...] so we are really competing, until somebody may grab your  
275 clients before you know it" [Mobilizer 2]. For VMMC clinics, achieving targets is essential to  
276 the continued funding of their respective IPs. As a 49-year-old, male mobilization supervisor  
277 offered, "...targets... are about funding, and [if] you don't reach your target, you don't get  
278 funding" [Mobilizer 3]. However, data analysis revealed that beyond motivating staff  
279 members, the pursuit of targets may introduce not only that targets motivate, but also the  
280 potentially problematic nature of targets for problems for VMMC implementation. Presented  
281 below, these areas of concern relate to the ebb and flow periods of VMMC client availability  
282 (referred to with reference to agricultural "seasonality" elsewhere).[35, 36] and the resulting  
283 overburdening-increased burdens on clinic resources and staff, long waits for clients,  
284 potentially coercive and deceptive misleading or problematic mobilization practices,  
285 (including possibly undue inducements), peer-questionable uses of social pressure, and



286 circumcision of children under age 10-14. By undue inducements, we mean benefits  
287 (monetary or non-monetary) that are used to motivate prospective participants (in this case  
288 adolescents or young adults) to join a study—benefits that may be inappropriate because of  
289 their potential to distort a participant's understanding of the study.[37]. A final issue that we  
290 link to the pursuit of targets is and the cutting-of-corners-in-clinical-care-reduced quality of  
291 clinical care.

292

293 *Variations in VMMC seasonality/client availability: "high and low seasons"*

294 Adolescent client availability for circumcision rises and falls throughout the year, primarily  
295 due to school schedules: schools do not allow VMMC mobilization to take place on school  
296 grounds near the time of exams or other especially busy periods in the year. This results in  
297 "high" and "low" seasons for VMMC among these age groups. As Mobilizer 1 explained:

298       There are times when they are having exams, or when exams are approaching, or when there  
299       is athletics or ball [soccer]; when those are taking place, we don't get people coming... we  
300       end up having zero clients cut [circumcised]. And you know, when there are zero clients cut,  
301       all the pressure comes to the mobilization supervisor because the surgeons will be saying,  
302       "We are ready to cut, where are the clients?" So the buck stops on me as the mobilizer; so it is  
303       not a very easy task.

304

305 Likewise, a 30-year-old, female mobilization supervisor offered, "We bank on schools so  
306 much. And now, when they are taking their exams, you can't pick a child from school  
307 [...] ...when schools are so engaged, then definitely I will not meet that target" [Mobilizer 4].

308 Recognizing the need to strategize VMMC service provision around local school schedules  
309 (and client availability), some IPs may institute "Rapid Results Initiatives," or similar short-  
310 term drives aimed at increasing dramatically the number of MCs conducted during school  
311 holidays.[9, 38] If funding is insufficient, or if IPs are otherwise unable to commit the  
312 resources (e.g., personnel, transportation, clinics, and medical supplies) needed for these  
313 drives, then targets may go unmet.

314

315 *Overburdened-Increased burden on VMMC facilities and staff*

316 VMMC clinical teams are generally comprised of at least one surgeon, surgical assistant,  
317 counselor, and infection prevention officer. During the high season, efforts to meet targets  
318 may push some teams to circumcise more clients than the approved quota to be conducted  
319 per day per team. Clinics may be pushed beyond the approved limit of 15 circumcisions per  
320 day per VMMC team (a surgeon, surgical assistant, counselor, and infection prevention  
321 officer). In 2015, this limit was 15 circumcisions per day. [18] In 2018, we observed an IP  
322 internal document dated December 2017 that was posted to the wall in a public space of one  
323 of the clinics in which we conducted observations. This memo made clear that the IP was  
324 aware that "some teams are circumcising over and above the safe maximum number of  
325 clients per team" and that this limit is 25 clients per day. During one observation session on  
326 a high season day at a Level 4 facility, we counted over 100 boys waiting to be circumcised  
327 at a clinic with four surgical beds. At this same facility in the low season, less than half this  
328 number may be circumcised in a month (see Figure 1). On days when an unexpectedly high  
329 number of boys are mobilized for circumcision, VMMC teams may be left scrambling to call  
330 in additional staff. As one 39-year-old, male Clinical Officer explained: "If the number is high,  
331 you have to get those who are locum [temporary, fill-in staff]; for instance, like today, if the  
332 number is beyond 60 you have to get those for locum because one counselor cannot handle  
333 60 clients. So we do arrangements prior and we talk to the office and ask them to give us  
334 assistance [Provider 1]"

335 Figure 1. VMMC Progress Chart for 2017 displayed on the wall of a clinic.

336  
337 Oftentimes Referred to as "moonlighting" by our interviewees, VMMC staff may sometimes  
338 need to work extra hours, late into the evening,—referred to as "moonlighting"—and/or over  
339 weekends or holidays when they would not normally be expected to work (for which they  
340 receive extra compensation) in order to handle large client loads, meet current targets, or  
341 make up for previous months when a lack of clients meant targets were missed. QAs one  
342 33-year-old, female counselor put it explained, "Yeah, you are put into pressure and you  
343 have to get the targets. We always know we have to get our targets, come what may. So we

344 do moonlight to get those targets" [Counselor 1]. Similarly, a 39-year-old male Clinical  
345 Officer told us: "...if the number is high sometimes we can extend to weekends; and based  
346 on the clients flow like now... schools are going to be closed, and hence the number of  
347 clients is going to be high. So tomorrow [Good Friday] we may work" [Provider 2].

348  
349 While MC-associated ~~severe~~ adverse events (AEs) beyond minor swelling, bleeding, or  
350 infection may be relatively ~~are~~ rare, [39] when they ~~do occur~~ ~~are known to occur~~, a few of our  
351 interviewees suggested that provider fatigue may be a contributing factor. Describing  
352 Referencing one case in which a client's glans was accidentally amputated, a 42-year-old  
353 male VMMC Clinical Officer offered:

354 Then the other factor is the high numbers given as targets annually. So if you look at the  
355 number of clients you should do, you get fatigued along the line because remember you stand  
356 all day. So if you do 20 clients or 25 clients in a day, every day, say from Monday to Friday, it  
357 is a hell of a tiresome job.... So most of those AEs [are] attributed to fatigue, because if you  
358 look at the timings of most of the AEs, it is usually late in the evening, after lunch, afternoon;  
359 so it has always been attributed to high volume in terms of target, and then fatigue, then  
360 people not following the protocol. You find somebody, because he wants to finish faster, they  
361 want to split [meaning the surgeon and the assistant each operate on their own] instead of  
362 [following] MC protocol [and working together].... It reaches a point where you have to split  
363 where everyone is doing [operating alone] because the number is high.... [Provider 3]

364  
365 Offering a similar thought, a 35-year-old, male VMMC Technical Advisor explained:

366 ...you know there is pressure for numbers. I can tell you the truth, and there is a number that  
367 is set that the team must do every day to be relevant. You know, and if you are not able to do  
368 this number.... but we are given target by the donor. I'm not saying that they are wrong,  
369 because to achieve herd immunity, and HIV prevention through VMMC as an intervention  
370 strategy, then you have to circumcise many over a short period of time, you know [....] [But]  
371 that pressure for numbers as a surgeon.... in an effort to try and circumcise all of them,  
372 sometimes you have to miss lunch. And that can cause slipping of the knife or whatever....  
373 [Policy-1]

#### 374 375 *Long waits at VMMC clinics*

376 Another unintended consequence of targets and VMMC seasonality for school-aged clients  
377 is the long waits they may experience at VMMC clinics before and after MC, especially  
378 during the high season. Despite mobilizer claims about the short duration of the procedure,  
379 inadequate numbers of vehicles to transport boys, the rough road conditions of the roads  
380 (especially during the rainy season), and often sometimes understaffed and under-resourced  
381 VMMC clinics mean that adolescents regularly may spend many hours waiting to be

382 circumcised and then to be ferried back home. While making observations at one Level 3  
383 facility, boys arrived for circumcision at approximately 8am; due to the staff's late arrival (and  
384 possibly understaffing), circumcisions began at 12.30pm. At 4.15pm, when we left, these  
385 children were still waiting at the facility for transportation home. Some of the children were  
386 crying and saying that they want the pain "to find them at home". One child stated, "We were  
387 told it will only take 20 minutes. This is not 20 minutes!" According to one 32-year-old, male  
388 primary school teacher, "...one day I attended a facility and [found] that a number of people  
389 are to be cut, but the officers who are doing this are just two. So they will do it up to very late  
390 [in the evening] and these boys are just there hungry, just with one bottle of soda which will  
391 not sustain them" [Teacher 1].

392

393 *Coercive and deceptive* Suggestions of misleading or questionable mobilization practices  
394 Data collected during interviews and observations suggest that the dDriven to meet targets  
395 may lead some VMMC mobilizers to use misleading or otherwise questionable mobilization  
396 practices by the need to meet targets, some VMMC mobilizers may turn to coercive or  
397 deceptive mobilization practices, including use of undue inducements, in order to increase  
398 the number of adolescents they can refer for circumcision. As Mobilizer 1 explained:

399 Another thing is the peer mobilizers, yeah, someone wants to meet his or her targets and that  
400 is the time that they engage in what I called the uncouth methods, whereby the end justifies  
401 the means; whichever means that can make those clients come out. So you end up having  
402 the clients coming, but they are not coming for the VMMC the way we want it. They have  
403 been pushed; they have been coerced...yeah. This one is happening because of these  
404 targets. So that is the downside of the targets.

405 These practices are sometimes blatant: during one door-to-door village mobilization, the  
406 mobilizer kept referring to himself as "doctor" although he had no medical training. Another  
407 mobilizer, a 38-year-old male who referred to himself as a "VMMC champion" explained how  
408 he pays boys to help him mobilize their friends:

410 For us we are calling it 'broking [brokering] system'. So after the exercise, you tell him to go...  
411 and convince a friend and bring a friend... [...] For me I am using my own system being that  
412 we are not even allowed to give children money. But for me, because I have a target, and if I  
413 get my target, I am expecting 10800 KES [108 USD]. So I do divide this 10800 KES; maybe if  
414 he brings me two boys, I can give him up to 50 KES. I can even give out 100 KES [1 USD].  
415 The moment I give that money, 50 bob, to that boy, he is going to bring me more four boys.

416 So the more he bring boys for instance if they are four, I give 100-150 KES. At the end of the  
417 day, I will hit my target [Mobilizer 5].

418  
419 Other times, these practices are subtler and easy to overlook. During a promotional skit in  
420 which mobilizers played the roles of a husband, wife, and brother all discussing VMMC, the  
421 message to the audience was one of strong female preference for circumcised men. The  
422 wife told her husband, "Go and cut your *firimbi* [whistle] ... go and remove that sleeve of a  
423 sweater. That whistle is not going inside me...don't blow the whistle inside me. I have  
424 refused.... I am not giving you [sex]." Later, when the husband's brother arrived, he  
425 explained that his wife is refusing to have sex. In response, his brother said, "I was passing  
426 here to tell you to go for circumcision. Look at me,; I have gone and I am fine. I am now a  
427 clean person. Do you want your wife to conceive and have children?" Explaining the  
428 benefits, his brother offered that MC reduces HIV risk by 60%, and enhances cleanliness  
429 ("you avoid smelling") and prevents cervical cancer ("Women whose husbands have been  
430 circumcised don't get cervical cancer").

431  
432 While VMMC does reduce HIV incidence rates by 60%[40-42] or more.[43, 44] it is  
433 misleading to suggest that MC inherently reduces an individual's risk of infection by 60% if  
434 the differences between absolute and relative risk are left unexplained. Similarly, while  
435 studies suggest that female preference for circumcised males exists.[45-47] it may also be  
436 misleading to generalize about female preferences during recruitment efforts. Furthermore,  
437 though MC does reduce the risk of human papillomavirus transmission from men to women,  
438 thereby reducing their risk for cervical cancer.[48] it is false to claim that women whose  
439 husbands are circumcised do not or cannot get cervical cancer.[40-44]

440  
441 This practice of emphasizing the benefits (including reducing the time needed to bathe and  
442 risk of acquiring penile cancer) and incentives related to MC while downplaying the risks was  
443 common among our participants. The most commonly discussed risks included temporary  
444 pain, minor swelling, and bleeding, while the Government of Kenya VMMC consent form

445 (see S2 Government of Kenya consent form) in use at this time lists risks including bleeding,  
446 swelling, pain, infection, injury, numbness, sensitivity loss, mutilation, amputation, and HIV  
447 infection. These latter, more severe but rarer adverse events did not feature in the health  
448 talks and other mobilization activities we observed.

449  
450 Another-observed-practice-among-mobilizers-was-an-overemphasis-on-the-incentives-that  
451 are-to-be-gained-through-circumcision. The-very-improbable, but-not-unheard-of, risk-of  
452 amputation-only-arose-in-one-surgeon's-interview (quoted-above)-and-those-with-two  
453 policymakers.

454 According to interviewees, food, money, water, sanitation, clothing, and other basic needs  
455 are issues of concern for many adolescents' households. During health talks, some  
456 mobilizers emphasized the incentives that boys will receive (e.g., sodas, bread, and/or new  
457 underwear] following circumcision—items that may be significant inducements among  
458 impoverished children. During a village mobilization effort, one mother explained her  
459 reluctance to let her son be circumcised: "...this one is small. Even his heart was there  
460 because those who have come from circumcision are 'seducing' their friends to go also.  
461 They are telling them, 'go and it is not painful.' They are also giving people sodas. So that  
462 soda is what brings them nearer. I told this boy not to sneak, but to wait and I will take him  
463 there...." Offering a similar analysis, Provider 3 told us:

464 Sometimes they also entice them for us; in the facility we give them a bottle of soda, Fanta to  
465 be specific because one, some of the boys come probably from poor families, they haven't  
466 had a meal in the morning or breakfast and sometimes stay in the clinic for more than two  
467 hours, three hours and thus to maintain their sugars. [But] that one [soda] becomes a bait,  
468 especially for the young ones "We will give you a soda; we pick you with a vehicle" ...which is  
469 actually wrong because, it is like enticing a client which you are not supposed to, but that is  
470 how they [mobilizers] ply their trade.

471 However, according to our interviewees and as we observed first hand, clinics sometimes  
472 lack sodas and/or underwear to give to clients, and-we-were-concerned-to-find-during-our  
473 observations that none of the clinics seemed to provide bread or other food to waiting  
474 adolescents as a matter of normal practice.

475

476 *Imbalanced discussions of benefits versus risks*

477 An analysis of interview transcripts and field notes from health talk observations in which  
478 mobilizers described how they discuss VMMC benefits versus risks with adolescent males  
479 suggests strong biases in favor of benefits over risks. The benefits most commonly cited  
480 during interviews and mobilization activities include “60%” reduction in risk of HIV infection,  
481 protection from other sexually transmitted infections, improved male hygiene and “reduced  
482 bathing time”, and reductions in risk of penile cancer and urinary tract infections, as well as  
483 cervical cancer among female partners. Concerning risks, those most commonly discussed  
484 included temporary pain, minor swelling, and bleeding. The very improbable, but not  
485 unheard of, risk of amputation only arose in one surgeon’s interview (quoted above) and  
486 those with two policymakers.

487

488 *Peer Social pressure*

489 All six of the teachers we interviewed recognized peer social pressure as a primary  
490 motivator for MC among adolescents. As one, male, 35-year-old Deputy Head Teacher at a  
491 primary school put it: “Some of the boys give themselves [go for VMMC] because they have  
492 seen others do it; so peer pressure is a great influence. Some people just agree because  
493 they have seen their fellows going. They also want to go and have the experience together  
494 with their fellows” [Teacher 2]. Other interviewees recalled instances in which their peers  
495 subjected uncircumcised adolescents to ridicule and other abuses. As an example, one 35-  
496 year-old, male teacher told us: “...they will tell you *tuko poa, lakini wale ambao hawajafanya*  
497 [we are fine, but for those who haven’t done it] they feel ashamed. Yeah, because these  
498 other [circumcised] boys laugh at them” [Teacher 3]. Another teacher, a 37-year-old female,  
499 offered statements she was told by a pupil: “...madam, you know these days almost  
500 everybody is circumcised and when we join high school, we will be laughing stocks; when  
501 you go to the bathroom, [or] you are bathing [at the lake or river] with somebody who is  
502 circumcised, then they will call us girls” [Teacher 4].

503

504 During observations of mobilization activities, we saw firsthand how mobilizers sometimes  
505 take advantage ~~make use of or encourage~~ this peer pressure to encourage boys to promote  
506 circumcision, including by ~~through the use of~~ abusive and/or stigmatizing language to  
507 refer to the uncircumcised. During a health talk in front of a large group of boys at a primary  
508 school, one male mobilizer referred to foreskins as the “sleeve of a sweater” and “cold  
509 *matumbo*” [boiled goat intestines]; at the end of the talk, he implored those who still had their  
510 “whistles” to have them removed. He also told them: “Those who are still having the foreskin,  
511 you are the ones who are going to spread the diseases. In the future, you are the ones who  
512 are going to spread the HIV virus.” Later that same day, the mobilizer asked a large group of  
513 older students, “How many of you wish to get the HIV virus? If you don’t want to get the HIV  
514 virus, the only option is to remove the foreskin.” Revealing his awareness of this type of  
515 language and messaging within VMMC mobilization, Provider 3 explained:

516 This is demeaning and they try to create some peer pressure. Like when you go to those  
517 mass cuts in high schools, probably they registered like 100 clients, you would likely get  
518 another probably 30 or so who, because of the peer pressure, just jump into it. But if it was  
519 like walking from home to hospital and demanding for the service, they are not likely to have  
520 gone. But now that it is here, and so and so has gone and is my friend, I have to be in it.  
521  
522  
523

#### 524 *Circumcision of children*

525 Current VMMC for HIV prevention guidelines and national protocols approved for use in  
526 Kenya require that adolescent clients must be at least ten years of age.[49] Despite this  
527 directive, interviews and observations confirmed that VMMC staff sometimes mobilize and  
528 circumcise boys who are under ten years of age in order to boost their numbers to meet  
529 targets. When asked about potential links between targets, mobilizer strategies, and  
530 underage circumcision, Provider 3 offered:

531 Yes, they bend the rules... because you know sometimes our current age according to WHO  
532 is 10 years and above... ~~right~~. But when they [mobilizers] go to the field and get a nine-year-  
533 old for example, they coach this client and the parent, because we insist on seeing the  
534 consent. We always insist they put the ID and telephone number of the parent [on the consent  
535 form]. When they come to the facility, we [call to] confirm the age and whether the parent has  
536 consented for the child to be circumcised. And you know, they will tell you ‘yes I am 10 years  
537 old’, but when you look at the guy, he is probably 8 or... sometimes they don’t give the right  
538 information. They don’t tell them it is painful as they are supposed to tell them the injection is



539 painful but after the injection... and also after the surgery when the lignocaine or the  
540 anesthesia [wears] off, there will be some pain. So they don't tell them that.

541  
542  
543 We observed evidence for the mobilization of underage boys at ten of the 14 VMMC  
544 facilities we visited for observation, including boys (and their mobilizers) who readily  
545 admitted that they were underage. However, as Mobilizer 1 noted, age verification is not  
546 easy or straightforward in Kenya: "Yes, we have had some cases where children try to adjust  
547 their age. For instance, he is eight years old, but insists he is ten years old; and they are  
548 even able to calculate that they were born on this date and in this year. Being that we don't  
549 have a way to verify that... then later you realize that this boy is underage." Yet, during  
550 observations made during school health talks, as well as during community-based door-to-  
551 door mobilization activities, we frequently observed mobilizers not asking boys their ages,  
552 opting instead to ask for their class in school as a proxy for their age. It should be noted that  
553 parents and guardians also willfully mislead VMMC providers about the ages of their  
554 children.

555  
556 *Cutting corners in Reduced quality of clinical care*

557 Under pressure to meet targets, some Clinical Officers and other VMMC medical staff find  
558 ways to speed up the clinical process. For example, as we were told during interviews and  
559 observed firsthand at multiple VMMC facilities, providers may opt not to take patient medical  
560 histories or conduct preoperative examinations (e.g., blood pressure, weight, preexisting  
561 conditions, etc.) and/or 30-minute post-operative check-ins, and yet fill in the requisite forms  
562 as if these activities had been completed. Other avenues for time-saving included, rushing  
563 circumcisions, inadequate stitching, splitting surgical utensil and bandage packs between  
564 patients, not following recommended protocols or procedures (e.g., the dorsal slit method for  
565 circumcision), and stacking patients one after another with little pause in between, which  
566 raises additional sanitary and privacy concerns. According to an experienced VMMC  
567 surgeon:

568 We cut a lot of corners and I will give you an example: [...] so because of speed, you  
569 find that while one client is dressing [after circumcision], the other one is [already]  
570 undressing. Ideally, it is supposed [to be] that you finish with this client, you give  
571 [them] instructions on how to take medication and all that, but because of the high  
572 volume, you find that some of us we are not the ones giving out the medication. We  
573 assign somebody randomly, you know, who will be giving out the medication and the  
574 refreshment and so [the] information [they provide to the client] may not be correct.  
575 [...] Sometimes even the vitals of post-operation 30 minutes after [circumcision] are  
576 not done. It is skipped, or somebody else does [it] – probably a receptionist who is  
577 nonmedical, just to fulfill the requirements of the form. [This] is wrong because you  
578 are supposed to do the circumcision and the client rests for 30 minutes in a bed or a  
579 couch, then after that, you do the vitals then you discharge. Oh, even the wound care  
580 instructions, [that] information may not sink in for the client because it is presented so  
581 fast and there may not be [time] for questions. Yeah, there are so many corners we  
582 cut. [...] ...at a clinic last year, I saw them hold that yellow form [medical files] and  
583 going through [and just] check, check, check... adding blood pressure, adding all  
584 these things, just filling them in [without actually measuring blood pressure, etc.]. [...] I  
585 remember a case back in the day... we were running out of time, and in the  
586 process, we were so fast that we thought we had localized the client, but we had  
587 not... you know, then the client was making noise, "What...! You said you will inject  
588 me, but you have not have not injected me." When [we] looked at the tray, [the  
589 anesthetic] was there [unused]. Bringing [circumcisions down] to seven minutes,  
590 there a lot of corners which are cut [Provider 3].

591  
592 One assistant surgeon, a 25-year-old female nurse, claimed her record time for completing a  
593 circumcision was six minutes. She too associated VMMC targets with client overload, and  
594 with rushing surgeries and an increase in adverse events. She explained:

595 Sometimes you compete cutting clients and the clients are too much. The average time for a  
596 client's [circumcision] is between 12 to 20 minutes. So when the clients are too much, and  
597 you want to meet your targets, you will perform the surgery a bit fast. So upon that you will  
598 have [an] adverse event and maybe a child will come back with a bleeder [an oozing artery or  
599 other blood vessel] you did not close [suture] well [Provider 4].

600  
601 When asked to compare the forceps-guided method of circumcision with the World Health  
602 Organization[5, 50] and Kenyan National AIDS and STI Control Program (NAS COP)[18]  
603 recommended dorsal slit procedure, Provider 3, explained that while dorsal slit poses less  
604 risk of damage to the glans, it is slower: 15-20 minutes for an experienced surgeon  
605 compared to seven minutes with forceps-guided. He then added that, "Even now, I can tell  
606 you there is a very high possibility that there are some people [surgeons] doing it [forceps  
607 guided] just to beat the time." Despite these concerns, it was beyond the scope of our study  
608 to determine whether an increase in major or minor adverse events was associated with high  
609 volume periods. This issue should be addressed by future research.

610

611 *Target alternatives*

612 When asked about possible improvements to VMMC implementation and potential  
613 alternatives to the use of targets, interviewees made two suggestions. The first was to move  
614 away from active VMMC mobilization toward more passive strategies *similar-to/like* those  
615 used by sites that provide walk-in HIV testing services in Kenya. The second was to keep  
616 donor targets, but to lower them to make them more possible to achieve. As Mobilizer 1 put  
617 it, “[VMMC] should be a walk-in thing where people are circumcised at their time of  
618 convenience.... Basically, I [don’t] have problems with targets, but what I have issues with is  
619 the extremely large targets. A situation where the surgeon strains to meet the target. And I  
620 know when the surgeon is strained, the probability of having adverse events is high.” Citing a  
621 similar alternative, as well as the implementation of more reasonable targets, Provider 1  
622 offered:

623           The targets will dilute VMMC as a practice because the number is overwhelming. Imagine if  
624 you start working at 8.00am and you want to do circumcision [until] around 10 pm... it is a  
625 long day. So targets should be at least reasonable. So from my side, I can talk about the  
626 targets, and the targets [should be] revised ....okay. Another thing is for instance... it should  
627 be incorporated to be like a clinic, in that if I come to the hospital I can even walk into the  
628 VMMC clinic. Not that I am being coerced to go for circumcision, but it should be part of us  
629 [an integrated part of the healthcare system]. You know the issue of targets is forcing people  
630 to go around looking for (clients) per day. It should be like a TB clinic. Yeah, like a walk-in  
631 clinic. So anybody can just come at his own time.  
632

633 Yet another provider, when asked about the effects of targets on mobilizers, made  
634 comments that suggest the importance of improved communication as a means to decrease  
635 target burden on mobilizers for the benefit of all: “They [mobilizers] are a bit intimidated [by  
636 high targets]. So when they [VMMC technical advisors, program coordinators, etc.] set  
637 targets without consulting them, sometimes they even go slow and yeah, it affects our  
638 performance [numbers of clients to be circumcised]” [Provider 4].  
639

## 640 **DISCUSSION**

641 Much effort has been made in epidemiological modelling of VMMC to determine who, when,  
642 and where to circumcise, and how many circumcisions will be necessary in order to curb the  
643 ESA HIV epidemic.[51-57] Yet in South Africa and elsewhere, studies have indicated that the

644 pursuit of targets (for uptake of combination antiretroviral therapy) may be at odds with  
645 quality of care.[58] This study identifies multiple unintended consequences linked directly or  
646 indirectly to the use of targets in VMMC services in western Kenya. As detailed above, these  
647 include pressures associated with VMMC seasonality-client availability, and extra burdening  
648 of overburdened facilities, overworked clinical staff, deviations from the standard of care, and  
649 long waits at the clinic.

650  
651 In 2008, soon after the randomized controlled trials on HIV and male circumcision, and in  
652 advance of global efforts to implement VMMC, UNAIDS issued guidance for program  
653 decision-makers on human rights, ethics, and legal considerations.[59] This guidance  
654 advocates for a human rights based approach to the implementation of VMMC programs  
655 that ensure that the procedure is carried out safely, under conditions of informed consent,  
656 and without coercion or discrimination. On this basis, the UNAIDS guidance also explicitly  
657 states that, "[T]arget numbers of procedures, incentives to men, and incentives to providers  
658 should be avoided." [59] Similarly, PEPFAR guidelines have cautioned IPs against using  
659 targets to motivate mobilization or tethering VMMC remuneration to the number of  
660 procedures performed in order to avoid coercion.[13] According to the latest version of these  
661 guidelines, PEPFAR funded IPs who reward mobilizers are:

662 ...required to reward a team of mobilizers... so that any reward is based upon collective  
663 (versus individual) success. [This] approach limits the likelihood of coercion by separating any  
664 immediacy of reward resulting from an individual mobilizer referring a particular client.  
665 Mechanisms that further minimize perceived or actual rewards on a per-client/per-mobilizer  
666 basis are encouraged.[14]

667  
668 Despite these guidelines, our data suggest that this stated preference for team rather than  
669 individual targets may be misplaced, or at least may not make as much of a difference as  
670 intended, since teams may still be incentivized to use coercive-and/or-deceptive/misleading  
671 or otherwise questionable practices. While individual mobilizers do-may not receive an  
672 immediate payment on a per-client basis, they are-may be remunerated individually once  
673 they collectively (as a team) meet or surpass a given target. By coupling target achievement  
674 to remuneration, targets provide pressure to help ensure that adequate numbers of

675 adolescent circumcisions take place. Yet perversely, target pressure sometimes leads  
676 mobilization teams and providers to choose ethically dubious, but rational strategies to  
677 increase uptake, reduce effort, and/or shorten circumcision times in-order-to accomplish  
678 more MCs per day. Unfortunately, such strategies carry potentially deleterious outcomes for  
679 the boys this intervention is intended to protect. Moreover, they may not be in keeping with  
680 the ethics and human rights values to which VMMC funders and IPs purport to adhere.

681  
682 Such strategies may include the mobilization of preadolescent clients, imbalanced  
683 discussions of benefits versus risks, abusive language and exploitation of peer-social  
684 pressure to drive demand, and even dangerous shortcuts that may amount to malpractice  
685 (e.g., rushing surgeries or choosing forceps-guided or the dorsal-slit method to save time).  
686 One solution might involve increased efforts to ensure that uncoupling target achievement is  
687 entirely uncoupled from staff remuneration; mobilizers could draw salaries like the  
688 community health workers already working in the same communities—salaries that do not  
689 depend on targets. However, future research is needed to determine if whether target  
690 alternative programs, such as the integrated healthcare/walk-in clinic/passive models  
691 suggested by our interviewees, could can achieve the necessary number of MCs and do so  
692 without overly increasing costs. Indeed, efforts in Zimbabwe to integrate VMMC services into  
693 routine healthcare are encouraging,[60] and endeavors to integrate VMMC services in  
694 Kenya are already planned.[49] Yet, the performance based financial incentives used in  
695 Zimbabwe have been shown to be problematic[61] and our data suggest that this model  
696 would lead to similar issues in Kenya.

697  
698 Concerning mobilizer messaging, others have previously criticized the PEPFAR-endorsed  
699 tactic of claiming as a “key message”[13] the benefit of a “60% reduction” in HIV risk.[20, 62-  
700 65] During observations of VMMC mobilization activities in our study region Nyanza area, it  
701 became clear that few mobilizers and adolescent clients truly understood what the cited 60%  
702 reduction statistic would mean for them. More often, adolescents seemed confused and

703 asked mobilizers to explain the “missing 40%”. Although some researchers have suggested  
704 moving beyond VMMC mobilization messages that emphasize HIV risk reduction to those  
705 that focus on a spectrum of benefits, including “hygiene, appearance, attractiveness to  
706 partners, peer norms, and modernity”,<sup>[66]</sup> our data suggest that peer-pressure-based  
707 mobilization strategies that rely on peer pressure may be equally coercive and  
708 problematic/misleading. For instance, is it ethical (or accurate) to suggest that to be  
709 circumcised is to be “modern” when less than 40% of men globally are circumcised and only  
710 a few high-income countries, including the US, have relatively high rates of MC [67, 68] (and  
711 even in the US, rates of MC have been falling)?<sup>[69-71]</sup> For similar reasons, the practice of  
712 public health workers persuading boys to become circumcised by claiming that their future  
713 female partners will definitely prefer them that way (and reject them if they are not) should be  
714 questioned if not discouraged, how is it ethical to suggest that a boy’s future female partners  
715 will definitely prefer him to be circumcised, and may reject him if he is not?<sup>[46]</sup> In an effort to  
716 improve VMMC messaging, future studies could test the effectiveness of providing  
717 mobilizers with training in ethical conduct, as well as on the benefits versus risks of MC and  
718 how fairly and effectively to communicate them—this information to adolescents.

719  
720 The practice of using in-school health talks for VMMC mobilization warrants special ethical  
721 scrutiny. Not only do teachers and other school authorities often attend these talks—  
722 individuals who may have undue influence over boys’ circumcision decisions—but the group-  
723 based nature of the talks, and the openness with which adolescent circumcision status is  
724 discussed and mobilization takes place, make these environments ripe for coercion.  
725 Recruitment of students for research in schools by teachers and other school authorities is  
726 discouraged by ethicists for similar reasons.<sup>[72]</sup> One possible solution might be to separate  
727 health talks from VMMC recruitment: mobilizers could conduct health talks one day and  
728 schedule a day to return. In a private setting on this second day, mobilizers could answer  
729 boys’ questions, discuss consent and assent, and take down the details of any boy who  
730 would like to proceed with MC.

731  
732 In January 2019, our research team presented study findings to policy professionals and  
733 program officials at a VMMC stakeholder meeting in western Kenya. In the discussion that  
734 followed, we considered various solutions to the ethical and practical concerns highlighted  
735 here. One strategy we discussed to address the problem of high and low seasons of VMMC  
736 client availability implementation-seasonality and improve the quality of care provided at  
737 VMMC clinics was for funders and IPs to collaborate to develop “smart targets” that rise and  
738 fall with low and high seasons to match more efficiently resources and mobilization efforts to  
739 client demand and availability. As a part of this process, IP technical advisors could also  
740 work closely with school administrators in their catchment areas to find ways to conduct  
741 more MCs throughout the school year while minimizing the impact on educational activities  
742 and progress. Stakeholders also recognized that the training and awareness of mobilizers,  
743 counsellors, and clinic staff needs to be strengthened and; that quality assurance measures  
744 should be enhanced and better monitored; and that the practice of punishing IPs or  
745 mobilizers for failing to meet targets can lead to the unintended consequences revealed in  
746 our data.

747  
748 To meet high service demand, supplemental funding should be provided to train VMMC  
749 clinical providers on the WHO recommended Models for Optimizing Volume and Efficiency  
750 (MOVE) [38, 73, 74] or similar methods that emphasize “task-shifting and task-sharing” to  
751 increase the number of MCs that can be safely and effectively conducted per day by VMMC  
752 clinical staff. Additionally, funders should ensure that IPs provide boys with food and water if  
753 extended stays at VMMC facilities are necessary. Similarly, transportation to and from the  
754 clinic must be adequate and timely. Future studies should test the cost and feasibility of  
755 improving these aspects of care under real-world conditions.

756

757 **LIMITATIONS**

758 This is a qualitative study with very limited generalizability. Although, we used purposive or  
759 convenience sampling methods in this study, efforts were made to select facilities of various  
760 sizes (levels 2-5), and to work equally with VMMC clinical staff and mobilization teams from  
761 both of the two-IP organizations working in the region. In addition, we conducted mobilization  
762 and clinic observation sessions during both the "high" and "low" VMMC seasons, including  
763 separate visits to a Level 3 and a Level 4 VMMC clinic on high and low volume days.

764  
765 This study relied on in-depth interviews and clinic observations. As in most qualitative  
766 studies of this kind, interviewee responses could be subject to social desirability bias. While  
767 we cannot exclude entirely the possibility of this bias, we made clear that we were only  
768 interested in interviewees' honest recollections of, and reflections on, their VMMC  
769 experiences. Moreover, the fact that interviewees were often critical of VMMC practices and,  
770 for instance, in the case of some clinical providers, admitted to cutting corners in care  
771 suggests that this type of bias may be less of an issue in this study.

772  
773 Finally, our presence as observers at VMMC facilities and during mobilization activities may  
774 have changed the behavior of staff members and clients. We anticipated this possibility early  
775 on and in response, chose a two-pronged approach method (i.e., in-depth interviews and  
776 observations) in order to ensure the quality of data. This potential bias was also taken into  
777 account considered during data analysis and presentation for publication. For instance, all  
778 findings based on observations were cross-verified by data from in-depth interviews, and  
779 vice versa.

780

781 **CONCLUSION**

782 In 2017, a report prepared for review by the United States Agency for International  
783 Development identified potential solutions for multiple "quality gaps" in VMMC  
784 implementation, including adverse events, low client follow-up rates, and inconsistent



785 messaging to clients [28]. This document, however, did not include discussion of any ethical  
786 issues related to use of VMMC targets. When VMMC for HIV prevention first began to be  
787 implemented in ESA, much attention was paid to the ethical, human rights, and legal  
788 considerations by the organizations that were (and are) involved in it. Since then, much of  
789 the discourse has been replaced by programmatic and technical guidance concerning how  
790 best to meet ambitious VMMC targets, with less attention to the broader effects of pursuing  
791 targets in the field. As our research shows, those considerations have not gone away.  
792 VMMC funders, governmental organizations, and IPs remain strongly committed to human  
793 rights and ethical values in the pursuit of public health goals. As such, they have a robust  
794 interest in information about how VMMC programs are implemented on the ground in Kenya  
795 and across ESA. These ethical considerations should be given more weight in future  
796 research and in the routine quality assurance checks conducted by IPs and funders, and  
797 where feasible, by independent authorities.

798  
799 Further research is required to consider more fully the benefits versus risks associated with  
800 targets in mobilization activities and to develop improved or alternative systems through  
801 which to drive VMMC uptake and hold accountable VMMC IPs. While PEPFAR already  
802 monitors VMMC clinical standards and practices (including recordkeeping) through the Site  
803 Improvement through Monitoring (SIMs) scheme, future partnerships between funders, IPs,  
804 and local civil society organizations could be fostered or strengthened to avoid the  
805 unintended consequences noted above and to ensure that clients receive the best of  
806 available care. At the a minimum, funders and governmental authorities should take on more  
807 target-setting input from IPs, including mobilizers and other staff on the ground, in an  
808 iterative and ongoing way. While it may not be reasonable or wise to call for the complete  
809 abandonment of the use of targets, given their necessity to intervention modelling and  
810 program budgeting, it is justified to be more critical of their use and aware of their potential  
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821

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1039 **Supporting information:**

1040 S1 Interview guides: The stakeholder interview guides used in this study.

1041 S2 VMMC consent form: The Government of Kenya consent form for use in voluntary medical  
1042 male circumcision.

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of the Freedom of Information and Privacy Act

Comments from Peter Kilmarx – January 23, 2017

Safety and Efficacy of the PrePex™ Device among HIV-positive men in Zimbabwe

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## Background

Zimbabwe has reached about approximately 50% of its the national 1.3 million voluntary medical male circumcision (VMMC) target for 2018 [1] with a national VMMC program adverse event (AE) rate of 0.35% [2]. Non-surgical male circumcision (MC) devices, such as PrePex, have the potential to accelerate adult VMMC programme scale up. In pilot studies and controlled trials in sub-Saharan Africa, moderate and severe AE rates from device-based MC range from 0-5.9% [3-9] with few severe AEs resulting in permanent impairment.

PrePex may has been shown to be faster, simpler more simple to implement, and more cost effective as when compared to surgical circumcision-MC [10-12] and may be safely applied by less highly trained lower cadres of nurses and clinicians [7, 13, 14]. In some contexts, devices, such as PrePex, also appear more acceptable than surgical MC as they do not cause prolonged pain [6] nor interrupt activities of daily living for most clients [5]. PrePex has also proven safe and effective [3-5]. For these reasons, PrePex offers an important tool to accelerate VMMC programs to meet targets especially in men over age 15 years. Zimbabwe piloted the PrePex device in a series of clinical trials from October 2011 to establish its safety and efficacy for VMMC among HIV-negative adults [15] and adolescents [16]. To date, WHO pre-qualification supports PrePex for circumcision of HIV negative adult men [17].

Stigma associated with identification as HIV-positive is a major barrier to the scale up of VMMC in Zimbabwe, including the use of PrePex. While HIV testing is usually not a precondition to being circumcised in national VMMC policies, in practice virtually all men are HIV tested prior to circumcision, and some men report may avoiding VMMC because they do not want to be HIV tested of the strong suggestion to perception that HIV testing as part of VMMC counseling by both MC clients and providers [18, 19]. If PrePex is shown to be safe among HIV-positive men, promotion of provider initiated HIV testing would continue, but messaging about the perceived mandate for HIV testing before the procedure would be adapted, and the importance of this practice would diminish. Moreover, as VMMC scales up, excluding HIV-positive men in VMMC programs could increase stigmatization. To avoid indirect identification, HIV-positive men might seek MC surgery from potentially unsafe sources to mask their sero-status. Similarly, HIV-uninfected men might use their circumcision status to negotiate unsafe sex. Therefore, establishment of the safety of use of PrePex for VMMC of HIV-positive men would be advantageous in Zimbabwe and the region.

There is some evidence to support the safety of surgical VMMC in healthy, HIV-positive men [20-22], but MC research on the safety of MC among HIV-positive adults is limited, especially in the context of MC devices. This lack of evidence is most acute in countries in the African region where rapid expansion of male circumcision programmes is most urgent. Therefore, we conducted a one-arm, open-label, prospective, cohort field study to assess the safety, acceptability and feasibility of the PrePex device among HIV-positive adult men. The primary objective was to assess the safety of the PrePex device as measured through moderate or severe AEs among HIV-positive men in order to. The results will inform policy and clinical practice pertaining to HIV testing among men seeking circumcision.

## Methods

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#### Sample Size:

A sample size of 303 provided 80% power at the 0.05% significance level to determine if the AE rate among the study population, composed of HIV-positive men who receive PrePex MC, is non-inferior to that of surgical circumcision. Historically, the AE rate among HIV-negative adult PrePex clients was 2%. "Non-inferior" was defined as not more than 2% greater than the historic AE rate associated with surgical MC of 2%, or, in other words, a 4% AE rate. The AE rate of 2% was selected as it is the lower bound of AE rates reported by previous rigorous studies (Bailey, 2007 #13; Auvert, 2005 #347; Gray, 2007 #99;) and because an AE rate of  $\leq 2\%$  is regarded as a global standard of VMMC safety [23, 24], including in Zimbabwe [2]. We anticipated a loss to follow-up of 20% and rounded up to 400 participants.

This study has 1 arm: HIV+ men using PrePex. It will compare HIV+ men with PrePex MC to the low historical proportion of men who experience any (mild, moderate or severe) AE with surgical MC of 2.0% in Kenya [22] and not to the higher rates of AEs of around 3% as seen in both Uganda [23] and South Africa [24].

A sample size of 303 was calculated at 5% significance level and 80% power to test to see if the AE proportion among the study population is no more than 2% higher than the 2% from previous studies. We anticipated a loss to follow-up of 20% therefore we should recruit  $303/0.8=379$  participants. The sample size was rounded up to 400 evaluable participants from whom data will be obtained for the study primary endpoints analysis.

Null hypothesis: PrePex has a higher AE rate than 2.0% (the assumed AE rate for forceps-guided MC), plus a non-inferiority margin of 2%. Thus, we fail to reject the hypothesis if our sample of HIV+ men with PrePex MC has an AE rate whose confidence interval crosses 4% (2% historical rate+2% non-inferiority margin).

Alternative hypothesis: PrePex MC does not have an inferior AE rate than forceps-guided MC, defining "not inferior" as not being more than 2% greater than the historic AE rate for forceps-guided MC of 2%.

Table 1: Sample size requirements for 80% power

Non-Inferiority Margin	AE proportion cutoff for PrePex Non-Inferiority	Sample Size (1 arm)
1%	3% AEs or less	1212
2%	4% AEs or less	303
3%	5% AEs or less	135

Based on: [http://www.cct.cuhk.edu.hk/stat/proportion/OSp\\_sup.htm](http://www.cct.cuhk.edu.hk/stat/proportion/OSp_sup.htm)

This power calculation was not done for a specific number of historical controls, but rather as a one sample test, using the rate of AEs that we expect based on previous research (2%). This study design compares the AE proportion among a study population composed of HIV+ men who receive PrePex MC and tests to see if that proportion is non-inferior to the 2% from previous studies. Because our comparison group in this study design is a number (2%), rather than a sample of individuals with its own uncertainty and confidence intervals, the sample size needed is much smaller. However, because there is no actual control group, this design has less utility in comparing PrePex MC to forceps guided, since it requires the assumption that forceps-guided MC AEs would have been exactly 2.0% in the study population.

#### Study site and recruitment

The study was implemented in a large urban area outside of Harare. The study team included

PrePex master trainers: one surgeon, one urologist and three trained nurses. Males ages 18 and above who voluntarily presented at the study site for circumcision and accepted to be HIV tested could participate. Those who agreed to PrePex circumcision signed the Medical Research Council of Zimbabwe's approved Informed Consent Form before further counseling and assessment for eligibility. Prior to actual PrePex circumcision procedure, all eligible men had an HIV test to confirm their HIV status.

Recruitment from opportunistic infection treatment sites was conducted from October 2015 to May 2016. A total of 1094 clients were screened over a period of 160 working days. Additional inclusion criteria included: confirmed HIV-positive status; WHO HIV clinical stage 1 or 2; agreed to be circumcised by PrePex; ability to give informed consent; agreed to return to the health care facility for follow-up visits until complete healing 7 weeks from device application or until cleared by a clinician; and agreement to anonymous photographs. Exclusion criteria included: known bleeding or coagulation abnormality; uncontrolled diabetes; active genital infection, anatomic abnormality; WHO HIV stage 3 and above; or do not agree to PrePex. Potential participants who did not meet the inclusion criteria were offered surgical circumcision. Study flow is presented in figure 1. The number and reasons for such exclusions were recorded and noted in Table 1.

#### Follow-up

Client Reporting Forms (CRFs) were completed during each visit by a clinician. The visit schedule is shown in Table 2. All participants received the minimum package of VMMC services which that included information about the risks and benefits of the MC procedure, counselling about the need to adopt and maintain safer sexual practices, access to HIV testing, and management of sexually transmitted infections (STIs) before the procedure as well as counselling about the need to adopt and maintain safer sexual practices and condom promotion during post-procedure follow-up visits, and provision and the management of sexually transmitted infections (STIs). Participants were also reminded about the added risk of HIV infection to their partners. CD4 data from participants were collected using a small finger prick to obtain blood sample and analyzed using PIMA Point of care CD4 machine. In addition, participants were instructed to return to the clinic for an unscheduled visit if they experienced any AEs or complications with the device. Weekly visits stopped when the wound was assessed as healed. All men were asked to return for a Day 90 visit for a final physical exam.

If a participant failed to attend the scheduled weekly visit, up to three contact attempts were made by phone and SMS. For a missed Day 7 device removal visit, the study nurse also attempted at least two home visits. All attempts to contact the client were recorded on a contact log in the client's file. All data including AEs were documented at each visit using CRFs.

#### Data management and analysis

CRF-based information on safety, efficacy, pain and acceptability of the device was collected and analyzed. CD4 data from participants were collected using a small finger prick to obtain blood sample and analyzed using PIMA Point of care CD4 machine. Safety of the PrePex™ device was assessed by documenting the incidence of moderate and serious clinical AEs (Population Services International, 2016 #529). Expected procedure side effects such as localized edema, oozing, clear exudates were not included in the primary safety endpoint but were reported separately.

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Double data entry enabled verification of ~~was performed for~~ all quantitative data. Baseline demographics and patient characteristics are summarized, ~~including inter-quartile ranges (IQR)~~ and presented in tabular format using descriptive statistics. AEs are described and AE rates compared descriptively with the reported rates of similar device treatments, ~~95% confidence intervals (CI) were calculated using the Wilson exact test for proportions.~~

#### Ethical conduct of the study

The Medical Research Council of Zimbabwe (MRCZ) Harare, Zimbabwe, the University of Washington Human Subjects Division, Seattle, Washington, USA; and the Centers for Disease Control and Prevention, USA, approved this study. In addition, a Data Safety Monitoring Board was established to provide oversight in all phases of the trial.

#### **Results**

##### Demographic characteristics

~~Of the 400 participants,~~ The median age of participants enrolled in the study was 40 years (IQR:34, 46); the youngest participant was 18 years and the oldest was 73 years (Table 3). Most (75.2%) participants came from Harare area. The majority of participants were observed to be in WHO stage 2 (79.5%). Median CD4 was 336.5c/µl (IQR:232,459), with a range of 8 to 1050 c/µl.

##### Adherence to study visits

Participants were expected to attend all scheduled visits according to the study schedule until complete healing was assessed. ~~All participants were expected to attend the Day 90 visit.~~ Adherence to visits was high (Table 4). Visit adherence through Day 42, the final day of assessment for routine PrePex VMMC, was ~~over 92% or greater at each time point.~~ Visit adherence to the final study visit ~~at Day 90 was over 94.2%.~~

##### Device Removal

The vast majority (394) of devices were successfully removed on-site on Day 7 following placement. For the six other participants, one removed their device within hours of placement and no further action was required. One participant reported removal on day 7 at another site. These were not considered AEs. Details of the other cases are explained in AE section below.

##### Adverse Events

AEs were assessed and recorded using the PrePex MC Classification of Adverse Events and Device Hazards (Annex I). ~~The study-related, severe AE rate was 1.0%.~~ There were four cases of mild AEs: all four were cases of localized edema managed by penile elevation. No moderate AEs were reported.

~~A total of four study-related severe AEs were reported during the course of the study. The study-related, resulting in a severe AE rate was of 1.0% (95% CI: 1.39; 3.54) (p=0.0003).~~ ~~A total of four study-related severe AEs were reported during the course of the study.~~ Four device displacements, when the PrePex device is intentionally or accidentally dislodged during the 7-day placement period, were reported with the device in situ: one on day 1; two on day 3; and one on day 5. These are considered severe because a surgical MC procedure is required as part of clinical management. All device displacements were resolved with surgical circumcision. All four men healed completely. Additionally, two non-study related severe AEs were reported. One

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urethral stricture was detected on day 37 and determined to be related to prior conditions previously not reported. This man healed completely. Lastly, one study participant death was reported due to car accident; this was unrelated to the study. All AEs were reported to MRCZ as required by the protocol. As noted, four of the six were consistent with the MRCZ classification of device-related AEs. Details of these six cases are included in the appendix (XX).

### Discussion

This study demonstrated that PrePex is acceptable and safe for HIV-positive men. With an AE rate of 1.0%, the risk of serious AEs is below the rate from previous PrePex [3] and other VMMC device-based [9] studies among HIV-negative men and similar to the AE rate from previous PrePex trials in Zimbabwe [16, 25]. The AE rate is also below the accepted AE risk ( $\leq 2\%$ ) of the global VMMC community [2, 23, 24]. As adherence to follow-up visits was quite high, it is unlikely that additional severe or moderate AEs would have been missed in this study. This low rate of AEs among HIV-positive men using the PrePex VMMC device demonstrates that PrePex is safe for use among HIV-positive men. Therefore, without any additional risk of AEs among these men, all men should be allowed to access VMMC without mandatory HIV testing.

HIV-positive men have been largely ignored in the roll-out of VMMC services, including PrePex [26]. The UNAIDS/WHO Joint Strategic Action Framework 2012-2016 set a target of 80% coverage among HIV-negative men 15-49 years old in 14 priority countries by 2016, requiring approximately 20 million VMMCs [27]. Therefore, VMMC is promoted for sexually-active, HIV-negative men in sub-Saharan Africa. HIV testing is a common component of VMMC programs to ensure successful targeting of this focal group. However, HIV/AIDS-related stigma is still a major barrier to HIV testing uptake [28], and inclusion of HIV testing alongside VMMC service delivery may be a barrier to VMMC uptake in the sub-Saharan African region [29] where HIV prevention efforts are most needed.

Although there is some hesitation to circumcise HIV-positive men due to a perceived higher risk of HIV transmission to partners during the healing process, in our study, only four men admitted ~~reported~~ to having sex the week before they were fully healed. It appears that most men abstained from sex until complete healing as instructed, reducing risk to partners. Although this may underestimate true sexual activity, the overall risks to female partners, especially during the healing process, are difficult to quantify. In a surgical MC cohort study, the main risk for partners appears to occur during the first 6 weeks after MC as viral shedding increased during that time, then decreasing after that period [30]. Another randomized controlled trial attempted to assess whether surgical circumcision in HIV-positive men would reduce transmission of the virus to female sexual partners; the study was stopped early as 18% of women in the intervention arm (MC) and 12% of women in the control arm (non-MC) acquired HIV during follow-up, giving an adjusted HR was 1.49 (0.62-3.57) [31]. Although these studies suggest that there may be some additional risk to female partners associated with surgical MC among HIV-positive men in the post-op period, a meta-analysis of six longitudinal cohort studies found little evidence that male circumcision ~~increases~~ ~~directly~~ ~~reduces~~ ~~overall~~ risk of transmission to women (RR = 0.80, 95% CI 0.53-1.36) [32], suggesting further confirmation that the HIV-prevention-benefits-of-MC are primarily aimed at protecting HIV-naïve men.

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It is critical to note that the benefits of VMMC extend beyond HIV: VMMC also protects males from cancers of the penis, urinary tract infections and ulcerative sexually transmitted diseases, [33] diseases that also affect HIV-positive men. VMMC may reduce the prevalence and incidence of HPV infections in female partners [31, 34-36], including among partners of HIV-infected men [35], decreasing the risk of cervical cancer among these women. With consideration of these additional potential benefits to female partners, it appears that the risk of HIV transmission to female partners is not a strong argument against circumcising HIV-positive men.

This study has several limitations. First, this study compared the AE rate to historical controls and the global acceptable standard of AEs of  $\leq 2\%$ ; there is no comparison group for this study. However, because AE rates among HIV-negative men in VMMC programs, including in Zimbabwe, are known, we believe this one-arm study overcomes this weakness. Also, because only HIV-positive men participated in this study, they were older than the average age of men who undergo circumcision in Zimbabwe. This is unlikely to affect the AE rate or bias the results. Lastly, this study did not enroll female partners or investigate HIV transmission to study partners over time. As the study focused on the safety of PrePex among this cohort of HIV-positive men, this was outside the scope of budget of this small study.

#### Conclusion

Male circumcision among HIV-positive using the PrePex device is safe. The risk of adverse events is lower than the  $\leq 2\%$  rate used as a standard of quality care for MC practice. Therefore, to improve uptake of VMMC, we recommend removing the barrier of perceived mandatory HIV testing as part of routine VMMC. In order to promote uptake and reduce barriers to VMMC due to stigma, we recommend that HIV testing be advertised to potential clients as, "recommended not mandatory". In concert with continued promotion of safer sex practices in accordance with VMMC guidelines, we expect that MC service provision could be made more efficient and uptake increase as a result of this suggested policy change, increasing the positive effects of VMMC in the region.

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Table 1 Reasons for non-eligibility of participants

<b>Reason:</b>	<b>Frequency</b>	<b>Percentage</b>
Urethral discharge syndrome	1	0.3%
Epistaxis	1	0.3%
Hypospadias	1	0.3%
Hydrocele	2	0.6%
Enlarged prostate	2	0.6%
Refused HIV testing	2	0.6%
Genital sores	3	0.8%
Uncontrolled diabetes	3	0.8%
WHO Stage 3 or 4	3	0.8%
Already circumcised	3	0.8%
Keloids	4	1.1%
Unable to come for reviews	4	1.1%
Opted for surgical	4	1.1%
Phimosis	5	1.4%
Hypertensive	5	1.4%
Genital ulcer	6	1.7%
Adhesions	6	1.7%
Genital warts	10	2.8%
HIV negative	292	81.8%
<b>Total</b>	<b>357</b>	

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Table 2: Study follow-up activities and schedule

	Screening, and Device Application	Removal Visit	Physical Examination	Physical Examination	Physical Examination	Physical Examination	Physical Examination
Visit #	1	2	3	4	5	6	7, 8, 9, 10, 11, 12
Day (D)/week (w)	D0	D7	D9	D14	D21	D28	D35, 42, 49, 56, 63, 90
Informed consent	X						
Screen for inclusion/exclusion	X						
Participant specimen collection for CD4 count	X						
Medical history & demography	X						
Current medications	X	X	X	X	X	X	X
Psychosocial interview (n=200)	X			X			X (D90)
Genital examination and potential pictures	X	X	X	X	X	X	X
Device application	X						
Foreskin removal		X					
Device removal		X					
Subject's subjective pain, tingling and discomfort evaluation	X	X	X	X	X	X	X
Evaluate side effects and AEs	X	X	X	X	X	X	X
MC-related attitudes, satisfaction, and return to sexual activity	X	X	X	X	X	X	X

Table 3. Demographics of the Study Participants (n=400)

Characteristic	Frequency	DescriptionProportion (%)
<b>Age</b>		
<25	18	4.5%
25-34	87	(21.8)
35-44	173	(43.3)
45+	122	(30.5)
<b>CD4</b>		
0-250 c/µl	124	31.0%
251-500 c/µl	202	50.5%
501-750 c/µl	65	16.3%
751-1000c/ µl	8	2.0%
Above 1000c/ µl	1	0.3%
<b>WHO clinical stage</b>		
Stage 1	82	20.5%
Stage 2	318	79.5%
<b>ARV regimen</b>		
1 <sup>st</sup> line	322	80.5%
2 <sup>nd</sup> line	15	3.8%
None	53	13.5
<b>Marital Status</b>		
Married	306	76.5%
Single	44	11.0%
Separated / Divorced	29	7.3%
Widowed	21	5.3%
<b>Type of employment</b>		
Informal	210	52.5%
Formal	118	29.5%
Unemployed	65	16.3%
Student	7	1.88%
<b>Residence</b>		
Harare area	301	75.2
Other	99	24.8

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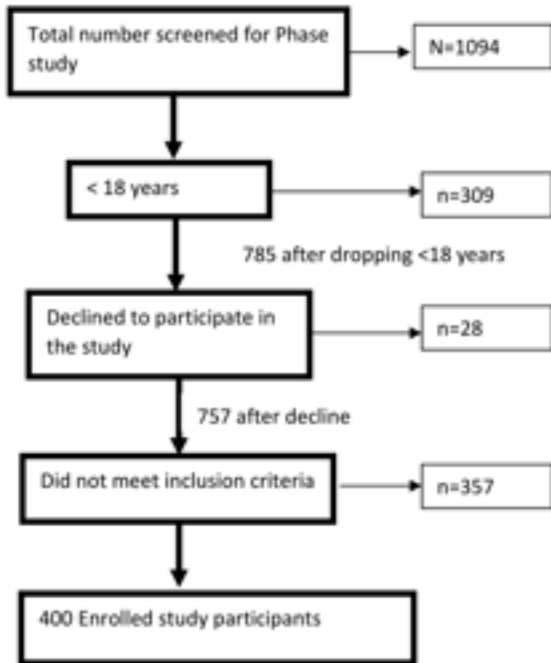
Table 4: Visit adherence

Visit date	Attended/expected*	% Attendance
Day 7	394/395	99.8
Day 9	392/395	99.2
Day 14	381/395	96.4
Day 21	374/395	94.7
Day 28	372/395	94.2
Day 35	341/365	93.4
Day 42	237/255	92.9
Day 49	121/137	88.3
Day 56	41/53	77.4
Day 63	10/21	47.6
Day 90	372/395	94.2

\*Participants attended visits until complete healing. Day 90 visit was required.

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Figure 1. Flow Chart from screening to enrollment



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<b>From:</b>	Kilmarx, Peter (NIH/FIC) [E] </O=EXCHANGELABS/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=E4ECF449BD7044BA9FD585C8DA4D47B4-KILMARXPH>
<b>To:</b>	Brian Morris <brian.morris@sydney.edu.au>
<b>Subject:</b>	RE: Male circ pubs: Highly virulent HIV variant discovered in Netherlands; Sexual pleasure technical guide; VMMC has big impact in youth in S. Afr; Greater social engagement for unscientific articles; Occlusion and micro-incontinence in I...
<b>Date:</b>	2022/02/08 12:55:00
<b>Priority:</b>	Normal
<b>Type:</b>	Note

Hi Brian,  
Thanks for sending these updates.

Note from the text of Johnson et al.: "The prevention benefits of VMMC in South Africa are expected to grow substantially over the next decade as these young men reach their peak ages of sexual risk behaviour, and as the secondary benefits of reduced transmission to female partners become more substantial."

Cheers,  
PK

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**From:** Brian Morris via Caa1 <caa1@lists.circumcisionaustralia.org>  
**Sent:** Tuesday, February 8, 2022 11:51 AM  
**To:** caa1@lists.circumcisionaustralia.org  
**Subject:** [EXTERNAL] [Caa1] Male circ pubs: Highly virulent HIV variant discovered in Netherlands; Sexual pleasure technical guide; VMMC has big impact in youth in S. Afr; Greater social engagement for unscientific articles; Occlusion and micro-incontinence in I...

CAUTION: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and are confident the content is safe.

**Male circumcision publications this week:** Highly virulent HIV variant discovered in Netherlands; Sexual pleasure technical guide; VMMC has big impact in youth in S. Afr; Greater social engagement for unscientific articles; Occlusion and micro-incontinence in lichen sclerosus; Megameatus intact prepuce repair; Tablet-based interactive distraction for pain and anxiety during circumcision of boys; Devices and techniques in adolescent and adult males (German); Polypoid penile tumor; Acquired lymphangioma circumscriptum.

[https://www.science.org/doi/full/10.1126/science.abk1688?et rid=17217576&utm\\_campaign=SCleToc&af=R&et cid=4098831&utm\\_medium=email&utm\\_content=alert&utm\\_source=sfmc](https://www.science.org/doi/full/10.1126/science.abk1688?et rid=17217576&utm_campaign=SCleToc&af=R&et cid=4098831&utm_medium=email&utm_content=alert&utm_source=sfmc)

## A highly virulent variant of HIV-1 circulating in the Netherlands

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MATTHEW HALL — TANYA GOLUBCHIK — MARGREET BAKKERSWEE HOE ONG — [...]THE BEEHIVE

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## Evolving virulence in HIV

Changes in viral load and CD4<sup>+</sup> T cell decline are expected signals of HIV evolution. By examining data from well-characterized European cohorts, Wymant *et al.* report an exceptionally virulent subtype of HIV that has been circulating in the Netherlands for several years (see the Perspective by Wertheim). More than one hundred individuals infected with a characteristic subtype B lineage of HIV-1 were found who experienced double the rate of CD4<sup>+</sup> cell count declines than expected. By the time they were diagnosed, these individuals were vulnerable to developing AIDS within 2 to 3 years. This virus lineage, which has apparently arisen *de novo* since around the millennium, shows extensive change across the genome affecting almost 300 amino acids, which makes it hard to discern the mechanism for elevated virulence. —CA

### Abstract

We discovered a highly virulent variant of subtype-B HIV-1 in the Netherlands. One hundred nine individuals with this variant had a 0.54 to 0.74 log<sub>10</sub> increase (i.e., a ~3.5-fold to 5.5-fold increase) in viral load compared with, and exhibited CD4 cell decline twice as fast as, 6604 individuals with other subtype-B strains. Without treatment, advanced HIV—CD4 cell counts below 350 cells per cubic millimeter, with long-term clinical consequences—is expected to be reached, on average, 9 months after diagnosis for individuals in their thirties with this variant. Age, sex, suspected mode of transmission, and place of birth for the aforementioned 109 individuals were typical for HIV-positive people in the Netherlands, which suggests that the increased virulence is attributable to the viral strain. Genetic sequence analysis suggests that this variant arose in the 1990s from *de novo* mutation, not recombination, with increased transmissibility and an unfamiliar molecular mechanism of virulence.

### RELATED PERSPECTIVE

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JOEL O. WERTHEIM

*SCIENCE* • 3 Feb 2022 • Vol 375, Issue 6580 • pp. 493-494 • DOI: 10.1126/science.abn4887

### See also:

[https://www.technologynetworks.com/genomics/news/how-a-new-highly-virulent-hiv-strain-was-discovered-in-the-netherlands-](https://www.technologynetworks.com/genomics/news/how-a-new-highly-virulent-hiv-strain-was-discovered-in-the-netherlands-358154?utm_campaign=NEWSLETTER_TN_Breaking%20Science%20News&utm_medium=email&hs_mi=202935160&hsenc=p2ANqtz--3N_PZOdgkIcURcJwTu9Aygofa6E2Rz4JEAH7WDA5_L7sYWG-FSExD3-IQAtYlwA5AkzdAARqMJkYsFa-fURWOW9_qx5QdljTis1j64cp0zslHk&utm_content=202935160&utm_source=hs_email)

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## News

### How a New, Highly Virulent HIV Strain Was Discovered in the Netherlands

Published: February 3, 2022

[https://www.tandfonline.com/doi/full/10.1080/19317611.2021.2023718?j=4232584&e=brian.morris@sydn ey.edu.au&l=311\\_HTML&u=143987239&mid=7004473&jb=578&utm\\_medium=email&utm\\_source=Email\\_Studio\\_JB&utm\\_campaign=JME00769A+VIB\\_4232584](https://www.tandfonline.com/doi/full/10.1080/19317611.2021.2023718?j=4232584&e=brian.morris@sydn ey.edu.au&l=311_HTML&u=143987239&mid=7004473&jb=578&utm_medium=email&utm_source=Email_Studio_JB&utm_campaign=JME00769A+VIB_4232584)

*International Journal of Sexual Health*

### The World Association for Sexual Health's Declaration on Sexual Pleasure: A Technical Guide

Jessie V. Ford Sociomedical Sciences, Columbia University, New York, NY, USA

[Esther Corona](#),  
[Mariana Cruz](#),

[J. Dennis Fortenberry](#) 

[Eszter Kismodi](#) 

[Anne Philpott](#)  , [show all](#)

Received 29 Oct 2021, Accepted 12 Nov 2021, Published online: 25 Jan 2022  
<https://doi.org/10.1080/19317611.2021.2023718>

## • • Abstract

This article provides technical guidance on the content, meaning, and application of the World Association of Sexual Health (WAS) Declaration on Sexual Pleasure to various stakeholders and practitioners working in the area of sexuality, sexual health, and sexual rights. A growing body of work shows that sexual pleasure is integral to broader health, mental health, sexual health, well-being and rights and indeed can lead to improvements in health. Yet, more research is needed to identify the best ways to incorporate sexual pleasure to achieve sexual health for different outcomes and populations. In the first part of this article, we deconstruct each statement from the WAS Declaration on Sexual Pleasure and provide key evidence from the literature supporting these statements. In the latter part of the article, we provide guidance on how to include sexual pleasure as a fundamental part of sexual health and sexual rights work. We include a series of case studies and highlight key actions and principles for advocacy, implementation, and quality assurance in terms of law and policy, comprehensive sexuality education, health care services and dissemination of knowledge. This technical document seeks to inspire our partners and collaborators to embark on a journey toward a pleasure-based approach to sexual health and sexual rights. Our hope is that the literature, guidance and case studies provided here can ignite ongoing advocacy and collaboration to embrace sexual pleasure in all settings.

<https://pubmed.ncbi.nlm.nih.gov/35125471/>

*J Acquir Immune Defic Syndr.* 2022 Feb 3.

doi: [10.1097/QAI.0000000000002927](https://doi.org/10.1097/QAI.0000000000002927). Online ahead of print.

## **The effect of HIV programmes in South Africa on national HIV incidence trends, 2000-2019**

[Leigh F Johnson](#)<sup>1</sup>, [Gesine Meyer-Rath](#), [Rob E Dorrington](#), [Adrian Puren](#), [Thapelo Seathlodi](#), [Khangalani Zuma](#), [Ali Feizzadeh](#)

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PMID: 35125471 DOI: [10.1097/QAI.0000000000002927](https://doi.org/10.1097/QAI.0000000000002927)

## Abstract

**Background:** Recent studies have shown HIV incidence declines at a population level in several African countries. However, these studies have not directly quantified the extent to which incidence declines are attributable to different HIV programmes.

**Methods:** We calibrated a mathematical model of the South African HIV epidemic to age- and sex-specific data from antenatal surveys, household surveys and death registration, using a Bayesian approach. The model was also parameterized using data on self-reported condom use, voluntary medical male circumcision (VMMC), HIV testing and antiretroviral treatment (ART). Model estimates of HIV incidence were compared against the incidence rates that would have been expected had each programme not been implemented.

**Results:** The model estimated incidence in 15-49 year olds of 0.84% (95% CI: 0.75-0.96%) at the start of 2019. This represents a 62% reduction (95% CI: 55-66%) relative to 2000, a 47% reduction (95% CI: 42-51%) relative to 2010, and a 73% reduction (95% CI: 68-77%) relative to the incidence that would have been expected in 2019 in the absence of any interventions. The reduction in incidence in 2019 due to interventions was greatest for ART and condom promotion, with VMMC and behaviour change after HIV testing having relatively modest impacts. HIV programme impacts differed significantly by age and sex, with condoms and VMMC having greatest impact in youth, and overall incidence reductions being greater in men than in women.

**Conclusions:** HIV incidence in South Africa has declined substantially since 2000, with ART and condom promotion contributing most significantly to this decline.

<https://pubmed.ncbi.nlm.nih.gov/35125286/>

J Pediatr Urol. 2021 Dec 11;S1477-5131(21)00586-6.

doi: 10.1016/j.jpuro.2021.12.003. Online ahead of print.

## Engagement of common pediatric urologic conditions on social media

[Julie W Cheng<sup>1</sup>](#), [Nicolas Fernandez<sup>2</sup>](#), [Margarett Shnorhavorian<sup>2</sup>](#), [Paul A Merguerian<sup>2</sup>](#), [Kathleen Kieran<sup>2</sup>](#)

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PMID: 35125286 DOI: [10.1016/j.jpuro.2021.12.003](https://doi.org/10.1016/j.jpuro.2021.12.003)

## Abstract

**Introduction:** As social media use continues to increase, parents and caregivers report using social media platforms as a source of health information. However, there are minimal regulations for social media content and health misinformation has been shared for various medical issues and urologic conditions. While internet content related to pediatric urology has been previously described, social media engagement for various pediatric urologic conditions have yet to be described.

**Objective:** To evaluate the evidence supporting articles engaged on social media that are related to common pediatric urologic conditions.

**Study design:** A social media analysis tool was used to identify articles engaged through Facebook, Reddit, Twitter, and Pinterest between July 2020-2021. The top 5 articles related to toilet training, circumcision, cryptorchidism, testicular torsion, and hypospadias were identified. Article citations were reviewed and classified by Oxford levels of evidence. The content of each article was then reviewed and compared against supporting evidence on an independent literature search. Statistical analysis was completed with descriptive statistics, Mann-Whitney U, Wilcoxon signed rank, and bivariate correlation.

**Results:** Of the 25 articles reviewed, 8 (32%) were affiliated with medical journals, hospitals, or academic institutions and 17 (68%) were on non-affiliated websites with advertisements. There was greater social media engagement for articles related to toilet training and circumcision than testicular torsion, hypospadias, and cryptorchidism. No articles cited level 1 evidence and 32% of articles cited no evidence. Literature search for article content demonstrated a discrepancy between the level of evidence cited by articles compared to the evidence available in the literature to support article content. There was greater social media engagement for articles with no cited or supporting evidence and those not affiliated with medical journals, hospitals, or academic institutions.

**Discussion:** The findings in this study are consistent with trends reported for other urologic conditions, including genitourinary malignancy, female pelvic medicine and reconstructive surgery, nephrolithiasis, and sexual function. Parents without a medical background may have difficulty identifying whether articles shared on social media can be a reliable resource for health information. It is important to understand how information related to pediatric urologic conditions is engaged on social media so that misinformation can be addressed in clinical, online, and regulatory settings.

**Conclusion:** There was greater social media engagement for articles with no cited or supporting evidence and those not affiliated with medical journals, hospitals, or academic institutions.

<https://pubmed.ncbi.nlm.nih.gov/35103930/>

Int Urol Nephrol. 2022 Feb 1.

doi: 10.1007/s11255-022-03130-7. Online ahead of print.

### **The role of occlusion and micro-incontinence in the pathogenesis of penile lichen sclerosus: an observational study of pro-inflammatory cytokines' gene expression**

M Czajkowski<sup>1</sup>, P Wierzbicki<sup>2</sup>, A Kotulak-Chrzęszcz<sup>2</sup>, K Czajkowska<sup>3</sup>, M Bołcewicz<sup>4</sup>, J Kłacz<sup>5</sup>, K Kreft<sup>6</sup>, A Lewandowska<sup>4,6</sup>, B Nędoszytko<sup>1,7</sup>, M Sokołowska-Wojdyło<sup>3</sup>, Z Kmieć<sup>3</sup>, L Kalinowski<sup>8</sup>, R J Nowicki<sup>3</sup>, M Matuszewski<sup>3</sup>

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PMID: 35103930 DOI: [10.1007/s11255-022-03130-7](https://doi.org/10.1007/s11255-022-03130-7)

## Abstract

**Purpose:** To assess the expression of selected cytokines in penile lichen sclerosus (PLS) and associate them with the occurrence of micro-incontinence (MI) in different stages of PLS.

**Methods:** The skin biopsies from 49 PLS affected, and 13 from nonlesional foreskins (healthy control adult males undergoing circumcision due to phimosis caused by short frenulum) were obtained. All specimens were used for RNA extraction and RT-qPCR. Quantitative assessment of the gene expression of interleukin 1-A (IL-1A), interleukin 1-B (IL-1B), interleukin 1 receptor antagonist (IL-1RN), interleukin 6 (IL-6), transforming growth factor  $\beta$ 1 (TGF- $\beta$ 1), and interferon-gamma (INF- $\gamma$ ) was performed. To determinate the presence of MI, the patients were asked about voiding patterns, especially leaking tiny drops of urine from the urethral meatus after urination.

**Results:** IL-1A, IL-6, and INF- $\gamma$  mRNA levels were approximately 150, 16, and 59 times higher in PLS than in control samples, respectively. The highest IL-1A mRNA levels were observed in early PLS (n = 13), INF- $\gamma$  in moderate PLS (n = 32), while IL-6 in severe PLS (n = 4). MI was noted in 45 PLS patients vs. 0 in control (p < 0.0001). IL-1A and IL-6 vs control ratios were concentration (ca.) 400 and 30 times higher, respectively, in MI PLS samples than in PLS without MI.

**Conclusion:** Occlusion and irritating urine effect are associated with the clinical progression of penile LS with increased mRNA expression of IL-1A, INF- $\gamma$ , and IL-6 pro-inflammatory cytokines in the foreskin.

<https://pubmed.ncbi.nlm.nih.gov/35118992/>

Turk J Urol. 2022 Jan;48(1):74-81.

doi: [10.5152/tud.2022.20526](https://doi.org/10.5152/tud.2022.20526).

## **Mathieu vs urethral plate tubularization in circumcised Megameatus intact prepuce repair: A prospective randomized comparative study**

[Mohamed Abdalla](#)<sup>1</sup>, [Ahmed Sakr](#)<sup>1</sup>, [Hazem Elgalaly](#)<sup>1</sup>, [Ehab Elsayed](#)<sup>1</sup>, [Mohamed Omran](#)<sup>1</sup>

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PMID: 35118992 DOI: [10.5152/tud.2022.20526](https://doi.org/10.5152/tud.2022.20526)

## Abstract

**Objective:** The objective of this study is to evaluate and compare urethral plate tubularization vs Mathieu in circumcised Megameatus intact prepuce (MIP) repair. Many

techniques were described for MIP, which account for 5% of hypospadias cases and usually diagnosed at time of, or even after circumcision.

**Material and methods:** Forty-six circumcised MIP cases were prospectively enrolled in this prospective study, which was carried out in April 2017 and March 2020. Patients were randomly allocated into two groups. Group one operated by simple urethral plate tubularization and group two by the Mathieu technique. Hypospadias objective scoring evaluation (HOSE) scores, success rate, operative time, and the need for relaxing incision or scrotal flaps for skin closure were compared.

**Results:** Forty-three circumcised cases (22 in group one and 21 in group two) completed at least 6 months of follow-up. Ages ranged from 12 to 39 months (mean 18.06  $\pm$  6.35) in group one and from 10 to 32 months (mean 19.5  $\pm$  7.14) in group two. There was no significant difference between cases with accepted outcome based on HOSE scores (14) of the two groups (P value  $\geq$  .942). Three fistulae and one meatal stenosis were the complications in group one (18.2%). In group two, two patients complicated with fistula (9.5%) (P value  $\geq$  .674). Significant differences were present only in the operative time (P  $\leq$  .001) and in the need of relaxing incision or scrotal skin flaps (P  $\leq$  .012) both were more in group two.

**Conclusion:** Mathieu and tubularized incised plate urethroplasty both are good options for circumcised MIP repair.

<https://pubmed.ncbi.nlm.nih.gov/35118971/>

Turk J Urol. 2021 Nov;47(6):518-525.

doi: 10.5152/tud.2021.21228.

### **Is tablet-based interactive distraction effective on pain and anxiety during circumcision in children? A randomized controlled trial**

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- PMID: 35118971
- DOI: [10.5152/tud.2021.21228](https://doi.org/10.5152/tud.2021.21228)

#### **Abstract**

**Objective:** Distraction is a nonpharmacological method commonly used during painful procedures in children. However, there are a few studies investigating the effectiveness of active distraction on pain and anxiety in children during circumcision. The purpose of

this study was to evaluate the effectiveness of tablet-based interactive distraction on pain and anxiety in children during circumcision.

**Material and methods:** To evaluate how tablet distraction could improve children's outcomes during circumcision, a single-center, nonblinded, randomized controlled, parallel group trial research design was employed. In this study, 35 children were included in tablet distraction group, which have a control group (n ¼ 35). The primary outcome measure was the Numeric Rating Scale for pain. Secondary outcome measure was the State- Trait Anxiety Scale for Children, and other outcome variables were physiological parameters and satisfaction levels.

**Results:** During and after the surgical procedure, pain scores (P <.001, P <.001, respectively) and pulse rates (P <.001, P <.001, respectively) were significantly lower in the tablet distraction group, whereas O2 saturation was higher than the control group (P <.001, P <.001, respectively). After the procedure, the anxiety scores were significantly lower in the tablet distraction group (P <.001), whereas the satisfaction scores were higher than control group (P <.001).

**Conclusion:** This study concluded that the use of tablet distraction during circumcision has a positive effect on children's pain, anxiety, satisfaction levels, and physiological parameters.

<https://pubmed.ncbi.nlm.nih.gov/35113172/>

Urologe A. 2022 Feb 3.

doi: 10.1007/s00120-021-01755-7. Online ahead of print.

### **[Comparison of circumcision devices and surgical techniques in adolescent and adult males]**

[Article in German]

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PMID: 35113172 DOI: [10.1007/s00120-021-01755-7](https://doi.org/10.1007/s00120-021-01755-7)

*No abstract available*

<https://pubmed.ncbi.nlm.nih.gov/35106820/>

Pediatr Dermatol. 2022 Jan;39(1):e3-e4.

doi: 10.1111/pde.14863.

### **Polypoid tumor of penis in an adolescent boy**

Mariana Maza-Morales<sup>1</sup>, Celso Tomás Corcuera-Delgado<sup>2</sup>, Laura Becerril-Cholula<sup>2</sup>, Maria Teresa Garcia-Romero<sup>1</sup>

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PMID: 35106820 DOI: [10.1111/pde.14863](https://doi.org/10.1111/pde.14863)

*No abstract available*

<https://pubmed.ncbi.nlm.nih.gov/35110129/>

Turk Arch Pediatr. 2021 Sep;56(5):539-540.

doi: 10.5152/TurkArchPediatr.2021.21066.

### **Acquired Lymphangioma Circumscriptum Post-neonatal Circumcision**

Jad A Degheili<sup>1</sup>, Tag Keun Yoo<sup>2</sup>, Sara Trincao-Batra<sup>3</sup>, Jun Ho Lee<sup>2</sup>

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PMID: 35110129 DOI: [10.5152/TurkArchPediatr.2021.21066](https://doi.org/10.5152/TurkArchPediatr.2021.21066)

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Dear Dr. Peter Kilmarx,

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Title: Evidence-based circumcision policy for Australia

Abstract: The aim was (1) to perform an up-to-date systematic review of the male circumcision (MC) literature and (2) to determine the number of adverse medical conditions prevented by early MC in Australia. Searches of PubMed using "circumcision" with 39 keywords and bibliography searches yielded 278 publications meeting our inclusion criteria. Early MC provides immediate and lifetime benefits, including protection against: urinary tract infections, phimosis, inflammatory skin conditions, inferior penile hygiene, candidiasis, various STIs, and penile and prostate cancer. In female partners MC reduces risk of STIs and cervical cancer. A risk-benefit analysis found benefits exceeded procedural risks, which are predominantly minor, by approximately 200 to 1. It was estimated that more than 1 in 2 uncircumcised males will experience an adverse foreskin-related medical condition over their lifetime.

An increase in early MC in Australia to mid-1950s prevalence of 85% from the current level of 18.75% would avoid 77,000 cases of infections and other adverse medical conditions over the lifetime for each annual birth cohort. Survey data, physiological measurements, and the anatomical location of penile sensory receptors responsible for sexual sensation indicate that MC has no detrimental effect on sexual function, sensitivity or pleasure. US studies found that early infant MC is cost saving. Evidence-based reviews by the AAP and CDC support early MC as a desirable public health measure. Although MC can be performed at any age, early MC maximizes benefits and reduces procedural risks. Parents should routinely be provided with accurate, up-to-date evidence-based information in an unbiased manner early in a pregnancy so that they have time to weigh benefits and risks of early MC and make an informed decision should they have a son. Parental choice should be respected. A well-trained competent practitioner is essential and local anaesthesia should routinely used. Third party coverage of costs is advocated.

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<b>Sender:</b>	Kilmarx, Peter (NIH/FIC) [E] </O=EXCHANGELABS/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=E4ECF449BD7044BA9FD585C8DA4D47B4-KILMARXPH>
<b>Recipient:</b>	Journal of Men's Health <jomh-editor@jomh.net>
<b>Sent Date:</b>	2021/12/30 08:15:40
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<b>From:</b>	Kilmarx, Peter (NIH/FIC) [E] </O=EXCHANGELABS/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=E4ECF449BD7044BA9FD585C8DA4D47B4-KILMARXPH>
<b>To:</b>	Vorkoper, Susan (NIH/FIC) [E] /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=bf92ba9101744afaaf5178606dcc1b9e-vorkopersc <susan.vorkoper@nih.gov>; Puderbaugh, Ann (NIH/FIC) [E] /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=903639684fe84ad48c32e9447c951460-puderba <puderba@mail.nih.gov>
<b>CC:</b>	Sturke, Rachel (NIH/FIC) [E] /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=6c700ea90ba04c6296bbd221e4332be5-sturkerache <sturkerachel@mail.nih.gov>; Anand, Nalini (NIH/FIC) [E] /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=ccacfb05a5d44358a0f9022b7553f072-anandn <anandn@mail.nih.gov>; Kadam, Arina (NIH/FIC) [C] /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=c68d73da26ec439cbf84a9ea08451b50-kadamas <arina.kadam@nih.gov>
<b>Subject:</b>	RE: Clearance for AHISA Scoping Review
<b>Date:</b>	2021/12/18 18:04:00
<b>Priority:</b>	Normal
<b>Type:</b>	Note

Hi,

This is cleared – attached. Congratulations on a lot of work and a very nice paper.

I'm was surprised there are only 44 papers in total about HIV in SSA including adolescents with an IS outcome. You might want to clarify in the methods on how strictly the age limit was applied – any 9 or 25 y.o.s and the paper was out. Also should clarify in the methods that it wasn't enough to have "consideration and/or measurement" one or more of "acceptability, adoption, appropriateness, costs, feasibility, fidelity, penetration, sustainability, and scale-up"; the paper had to specifically use the words implementation science/research etc. These weren't clear until I got to the limitations. I think this also makes it hard to conclude that more IR is needed when so much of it is not labeled as such. Perhaps could expand on the challenges of bibliometric research on IR?

I'm unclear about male circumcision. There has been a lot of implementation research. Did studies have to include both males and females to be included? This would also be an issue for studies of pregnant adolescents.

Good luck with submission!

PK

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**From:** Vorkoper, Susan (NIH/FIC) [E] <susan.vorkoper@nih.gov>  
**Sent:** Friday, December 17, 2021 12:57 PM  
**To:** Kilmarx, Peter (NIH/FIC) [E] <peter.kilmarx@nih.gov>; Puderbaugh, Ann (NIH/FIC) [E] <puderba@mail.nih.gov>  
**Cc:** Sturke, Rachel (NIH/FIC) [E] <sturkerachel@mail.nih.gov>; Anand, Nalini (NIH/FIC) [E] <anandn@mail.nih.gov>; Kadam, Arina (NIH/FIC) [C] <arina.kadam@nih.gov>  
**Subject:** FW: Clearance for AHISA Scoping Review

Hi Peter and Ann,

Could you please review and clear the scoping review on adolescent HIV and implementation science Rachel and I have developed? We're planning to submit to AIDS & Behavior as part of an AHISA-focused special issue.

Best,  
Susan

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**From:** Anand, Nalini (NIH/FIC) [E] <[anandn@mail.nih.gov](mailto:anandn@mail.nih.gov)>  
**Sent:** Thursday, December 16, 2021 7:25 PM  
**To:** Vorkoper, Susan (NIH/FIC) [E] <[susan.vorkoper@nih.gov](mailto:susan.vorkoper@nih.gov)>  
**Cc:** Sturke, Rachel (NIH/FIC) [E] <[sturkerachel@mail.nih.gov](mailto:sturkerachel@mail.nih.gov)>; Kadam, Arina (NIH/FIC) [C] <[arina.kadam@nih.gov](mailto:arina.kadam@nih.gov)>  
**Subject:** RE: Clearance for AHISA Scoping Review

Fantastic you guys – reflects a huge amount of work and significant contribution to the literature.

Arina can add my esig and forward to Peter and Ann.

Nalini

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**From:** Vorkoper, Susan (NIH/FIC) [E] <[susan.vorkoper@nih.gov](mailto:susan.vorkoper@nih.gov)>  
**Sent:** Wednesday, December 15, 2021 11:43 AM  
**To:** Anand, Nalini (NIH/FIC) [E] <[anandn@mail.nih.gov](mailto:anandn@mail.nih.gov)>  
**Cc:** Sturke, Rachel (NIH/FIC) [E] <[sturkerachel@mail.nih.gov](mailto:sturkerachel@mail.nih.gov)>  
**Subject:** Clearance for AHISA Scoping Review

Nalini,

We're about ready to submit the adolescent HIV and IS scoping review. Can you please review and clear?

Thank you!  
Susan

Susan Vorkoper, MPH, MSW  
Global Health Research and Policy Analyst  
Division of International Science Policy, Planning and Evaluation  
Center for Global Health Studies  
Fogarty International Center  
National Institutes of Health  
(301)451-1764  
[Susan.vorkoper@nih.gov](mailto:Susan.vorkoper@nih.gov)

<b>Sender:</b>	Kilmarx, Peter (NIH/FIC) [E] </O=EXCHANGELABS/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=E4ECF449BD7044BA9FD585C8DA4D47B4-KILMARXPH>
<b>Recipient:</b>	Vorkoper, Susan (NIH/FIC) [E] /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=b92ba9101744afaaf5178606dcc1b9e-vorkopersc <susan.vorkoper@nih.gov>; Puderbaugh, Ann (NIH/FIC) [E] /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=903639684fe84ad48c32e9447c951460-puderba <puderba@mail.nih.gov>; Sturke, Rachel (NIH/FIC) [E] /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=6c700ea90ba04c6296bbd221e4332be5-sturkerache <sturkerachel@mail.nih.gov>; Anand, Nalini (NIH/FIC) [E] /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=ccacfb05a5d44358a0f9022b7553f072-anandn <anandn@mail.nih.gov>; Kadam, Arina (NIH/FIC) [C] /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=c68d73da26ec439cbf84a9ea08451b50-kadamas <arina.kadam@nih.gov>
<b>Sent Date:</b>	2021/12/18 18:04:50
<b>Delivered Date:</b>	2021/12/18 18:04:00

# Fogarty International Center Scientific Product Clearance Policy

June 24, 2016

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**Purpose:** The purpose of this policy is to ensure that the information disseminated by FIC staff is of the highest quality, has been reviewed for substantive content, and that Fogarty leadership is aware of upcoming publications so that their release can be coordinated with other events and that they can be given the level of promotion they deserve. The policy is also intended to ensure record keeping of scientific products.

**Overview:** Scientific staff are asked to follow these simple guidelines when producing scientific products for publication. Prior to submitting, publishing, or distributing materials, FIC staff should receive *final clearance* according to this clearance policy. This process is intended to be quick and efficient for all concerned. Divisions and offices are also asked to maintain records of cleared and disseminated scientific products.

**Relevant NIH Policy:** *1184 - Preparation and Clearance of Scientific, Technical, and Public Information Presented by NIH Employees or Produced for Distribution by NIH.* This chapter provides guidelines products that carries the agency's trademark (i.e., name and logo). "Scholarly works" are explicitly exempted from the NIH-wide policy, however, it is noted that "ICs should have in place—in writing—their own internal review procedures for scientific publications completed in the normal course of professional responsibilities."

**Applicability:** This FIC policy applies to those using the FIC/NIH affiliation who write or contribute to an original, scholarly abstract, article, report, editorial, review, book, book chapter, monograph, proceedings or statistical compendium, or who otherwise contribute to a technical and scientific product. Also included are letters to the editor, opinion pieces, broadcast scripts, audio and videotapes. Routine presentations are exempt, including traditional scientific presentations; routine presentations developed for informational purposes; training materials and presentations; and presentations describing grant application procedures and management procedures. However, presentations that discuss Federal policies or legislation or that may have policy or legislative implications require IC clearance. Additionally, employees should consult their supervisor and/or ethics officer for guidance on clearance of any speech or presentation which may imply agency endorsement.

## Review and approval:

### 1. Initial approval:

- Office/Division Director—this review will take a comprehensive approach and consider:
  - evaluation of science/quality of research and appropriateness of subject matter



- overall quality and content

Approval at this level should indicate that there is high potential of the document being cleared with only minor changes from subsequent reviewers.

## *2. Communications review and Final Approval:*

- Document will be sent to the FIC Deputy Director or his designee and the Communication Director in parallel
- Communication Director will review, make recommendations and provide appropriate support as relevant regarding:
  - media or other public interest
  - sensitive/controversial issues
  - visual and graphical elements; compliance with design guidelines (such as logo)
  - appropriate attributions
  - minor edits needed (spelling and grammar), readability for the intended audience, and use of plain language
- FIC Deputy Director gives final approval

*Note:* Op-eds in major media and/or papers that are considered controversial, propose policy change or that express potentially controversial opinions should also be cleared with the Fogarty Director, Building One Communications, and the NIH Director, if deemed necessary by the CD and Deputy Director.

**Timeline:** The review process at each level should take no longer than one week for abstracts and two weeks for manuscripts and other materials.

**Submission:** The appended form should be used for submission.

**Record keeping:** FIC Divisions and Offices should maintain records of products covered by this policy as they are submitted for clearance and subsequently disseminated.

**FIC SCIENTIFIC PRODUCT CLEARANCE FORM**

Date 6/19/2020

Implementation Science for the Prevention and Treatment of HIV among Adolescents and Young Adults in sub-Saharan Africa: A Scoping Review

TITLE

Rachel Sturke, DISPPE  
Susan Vorkoper, DISPPE

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AUTHOR'S NAME AND DIVISION/OFFICE

Lead authors on the manuscript including conceptualizing and drafting the article

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AUTHOR'S ROLE IN THE WORK

Submission to AIDS & Behavior

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PROPOSED USE (i.e., submission to *Nature*, book chapter, etc.)

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POLICY IMPLICATIONS (Check one Yes No; if Yes, describe briefly)

Spring/Summer 2022

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PROPOSED PUBLICATION DATE

Susan Vorkoper<sup>1</sup>, Kadija M. Tahlil<sup>2</sup>, Nadia A. Sam-Agudu<sup>3,4</sup>, Joseph D. Tucker<sup>5,6</sup>, Alicia A. Livinski<sup>7</sup>, Frances Fernando<sup>8</sup>, Rachel Sturke<sup>1</sup>

<sup>1</sup>Fogarty International Center, National Institutes of Health, Bethesda, MD, USA.

<sup>2</sup>Department of Epidemiology, Gillings School of Global Public Health, University of North Carolina at Chapel Hill, Chapel Hill, NC, USA.

<sup>3</sup>Pediatric & Adolescent HIV Unit and International Research Center of Excellence, Institute of Human Virology Nigeria, Abuja, Nigeria.

<sup>4</sup>Institute of Human Virology and Department of Pediatrics, University of Maryland School of Medicine, Baltimore, MD, USA.

<sup>5</sup>Institute of Global Health and Infectious Diseases, University of North Carolina at Chapel Hill, Chapel Hill, NC, USA.

<sup>6</sup>Faculty of Infectious and Tropical Diseases, London School of Hygiene and Tropical Medicine, London, UK.

<sup>7</sup>National Institutes of Health (NIH) Library, Office of Research Services, OD, NIH, Bethesda, MD, USA

<sup>8</sup>Eunice Kennedy Shriver National Institute of Child Health and Human Development, Bethesda, MD, USA.

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**LIST OF AUTHORS AND AFFILIATIONS**

Author certifies that all other authors have agreed to this submission \_\_SCV\_\_ (initial)

	Printed name	Signature	Date	Comments
DIVISION/OFFICE DIRECTOR	Nalini Anand	Redacted by agreement	12/17/2021	Approved
COMMUNICATIONS DIRECTOR				
DEPUTY DIRECTOR	Peter Kilmarx	[signed] PK	12/18/2021	See email

<b>From:</b>	Kilmarx, Peter (NIH/FIC) [E] </O=EXCHANGELABS/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=E4ECF449BD7044BA9FD585C8DA4D47B4-KILMARXPH>
<b>To:</b>	Timothy Mastro <TMastro@fhi360.org>
<b>Subject:</b>	RE: Male circ pubs this week: Poor health-related quality of life among patients operated on for penile cancer; Final form of: Effectiveness of VMMC for HIV prevention in Rakai, Uganda.
<b>Date:</b>	2021/10/12 16:42:00
<b>Priority:</b>	Normal
<b>Type:</b>	Note

She gets more than her share of criticism, which I imagine has elements of misogyny and racism.

---

**From:** Timothy Mastro <TMastro@fhi360.org>  
**Sent:** Tuesday, October 12, 2021 4:37 PM  
**To:** Kilmarx, Peter (NIH/FIC) [E] <peter.kilmarx@nih.gov>  
**Subject:** RE: Male circ pubs this week: Poor health-related quality of life among patients operated on for penile cancer; Final form of: Effectiveness of VMMC for HIV prevention in Rakai, Uganda.

She still learning

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**From:** Kilmarx, Peter (NIH/FIC) [E] <peter.kilmarx@nih.gov>  
**Sent:** Tuesday, October 12, 2021 4:36 PM  
**To:** Timothy Mastro <TMastro@fhi360.org>  
**Subject:** RE: Male circ pubs this week: Poor health-related quality of life among patients operated on for penile cancer; Final form of: Effectiveness of VMMC for HIV prevention in Rakai, Uganda.

Hi and thanks Tim. Nice to see. Took them 5 years to publish?

There's an occasional like or retweet from past Twitter discussions, but no new disinformation for me to respond to. I did correct NY Times' Apoorva Mandavilli on Twitter last month when she wrote that VMMC was "thought to" prevent HIV transmission 😊

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**From:** Timothy Mastro <TMastro@fhi360.org>  
**Sent:** Tuesday, October 12, 2021 1:05 PM  
**To:** Kilmarx, Peter (NIH/FIC) [E] <peter.kilmarx@nih.gov>  
**Subject:** FW: Male circ pubs this week: Poor health-related quality of life among patients operated on for penile cancer; Final form of: Effectiveness of VMMC for HIV prevention in Rakai, Uganda.

Good to see the Rakai paper:

Are you still getting slammed in this arena?

---

**From:** Brian Morris via Caa2 <caa2@lists.circumcisionaustralia.org>  
**Sent:** Tuesday, October 12, 2021 12:47 PM  
**To:** [caa2@lists.circumcisionaustralia.org](mailto:caa2@lists.circumcisionaustralia.org)

**Subject:** [Caa2] Male circ pubs this week: Poor health-related quality of life among patients operated on for penile cancer; Final form of: Effectiveness of VMMC for HIV prevention in Rakai, Uganda.

**Male circumcision publications this week:** Poor health-related quality of life among patients operated on for penile cancer; Final form of: Effectiveness of VMMC for HIV prevention in Rakai, Uganda.

Now published in final form:

<https://pubmed.ncbi.nlm.nih.gov/33043978/>

Clin Infect Dis. 2021 Oct 5;73(7):e1946-e1953.

doi: 10.1093/cid/ciaa1533.

## Effectiveness of Voluntary Medical Male Circumcision for Human Immunodeficiency Virus Prevention in Rakai, Uganda

Gideon Loevinsohn<sup>1,2</sup>, Godfrey Kigozi<sup>3</sup>, Joseph Kagaayi<sup>3</sup>, Maria J Wawer<sup>1,4</sup>, Fred Nalugoda<sup>3</sup>, Larry W Chang<sup>1,5</sup>, Thomas C Quinn<sup>1,6</sup>, David Serwadda<sup>3,7</sup>, Steven J Reynolds<sup>1,8</sup>, Lisa Nelson<sup>8</sup>, Lisa Mills<sup>8</sup>, Stella Alamo<sup>8</sup>, Gertrude Nakigozi<sup>3</sup>, Geoffrey Kabuye<sup>8</sup>, Robert Ssekubugu<sup>3</sup>, Aaron A R Tobian<sup>1,9</sup>, Ronald H Gray<sup>1,3</sup>, M Kathryn Grabowski<sup>1,3</sup>, Rakai Health Sciences Program

**Rakai Health Sciences Program:** Dorean Nabukalu, Anthony Ndyanabo, Joseph Ssekasanvu, Hadijja Nakawooya, Jessica Nakukumba, Grace N Kigozi, Betty S Nantume, Nampijja Resty, Jedidah Kambasu, Margaret Nalugemwa, Regina Nakabuye, Lawrence Ssebanobe, Justine Nankinga, Adrian Kayiira, Gorreth Nanfuka, Ruth Ahimbisibwe, Stephen Tomusange, Ronald M Galiwango, Sarah Kalibbali, Margaret Nakalanzi, Joseph Ouma Otobi, Denis Ankunda, Joseph Lister Ssembatya, John Baptist Ssemanda, Robert Kairania, Emmanuel Kato, Alice Kisakye, James Batte, James Ludigo, Abisagi Nampijja, Steven Watya, Kighoma Nehemia, Sr Margaret Anyokot, Joshua Mwinike, George Kibumba, Paschal Ssebowa, George Mondo, Francis Wasswa, Agnes Nantongo, Rebecca Kakembo, Josephine Galiwango, Geoffrey Ssemango, Andrew D Redd, John Santelli, Caitlin E Kennedy, Jennifer Wagman, Tom Lutalo, Fred Makumbi, Nelson K Sewankambo, Oliver Laeyendecker

<sup>1</sup>Department of Epidemiology, Johns Hopkins University Bloomberg School of Public Health, Baltimore, Maryland, USA.

<sup>2</sup>Johns Hopkins University School of Medicine, Baltimore, Maryland, USA.

<sup>3</sup>Rakai Health Sciences Program, Kalisizo, Uganda.

<sup>4</sup>Department of Population, Family and Reproductive Health, Johns Hopkins University Bloomberg School of Public Health, Baltimore, Maryland, USA.

<sup>5</sup>Division of Infectious Diseases, Department of Medicine, Johns Hopkins University School of Medicine, Baltimore, Maryland, USA.

<sup>6</sup>Laboratory of Immunoregulation, Division of Intramural Research, National Institute for Allergy and Infectious Diseases, National Institutes of Health, Bethesda, Maryland, USA.

<sup>7</sup>School of Public Health, Makerere University, Kampala, Uganda.

<sup>8</sup>US Centers for Disease Control and Prevention Uganda, Kampala, Uganda.

<sup>9</sup>Department of Pathology, Johns Hopkins University School of Medicine, Baltimore, Maryland, USA.

PMID: 33043978 PMCID: [PMC8492113](https://pubmed.ncbi.nlm.nih.gov/33043978/) DOI: [10.1093/cid/ciaa1533](https://doi.org/10.1093/cid/ciaa1533)

## Abstract

**Background:** The efficacy of voluntary male medical circumcision (VMMC) for human immunodeficiency virus (HIV) prevention in men was demonstrated in 3 randomized trials. This led to the adoption of VMMC as an integral component of the United States President's Emergency Plan for AIDS Relief (PEPFAR) combination HIV prevention program in sub-Saharan Africa. However, evidence on the individual-level effectiveness of VMMC programs in real-world, programmatic settings is limited.

**Methods:** A cohort of initially uncircumcised, non-Muslim, HIV-uninfected men in the Rakai Community Cohort Study in Uganda was followed between 2009 and 2016 during VMMC scale-up. Self-reported VMMC status was collected and HIV tests performed at surveys conducted every 18 months. Multivariable Poisson regression was used to estimate the incidence rate ratio (IRR) of HIV acquisition in newly circumcised vs uncircumcised men.

**Results:** A total of 3916 non-Muslim men were followed for 17 088 person-years (PY). There were 1338 newly reported VMMCs (9.8/100 PY). Over the study period, the median age of men adopting VMMC declined from 28 years (interquartile range [IQR], 21-35 years) to 22 years (IQR, 18-29 years) (P for trend <.001). HIV incidence was 0.40/100 PY (20/4992.8 PY) among newly circumcised men and 0.98/100 PY (118/12 095.1 PY) among uncircumcised men with an adjusted IRR of 0.47 (95% confidence interval, .28-.78). The effectiveness of VMMC was sustained with increasing time from surgery and was similar across age groups and calendar time.

**Conclusions:** VMMC programs are highly effective in preventing HIV acquisition in men. The observed effectiveness is consistent with efficacy in clinical trials and supports current recommendations that VMMC is a key component of programs to reduce HIV incidence.

**Keywords:** Africa; PEPFAR; VMMC; circumcision; combination HIV prevention.

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**Circumcision Academy of Australia:** <https://www.circumcisionaustralia.info>

**Circumcision Academy of Australia:** <http://www.circumcisionaustralia.org>

**Circumcision Academy of America:** <http://www.circumcisionamerica.org>

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Brian J. Morris, AM DSc PhD FAHA ISHF  
Professor Emeritus  
School of Medical Sciences  
Anderson Stuart Building (F13)  
The University of Sydney  
Sydney NSW 2006  
Australia

The contents of this email might include material that could possibly reflect the expert views of an academic at the University of Sydney. Unless stated otherwise they should not be regarded as representing University policy since on many issues that academic staff are expert in the University does not maintain any specific policy.

<b>Sender:</b>	Kilmarx, Peter (NIH/FIC) [E] </O=EXCHANGELABS/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=E4ECF449BD7044BA9FD585C8DA4D47B4-KILMARXPH>
<b>Recipient:</b>	Timothy Mastro <TMastro@fhi360.org>
<b>Sent Date:</b>	2021/10/12 16:42:30
<b>Delivered Date:</b>	2021/10/12 16:42:00

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<b>To:</b>	Malekzadeh, Arianne (NIH/FIC) [C] /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=1f17c6466d8244ae94a49fa8c6041aec-malekzadeha <arianne.malekzadeh@nih.gov>
<b>CC:</b>	Kupfer, Linda (NIH/FIC) [E] /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=dc018682e08f417faffb7f103d8dbaf4-kupferl <kupferl@mail.nih.gov>
<b>Subject:</b>	RE: Your Input: Global Health Knowledge Exchange proposal
<b>Date:</b>	2021/03/29 17:09:00
<b>Priority:</b>	Normal
<b>Type:</b>	Note

Hi Arianne and Linda,

Thanks for your work on this and congratulations with your progress.

I have reviewed and would recommend bringing back the focus on interventions that were developed in LMIC and then adapted and implemented in the United States. Otherwise, it seems like it becomes very diffuse, hard to define, and very challenging to do it justice. Most interventions are developed in a limited number of countries and then implemented more broadly. This is everything – medicines, vaccines, surgical techniques, use of checklists, devices, diagnostics, behavioral interventions, etc. They start somewhere and then are implemented elsewhere. What will be novel and add value will be to highlight those developed in LMIC and then adapted in the U.S. or HIC generally. Alternatively, you could have LMIC to HIC and HIC to LMIC (and LMIC to LMIC and HIC to HIC), but explicitly seek to have a large category for LMIC to HIC.

Other comments:

1. • I would keep a more descriptive (and restrictive) title like “reciprocal innovation.” It can be descriptive without being pejorative. Again, “global knowledge exchange” sounds overly broad.
2. • Would highlight the importance of guidelines, either national (like IDSA, ACIP, or USPSTF) or global (WHO). This is how many interventions get put into practice. To what extent are interventions developed in LMIC part of the evidence base in guidelines for HIC or global? This could be an analysis of reference lists of guidelines documents.
3. • Need to carefully define where an innovation comes from. If NIH-funded U.S. researchers study an PMTCT intervention in LMIC that subsequently gets used in the U.S., is that reciprocal innovation? Male circumcision for HIV prevention another example. Isoniazid for TB prevention is another.
4. • Another way to focus this would be to limit it to NIH-funded research. This will make it more relevant to the other ICs and a more effective communication and advocacy tool for congress and other ICs to invest in global collaboration. There will be plenty of material. Again, I’m worried about biting off too much to say we are going to characterize all knowledge transfer from any country to any other country funded by anyone. It may be more credible and authoritative to say that NIH is focusing on NIH-funded research. We could be accused of a “Not Invented Here” (NIH) mentality, but I think it makes sense that we are reviewing our own portfolios. If we don’t do this explicitly, Wellcome and India and Gates and everyone else could complain that we didn’t include their fabulous innovation.



I hope these comments are helpful. You know best where you want to go with this.

Good luck!  
PK

P.S. Arianne: <https://the1a.org/segments/intersectionality-kimberle-crenshaw/>

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**From:** Malekzadeh, Arianne (NIH/FIC) [C] <arianne.malekzadeh@nih.gov>  
**Sent:** Monday, March 29, 2021 1:04 PM  
**To:** Kilmarx, Peter (NIH/FIC) [E] <peter.kilmarx@nih.gov>  
**Cc:** Kupfer, Linda (NIH/FIC) [E] <kupferl@mail.nih.gov>  
**Subject:** Your Input: Global Health Knowledge Exchange proposal

Hi Peter,

I hope you had a nice weekend.

Linda and I are moving forward with the global health knowledge exchange project (formerly Global-to-Local) and are hoping to get your feedback on our proposal (attached). The one-pager incorporates feedback from our NIH partners, and we just sent it to our external work group for review. A few notes...

- **Title:** In light of recent writings and presentations which have highlighted the importance of adding an equity lens to global health research, we have decided to use the term "global health knowledge exchange" rather than "global-to-local" for this project. We feel the term "knowledge exchange" better indicates the importance of equity in global health research.
- **Project:** During recent discussions with our intra-NIH working group, it was agreed that developing a journal supplement featuring case examples of global health knowledge exchange from one country to another would be the most useful product for the ICs interested in supporting this type of research. Our specific goal would be to highlight the scientific methods and lessons learned through case examples of research in this area. The scientists who participate in the journal supplement will be identified through our two working groups (intra-NIH and extramural) as well as through a landscape analysis and literature review. We will host a workshop with the scientists participating in the supplement to discuss its goals and content. Our colleagues believe this supplement will inform researchers and research funders alike about how to conduct and why to support this type of work.
- **Activities:** This activity follows the webinar we hosted with our NIH colleagues last year on [transferring HIV and Stigma Reduction Interventions from LMICs to the US](#) where researchers discussed lessons learned in this area of global health research. Given the widespread interest in the webinar from the scientific community, our partners decided that peer-reviewed publications would be the most useful way to guide this field forward.

We have asked our external work group to consider the following questions as they review the proposal:

- • *Given the dialogue around decolonization in global health research and the push for more equity in health research generally, is this an appropriate time to focus on the scientific methods around global health knowledge exchange? And if so, how can we ensure equity comes through in our project?*
- • *Do you have any feedback on the one-pager?*
- • *Do you know of examples that could be considered for the supplement? If so, please include the contact information for the project(s).*

At your earliest convenience, can you please review the proposal and send us your thoughts/feedback? We are aiming to move forward with next steps within the next few weeks.

Many thanks for your consideration.

All best,

Arianne

Arianne Malekzadeh, M.A.  
Global Health Research and Policy Analyst [C]  
Division of International Science Policy, Planning and Evaluation  
Center for Global Health Studies  
Fogarty International Center  
National Institutes of Health  
16A Center Drive  
Bethesda, MD 20892  
(301) 827-7855  
[arianne.malekzadeh@nih.gov](mailto:arianne.malekzadeh@nih.gov)

<b>Sender:</b>	Kilmarx, Peter (NIH/FIC) [E] </O=EXCHANGELABS/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=E4ECF449BD7044BA9FD585C8DA4D47B4-KILMARXPH>
<b>Recipient:</b>	Malekzadeh, Arianne (NIH/FIC) [C] /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=1f17c6466d8244ae94a49fa8c6041aec-malekzadeha <arianne.malekzadeh@nih.gov>; Kupfer, Linda (NIH/FIC) [E] /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=dc018682e08f417faffb7f103d8dbaf4-kupferl <kupferl@mail.nih.gov>
<b>Sent Date:</b>	2021/03/29 17:09:13
<b>Delivered Date:</b>	2021/03/29 17:09:00



Fogarty International Center at  
National Institute of Health

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#### REASON FOR NOMINATION

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As Director of Zimbabwe's HIV and TB Department since 2000, Dr. Mugurungi is widely recognized as the national leader of the HIV/AIDS response. The national program results are his results. According to the Global Burden of Disease database, HIV/AIDS was the number one cause of death in Zimbabwe in 2000 at 833 deaths per 100,000 population. By 2017, it had fallen to number three at 111 per 100,000, an 87% decline. From UNAIDS data, in 2000 there were an estimated 1.7 million people living with HIV infection (PLHIV), an adult prevalence of 25.1%; 110,000 new HIV infections per year; and 120,000 AIDS-related deaths. By 2018, the annual number of new HIV infections had declined to 38,000, a 65% decrease, and AIDS-related deaths declined to 22,000, an 82% decrease. During that time, the incidence-prevalence ratio declined from 0.07 to 0.03, which is the UNAIDS-defined threshold for "epidemic control." In 2000, programs for HIV testing and treatment were in their infancy. Under Dr. Mugurungi's leadership, by 2018, 90% of PLHIV (1.1 million) had been diagnosed, 98% of them were on antiretroviral treatment (ART), and 85% of those on ART had an undetectable (suppressed) viral load (2016 estimate). This represents outstanding progress towards the UNAIDS "90-90-90" goals for achieving 90% in each of these measurements by the year 2020. In 2017 alone, 160,000 PLHIV initiated HIV treatment and an estimated 56,000 AIDS-related deaths were averted by the national program.

Early in the 2000s, before HIV treatment and biomedical prevention interventions were widely available, Dr. Mugurungi led a one of the few successful national programs for behavioral HIV prevention. Longitudinal studies showed an annual HIV incidence decline from 2.1% in 2000 to 0.63% in 2010, largely due to reduced sexual risk behavior – lower numbers of casual partners and high condom use in non-regular partnerships. Educational messaging continues and condom use, both male and female, remains high in Zimbabwe. With Dr. Mugurungi's hands-on direction, by 2018 the national program for prevention of mother-to-child transmission of HIV (PMTCT) reached 94% coverage of

*Additional materials on the page/s to follow*

HIV-infected women received antiretroviral drugs, preventing 11,000 new infections among newborns. The annual number of infant infections through MTCT fell from an estimated 26,000 in 2000 to 4,300 in 2017, an 84% decline. Dr. Mugurungi was at the forefront of implementing evidence-based policies, for example, “Option-B+” to provide ART to all HIV-infected pregnant women regardless of CD4 count to benefit the woman’s health as well as preventing infant infection. Dr. Mugurungi has also led an evidence-based, data-driven national program of voluntary medical male circumcision (VMMC), which is 60% effective in reducing female-to-male HIV transmission. Under his leadership, by 2018, 1.4 million circumcisions had been carried out nationally, with more than 300,000 procedures in 2018. In summary, Dr. Mugurungi exemplifies the ideal of using health evidence in innovative ways to improve population health. For these accomplishments and impacts, he is truly deserving of the Roux Prize.

---

#### USE OF EVIDENCE FOR IMPACT

---

As Director of the HIV and TB Department in Zimbabwe since 2000, Dr. Mugurungi has led the HIV response in Zimbabwe, which has been very strongly grounded in epidemiologic and programmatic data, and, despite limited resources and occasional national emergencies, very successful. Dr. Mugurungi has been a principal investigator or senior scientist in research, program implementation, and evaluations including HIV surveillance; HIV prevention, including voluntary medical male circumcision (VMMC) and prevention of mother-to-child transmission (PMTCT); and HIV care and treatment. He has taken a population-wide, public health approach, always with evidence and data at the forefront. His department is responsible for HIV surveillance activities and for producing national and regional HIV estimates. He has led the design and implementation of routine HIV surveillance systems and surveys and in interpreting and disseminating the results. As one example, he led studies to transition from use of special periodic antenatal surveys to use of routine PMTCT program records. Dr. Mugurungi is a global leader in conducting research to develop methods for VMMC that are less dependent on clinicians and are more acceptable to men. This research has ranged from studies to identify barriers to uptake of VMMC to development of biomedical tools and procedures for male circumcision. With his direct involvement, the national VMMC program uses a specialized program planning tool. VMMC data were harmonized with nationally representative household-level data and are monitored with weekly data from Ministry dashboards and the national District Health Information System (DHIS2). Quality of program implementation is assessed through biannual national quality reports. He has led the national PMTCT program with extensive use of data and evaluation, including development and implementation of a cell-phone based system to track infant HIV test specimens to centralized laboratories with test results transmitted by SMS back to the clinic and with an SMS notification to the mother. Dr. Mugurungi was a co-principal investigator on the pioneering DART trial (“Development of Antiretroviral Therapy”) and co-led research on more feasible approaches to HIV

*Additional materials on the page/s to follow*

testing, point-of-care equipment for CD4 measurement, and accelerated ART initiation. National surveillance and data systems track HIV testing, test results, initiation and retention in HIV treatment, viral load, and HIV drug resistance at national and provincial and, for some elements, district and clinic level by sex and age group. Dr. Mugurungi has evaluated the population-level impact of national HIV control programs with studies have included in-depth, mixed-method investigations and mathematical models to interpret trends in the HIV epidemic and to evaluate the potential impact of alternative implementation strategies. Ongoing innovations in data use led by Dr. Mugurungi include 1) expanding the national DHIS2 system, which captures all HIV program data, to support scale-up of an electronic health record and include data from other disease programs and 2) implementation of case-based surveillance of new HIV infections with behavioral risk factors as well as bio-markers to design and implement innovative and efficient differentiated models of care, which will be crucial to access increasingly hard-to-reach populations

*Additional materials on the page/s to follow*

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ADDITIONAL MATERIALS (SELECT TITLE TO SKIP TO ATTACHMENT)

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- ["Towards UNAIDS Fast-Track goals: targeting geographic areas for HIV prevention and care in Zimbabwe", \*AIDS\*, 2018](#)
- [Support letter from Scott Barnhart, MD, MPH, Professor of Medicine and Global Health, University of Washington](#)
- ["HIV decline in Zimbabwe due to reductions in risky sex? Evidence from a comprehensive epidemiological review", \*International Epidemiological Association\*, 2010](#)
- ["HIV Population Surveys – Bringing Precision to the Global Response", \*The New England Journal of Medicine\*, 2018](#)
- [Curriculum Vitae, 2018](#)
- ["Extended Zimbabwe National HIV and AIDS Strategic Plan", \*Government of Zimbabwe\*, 2015](#)

*Additional materials on the page/s to follow*

**From:** Kilmarx, Peter (NIH/FIC) [E] </O=EXCHANGELABS/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=E4ECF449BD7044BA9FD585C8DA4D47B4-KILMARXPH>  
**To:** Hladik, Wolfgang (CDC/DDPHSIS/CGH/DGHT) <wfh3@cdc.gov>  
**Subject:** RE: (b)(4)  
**Date:** 2020/10/18 09:30:00  
**Priority:** Normal  
**Type:** Note

Hi Wolfgang,

It looks like the data are mixed on whether HIV-2 infection confers relative immunity to HIV-1.

Yes: <https://science.sciencemag.org/content/268/5217/1612.long>

No:

[https://journals.lww.com/aidsonline/fulltext/1997/08000/does\\_hiv\\_2\\_infection\\_provide\\_cross\\_protection.15.aspx](https://journals.lww.com/aidsonline/fulltext/1997/08000/does_hiv_2_infection_provide_cross_protection.15.aspx)

(b)(4)

Best,  
PK

---

**From:** Hladik, Wolfgang (CDC/DDPHSIS/CGH/DGHT) <wfh3@cdc.gov>  
**Sent:** Saturday, October 17, 2020 11:42 AM  
**To:** Kilmarx, Peter (NIH/FIC) [E] <peter.kilmarx@nih.gov>  
**Subject:** RE: (b)(4)

Peter – thanks for these excellent thoughts. Your thoughts on VMCC reminds me of a comment by Shirish Balachandra (current country director in Cd'I) (b)(5)

(b)(5)

(b)(5)

Best  
Wolfgang Hladik

---

**From:** Kilmarx, Peter (NIH/FIC) [E] <[peter.kilmarx@nih.gov](mailto:peter.kilmarx@nih.gov)>  
**Sent:** Saturday, October 17, 2020 10:05 AM  
**To:** Hladik, Wolfgang (CDC/DDPHSIS/CGH/DGHT) <[wfh3@cdc.gov](mailto:wfh3@cdc.gov)>  
**Subject:** RE: (b)(4)

Thanks Wolfgang and congratulations on this outstanding paper. I appreciate being included and your responses to my comments. Pity my hypotheses did not pan out!

(b)(5)

Good luck with clearance.

PK

---

**From:** Hladik, Wolfgang (CDC/DDPHSIS/CGH/DGHT) <[wfh3@cdc.gov](mailto:wfh3@cdc.gov)>  
**Sent:** Thursday, October 15, 2020 10:14 PM  
**To:** Kilmarx, Peter (NIH/FIC) [E] <[peter.kilmarx@nih.gov](mailto:peter.kilmarx@nih.gov)>  
**Subject:** RE: (b)(4)

Hi Peter, thanks again for your comments on this paper. I'm only now getting close in securing all author concurrences and country clearances. Attached is the version we'll submit to CDC for clearance. Sending it to you as I re-read that you'd appreciate seeing a new version. No need to spend time on it again but should you have any more comments, they are of course still welcome. The paper has changed quite a bit since you last saw it.

(b)(5)



Thanks!  
Wolfgang Hladik

---

**From:** Kilmarx, Peter (NIH/FIC) [E] <peter.kilmarx@nih.gov>  
**Sent:** Friday, August 7, 2020 3:47 PM  
**To:** Hladik, Wolfgang (CDC/DDPHSIS/CGH/DGHT) <wfh3@cdc.gov>  
**Subject:** RE: (b)(4)

(b)(5)

Thanks,  
PK

---

**From:** Hladik, Wolfgang (CDC/DDPHSIS/CGH/DGHT) <wfh3@cdc.gov>  
**Sent:** Friday, August 7, 2020 3:21 PM  
**To:** Kilmarx, Peter (NIH/FIC) [E] <peter.kilmarx@nih.gov>  
**Subject:** RE: (b)(4)

Peter – thanks for your concurrence and I look forward to reading your online comments in detail. I want to make sure I understand your 1<sup>st</sup> comment below: with (b)(5)

(b)(5)

(b)(5)

Thanks also for your 2<sup>nd</sup> comment – this seems pertinent and worthwhile to add to the discussion.

Wolfgang Hladik

---

**From:** Kilmarx, Peter (NIH/FIC) [E] <peter.kilmarx@nih.gov>  
**Sent:** Friday, August 7, 2020 3:09 PM  
**To:** Hladik, Wolfgang (CDC/DDPHSIS/CGH/DGHT) <wfh3@cdc.gov>  
**Subject:** RE: (b)(4)

Hi again Wolfgang. Thanks for including me in wonderfully data-rich paper! It was fascinating to read.

I made a bunch of comments. Perhaps the two most substantive:

(b)(5)

In any case:

I, Peter Kilmarx, concur with submission of the manuscript entitled (b)(4)  
(b)(4) to CDC for clearance.

I'm happy to look at subsequent versions if possible.

PK

---

**From:** Hladik, Wolfgang (CDC/DDPHSIS/CGH/DGHT) <wfh3@cdc.gov>

**Sent:** Sunday, July 26, 2020 9:52 PM

**To:** Kilmarx, Peter (NIH/FIC) [E] <peter.kilmarx@nih.gov>

**Subject:** (b)(4)

Dear Peter, hope all is well. After long last, we completed the (b)(4)

(b)(4)

We hope you will accept co-authorship and review the manuscript. Because of the many authors, I uploaded the draft paper to my Google Drive / Google Docs for collaborative review. Below link leads to the paper. You should not need a Google account but let me know if you have any problems. Use the "Suggesting" mode to make any edits visible (*Editing* drop down menu - look for the pencil like symbol near the upper right corner).

We look forward to your comments within two weeks (Fri, Aug 7) if possible. If you feel comfortable with the text please email me your concurrence stating e.g., "I, *name*, concur with submission of the manuscript entitled (b)(4) to CDC for clearance".

Thanks!

(b)(4)

Wolfgang Hladik, MD, PhD [wfh3@cdc.gov](mailto:wfh3@cdc.gov) | Office: 404.639.8691 | Mobile: (b)(4) *Redacted by agreement*

Branch Chief | Epidemiology and Surveillance Branch | Division of Global HIV and TB | Centers for Disease Control and Prevention

<b>Sender:</b>	Kilmarx, Peter (NIH/FIC) [E] </O=EXCHANGELABS/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=E4ECF449BD7044BA9FD585C8DA4D47B4-KILMARXPH>
<b>Recipient:</b>	Hladik, Wolfgang (CDC/DDPHSIS/CGH/DGHT) <wfh3@cdc.gov>
<b>Sent Date:</b>	2020/10/18 09:25:37
<b>Delivered Date:</b>	2020/10/18 09:30:00

**From:** Kilmarx, Peter (NIH/FIC) [E] </O=EXCHANGELABS/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=E4ECF449BD7044BA9FD585C8DA4D47B4-KILMARXPH>  
**To:** Hladik, Wolfgang (CDC/DDPHSIS/CGH/DGHT) <wfh3@cdc.gov>  
**Subject:** RE: [REDACTED]  
**Date:** 2020/08/07 15:32:00  
**Priority:** Normal  
**Type:** Note

Good, thanks!

---

**From:** Hladik, Wolfgang (CDC/DDPHSIS/CGH/DGHT) <wfh3@cdc.gov>  
**Sent:** Friday, August 7, 2020 3:24 PM  
**To:** Kilmarx, Peter (NIH/FIC) [E] <peter.kilmarx@nih.gov>  
**Subject:** RE: [REDACTED]

I see a number comments from you so would hope they all were saved. PS: Yes, Table 1 was originally in landscape, wide enough to include all countries. Google Doc converted it into portrait – we'll undo that again.

Wolfgang Hladik

---

**From:** Kilmarx, Peter (NIH/FIC) [E] <peter.kilmarx@nih.gov>  
**Sent:** Friday, August 7, 2020 3:18 PM  
**To:** Hladik, Wolfgang (CDC/DDPHSIS/CGH/DGHT) <wfh3@cdc.gov>  
**Subject:** RE: [REDACTED]

I'm not sure if my ~20 comments are saved. When I try to log out, it says "Changes you made may not have been saved."

I tried sharing with you a couple times and hope that worked.

---

**From:** Kilmarx, Peter (NIH/FIC) [E]  
**Sent:** Friday, August 7, 2020 3:09 PM  
**To:** Hladik, Wolfgang (CDC/DDPHSIS/CGH/DGHT) <wfh3@cdc.gov>  
**Subject:** RE: [REDACTED]

Hi again Wolfgang. Thanks for including me in wonderfully data-rich paper! It was fascinating to read.

I made a bunch of comments. Perhaps the two most substantive:

[REDACTED]

In any case:

I, Peter Kilmarx, concur with submission of the manuscript entitled [b)(4)]  
[b)(4)] to CDC for clearance.

I'm happy to look at subsequent versions if possible.

PK

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**Sent:** Sunday, July 26, 2020 9:52 PM

**To:** Kilmarx, Peter (NIH/FIC) [E] <peter.kilmarx@nih.gov>

**Subject:** [b)(4)]

Dear Peter, hope all is well. After long last, we completed the [b)(4)]

[b)(4)]

We hope you will accept co-authorship and review the manuscript. Because of the many authors, I uploaded the draft paper to my Google Drive / Google Docs for collaborative review. Below link leads to the paper. You should not need a Google account but let me know if you have any problems. Use the "Suggesting" mode to make any edits visible (*Editing* drop down menu - look for the pencil like symbol near the upper right corner).

We look forward to your comments within two weeks (Fri, Aug 7) if possible. If you feel comfortable with the text please email me your concurrence stating e.g., "I, *name*, concur with submission of the manuscript entitled [b)(4)] to CDC for clearance".

Thanks!

[b)(4)]

Wolfgang Hladik, MD, PhD [wfh3@cdc.gov](mailto:wfh3@cdc.gov) | Office: 404.639.8691 | Mobile: [Redacted by agreement]  
Branch Chief | Epidemiology and Surveillance Branch | Division of Global HIV and TB | Centers for Disease Control and Prevention

<b>Sender:</b>	Kilmarx, Peter (NIH/FIC) [E] </O=EXCHANGELABS/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=E4ECF449BD7044BA9FD585C8DA4D47B4-KILMARXPH>
<b>Recipient:</b>	Hladik, Wolfgang (CDC/DDPHSIS/CGH/DGHT) <wfh3@cdc.gov>
<b>Sent Date:</b>	2020/08/07 15:36:10
<b>Delivered Date:</b>	2020/08/07 15:32:00

<b>From:</b>	Kilmarx, Peter (NIH/FIC) [E] </O=EXCHANGELABS/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=E4ECF449BD7044BA9FD585C8DA4D47B4-KILMARXPH>
<b>To:</b>	Emmanuel Njeuhmeli [Redacted by agreement]
<b>Subject:</b>	RE: Modelling impact and cost-effectiveness of oral pre-exposure prophylaxis in 13 low-resource countries
<b>Date:</b>	2020/03/02 10:33:00
<b>Priority:</b>	Normal
<b>Type:</b>	Note

Thanks Emmanuel.

(b)(5)

Best,  
PK

**From:** Emmanuel Njeuhmeli [Redacted by agreement]  
**Sent:** Monday, March 2, 2020 10:23 AM  
**To:** Kilmarx, Peter (NIH/FIC) [E] <peter.kilmarx@nih.gov>  
**Subject:** Re: Modelling impact and cost-effectiveness of oral pre-exposure prophylaxis in 13 low-resource countries

Hi Peter,

Thanks for the comments, I provide a few clarifications below also.

Best regards,  
Emmanuel

On Sun, Mar 1, 2020 at 9:06 AM Kilmarx, Peter (NIH/FIC) [E] <peter.kilmarx@nih.gov> wrote:  
Congratulations Emmanuel.

Two comments:

(b)(5)

(b)(3)

Best wishes,  
PK

**From:** Emmanuel Njeuhmeli [Redacted by agreement]  
**Sent:** Saturday, February 29, 2020 5:40 AM  
**To:** Emmanuel Njeuhmeli [Redacted by agreement]  
**Subject:** Modelling impact and cost-effectiveness of oral pre-exposure prophylaxis in 13 low-resource countries

Dear colleagues.

I am sharing with you my last publication. With colleagues, we modeled the impact and cost-effectiveness of oral Pre Exposure prophylaxis (PrEP) in 13 countries in Eastern and Southern Africa.

Below is the abstract of the manuscript.

#### *Abstract*

##### *Introduction*

*Oral pre-exposure prophylaxis (PrEP) provision is a priority intervention for high HIV prevalence settings and populations at substantial risk of HIV acquisition. This mathematical modelling analysis estimated the impact, cost, and cost-effectiveness of scaling up oral PrEP in 13 countries.*

##### *Methods*

*We projected the impact and cost-effectiveness of oral PrEP between 2018 and 2030 using a combination of the Incidence Patterns Model and the Goals model. We created four PrEP rollout scenarios involving three priority populations—female sex workers (FSWs), serodiscordant couples (SDCs) and adolescent girls and young women (AGYW)—both with and without geographic prioritization. We applied the model to 13 countries (Eswatini, Ethiopia, Haiti, Kenya, Lesotho, Mozambique, Namibia, Nigeria, Tanzania, Uganda, Zambia, and Zimbabwe). The base case assumed achievement of the Joint United Nations Programme on HIV/AIDS 90-90-90 antiretroviral therapy targets, 90% male circumcision coverage by 2020 and 90% efficacy and adherence levels for oral PrEP.*

##### *Results*

*In the scenarios we examined, oral PrEP averted 3% to 8% of HIV infections across the 13 countries between 2018 and 2030. For all but three countries, more than 50% of the HIV infections averted by oral PrEP in the scenarios we examined could be obtained by rollout to FSWs and SDCs alone. For several countries, expanding oral PrEP to include medium-risk AGYW in all regions greatly increased the impact. The efficiency and*

*impact benefits of geographic prioritization of rollout to AGYW varied across countries. Variations in cost-effectiveness across countries reflected differences in HIV incidence and expected variations in unit cost. For most countries, rolling out oral PrEP to FSWs, SDCs, and geographically prioritized AGYW was not projected to have a substantial impact on the supply chain for antiretroviral drugs.*

### *Conclusions*

*These modelling results can inform prioritization, target-setting and other decisions related to oral PrEP scale-up within combination prevention programmes. We caution against extensive use given limitations in cost data and implementation approaches. This analysis highlights some of the immediate challenges facing countries—for example, trade-offs between overall impact and cost-effectiveness—and emphasizes the need to improve data availability and risk assessment tools to help countries make informed decisions.*

Best regards,  
Emmanuel

**Emmanuel Njeuhmeli, MD, MPH, MBA**

email [Redacted by agreement]

Cell phone [Redacted by agreement]

--

Best regards,  
Emmanuel

**Emmanuel Njeuhmeli, MD, MPH, MBA**

email [Redacted by agreement]

Cell phone [Redacted by agreement]

<b>Sender:</b>	Kilmarx, Peter (NIH/FIC) [E] </O=EXCHANGELABS/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=E4ECF449BD7044BA9FD585C8DA4D47B4-KILMARXPH>
<b>Recipient:</b>	Emmanuel Njeuhmeli [Redacted by agreement]
<b>Sent Date:</b>	2020/03/02 10:33:35
<b>Delivered Date:</b>	2020/03/02 10:33:00

## Nomination of Dr. Owen Mugurungi for the Roux Prize

January 15, 2020

---

Name: Dr. Owen Mugurungi  
Title: Director HIV and TB Department  
Organization: Ministry of Health and Child Care  
Country: Zimbabwe  
Email: Redacted by agreement  
Phone:

### **Describe the nominee's accomplishments and use of health evidence.**

---

As Director of Zimbabwe's HIV and TB Department since 2000, Dr. Mugurungi is widely recognized as the national leader of the HIV/AIDS response. The national program results are his results. According to the *Global Burden of Disease* database, HIV/AIDS was the number one cause of death in Zimbabwe in 2000 at 833 deaths per 100,000 population. By 2017, it had fallen to number three at 111/100,000, an 87% decline. From UNAIDS data, in 2000 there were an estimated 1.7 million people living with HIV infection (PLHIV), an adult prevalence of 25.1%; 110,000 new HIV infections per year; and 120,000 AIDS-related deaths. By 2018, the annual number of new HIV infections had declined to 38,000, a 65% decrease, and AIDS-related deaths declined to 22,000, an 82% decrease. During that time, the incidence-prevalence ratio declined from 0.07 to 0.03, which is the UNAIDS-defined threshold for "epidemic control."

In 2000, programs for HIV testing and treatment were in their infancy. Under Dr. Mugurungi's leadership, by 2018, 90% of PLHIV (1.1 million) had been diagnosed, 98% of them were on antiretroviral treatment (ART), and 85% of those on ART had an undetectable (suppressed) viral load (2016 estimate). This represents outstanding progress towards the UNAIDS "90-90-90" goals for achieving 90% in each of these measurements by the year 2020. In 2017 alone, 160,000 PLHIV initiated HIV treatment and an estimated 56,000 AIDS-related deaths were averted by the national program.

Early in the 2000s, before HIV treatment and biomedical prevention interventions were widely available, Dr. Mugurungi led a one of the few successful national programs for behavioral HIV prevention. Longitudinal studies showed an annual HIV incidence decline from 2.1% in 2000 to 0.63% in 2010, largely due to reduced sexual risk behavior – lower numbers of casual partners and high condom use in non-regular partnerships. Educational messaging continues and condom use, both male and female, remains high in Zimbabwe.

With Dr. Mugurungi's hands-on direction, by 2018 the national program for prevention of mother-to-child transmission of HIV (PMTCT) reached 94% coverage of HIV-infected women received antiretroviral drugs, preventing 11,000 new infections among newborns. The annual number of infant infections



through MTCT fell from an estimated 26,000 in 2000 to 4,300 in 2017, an 84% decline. Dr. Mugurungi was at the forefront of implementing evidence-based policies, for example, “Option-B+” to provide ART to all HIV-infected pregnant women regardless of CD4 count to benefit the woman’s health as well as preventing infant infection.

Dr. Mugurungi has also led an evidence-based, data-driven national program of voluntary medical male circumcision (VMMC), which is 60% effective in reducing female-to-male HIV transmission. Under his leadership, by 2018, 1.4 million circumcisions had been carried out nationally, with more than 300,000 procedures in 2018.

In summary, Dr. Mugurungi exemplifies the ideal of using health evidence in innovative ways to improve population health. For these accomplishments and impacts, he is truly deserving of the Roux Prize.

### **How has the nominee used evidence to impact health?**

---

As Director of the HIV and TB Department in Zimbabwe since 2000, Dr. Mugurungi has led the HIV response in Zimbabwe, which has been very strongly grounded in epidemiologic and programmatic data, and, despite limited resources and occasional national emergencies, very successful. Dr. Mugurungi has been a principal investigator or senior scientist in research, program implementation, and evaluations including HIV surveillance; HIV prevention, including voluntary medical male circumcision (VMMC) and prevention of mother-to-child transmission (PMTCT); and HIV care and treatment. He has taken a population-wide, public health approach, always with evidence and data at the forefront.

His department is responsible for HIV surveillance activities and for producing national and regional HIV estimates. He has led the design and implementation of routine HIV surveillance systems and surveys and in interpreting and disseminating the results. As one example, he led studies to transition from use of special periodic antenatal surveys to use of routine PMTCT program records.

Dr. Mugurungi is a global leader in conducting research to develop methods for VMMC that are less dependent on clinicians and are more acceptable to men. This research has ranged from studies to identify barriers to uptake of VMMC to development of biomedical tools and procedures for male circumcision. With his direct involvement, the national VMMC program uses a specialized program planning tool. VMMC data were harmonized with nationally representative household-level data and are monitored with weekly data from Ministry dashboards and the national District Health Information System (DHIS2). Quality of program implementation is assessed through biannual national quality reports.

He has led the national PMTCT program with extensive use of data and evaluation, including development and implementation of a cell-phone based system to track infant HIV test specimens to centralized laboratories with test results transmitted by SMS back to the clinic and with an SMS notification to the mother.

Dr. Mugurungi was a co-principal investigator on the pioneering DART trial (“Development of AntiRetroviral Therapy”) and co-led research on more feasible approaches to HIV testing, point-of-care equipment for CD4 measurement, and accelerated ART initiation. National surveillance and data

systems track HIV testing, test results, initiation and retention in HIV treatment, viral load, and HIV drug resistance at national and provincial and, for some elements, district and clinic level by sex and age group.

Dr. Mugurungi has evaluated the population-level impact of national HIV control programs with studies have included in-depth, mixed-method investigations and mathematical models to interpret trends in the HIV epidemic and to evaluate the potential impact of alternative implementation strategies.

Ongoing innovations in data use led by Dr. Mugurungi include 1) expanding the national DHIS2 system, which captures all HIV program data, to support scale-up of an electronic health record and include data from other disease programs and 2) implementation of case-based surveillance of new HIV infections with behavioral risk factors as well as bio-markers to design and implement innovative and efficient differentiated models of care, which will be crucial to access increasingly hard-to-reach populations.

Attachments (limit of five):

1. Dr. Mugurungi's CV.
2. EXTENDED ZIMBABWE NATIONAL HIV AND AIDS STRATEGIC PLAN (ZNASP) 2015 – 2020  
[http://procurement-notices.undp.org/view\\_file.cfm?doc\\_id=114051](http://procurement-notices.undp.org/view_file.cfm?doc_id=114051)
3. Publication: Justman JE, Mugurungi O, El-Sadr WM. HIV Population Surveys - Bringing Precision to the Global Response. *N Engl J Med*. 2018 May 17;378(20):1859-1861.
4. Publication: Gregson S, Gonese E, Hallett TB, Taruberekera N, Hargrove JW, Lopman B, Corbett EL, Dorrington R, Dube S, Dehne K, Mugurungi O. HIV decline in Zimbabwe due to reductions in risky sex? Evidence from a comprehensive epidemiological review. *Int J Epidemiol*. 2010 Oct;39(5):1311-23.
5. Publication: Cuadros DF, Li J, Mukandavire Z, Musuka GN, Branscum AJ, Sartorius B, Mugurungi O, Tanser F. Towards UNAIDS Fast-Track goals: targeting priority geographic areas for HIV prevention and care in Zimbabwe. *AIDS*. 2019 Feb 1;33(2):305-314.

<b>From:</b>	Kilmarx, Peter (NIH/FIC) [E] </O=EXCHANGELABS/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=E4ECF449BD7044BA9FD585C8DA4D47B4-KILMARXPH>
<b>To:</b>	Catherine Hankins /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=26ce65daf4844079b87db7f2e1200af5-CUSTOM_hank <c.hankins@aighd.org>
<b>Subject:</b>	FW: 2020 INTEREST Scientific Programme brainstorm - VMMC
<b>Date:</b>	2019/12/19 14:11:00
<b>Priority:</b>	Normal
<b>Type:</b>	Note

Hi Cate,

I asked Emmanuel Njeuhmeli, Carlos Toledo (VMMC lead at CDC), and Amy Herman-Roloff (CDC Director, South Africa) for suggestions on VMMC speakers.

1. • Emmanuel may be coming to Windhoek and could speak again, especially if we have more than one speaker.
2. • I'm told either of these two would be great. Dr. June is more scientific. Tigi is a better speaker and more applied.
  - a. • Elijah Oduyo-June (CDC-Kenya office)
  - b. • Tigistu "Tigi" Adamu Ashengo (formerly JHPIEGO, now working in Ethiopia)

Note: The attached poster from the PHIA's shows that traditional circumcision and VMMC in older men were NOT protective. I was told: "Regarding non-medical MC, it's probably because it's many different things. In some places it's basically a slit, in others a semi circumcision, and in others a full circumcision. It really varies country to country and even within one country. For older men, we think there just wasn't enough power in the analysis as the findings were not significant. Incidence is just a very rare event to capture in these cross sectional surveys. And we know that MC coverage in men >35 is fairly low in most countries." Still some interesting science! BTW - I've been "debating" with some intactivists on Twitter.

Please let me know if you want to proceed and whether to think about one, two, or three speakers.

Thanks,  
PK

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**From:** Cate Hankins <c.hankins@aighd.org>  
**Sent:** Wednesday, December 4, 2019 8:32 PM  
**Cc:** Marloes Nijboer <m.nijboer@aighd.org>  
**Subject:** Save the time: Friday December 13 from 1300-1400 Amsterdam time 2020 INTEREST Scientific Programme brainstorm

Hi everyone,

Thank you for your quick replies and for the excellent ideas that have been coming in for the scientific programme and potential peer reviewers. Please mark this time in your calendars now: Friday December 13 from 1300-1400 Amsterdam time.

We will likely have the call by Zoom – Marloes will send the details.

If you haven't sent in ideas yet, please send in some priority subjects this week to add to the compilation that we will send out before the call. If you are unable to join the call, it would be good to get your ideas to share with others on the call, even if you can't join.

All the best,  
Cate

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**From:** Catherine Hankins <[c.hankins@aighd.org](mailto:c.hankins@aighd.org)>  
**Date:** Sunday, December 1, 2019 at 11:07 PM  
**Cc:** Marloes Nijboer <[m.nijboer@aighd.org](mailto:m.nijboer@aighd.org)>  
**Subject:** 2020 INTEREST Scientific Programme brainstorm

Hi everyone,  
Hope that this finds you all well on World AIDS Day! Thank you for expressing your interest in continuing as an active member of the International Conference Committee (ICC) for INTEREST 2020! Your suggestions for new peer reviewers have been welcome – please do keep sending more along with contact info.

It is time for us to brainstorm on potential topics for the scientific programme for INTEREST 2020. We have received some ideas so far (thank you!) and we have had some initial calls with sponsors about their topics.

Please answer the doodle poll and select all times (Dec 6-13) that are currently available for you. The poll will close this Wednesday night December 4<sup>th</sup> so that you can free up the other times.

<https://doodle.com/poll/c6miqpc2ubey8hgk>

Please send your ideas for burning issues that you think INTEREST 2020 should cover and we will compile them for our discussion.

Looking forward to our first call!  
All the best,  
Cate

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**From:** Catherine Hankins <[c.hankins@aighd.org](mailto:c.hankins@aighd.org)>  
**Date:** Wednesday, October 23, 2019 at 6:59 PM  
**Subject:** Looking forward to INTEREST 2020

Dear Members of the INTEREST 2019 International Conference Committee (ICC),

How are you? I hope this finds you well as we edge toward November. We are beginning work now on INTEREST 2020 which will be held May 5-8<sup>th</sup> 2020 in Windhoek, Namibia. This location edged out the three top choices that we considered at the ICC/EG/Sponsor lunch meeting in May during the 2019 INTEREST conference in Accra, Ghana.

In what we hope will become a tradition, following the attention that has been building before and after the February 9 Lancet theme issue on advancing women in science, medicine, and global health ([https://www.thelancet.com/journals/lancet/issue/vol393no10171/PIIS0140-6736\(19\)X0006-9](https://www.thelancet.com/journals/lancet/issue/vol393no10171/PIIS0140-6736(19)X0006-9)), we have two Local Co-Chairs for INTEREST 2020. We warmly welcome Anne-Marie Nitscke, who is Director of Special Programmes (HIV, TB, Leprosy, Malaria, and Research Development) at the Ministry of Health and Social Services, and Ismael Katjitae, an internist who is Chair of the Ministry's HIV Technical Advisory Committee.

They will work with Professor Elly Katabira, who has agreed to stay on as a Co-Chair for another year, and myself as Scientific Chair. An invitation to the First Lady of Namibia, UNAIDS Special Advocate for young women and adolescent girls, to open the conference has been accepted. <https://www.unaids.org/en/aboutunaids/unaidsembassadors/MonicaGeingos> After the 2018 INTEREST conference in Kigali, we formed the active International Conference Committee and the Emeritus Group composed of those who wanted to remain linked to INTEREST in a less active but nonetheless supportive role. Emeritus Group members are natural ambassadors for INTEREST, keeping an eye open for synergies and funding opportunities while continuing to promote INTEREST's early-career capacity building objectives in Africa. Some Emeritus Group members reviewed scientific abstracts, which was very much appreciated given the huge volume of abstracts submitted for INTEREST 2019. We accepted 435 abstracts from among the 613 that we received.

So today, there are two requests for you to consider:

1. • Will you stay on the active ICC or join the Emeritus Group? Being an active ICC member means reviewing 60-100 abstracts on average, participating in email exchanges and conference calls about the scientific programme, taking on responsibility for organising one or more specific elements of the programme, and seeking out funding to participate actively in the actual meeting. INTEREST can help you with some costs but if you attend INTEREST 2019 you will likely need to co-fund your participation to a certain level.
2. • Whether you remain an ICC member or not, we need help to identify early and mid-career researchers to begin to engage with the INTEREST process. This year I would propose that we start first by asking all 4 Joep Lange award recipients (2016-2019) to review abstracts for us in their chosen fields. In addition, could you please consider nominating someone as a reviewer? It could also be someone you would like to be considered already for the ICC should you be moving to the Emeritus Group or someone

we should keep on our radar for the future. In any case, we need more scientific peer reviewers!

Please get back to me should you have any questions or concerns. Please indicate the role that most suits you at this time, along with any comments that can help us in the final composition of the Emeritus Group and the active committee.

ICC Active committee

Yes: \_\_\_\_

No: \_\_\_\_

Comments: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Emeritus Group:

Yes: \_\_\_\_

No: \_\_\_\_

Comments: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Suggestions for additional reviewers:

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\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

It would be appreciated if you could reply in the next week or so before we reach November.

Thank you to each and every one of you for making INTEREST what it has become today!

All the best,

Cate

Catherine Hankins MD PhD FRCPC CM

Scientific Chair, INTEREST 2020, May 5-8, Windhoek, Namibia

Deputy Director, Science; Amsterdam Institute for Global Health and Development; Department of Global Health, Academic Medical Center, University of Amsterdam

Professor of Public and Population Health, Department of Epidemiology, Biostatistics, and Occupational Health, Faculty of Medicine, McGill University, Montreal

Honorary Professor, Department of Infectious Disease Epidemiology, Faculty of Epidemiology and Population Health, London School of Hygiene and Tropical Medicine

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<b>Recipient:</b>	Catherine Hankins /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=26ce65daf4844079b87db7f2e1200af5-CUSTOM_hank <c.hankins@aighd.org>
<b>Sent Date:</b>	2019/12/19 14:11:35
<b>Delivered Date:</b>	2019/12/19 14:11:00

# HIV Incidence by Male Circumcision Status in Population-Based HIV Impact Assessment (PHIA) Surveys from Eight Sub-Saharan African Countries, 2015-2017

Jonas Z. Hines<sup>1</sup>, Stephanie Davis<sup>1</sup>, Carlos Toledo<sup>1</sup>, Sherri Pals<sup>1</sup>, Dan B. Williams<sup>1</sup>, Megan Bronson<sup>1</sup>, Bharat Parekh<sup>1</sup>, Zandile Mnisi<sup>2</sup>, Harriet Nuwagaba-Biriborwoha<sup>3</sup>, Tapiwa Tarumboswa<sup>4</sup>, Robert Manda<sup>5</sup>, Rose Nyirenda<sup>6</sup>, Wezi Msungama<sup>7</sup>, Melondjo A. Aupokolo<sup>8</sup>, Brigitte Zemburuka<sup>9</sup>, Jeremiah Mushi<sup>10</sup>, Kokuhumbya Kazaura<sup>11</sup>, George S. Mgomella<sup>12</sup>, Wilford L. Kirungi<sup>13</sup>, Geoffrey Kabuye<sup>14</sup>, Anna Colletar Awor<sup>15</sup>, Royd Kamoyiri<sup>16</sup>, Omega Chitwwo<sup>17</sup>, Simokuthemba Xaba<sup>18</sup>, John Mandisaria<sup>17</sup>, Maria Carrasco<sup>19</sup>, Valerian Kiggundu<sup>20</sup>, Anne G. Thomas<sup>21</sup>, Karampreet Sachatp<sup>20</sup>

**Background** Male circumcision (MC) offers men lifelong partial protection from heterosexually-acquired HIV infection. The Population-based HIV Impact Assessments (PHIAs) are national surveys estimating national HIV incidence in the setting of HIV programs. We assessed HIV incidence by MC status in eight countries implementing voluntary medical male circumcision (VMMC) with completed PHIAs. **Methods** Data pooled from PHIAs in Eswatini, Lesotho, Malawi, Namibia, Tanzania, Uganda, Zambia and Zimbabwe from 2015-2017. HIV incidence measured using a recent infection testing algorithm (Limiting-Antigen Avidity assay [normalized optical density < 1.5s], viral load [VL] >1000 copies/mL and detection of antiretroviral treatment [ART]). Analyzed incidence by self-reported MC status, using MC provider type to distinguish between medical and non-medical MC because the latter may not provide comparable protection if foreskin removal is not complete. Controlled for country, marital status, urban setting, sexual risk behaviors, and mean VL among women at the sub-national unit-level (to account for effects of ART scale-up on transmission), and adjusted for survey weights. **Analyses** age-stratified (15-34 and 35-59 years) because age was associated with MC status. **Annualized incidence rates and 95% confidence intervals of circumcised men (medical and non-medical**

**Results** Annualized HIV incidences were 0.03% (0.00-0.08%), 0.33% (0.04-0.61%), and 0.27% (0.08-0.47%) among men 15-34 years reporting medical MC, non-medical MC, and being uncircumcised, respectively (Table). Among men 35-59 years, HIV incidences were 1.11% (0.26-1.96%), 0.34% (0.00-0.68%), and 0.45% (0.12-0.79%) in the same groupings as above. The incidence difference between medically circumcised and uncircumcised men was statistically significant in men 15-34 years ( $p < 0.01$ ) but not 35-59 years ( $p = 0.14$ ). HIV incidence differences were not statistically significant between non-medically circumcised and uncircumcised men in both age groups ( $p = 0.98$  and  $0.68$ ). **Limitations** Few recent infections in the PHIAs resulted in wide confidence intervals. Self-reported MC status may not be accurate. **Cross-sectional, observational data cannot establish causality.** **Summary & Conclusions** As compared to being uncircumcised, medical MC was associated with lower HIV incidence in men aged 15-34 years in nationally-representative surveys in sub-Saharan Africa. Non-medical MC was not associated with reduced incidence. These findings are consistent with the expected ongoing VMMC program impact and highlight the importance of VMMC as part of the HIV response in sub-Saharan Africa.

status. Annualized incidence rates and 95% confidence intervals of circumcised men (medical and non-medical) by age group (15-34 and 35-59 years) by male circumcision status in Population-Based HIV Impact Assessment (PHIA) surveys from 2015-2017

		Number of recent infections	Annualized HIV incidence (unadjusted <sup>a</sup> ) (%)	95% CI for incidence (unadjusted <sup>a</sup> )	Incidence difference (adjusted <sup>b</sup> )	95% CI for incidence difference (adjusted <sup>b</sup> )	P-value for incidence difference (adjusted <sup>b</sup> )
15-34	Medically circumcised <sup>c</sup>	3	0.03	0.00-0.08	0.19	0.01-0.36	0.01
	Non-medically circumcised <sup>c</sup>	9	0.33	0.04-0.61	0.00	-0.22-0.22	0.98
	Uncircumcised	27	0.27	0.08-0.47	N/A	N/A	N/A
35-59	Medically circumcised <sup>c</sup>	9	1.11	0.26-1.96	-0.28	-0.65-0.09	0.14
	Non-medically circumcised <sup>c</sup>	8	0.34	0.00-0.68	0.04	-0.16-0.25	0.68
	Uncircumcised	19	0.45	0.12-0.79	N/A	N/A	N/A

<sup>a</sup> Eswatini, Lesotho, Malawi, Namibia, Tanzania, Uganda, Zambia, and Zimbabwe. Annualized HIV incidence is prior to adjusting for covariables. <sup>b</sup> Adjusted for the following covariables: mean VL among women aged 15-34, sexual risk behavior score (last 12 months: any sexual intercourse, sexual intercourse with 12 partners, no condom use at last sexual intercourse with a non-regular partner [defined as friend/acquaintance, sex worker, sex worker client, sex wife/sex-partner, stranger, or other]), and any sexual partner with HIV-positive or unknown HIV status, urban/rural setting, marital status, and country. <sup>c</sup> Medically circumcised = physician, clinical officer, nurse, or midwife; non-medically circumcised = traditional practitioner/circumciser, religious leader, initiation school personnel, family member/initiator, or friend. CI, confidence interval.

**Author affiliations:** Division of Global HIV and Tuberculosis, US Centers for Disease Control and Prevention, Atlanta, USA; <sup>2</sup> Ministry of Health, Tanzania, Ifakara Centre, Ifakara, Tanzania; <sup>3</sup> Ministry of Health, Lesotho, Maseru, South Africa; <sup>4</sup> Ministry of Health, Zimbabwe, Harare, Zimbabwe; <sup>5</sup> Ministry of Health, Malawi, Lilongwe, Malawi; <sup>6</sup> Ministry of Health, Tanzania, Dar es Salaam, Tanzania; <sup>7</sup> Ministry of Health, Tanzania, Dar es Salaam, Tanzania; <sup>8</sup> Ministry of Health, Tanzania, Dar es Salaam, Tanzania; <sup>9</sup> Ministry of Health, Tanzania, Dar es Salaam, Tanzania; <sup>10</sup> Ministry of Health, Tanzania, Dar es Salaam, Tanzania; <sup>11</sup> Ministry of Health, Tanzania, Dar es Salaam, Tanzania; <sup>12</sup> Ministry of Health, Tanzania, Dar es Salaam, Tanzania; <sup>13</sup> Ministry of Health, Tanzania, Dar es Salaam, Tanzania; <sup>14</sup> Ministry of Health, Tanzania, Dar es Salaam, Tanzania; <sup>15</sup> Ministry of Health, Tanzania, Dar es Salaam, Tanzania; <sup>16</sup> Ministry of Health, Tanzania, Dar es Salaam, Tanzania; <sup>17</sup> Ministry of Health, Tanzania, Dar es Salaam, Tanzania; <sup>18</sup> Ministry of Health, Tanzania, Dar es Salaam, Tanzania; <sup>19</sup> Ministry of Health, Tanzania, Dar es Salaam, Tanzania; <sup>20</sup> Ministry of Health, Tanzania, Dar es Salaam, Tanzania; <sup>21</sup> Ministry of Health, Tanzania, Dar es Salaam, Tanzania.





<b>From:</b>	Kilmarx, Peter (NIH/FIC) [E] </O=EXCHANGELABS/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=E4ECF449BD7044BA9FD585C8DA4D47B4-KILMARXPH>
<b>To:</b>	Timothy Mastro <TMastro@fhi360.org>
<b>Subject:</b>	RE: Male circ pubs this week: VMMC effective in SAfr; Circ protects against STIs; Lichen sclerosis; UTI; VUR; VMMC; Local anesthesia
<b>Date:</b>	2019/12/11 13:35:00
<b>Priority:</b>	Normal
<b>Type:</b>	Note

First author of Tanser et al.

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**From:** Timothy Mastro <TMastro@fhi360.org>  
**Sent:** Wednesday, December 11, 2019 1:34 PM  
**To:** Kilmarx, Peter (NIH/FIC) [E] <peter.kilmarx@nih.gov>  
**Subject:** RE: Male circ pubs this week: VMMC effective in SAfr; Circ protects against STIs; Lichen sclerosis; UTI; VUR; VMMC; Local anesthesia

Peter Hotez gave a terrific talk at ASTMH last month. Brilliant.

Who is Vandormael?

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**From:** Kilmarx, Peter (NIH/FIC) [E] <peter.kilmarx@nih.gov>  
**Sent:** Wednesday, December 11, 2019 1:27 PM  
**To:** Timothy Mastro <TMastro@fhi360.org>  
**Subject:** RE: Male circ pubs this week: VMMC effective in SAfr; Circ protects against STIs; Lichen sclerosis; UTI; VUR; VMMC; Local anesthesia

Thanks Tim. I'm inspired by Peter Hotez. I think it's a pretty small readership, but don't want to let the disinformation go unanswered.

Vandormael et al, tweet leaw! <https://twitter.com/PeterKilmarx/status/1204479429260402688?s=20>

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**From:** Timothy Mastro <TMastro@fhi360.org>  
**Sent:** Wednesday, December 11, 2019 1:21 PM  
**To:** Kilmarx, Peter (NIH/FIC) [E] <peter.kilmarx@nih.gov>  
**Subject:** FW: Male circ pubs this week: VMMC effective in SAfr; Circ protects against STIs; Lichen sclerosis; UTI; VUR; VMMC; Local anesthesia

Thanks Peter for fighting the good fight with Intaction.org. Sorry for you that @PeterKilmarx is now all over the Twitter-verse.

Hope you don't get more sucked into this exchange.

Good to see Brian Morris distribute the good Frank Tanser paper below.

Tim

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**From:** Brian Morris <[brian.morris@sydney.edu.au](mailto:brian.morris@sydney.edu.au)>

**Sent:** Tuesday, December 10, 2019 2:04 PM

**To:** Brian Morris <[brian.morris@sydney.edu.au](mailto:brian.morris@sydney.edu.au)>

**Subject:** Male circ pubs this week: VMMC effective in SAfr; Circ protects against STIs; Lichen sclerosis; UTI; VUR; VMMC; Local anesthesia

<https://www.ncbi.nlm.nih.gov/pubmed/31792217>

*Nat Commun.* 2019 Dec 2;10(1):5482. doi: 10.1038/s41467-019-13473-y.

## **Declines in HIV incidence among men and women in a South African population-based cohort.**

**Vandormael A<sup>1,2,3,4</sup>, Akullian A<sup>5,6</sup>, Siedner M<sup>7,8,9</sup>, de Oliveira T<sup>10,6,11</sup>, Bärnighausen T<sup>7,12,13</sup>, Tanser F<sup>7,14,11,15</sup>.**

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15 Lincoln Institute for Health, University of Lincoln, Lincoln, LN6 7TS, UK.

### **Abstract**

Over the past decade, there has been a massive scale-up of primary and secondary prevention services to reduce the population-wide incidence of HIV. However, the impact of these services on HIV incidence has not been demonstrated using a prospectively followed, population-based cohort from South Africa—the country with the world's highest rate of new infections. To quantify HIV incidence trends in a hyperendemic population, we tested a cohort of 22,239 uninfected participants over 92,877 person-years of observation. We report a 43% decline in the overall incidence rate between 2012 and 2017, from 4.0 to 2.3 seroconversion events per 100 person-years. Men experienced an earlier and larger incidence decline than women (59% vs. 37% reduction), which is consistent with male circumcision scale-up and higher levels of female antiretroviral therapy coverage. Additional efforts are needed to get more men onto consistent, suppressive treatment so that new HIV infections can be reduced among women.

PMID: 31792217

PMCID: [PMC6889466](https://pubmed.ncbi.nlm.nih.gov/31792217/)

<https://www.ncbi.nlm.nih.gov/pubmed/31795926>

*Int J STD AIDS*. 2019 Dec;30(14):1408-1416. doi: [10.1177/0956462419874593](https://doi.org/10.1177/0956462419874593).

## Male circumcision and the risk of gonorrhoea, syphilis, HIV and human papillomavirus among men in Tanzania.

Olesen TB<sup>1</sup>, Munk C<sup>1</sup>, Mwaiselage J<sup>2</sup>, Kahesa C<sup>2</sup>, Rasch V<sup>3</sup>, Frederiksen K<sup>4</sup>, Iftner T<sup>5</sup>, Kjaer SK<sup>1,6</sup>.

### Author information

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6 Department of Gynaecology, Rigshospitalet, University of Copenhagen, Copenhagen, Denmark.

### Abstract

To examine the association between male circumcision and the risk of gonorrhoea, syphilis, HIV and high-risk (hr) human papillomavirus (HPV). We used data from a cross-sectional study conducted among 1902 men in Tanzania. Circumcision status was assessed at a clinical examination and history of gonorrhoea and syphilis was obtained from questionnaire data. Penile samples were tested for HPV using Hybrid Capture 2 and genotyped by the INNO-LiPA HPV Genotyping Extra test. Blood samples were tested for HIV. Using logistic regression the association between male circumcision and gonorrhoea, syphilis, HIV and hr HPV was assessed estimating odds ratios (ORs) and 95% confidence intervals (CIs). All analyses were adjusted for age and lifetime number of sexual partners. In the multivariable analysis, the odds of gonorrhoea were lower in circumcised men compared with uncircumcised men (OR = 0.52; 95% CI: 0.37–0.74). Likewise, the odds of HIV were considerably lower in circumcised men (OR = 0.42; 95% CI: 0.26–0.67). Furthermore, lower odds of hr HPV were seen in circumcised men compared with uncircumcised men, although not statistically significant (OR = 0.81; 95% CI: 0.56–1.17). Finally, the odds of HPV16 (OR = 0.48; 95% CI: 0.23–0.98) and multiple ( $\geq 2$ ) hr HPV types (OR = 0.71; 95% CI: 0.44–1.12) were lower in circumcised men than in uncircumcised men. Circumcised men have a significantly lower risk of gonorrhoea, HIV and HPV16, compared with uncircumcised men.

### KEYWORDS:

HIV; Male circumcision; Tanzania; gonorrhoea; human papillomavirus; syphilis

PMID: [31795926](https://pubmed.ncbi.nlm.nih.gov/31795926/)

DOI: [10.1177/0956462419874593](https://doi.org/10.1177/0956462419874593)

<https://www.ncbi.nlm.nih.gov/pubmed/31807846>

World J Urol. 2019 Dec 5. doi: 10.1007/s00345-019-03030-z. [Epub ahead of print]

## Current treatment of lichen sclerosus and stricture.

Chung ASJ<sup>1,2,3</sup>, Suarez OA<sup>4</sup>.

### Author information

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Redacted by agreement

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Redacted by agreement

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Abstract

### INTRODUCTION:

Lichen sclerosus (LS) is a common cause of urethral stricture disease. The purpose of this article is to review the literature over the past 5 years, to describe current treatment of lichen sclerosus as it relates to urethral stricture in men.

### MATERIALS AND METHODS:

Literature reviews were performed using PUBMED, with search terms "lichen scleros\*" and "urethral stenosis", as well as "lichen scleros\*" and "urethral stricture". Relevant articles published within the past 5 years were selected for review. A summary of current treatment of lichen sclerosus was prepared and synthesized.

### RESULTS:

For LS affecting genital skin, topical steroids are a mainstay of therapy but in advanced cases, surgery may be required such as circumcision. When LS causes urethral stricture, urethral dilatation is unlikely to be successful long term, and surgery is often required, such as meatoplasty, single- or two-stage urethroplasty, or perineal urethrostomy. Oral mucosal grafting is the graft of choice, and usage of genital skin for flaps or grafts is best avoided due to predilection for recurrence. Biopsy and long-term surveillance of LS are recommended, due to its potential association with squamous cell carcinoma development.

### CONCLUSION:

Although debate still exists regarding the pathogenesis of LS, it is agreed that LS can pose a treatment challenge to physicians and surgeons. Treatment options for LS range from pharmacological to surgical, depending on severity and location of disease, patient factors, and response of previous treatments.

### KEYWORDS:

Dilatation; Lichen sclerosus et atrophicus; Mouth mucosa; Urethra; Urethral cancer; Urethral diseases; Urethral stricture

PMID: 31807846

DOI: [10.1007/s00345-019-03030-z](https://doi.org/10.1007/s00345-019-03030-z)

<https://www.ncbi.nlm.nih.gov/pubmed/31804430>

Pediatr Emerg Care. 2019 Dec 3. doi: 10.1097/PEC.0000000000001912. [Epub ahead of print]

# Assessing the Utility of Urine Testing in Febrile Infants 2 to 12 Months of Age With Bronchiolitis.

Elkhunovich MA<sup>1</sup>, Wang VJ<sup>2</sup>, Pham P<sup>1</sup>, Arpilleda JC<sup>3</sup>, Clingenpeel JM<sup>4</sup>, Mansour K<sup>5</sup>, Riech T<sup>6</sup>, Yen K<sup>2</sup>, Liu DR<sup>1</sup>.

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6 Division of Emergency Medicine, OSF Children's Hospital of Illinois, Peoria, IL.

## Abstract

### BACKGROUND:

The utility of testing for urinary tract infection (UTI) in febrile infants with bronchiolitis is indeterminate.

### OBJECTIVE:

The objective of this study was to investigate if the incidence of UTIs in febrile infants 2 to 12 months of age with bronchiolitis is higher than the presumed incidence of asymptomatic bacteriuria and determine risk factors associated with UTIs in this population.

### METHODS:

This prospective multicenter cross-sectional study was conducted in the emergency departments of 6 children's hospitals between November 2011 and June 2015. We obtained a convenience sample of febrile infants with bronchiolitis 2 to 12 months of age who were tested for UTI. Patient characteristics analyzed included age, maximum temperature, duration of fever, ethnicity, sex, and circumcision status.

### RESULTS:

A total of 442 patients (including 86 from a previously published pilot study) were enrolled. Mean age was 5.5 months, 65.2% were Latino, 50.9% were male, and 27.6% of male infants were circumcised. Urinary tract infections were found in 33 patients (7.69%, binomial; 95% confidence interval [CI], 5.19%-10.33%). Urinary tract infections were not related to age, height of temperature, duration of fever, or ethnicity. Uncircumcised males were significantly more likely to have UTIs than circumcised males (7.64% vs 0%,  $P = 0.03$ ). Odds ratios (ORs) were lower for circumcised males but not uncircumcised males when compared with females (OR, 0.12; CI, 0.0-0.71;  $P = 0.01$  vs OR, 0.77; CI, 0.33-1.74;  $P = 0.64$ ).

### CONCLUSIONS:

Febrile infants 2 to 12 months of age with bronchiolitis have a clinically significant incidence of UTI, suggesting that UTI evaluation should be considered in these patients.

PMID: 31804430

DOI: [10.1097/PEC.0000000000001912](https://doi.org/10.1097/PEC.0000000000001912)

<https://www.ncbi.nlm.nih.gov/pubmed/31805578>

Aktuelle Urol. 2019 Dec 5. doi: 10.1055/a-1044-5310. [Epub ahead of print]

## [Surgical treatment of vesicoureteral reflux in the first year of life?]

[Article in German; Abstract available in German from the publisher]

Oswald J<sup>1</sup>.

### Author information

<sup>1</sup> Ordensklinikum Linz GmbH Barmherzige Schwestern, Abteilung für Kinderurologie, Linz.

### Abstract

in [English](#), [German](#)

Surgical intervention in children with VUR is rarely indicated in the first year of life. Early surgical intervention is primarily required for male infants with a high-grade reflux, renal impairment due to reflux nephropathy, infravesical obstruction and breakthrough infections like pyelonephritis or urosepsis. Besides the recommended circumcision, this is usually limited to endoscopic infravesical disobstruction of posterior urethral valves, prolapsing ureteroceles or secondary bladder neck obstructions. Concomitant endoscopic reflux therapy with bulking agents such as hyaluronic acid/dextranomer should be regarded as a downstaging of the VUR; the decision should be made on an individual basis. Urinary diversion, e.g. vesicostomy or ureterocutaneostomy, is only required in exceptional cases.

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PMID: 31805578

DOI: [10.1055/a-1044-5310](https://doi.org/10.1055/a-1044-5310)

<https://www.ncbi.nlm.nih.gov/pubmed/31809358>

*J Acquir Immune Defic Syndr*. 2020 Jan 1;83(1):16-23. doi: 10.1097/QAI.0000000000002198.

## Reducing Provider Workload While Preserving Patient Safety: A Randomized Control Trial Using 2-Way Texting for Postoperative Follow-up in Zimbabwe's Voluntary Medical Male Circumcision Program.

[Feldacker C<sup>1,2</sup>](#), [Murenje V<sup>3</sup>](#), [Holeman I<sup>1,4</sup>](#), [Xaba S<sup>5</sup>](#), [Makunike-Chikwinya B<sup>3</sup>](#), [Korir M<sup>4</sup>](#), [Gundidza PT<sup>6</sup>](#), [Holec M<sup>2</sup>](#), [Barnhart S<sup>1,2,7</sup>](#), [Tshimanga M<sup>6</sup>](#).

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### Abstract

#### **BACKGROUND:**

Voluntary medical male circumcisions (MCs) are safe: the majority of men heal without complication. However, guidelines require multiple follow-up visits. In Zimbabwe, where there is high mobile phone ownership, severe health care worker shortages, and rapid MC scale up intersect, we tested a 2-way texting (2wT) intervention to reduce provider workload while safeguarding patient safety.

**SETTING:**

Two high-volume facilities providing MC near Harare, Zimbabwe.

**METHODS:**

A prospective, unblinded, noninferiority, randomized control trial of 722 adult MC clients with cell phones randomized 1:1. 2wT clients (n = 362) responded to a daily text with in-person follow-up only if desired or an adverse event (AE) was suspected. The control group (n = 359) received routine in-person visits. All men were asked to return on postoperative day 14 for review. AEs at  $\leq$ day 14 visit and the number of in-person visits were compared between the groups.

**RESULTS:**

Cumulative AEs were identified in 0.84% [95% confidence interval (CI): 0.28 to 2.43] among routine care men as compared with 1.88% (95% CI: 0.86 to 4.03) of 2wT participants. Noninferiority cannot be ruled out (95% CI:  $-\infty$  to +2.72); however, AE rates did not differ between the groups ( $P = 0.32$ ). 2wT men attended an average of 0.30 visits as compared with 1.69 visits among routine care men, a significant reduction ( $P < 0.001$ ).

**CONCLUSIONS:**

Although noninferiority cannot be demonstrated, increased AEs in the 2wT arm likely reflect improved AE ascertainment. 2wT serves as a proxy for active surveillance, improving the quality of MC patient care. 2wT also reduced provider workload. 2wT provides an option for men to heal safely at home, returning to care when desired or if complications arise. 2wT should be further tested to enable widespread scale-up.

PMID: 31809358

DOI: [10.1097/QAI.00000000000002198](https://doi.org/10.1097/QAI.00000000000002198)

<https://www.ncbi.nlm.nih.gov/pubmed/31799003>

BMJ Glob Health. 2019 Nov 5;4(6):e001922. doi: 10.1136/bmjgh-2019-001922. eCollection 2019.

## Geospatial assessment of the voluntary medical male circumcision programme in Tanzania, 2011-2016.

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3 Department of Tropical Medicine and Medical Microbiology and Pharmacology, University of Hawaii, Honolulu, Hawaii, USA.

Abstract

**INTRODUCTION:**

Tanzania is one of the 14 priority countries in sub-Saharan Africa scaling up voluntary medical male circumcision (VMMC) for HIV prevention. In this study, we assessed the progress of VMMC by evaluating changes in the spatial structure of male circumcision (MC) prevalence and identifying age groups with low MC uptake.

**METHODS:**

We use data from two waves of the Demographic and Health Survey (DHS) conducted in Tanzania in 2011-2012 and 2015-2016. MC incidence rate was estimated using a method developed to calculate incidence rates from two successive cross-sectional surveys. Continuous surface maps of

MC prevalence were generated for both DHS waves and compared with identified areas with high MC prevalence changes and high density of uncircumcised males.

#### **RESULTS:**

National MC prevalence in Tanzania increased from 73.5% in 2011-2012 to 80.0% in 2015-2016. The estimated national MC incidence rate was 4.6 circumcisions per 100 person-years (py). The lowest circumcision rate was observed in males aged 20-24 years, with 0.61 circumcisions per 100 py. An estimated 1 567 253 males aged 15-49 years residing in low-MC prevalence areas were uncircumcised in 2015-2016.

#### **CONCLUSION:**

Tanzania has shown substantial progress in the implementation of VMMC. However, extensive spatial variation of MC prevalence still exists in the country, with some areas having an MC prevalence <60%. Here, we identified locations where VMMC needs to be intensified to reach the ~1.5 million uncircumcised males age 15-49 living in these low-MC areas, particularly for men aged 20-34.

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#### **KEYWORDS:**

HIV; cross-sectional survey; geographic information systems; public health

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[Free PMC Article](#)

<https://www.ncbi.nlm.nih.gov/pubmed/31808592>

*Trop Med Int Health*, 2019 Dec 6. doi: 10.1111/tmi.13356. [Epub ahead of print]

## **Spatial Distribution and Characteristics of HIV Clusters in Ethiopia.**

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5 Department of Global Health and Population, Harvard T.H. Chan School of Public Health, Boston, USA.

Abstract

#### **OBJECTIVES:**

Ethiopia's HIV prevalence has decreased by 75% in the past 20 years with the implementation of antiretroviral therapy, but HIV transmission continues in high-risk clusters. Identifying the spatial and temporal trends, and epidemiologic correlates, of these clusters can lead to targeted interventions.

#### **METHODS:**

We used biomarker and survey data from the 2005, 2011, and 2016 Ethiopia Demographic and Health Surveys (DHS). The spatial-temporal distribution of HIV was estimated using the Kulldorff spatial scan statistic, a likelihood-based method for determining clustering. Significant clusters ( $p < 0.05$ ) were identified and compared based on HIV risk factors to non-cluster areas.



**RESULTS:**

In 2005, 2011, and 2016, respectively, 219, 568, and 408 individuals tested positive for HIV. Four HIV clusters were identified, representing 17% of the total population and 43% of all HIV cases. The clusters were centered about Addis Ababa (1), Afar (2), Dire Dawa (3), and Gambella (4). Cluster 1 had higher rates of unsafe injections (4.9% vs. 2.2%,  $p < 0.001$ ) and transactional sex (6.0% vs. 1.6%,  $p < 0.001$ ) than non-cluster regions, but more male circumcision (98.5% vs. 91.3%,  $p < 0.001$ ). Cluster 2 had higher levels of transactional sex (4.9% vs. 1.6%,  $p < 0.01$ ), but lower levels of unsafe injections (0.8% vs. 2.2%,  $p < 0.01$ ). Cluster 3 had fewer individuals with  $>1$  sexual partner (0% vs. 1.7%,  $p < 0.001$ ) and more male circumcision (100% vs. 91.3%,  $p < 0.001$ ). Cluster 4 had less male circumcision (59.1% vs. 91.3%,  $p < 0.01$ ).

**CONCLUSIONS:**

In Ethiopia, geographic HIV clusters are driven by different risk factors. Decreasing the HIV burden requires targeted interventions.

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**KEYWORDS:**

Ethiopia; HIV; hot spots; risk factors; spatial distribution; sub-Saharan Africa

PMID: 31808592

DOI: [10.1111/tmi.13356](https://doi.org/10.1111/tmi.13356)

<https://www.ncbi.nlm.nih.gov/pubmed/31804321>

*Medicine (Baltimore)*. 2019 Dec;98(49):e18106. doi: 10.1097/MD.00000000000018106.

## Penile resurfacing using a reverse bilateral anterior scrotal artery flap: A case report of penile skin defects following circumcision.

Gao QG<sup>1</sup>, Qu W<sup>2</sup>.

**Author information**

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2 Department of Plastic and Reconstructive Microsurgery, China-Japan Union Hospital of Jilin University, Changchun, Jilin province, China.

Abstract

**RATIONALE:**

Circumcision is one of the most frequently used surgical procedures worldwide. Extensive penile skin defects, which can occur as a rare but severe complication of circumcision, are serious and frustrating problems for patients who experience them. Procedures for correcting these problems can pose a challenge to plastic surgeons in the clinic.

**PATIENT CONCERNS:**

A 31-year-old man was admitted to our care with an extensive defect of the penile skin caused by a circumcision performed 20 days previously.

**PRIMARY DIAGNOSES:**

Infection, necrosis, and defects of the penile skin.

**INTERVENTIONS:**

A reverse bilateral anterior scrotal flap was used to correct complete penile skin loss following debridement of the infected and necrotic tissue.

**OUTCOMES:**

The patient experienced no complications during the 10-year follow-up period. The patient reported normal erectile function and the ability to perform intercourse.

**LESSONS:**

The reverse bilateral anterior scrotal artery flap is suitable for repairing skin defects of the penis and allows for satisfactory cosmetic and functional improvement following defects of the penile skin.

PMID: 31804321

DOI: [10.1097/MD.00000000000018106](https://doi.org/10.1097/MD.00000000000018106)

[Free full text](#)

*NOTE:* Inadequate time between time of application of EMLA and the circumcision procedure explains why EMLA is ineffective when used by some operators. For more, see the paragraph after this article.

<https://www.ncbi.nlm.nih.gov/pubmed/31793482>

*Niger J Clin Pract.* 2019 Dec;22(12):1737-1741. doi: 10.4103/njcp.njcp\_266\_19.

## **Comparison of the efficacy of eutectic mixture of local anesthetics (EMLA) and dorsal penile nerve block (DPNB) in neonatal circumcision.**

Modekwe VI<sup>1</sup>, Ugwu JO<sup>1</sup>, Ekwunife OH<sup>1</sup>, Osuigwe AN<sup>1</sup>, Obiechina SO<sup>1</sup>, Okpalike IV<sup>2</sup>, Orakwe JC<sup>3</sup>.

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3 Urology Unit, Department of Surgery, Nnamdi Azikiwe University Teaching Hospital, Nnewi, Anambra State, Nigeria.

Abstract

**BACKGROUND:**

Neonates feel pain. There is a concern among practitioners that pain of injecting analgesics to neonates prior to circumcision could as well be the same as the pain of the procedure. This has made many reluctant to offer effective analgesia for circumcision. If eutectic mixture of local anesthetics (EMLA) provides analgesia comparable to dorsal penile nerve block (DPNB), it will obviate needle prick and encourage analgesia use in neonatal circumcision.

**AIM:**

To determine how the analgesic efficacy of EMLA compares with that of DPNB in neonatal plastibell circumcision.

**METHODS:**

A prospective study of 110 male neonates for plastibell circumcision randomized into two groups: A and B, of 55 each, received EMLA or DPNB as analgesia prior to circumcision, respectively. The pulse rates and SpO<sub>2</sub> were recorded with pulse oximeter pre-procedural and at four stages of the procedure (adhesiolysis, dorsal slit, tying, and excision) for each neonate. Also the modification of neonatal infant pain scale (NIPS) was recorded during the procedure.

**RESULTS:**

There were differential changes in SpO<sub>2</sub> (lower absolute mean values) and pulse rate (higher absolute mean values) for neonates who received EMLA when compared with DPNB before the procedure. These differences were significant with SpO<sub>2</sub> at adhesiolysis (91.0% and 95.0%), dorsal slitting (90.9% and 94.7%), and excision stages (93.4% and 95.3), respectively (P <0.05). They were also significant with the pulse rates at adhesiolysis (167.9 and 158.6), dorsal slitting (174.3 and 161.7), and tying stages (182.2 and 169.0), respectively (P values = 0.013, 0.015, and 0.044, respectively). This shows DPNB is better than EMLA. However, the difference was not significant at the tying stage with SpO<sub>2</sub> and at excision stage with PR (P >0.05).

**CONCLUSION:**

EMLA produces analgesic effect. However, it does not provide effective analgesia for plastibell circumcision in neonates. DPNB provides a better analgesia than EMLA for neonatal plastibell circumcision.

**KEYWORDS:**

Circumcision; DPNB; EMLA; neonate; pain

PMID: 31793482

DOI: [10.4103/nicp.nicp\\_266\\_19](https://doi.org/10.4103/nicp.nicp_266_19)

**NOTE re this article:** Many practitioners do not allow sufficient time for EMLA to work. A simple, effective procedure has been described by Dr Terry Russell, Brisbane, Australia [Russell CT, Chaseling J. Topical anaesthesia in neonatal circumcision: a study of 208 consecutive cases. *Aust Fam Physician* 1996; 25 (suppl 1): 30-34]. The technique involves applying EMLA cream thickly to the distal penis 2 hours prior to the procedure. The penis is wrapped in cling-wrap to keep the cream in contact with the penis, but with the end left open to allow for urination. The Plastibell device is then used. The baby does not cry. In those aged less than 7 months, 99% fed immediately afterwards, 96% settled rapidly, 97% had no disturbance of sleep pattern, 93% had little or no apparent pain, and 96% had no pain or difficulty when urinating. None required stronger post-operative analgesia than paracetamol. In fact, Russell claims that virtually no pain is experienced following the surgery, unlike other methods. He attributes this to the 2 hour duration of the EMLA cream prior to surgery, which means 5 hours of analgesia post-operatively, by which time the nerves where the ligature was tied have ceased to function, and says that most doctors do not leave the EMLA cream on long enough before commencing the procedure (Terry Russell, personal communication).

EMLA is an old preparation. In more recent years Russell replaced EMLA with LMX4 lidocaine-based cream. It works faster and more effectively.

<https://neweralive.na/posts/usaaid-to-fork-out-half-a-billion-on-vmmc>

Nov 17, 2019

## UUAID to fork out half a billion on VMMC

U.S. Ambassador Lisa Johnson launched the new PEPFAR -funded voluntary medical male circumcision (VMMC) programme "Safe VMMC" in Namibia a programme that will cost the US AID agency a whopping NS497 140 000 over a five-year period.

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**Circumcision Academy of Australia:** <https://www.circumcisionaustralia.info>

**Circumcision Academy of Australia:** <http://www.circumcisionaustralia.org>

**Circumcision Academy of America:** <http://www.circumcisionamerica.org>

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Brian J. Morris, AM DSc PhD FAHA  
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Australia

The contents of this email might include material that could possibly reflect the expert views of an academic at the University of Sydney. Unless stated otherwise they should not be regarded as representing University policy since on many issues that academic staff are expert in the University does not maintain any specific policy.

<b>Sender:</b>	Kilmarx, Peter (NIH/FIC) [E] </O=EXCHANGELABS/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=E4ECF449BD7044BA9FD585C8DA4D47B4-KILMARXPH>
<b>Recipient:</b>	Timothy Mastro <TMastro@fhi360.org>
<b>Sent Date:</b>	2019/12/11 13:35:54
<b>Delivered Date:</b>	2019/12/11 13:35:00

<b>From:</b>	Kilmarx, Peter (NIH/FIC) [E] </O=EXCHANGELABS/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=E4ECF449BD7044BA9FD585C8DA4D47B4-KILMARXPH>
<b>To:</b>	Ellis, Anna (NIH/FIC) [E] /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=85a85fdb3ac74715a5387b0f210e0818-ellisap <ellisap@mail.nih.gov>
<b>CC:</b>	Puderbaugh, Ann (NIH/FIC) [E] /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=903639684fe84ad48c32e9447c951460-puderba <puderba@mail.nih.gov>
<b>Subject:</b>	RE: Discussions on Twitter following ACR mtg
<b>Date:</b>	2019/11/13 13:12:00
<b>Priority:</b>	Normal
<b>Type:</b>	Note

Thanks Anna for retweeting.

I am occasionally tagged in anti-circumcision tweets based on my prior work at CDC. I used to ignore them. Inspired by Peter Hotez, I have been responding to some with factual information to correct gross information from anti-circumcision activists. I have not brought FIC into it. It's a strange group that have been tagged. Definitely no need for FIC to respond.

---

**From:** Ellis, Anna (NIH/FIC) [E] <ellisap@mail.nih.gov>  
**Sent:** Wednesday, November 13, 2019 1:06 PM  
**To:** Kilmarx, Peter (NIH/FIC) [E] <peter.kilmarx@nih.gov>  
**Cc:** Puderbaugh, Ann (NIH/FIC) [E] <puderba@mail.nih.gov>  
**Subject:** FYI: Discussions on Twitter following ACR mtg

Peter,

Ann gave me a heads up about the Nov 12 panel you participated in at ACR. I retweeted the promo from NIAMS the morning of. Laura Lewandowski tweeted photos of you & Dr. Carter speaking.

[https://twitter.com/NIH\\_NIAMS/status/1194242188009443328](https://twitter.com/NIH_NIAMS/status/1194242188009443328)  
<https://twitter.com/LauraLewMad11/status/1194251495602085889>

Today our official handle - as well as yours and a few others from NIH - was included in a quote of a reply to a thread that disagreed with comments you made on male circumcision:

Quoted tweet: <https://twitter.com/peaceniky/status/1194488841618411520>  
Original thread: <https://twitter.com/briandavidearp/status/1194451118769758208>

<https://twitter.com/briandavidearp>  
<https://clarkrelationshiplab.yale.edu/people/brian-earp>  
<https://www.practicaethics.ox.ac.uk/people/mr-brian-earp>  
<https://www.thehastingscenter.org/who-we-are/service-to-bioethics/collaborations/>

Ann and I discussed and we don't plan to respond or engage.

Anna

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[anna.ellis@nih.gov](mailto:anna.ellis@nih.gov)  
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**From:** Puderbaugh, Ann (NIH/FIC) [E] <[puderba@mail.nih.gov](mailto:puderba@mail.nih.gov)>  
**Sent:** Monday, October 21, 2019 10:35 AM  
**To:** Ellis, Anna (NIH/FIC) [E] <[ellisap@mail.nih.gov](mailto:ellisap@mail.nih.gov)>  
**Subject:** Nov 12: FW: ACR Global Rheum Research Session: Conference Call

Peter is joining these two on a NIAMS panel on Nov 12, in case there's social media going on:

<https://www.fic.nih.gov/News/GlobalHealthMatters/november-december-2016/Pages/laura-lewandowski-pediatric-lupus-south-africa.aspx>

<https://www.fic.nih.gov/News/GlobalHealthMatters/march-april-2013/Pages/osteoporosis-breast-cancer-china-evelyn-hsieh.aspx>

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**From:** Kilmarx, Peter (NIH/FIC) [E] <[peter.kilmarx@nih.gov](mailto:peter.kilmarx@nih.gov)>  
**Sent:** Sunday, October 20, 2019 8:33 AM  
**To:** Weymouth, Kristen (NIH/FIC) [E] <[weymouthk@mail.nih.gov](mailto:weymouthk@mail.nih.gov)>; Puderbaugh, Ann (NIH/FIC) [E] <[puderba@mail.nih.gov](mailto:puderba@mail.nih.gov)>; Katz, Flora (NIH/FIC) [E] <[katzf@mail.nih.gov](mailto:katzf@mail.nih.gov)>  
**Cc:** Glass, Roger (NIH/FIC) [E] <[glassr@mail.nih.gov](mailto:glassr@mail.nih.gov)>  
**Subject:** FW: ACR Global Rheum Research Session: Conference Call

Hi all,

I'm giving opening remarks (7 minutes) and participating a panel discussion with NIAMS Acting Director Bob Carter at the American College of Rheumatology annual meeting on Nov 12. (Details attached.)

**Please help with any relevant info on global rheumatology research, NIH/FIC grantees, etc.**  
(Note that Evelyn Hsieh and Laura Lewandowski are co-panelists.)

Flora – can Jane provide an analysis of NIAMS-FIC collaboration by November 6? It looks like they are signed on to fellows & scholars and HIV-NCD. Let's please talk about anything I should urge them to join – NCD R21/D43, K43, etc.

Thanks,  
PK

---

**From:** Kilmarx, Peter (NIH/FIC) [E]  
**Sent:** Sunday, October 20, 2019 8:15 AM  
**To:** Hsieh Donroe, Evelyn <[evelyn.hsieh@yale.edu](mailto:evelyn.hsieh@yale.edu)>; Carter, Robert (NIH/NIAMS) [E] <[carterrob@mail.nih.gov](mailto:carterrob@mail.nih.gov)>; Lewandowski, Laura (NIH/NIAMS) [E] <[laura.lewandowski@nih.gov](mailto:laura.lewandowski@nih.gov)>; Bucala, Richard <[richard.bucala@yale.edu](mailto:richard.bucala@yale.edu)>; Graciela S Alarcon <[galarcon@uab.edu](mailto:galarcon@uab.edu)>; chris.scott@uct.ac.za; [Redacted by agreement]; Ngozi Afulezi <[nafulezi@rheumatology.org](mailto:nafulezi@rheumatology.org)>; [Redacted by agreement]; Bernardo Pons Estel; [Redacted by agreement]  
**Subject:** RE: ACR Global Rheum Research Session: Conference Call

Hi and thanks Evelyn for the invite and background information. Unfortunately, I'm not available at the time of the call tomorrow, but my task on Nov 12 seems straightforward.

I can speak with or without slides and will aim for about 7 minutes (15/2). Will cover Fogarty perspective on opportunities in global rheumatology research and funding opportunities for research and research training. Suggestions welcome!

I'll be in Atlanta Nov 9-12 and happy to meet, e.g., breakfast, coffee, lunch, etc., individually or as a group with anyone interested in global research. (I'll probably be going to meetings at CDC the afternoon of the 12<sup>th</sup>.)

Thanks,  
PK

Peter H. Kilmarx, MD, FACP, FIDSA  
Rear Admiral, U.S. Public Health Service  
Deputy Director, Fogarty International Center  
U.S. National Institutes of Health  
Cell: [Redacted by agreement] Tel: +1-301-496-1415  
Email: [peter.kilmarx@nih.gov](mailto:peter.kilmarx@nih.gov)



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-----Original Appointment-----

**From:** Hsieh Donroe, Evelyn <[evelyn.hsieh@yale.edu](mailto:evelyn.hsieh@yale.edu)>

**Sent:** Saturday, October 19, 2019 3:04 AM

**To:** Kilmarx, Peter (NIH/FIC) [E]; Carter, Robert (NIH/NIAMS) [E]; Lewandowski, Laura (NIH/NIAMS) [E]; Bucala, Richard; Graciela S Alarcon; [chris.scott@uct.ac.za](mailto:chris.scott@uct.ac.za);

Redacted by agreement Ngozi Afulezi; Redacted by agreement Bernardo Pons Estel

**Subject:** ACR Global Rheum Research Session: Conference Call

**When:** Monday, October 21, 2019 11:00 AM-12:00 PM (UTC-05:00) Eastern Time (US &Canada).

**Where:**

Hello Everyone,

Look forward to the call on **Monday Oct 21st, 11am-12noon (EST)**. Please find attached the agenda for the call, the final program for the session, and the speaker talking points outline (each item is bookmarked for convenience).

We look forward to everyone's input! I will send out a Zoom link Sunday evening for the call.

Best,  
Evelyn

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<b>Recipient:</b>	Ellis, Anna (NIH/FIC) [E] /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=85a85fdb3ac74715a5387b0f210e0818-ellisap <ellisap@mail.nih.gov>; Puderbaugh, Ann (NIH/FIC) [E] /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=903639684fe84ad48c32e9447c951460-puderba <puderba@mail.nih.gov>
<b>Sent Date:</b>	2019/11/13 13:12:14
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<b>To:</b>	Herman-Roloff, Amy (CDC/DDPHSIS/CGH/DGHT) /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=b54f29f69b2a4157bb12e5a92e33aced-bjy2 <bjy2@cdc.gov>; Smith, Steven (HHS/OS/OGA) /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=98d6531f6c8842b2b6061183b1b5f7a2-Steven.Smit <Steven.Smith@hhs.gov>; Redacted by agreement
<b>Subject:</b>	FW: [keypopulations] EpiC South Africa - VMMV - Job Openings (FHI 360)
<b>Date:</b>	2019/09/10 17:48:00
<b>Priority:</b>	Normal
<b>Type:</b>	Note

Hi Amy and Steve,  
Some FHI360 positions may be of interest to your networks . . .

Cheers,  
PK

---

**From:** Timothy Mastro <TMastro@fhi360.org>  
**Sent:** Tuesday, September 10, 2019 5:34 PM  
**To:** Kilmarx, Peter (NIH/FIC) [E] <peter.kilmarx@nih.gov>  
**Subject:** FW: [keypopulations] EpiC South Africa - VMMV - Job Openings (FHI 360)

FYI

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**From:** [keypopulations@mail-list.com](mailto:keypopulations@mail-list.com) <[keypopulations@mail-list.com](mailto:keypopulations@mail-list.com)>  
**Sent:** Tuesday, September 10, 2019 3:53 PM  
**To:** [keypopulations-ml@mail-list.com](mailto:keypopulations-ml@mail-list.com)  
**Subject:** [keypopulations] EpiC South Africa - VMMV - Job Openings (FHI 360)

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Dear all,

FHI 360 is seeking applications for four key positions for the Meeting Targets and Maintaining Epidemic Control (EpiC) project in South Africa. Meeting Targets and Maintaining Epidemic Control (EpiC) is a five-year global project funded by the U.S. President's Emergency Plan for AIDS Relief (PEPFAR) and the U.S. Agency for International Development (USAID), is dedicated to achieving and maintaining HIV epidemic control. The project provides strategic technical assistance (TA) and direct service delivery (DSD) to break through barriers to 95-95-95 and promote self-reliant management of national HIV programs by improving HIV case-finding, prevention, and treatment programming. In South Africa, EpiC will be implementing a voluntary medical male circumcision (VMMC) service delivery project which aims to reduce HIV infections through targeted provision of VMMC in pursuit of reaching 95-95-95 goals. To

achieve this goal, the program will increase demand for and provide high quality, high volume cost-efficient VMMC services in South Africa, coordinate with USAID's Global Health Supply Chain implementing partner and support the transition of direct VMMC service delivery to the Government of South Africa.

The following positions are now posted on the FHI 360 website:

- 
- **Project Director**  
[https://fhi.wd1.myworkdayjobs.com/en-US/FHI\\_360\\_External\\_Career\\_Portal/job/Pretoria-South-Africa/EpiC-Project-Director--South-Africa-VMMC\\_Requisition-2019201641](https://fhi.wd1.myworkdayjobs.com/en-US/FHI_360_External_Career_Portal/job/Pretoria-South-Africa/EpiC-Project-Director--South-Africa-VMMC_Requisition-2019201641)
- 
- **Director of Financial Management & Operations**  
[https://fhi.wd1.myworkdayjobs.com/en-US/FHI\\_360\\_External\\_Career\\_Portal/job/Pretoria-South-Africa/Director-of-Financial-Management---Operations\\_Requisition-2019201640](https://fhi.wd1.myworkdayjobs.com/en-US/FHI_360_External_Career_Portal/job/Pretoria-South-Africa/Director-of-Financial-Management---Operations_Requisition-2019201640)
- **Senior Technical Advisor**  
[https://fhi.wd1.myworkdayjobs.com/en-US/FHI\\_360\\_External\\_Career\\_Portal/job/Pretoria-South-Africa/Senior-Technical-Advisor\\_Requisition-2019201639](https://fhi.wd1.myworkdayjobs.com/en-US/FHI_360_External_Career_Portal/job/Pretoria-South-Africa/Senior-Technical-Advisor_Requisition-2019201639)
- 
- **Senior Advisor for Strategic Information**  
[https://fhi.wd1.myworkdayjobs.com/en-US/FHI\\_360\\_External\\_Career\\_Portal/job/Pretoria-South-Africa/Senior-Strategic-Information-Advisor\\_Requisition-2019201638](https://fhi.wd1.myworkdayjobs.com/en-US/FHI_360_External_Career_Portal/job/Pretoria-South-Africa/Senior-Strategic-Information-Advisor_Requisition-2019201638)

Please circulate to your networks.

Many thanks,  
Parsa

Parsa Sanjana, MPH | Deputy Director, Program Management  
Linkages Across the Continuum of HIV Services for Key Populations Affected by HIV (LINKAGES)  
1825 Connecticut Avenue NW, Washington, DC 20009-5721  
O: 202.884.8887 | [psanjana@fhi360.org](mailto:psanjana@fhi360.org) | [www.fhi360.org](http://www.fhi360.org)  
Skype: parsasanjana



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<b>To:</b>	Timothy Mastro <TMastro@fhi360.org>
<b>Subject:</b>	RE: [keypopulations] EpiC South Africa - VMMV - Job Openings (FHI 360)
<b>Date:</b>	2019/09/10 17:47:00
<b>Priority:</b>	Normal
<b>Type:</b>	Note

Hi Tim,

Important positions. If we don't achieve control in RSA, we haven't achieved control. I'll share with folks.

I'm off to U Buffalo tomorrow to talk about global health, sample the wings, and see the falls.

PK

---

**From:** Timothy Mastro <TMastro@fhi360.org>  
**Sent:** Tuesday, September 10, 2019 5:34 PM  
**To:** Kilmarx, Peter (NIH/FIC) [E] <peter.kilmarx@nih.gov>  
**Subject:** FW: [keypopulations] EpiC South Africa - VMMV - Job Openings (FHI 360)

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**From:** [keypopulations@mail-list.com](mailto:keypopulations@mail-list.com) <[keypopulations@mail-list.com](mailto:keypopulations@mail-list.com)>  
**Sent:** Tuesday, September 10, 2019 3:53 PM  
**To:** [keypopulations-ml@mail-list.com](mailto:keypopulations-ml@mail-list.com)  
**Subject:** [keypopulations] EpiC South Africa - VMMV - Job Openings (FHI 360)

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- **Director of Financial Management & Operations**  
[https://fhi.wd1.myworkdayjobs.com/en-US/FHI\\_360\\_External\\_Career\\_Portal/job/Pretoria-South-Africa/Director-of-Financial-Management--Operations\\_Requisition-2019201640](https://fhi.wd1.myworkdayjobs.com/en-US/FHI_360_External_Career_Portal/job/Pretoria-South-Africa/Director-of-Financial-Management--Operations_Requisition-2019201640)
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- **Senior Advisor for Strategic Information**  
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Please circulate to your networks.

Many thanks,  
Parsa

Parsa Sanjana, MPH | Deputy Director, Program Management  
Linkages Across the Continuum of HIV Services for Key Populations Affected by HIV (LINKAGES)  
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<b>Subject:</b>	RE: PLOS ONE: Agreement to Review PONE-D-19-03571R1 - [EMID:afe681fbb874162b]
<b>Date:</b>	2019/08/19 18:17:00
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<b>Type:</b>	Note

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PK

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**Sent:** Monday, August 19, 2019 6:10 PM  
**To:** Kilmarx, Peter (NIH/FIC) [E] <peter.kilmarx@nih.gov>  
**Subject:** PLOS ONE: Agreement to Review PONE-D-19-03571R1 - [EMID:afe681fbb874162b]

**Manuscript Number:** PONE-D-19-03571R1

**Manuscript Title:** Voluntary Medical Male Circumcision for HIV prevention among adolescents in Kenya: unintended consequences of pursuing service-delivery targets

Dear Dr. Kilmarx,

Thank you for agreeing to review manuscript PONE-D-19-03571R1, entitled "Voluntary Medical Male Circumcision for HIV prevention among adolescents in Kenya: unintended consequences of pursuing service-delivery targets".

To download the paper now, please click this link: [View Submission](#)

Your review due date is Aug 29 2019 11:59PM EST.

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## Voluntary Medical Male Circumcision for HIV prevention among adolescents in Kenya: unintended consequences of pursuing service-delivery targets

--Manuscript Draft--

<b>Manuscript Number:</b>	PONE-D-19-03571R1
<b>Article Type:</b>	Research Article
<b>Full Title:</b>	Voluntary Medical Male Circumcision for HIV prevention among adolescents in Kenya: unintended consequences of pursuing service-delivery targets
<b>Short Title:</b>	VMMC and unintended consequences of service-delivery targets in Kenya
<b>Corresponding Author:</b>	Adam Gilbertson Pacific Institute for Research and Evaluation Chapel Hill, North Carolina UNITED STATES
<b>Keywords:</b>	voluntary medical male circumcision; VMMC; targets; public health intervention targets; HIV prevention; VMMC mobilization; VMMC demand creation; VMMC sensitization; public health intervention; service-delivery targets; VMMC recruitment; Ethics; Kenya; western Kenya; qualitative research
<b>Abstract:</b>	<p><b>Abstract</b></p> <p>Voluntary medical male circumcision (VMMC) provides significant reductions in the risk of female-to-male HIV transmission. Since 2007, VMMC has been a key component of the United States President's Emergency Plan for AIDS Relief's (PEPFAR) strategy to mitigate the HIV epidemic in countries with high HIV prevalence and low circumcision rates. To ensure intended effects, PEPFAR sets ambitious annual circumcision targets and provides funding to implementation partners to deliver local VMMC services. In Kenya to date, 1.9 million males have been circumcised; in 2017, 60% of circumcisions were among 10-14-year-olds. We conducted a qualitative field study to learn more about VMMC program implementation in Kenya.</p> <p>The study setting was a region in Kenya with high HIV prevalence and low male circumcision rates. From March 2017 through April 2018, we carried out in-depth interviews with 29 VMMC stakeholders, including "mobilizers", HIV counselors, clinical providers, schoolteachers, and policy professionals. Additionally, we undertook observation sessions at 14 VMMC clinics while services were provided and observed mobilization activities at 13 community venues including, two schools, four public marketplaces, two fishing villages, and five inland villages. Analysis of interview transcripts and observation field notes revealed multiple unintended consequences linked to the pursuit of targets. Ebbs and flows in the availability of school-age youth together with the drive to meet targets may result in increased burdens on clinics, long waits for care, potentially misleading mobilization practices, and deviations from the standard of care.</p> <p>Our findings indicate shortcomings in the quality of procedures in VMMC programs in a low-resource setting, and more importantly, that the pursuit of ambitious public health targets may lead to compromised service delivery, consent practices, and protocol adherence. There is a need to develop improved or alternative systems to balance the goal of increasing service uptake with the responsible conduct of VMMC.</p>
<b>Order of Authors:</b>	<p>Adam Gilbertson</p> <p>Barrack Ongill</p> <p>Frederick S. Odongo</p> <p>Denise D. Hallfors</p> <p>Stuart Rennie</p> <p>Daniel Kwaro</p> <p>Winnie K. Luseno</p>
<b>Response to Reviewers:</b>	We have revised our manuscript, "Voluntary Medical Male Circumcision for HIV

	<p>prevention among adolescents in Kenya: unintended consequences of pursuing service-delivery targets" in accordance with our two reviewers' recommendations. These revisions include those aimed to mask the exact location of this study as well as the individuals and organizations involved in it, including removing the "western" from "western Kenya" in the original title.</p> <p>To reduce the risk of deductive disclosure and protect the privacy and confidentiality of our research participants, we have revised our manuscript to be intentionally vague about the location of the study and have made extensive efforts to ensure that our individual participants and their employers (VMMC implementation partners or IPs) cannot be identified.</p>
Additional Information:	
Question	Response
<p><b>Financial Disclosure</b></p> <p>Enter a financial disclosure statement that describes the sources of funding for the work included in this submission. Review the <a href="#">submission guidelines</a> for detailed requirements. View published research articles from <i>PLOS ONE</i> for specific examples.</p> <p>This statement is required for submission and <b>will appear in the published article</b> if the submission is accepted. Please make sure it is accurate.</p> <p><b>Unfunded studies</b> Enter: <i>The author(s) received no specific funding for this work.</i></p> <p><b>Funded studies</b> Enter a statement with the following details:</p> <ul style="list-style-type: none"> <li>• Initials of the authors who received each award</li> <li>• Grant numbers awarded to each author</li> <li>• The full name of each funder</li> <li>• URL of each funder website</li> <li>• Did the sponsors or funders play any role in the study design, data collection and analysis, decision to publish, or preparation of the manuscript?</li> <li>• <b>NO</b> - Include this sentence at the end of your statement: <i>The funders had no role in study design, data collection and analysis, decision to publish, or preparation of the manuscript.</i></li> <li>• <b>YES</b> - Specify the role(s) played.</li> </ul> <p>* typeset</p>	<p>This research was funded by the U.S. National Institute of Mental Health grant number 3R01MH102125-03S1 (Luseno, W. K., Principal Investigator) <a href="https://www.nimh.nih.gov/index.shtml">https://www.nimh.nih.gov/index.shtml</a></p> <p>The funders had no role in study design, data collection and analysis, decision to publish, or preparation of the manuscript.</p>
Competing Interests	The authors have declared that no competing interests exist.

Use the instructions below to enter a competing interest statement for this submission. On behalf of all authors, disclose any competing interests that could be perceived to bias this work—acknowledging all financial support and any other relevant financial or non-financial competing interests.

This statement **will appear in the published article** if the submission is accepted. Please make sure it is accurate. View published research articles from *PLOS ONE* for specific examples.

#### NO authors have competing interests

Enter: *The authors have declared that no competing interests exist.*

#### Authors with competing interests

Enter competing interest details beginning with this statement:

*I have read the journal's policy and the authors of this manuscript have the following competing interests: [insert competing interests here]*

\* typeset

#### Ethics Statement

Enter an ethics statement for this submission. This statement is required if the study involved:

- Human participants
- Human specimens or tissue
- Vertebrate animals or cephalopods
- Vertebrate embryos or tissues
- Field research

Write "N/A" if the submission does not require an ethics statement.

General guidance is provided below. Consult the submission guidelines for detailed instructions. **Make sure that all**

The institutional review boards of the Pacific Institute for Research and Evaluation (PIRE) and the Kenya Medical Research Institute (KEMRI) approved all study activities, including all the informed consent procedures described above. Locally, the county-level Ministries of Health and Education and the directors of each IP gave us approval to conduct this research.

In-depth interview participants were offered the option to provide either written or verbal informed consent to participate. We requested and were granted a waiver of Documentation of Informed Consent for these interviews since the only record linking the participant and the research would be the consent document and the principal risk would be potential harm resulting from a breach of confidentiality. The type of informed consent (verbal or written) for each participant was recorded in a file by a research staff member. Most interviewees (28 of the 29) chose written consent. Signed consent forms were stored securely at KEMRI.

We also conducted observation sessions within VMMC clinics and during mobilization activities in non-clinical settings (e.g., fishing villages, schools, markets). Research staff provided information about the study to those in charge of VMMC facilities. VMMC recruiters known as "mobilizers" provided verbal informed consent to participate, which

<p>information entered here is included in the <b>Methods section of the manuscript.</b></p>	<p>was noted/confirmed by our research staff who followed a script for administering informed consent procedures). We sought, and were granted, a Waiver of Documentation of Informed Consent for the recruiters and/or mobilizers based on the reason that the research presented no more than minimal risk of harm to subjects and involved no procedures for which written consent is normally required outside of the research context (See International Ethical Guidelines for Health-related Research Involving Humans, CIOMS, 2016, Guideline 9, p. 34-35, <a href="https://cioms.ch/wp-content/uploads/2017/01/WEB-CIOMS-EthicalGuidelines.pdf">https://cioms.ch/wp-content/uploads/2017/01/WEB-CIOMS-EthicalGuidelines.pdf</a>).</p>
<p><b>Format for specific study types</b></p> <p><b>Human Subject Research (involving human participants and/or tissue)</b></p> <ul style="list-style-type: none"> <li>• Give the name of the institutional review board or ethics committee that approved the study</li> <li>• Include the approval number and/or a statement indicating approval of this research</li> <li>• Indicate the form of consent obtained (written/oral) or the reason that consent was not obtained (e.g. the data were analyzed anonymously)</li> </ul> <p><b>Animal Research (involving vertebrate animals, embryos or tissues)</b></p> <ul style="list-style-type: none"> <li>• Provide the name of the Institutional Animal Care and Use Committee (IACUC) or other relevant ethics board that reviewed the study protocol, and indicate whether they approved this research or granted a formal waiver of ethical approval</li> <li>• Include an approval number if one was obtained</li> <li>• If the study involved <i>non-human primates</i>, add <i>additional details</i> about animal welfare and steps taken to ameliorate suffering</li> <li>• If anesthesia, euthanasia, or any kind of animal sacrifice is part of the study, include briefly which substances and/or methods were applied</li> </ul> <p><b>Field Research</b></p> <p>Include the following details if this study involves the collection of plant, animal, or other materials from a natural setting:</p> <ul style="list-style-type: none"> <li>• Field permit number</li> <li>• Name of the institution or relevant body that granted permission</li> </ul>	<p>Concerning the observations that took place at VMMC clinics and during mobilization activities in public spaces, neither written nor verbal consent was sought from VMMC clients or their parents since they were observed in the context of a clinic which in this part of Kenya is a <i>de facto</i> public space, and seeking formal consent was not feasible. Study staff approached a clinical officer (or another staff member who could authorize our research activity) at the clinic to explain the research project and the proposed observation. Prior to in-school observation sessions, school authorities and teachers were informed about the research and gave us permission to conduct the research activities. We sought and received a waiver of informed consent for adolescents and their parents (if in attendance) as well as from other individuals in attendance at the clinic (e.g., doctors, nurses, etc.) for the following reasons: the nature of this part of the research was observational and low risk; we were not collecting individual-level data; the waiver would not adversely affect their rights and welfare; the observational data could not be feasibly collected without the waiver; the research had important social value; and the only identifiable record linking the participant and the research would be the consent document, creating a principal risk for potential harm from a breach of confidentiality. This waiver is also consistent with the guidance in the International Ethical Guidelines for Health-Related Research Involving Humans (2016) by the Council for International Organizations of Medical Sciences (CIOMS, Guideline 10, p.37). The ethics committees at the Pacific Institute for Research and Evaluation (PIRE) and the Kenya Medical Research Institute (KEMRI) both approved all our consent procedures.</p>
<p><b>Data Availability</b></p> <p>Authors are required to make all data underlying the findings described fully available, without restriction, and from the time of publication. PLOS allows rare exceptions to address legal and ethical</p>	<p>No - some restrictions will apply</p>

concerns. See the [PLOS Data Policy](#) and [FAQ](#) for detailed information.

A Data Availability Statement describing where the data can be found is required at submission. Your answers to this question constitute the Data Availability Statement and **will be published in the article**, if accepted.

**Important:** Stating 'data available on request from the author' is not sufficient. If your data are only available upon request, select 'No' for the first question and explain your exceptional situation in the text box.

Do the authors confirm that all data underlying the findings described in their manuscript are fully available without restriction?

**Describe where the data may be found in full sentences. If you are copying our sample text, replace any instances of XXX with the appropriate details.**

- If the data are **held or will be held in a public repository**, include URLs, accession numbers or DOIs. If this information will only be available after acceptance, indicate this by ticking the box below. For example: *All XXX files are available from the XXX database (accession number(s) XXX, XXX).*
- If the data are all contained **within the manuscript and/or Supporting Information files**, enter the following: *All relevant data are within the manuscript and its Supporting Information files.*
- If neither of these applies but you are able to provide **details of access elsewhere**, with or without limitations, please do so. For example:

*Data cannot be shared publicly because of [XXX]. Data are available from the XXX Institutional Data Access / Ethics Committee (contact via XXX) for researchers who meet the criteria for access to confidential data.*

We conducted a small, qualitative study that gathered sensitive data via in-person, in-depth interviews and field observation sessions. Our participants were VMMC stakeholders including VMMC policy professionals, clinical service providers (e.g., surgeons, nurses, infection prevention officers), VMMC and HIV counsellors, local school teachers, and VMMC client recruiters known as "mobilizers". Identification of participants through deductive disclosure could lead to harm, particularly harm to livelihood and reputation.

To reduce the risk of deductive disclosure and protect the privacy and confidentiality of our research participants, we have revised our manuscript to be intentionally vague about the exact location of the study. However, if our data are made publicly available, even in an anonymized form, there remains a risk that the participating stakeholders and/or their employers (local NGOs that receive funding as "Implementation Partners" from PEPFAR, etc. to carry out circumcisions in Kenya) could be identified. This risk of deductive disclosure was our reviewers' top concern. As such, there are strong ethical reasons not to make our data publicly available.

Based on advice from our Institutional Review Board (IRB), we have determined that we cannot make the data publicly available because we did not obtain consent from our participants to share their data for other research purposes. Contact information for the PIRE IRB is: Elysia Oudemans-Tilley, Director of Research Integrity and Compliance, PIRE, phone 301-755-2757, [oudemans@pire.org](mailto:oudemans@pire.org).

<p><i>The data underlying the results presented in the study are available from (include the name of the third party and contact information or URL).</i></p> <ul style="list-style-type: none"><li>• This text is appropriate if the data are owned by a third party and authors do not have permission to share the data.</li></ul> <p>* typeset</p>	
Additional data availability information:	

**Title:** Voluntary medical male circumcision for HIV prevention among adolescents in Kenya: unintended consequences of pursuing service-delivery targets

**Short title:** VMMC and unintended consequences of service-delivery targets in Kenya

**Authors:** Adam Gilbertson,<sup>1,2,3</sup> Barrack Ongili,<sup>4</sup> Frederick S. Odongo,<sup>4</sup> Denise D. Hallfors<sup>1</sup>, Stuart Rennie,<sup>2,3</sup> Daniel Kwaro,<sup>4</sup> and Winnie K. Luseno<sup>1</sup>

**Affiliations:** <sup>1</sup>Pacific Institute for Research and Evaluation (PIRE), Chapel Hill, North Carolina, USA

<sup>2</sup>UNC Center for Bioethics, University of North Carolina at Chapel Hill, Chapel Hill, North Carolina, USA

<sup>3</sup>Department of Social Medicine, University of North Carolina at Chapel Hill, Chapel Hill, North Carolina, USA

<sup>4</sup>Kenya Medical Research Institute (KEMRI), Kenya

**Corresponding author:**

**Adam Gilbertson**

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Telephone: +1 919 265 2623

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1 **Abstract**

2 Voluntary medical male circumcision (VMMC) provides significant reductions in the risk of  
3 female-to-male HIV transmission. Since 2007, VMMC has been a key component of the United  
4 States President's Emergency Plan for AIDS Relief's (PEPFAR) strategy to mitigate the HIV  
5 epidemic in countries with high HIV prevalence and low circumcision rates. To ensure intended  
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11  
12 The study setting was a region in Kenya with high HIV prevalence and low male circumcision  
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20 with the drive to meet targets may result in increased burdens on clinics, long waits for care,  
21 potentially misleading mobilization practices, and deviations from the standard of care.

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23 Our findings indicate shortcomings in the quality of procedures in VMMC programs in a low-  
24 resource setting, and more importantly, that the pursuit of ambitious public health targets may  
25 lead to compromised service delivery, consent practices, and protocol adherence. There is a  
26 need to develop improved or alternative systems to balance the goal of increasing service  
27 uptake with the responsible conduct of VMMC.



<b>From:</b> <peter.kilmarx@nih.gov>
<b>To:</b> Nuno Gaspar <ngaspar@usaid.gov>
<b>Subject:</b> Re: VMMC and HIV prevalence in Tete
<b>Date:</b> 2019/08/09 06:36:54
<b>Priority:</b> Normal
<b>Type:</b> Note

Thanks Nuno.

On Aug 9, 2019, at 4:51 AM, Nuno Gaspar <[ngaspar@usaid.gov](mailto:ngaspar@usaid.gov)>wrote:

Hi all,

Apologies for not responding sooner but I have been out in the field.  
We will revert to you soon on this.

Best,

N

Enviado do meu iPhone

No dia 09/08/2019, às 09:08, Shannon Young <[shyoung@usaid.gov](mailto:shyoung@usaid.gov)>escreveu:

Hi Nuno - just checking in to see if you were able to respond to this request for information.

Best,

Shannon Young  
Division Chief - HIV/AIDS &TB  
Integrated Health Office  
U.S. Agency for International Development - Mozambique

Mobile: Redacted by agreement  
Office: +258 2135 2097

----- Forwarded message -----

From: **Malimane, Inacio (CDC/DDPHSIS/CGH/DGHT)** <[vrm9@cdc.gov](mailto:vrm9@cdc.gov)>  
Date: Mon, Jul 29, 2019 at 11:26 AM  
Subject: RE: VMMC and HIV prevalence in Tete  
To: Kilmarx, Peter (NIH/FIC) [E] <[peter.kilmarx@nih.gov](mailto:peter.kilmarx@nih.gov)>, Singer, Daniel (Dan) (CDC/DDPHSIS/CGH/DGHP) <[dps4@cdc.gov](mailto:dps4@cdc.gov)>, Greenberg, Seth

<[sgreenberg@usaid.gov](mailto:sgreenberg@usaid.gov)>, [shyoung@usaid.gov](mailto:shyoung@usaid.gov) <[shyoung@usaid.gov](mailto:shyoung@usaid.gov)>  
Cc: Nuno Gaspar <[ngaspar@usaid.gov](mailto:ngaspar@usaid.gov)>

Thanks, Dan and Peter.

I have included Nuno Gaspar who is the VMMC POC at USAID and may assist on providing the VMMC data from Tete province.

Best,

Inacio

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**Inácio D. Malimane, MD**  
Prevention Deputy Branch Chief  
Centers for Disease Control and Prevention  
Redacted by agreement cell | [IMalimane@cdc.gov](mailto:IMalimane@cdc.gov)  
+258 21 31 4747/48 office | +258 21 31 44 60 fax  
7th Floor, JAT Complex 4, Av. 267 Zedequias Manganhela  
Maputo, Mozambique

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**From:** Kilmarx, Peter (NIH/FIC) [E] <[peter.kilmarx@nih.gov](mailto:peter.kilmarx@nih.gov)>  
**Sent:** Sunday, July 28, 2019 2:20 PM  
**To:** Singer, Daniel (Dan) (CDC/DDPHSIS/CGH/DGHP) <[dps4@cdc.gov](mailto:dps4@cdc.gov)>; Greenberg, Seth <[sgreenberg@usaid.gov](mailto:sgreenberg@usaid.gov)>; Malimane, Inacio (CDC/DDPHSIS/CGH/DGHT) <[vr9@cdc.gov](mailto:vr9@cdc.gov)>; [shyoung@usaid.gov](mailto:shyoung@usaid.gov)  
**Subject:** RE: VMMC and HIV prevalence in Tete

Hi all and thanks in advance for any information. Dan describes the question well. It would be surprising if the province with the lowest prevalence of MC has the lowest prevalence of HIV. I'm told the MC estimates may be inaccurate and there is actually a lot of traditional MC.

Thanks,  
PK

---

**From:** Singer, Daniel (Dan) (CDC/DDPHSIS/CGH/DGHP) <[dps4@cdc.gov](mailto:dps4@cdc.gov)>  
**Sent:** Saturday, July 27, 2019 10:53 PM  
**To:** Greenberg, Seth <[sgreenberg@usaid.gov](mailto:sgreenberg@usaid.gov)>; Malimane, Inacio (CDC/DDPHSIS/CGH/DGHT) <[vr9@cdc.gov](mailto:vr9@cdc.gov)>; [shyoung@usaid.gov](mailto:shyoung@usaid.gov)  
**Cc:** Kilmarx, Peter (NIH/FIC) [E] <[peter.kilmarx@nih.gov](mailto:peter.kilmarx@nih.gov)>  
**Subject:** VMMC and HIV prevalence in Tete

Shannon, Seth, and Inacio,

Peter Kilmarx (cc'd) is the deputy director of the Fogarty International Center at NIH. He wrote to me inquiring on why the HIV prevalence in Tete is so low when the VMMC rate there is also low. As you know, there is a small group of people who think that male circumcision is immoral or abusive, and they are (apparently) making the argument that Tete proves VMMC is not necessary to control HIV.

Peter can clarify/correct the details of the discussion, but he is very interested in understanding what really drives the HIV epidemic in Tete and whether there is any truth to this apparent disparity. Could you provide him updated data and some context to help in a very difficult back-and-forth with some "intactivists"?

Please loop in anyone else appropriate from your teams.

And warm regards from Almaty.

Dan



Daniel A. Singer, MD, MPH, FACP  
Regional Director for Central Asia  
U.S. Centers for Disease Control and Prevention -  
Almaty  
E-mail: [dsinger@cdc.gov](mailto:dsinger@cdc.gov)

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Enviado do meu iPhone

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7th Floor, JAT Complex 4, Av. 267 Zedequias Manganhela  
Maputo, Mozambique

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**Sent:** Saturday, July 27, 2019 10:53 PM  
**To:** Greenberg, Seth <[sgreenberg@usaid.gov](mailto:sgreenberg@usaid.gov)>; Malimane, Inacio (CDC/DDPHSIS/CGH/DGHT) <[vrm9@cdc.gov](mailto:vrm9@cdc.gov)>; [shyoung@usaid.gov](mailto:shyoung@usaid.gov)  
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Daniel A. Singer, MD, MPH, FACP  
Regional Director for Central Asia  
U.S. Centers for Disease Control and Prevention - Almaty  
E-mail: [dsinger@cdc.gov](mailto:dsinger@cdc.gov)

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<b>Sender:</b> <peter.kilmarx@nih.gov>
<b>Recipient:</b> Nuno Gaspar <ngaspar@usaid.gov>
<b>Sent Date:</b> 2019/08/09 06:36:53
<b>Delivered Date:</b> 2019/08/09 06:36:54
<b>Message Flags:</b> Unsent

<b>From:</b>	Kilmarx, Peter (NIH/FIC) [E] </O=EXCHANGELABS/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=E4ECF449BD7044BA9FD585C8DA4D47B4-KILMARXPH>
<b>To:</b>	Timothy Mastro <TMastro@fhi360.org>
<b>Subject:</b>	RE: Male circ pubs this week: Psychol distress in uncirc'd; Atopic disease in mental stenosis; Buried penis and penile cancer; Adverse events low in VMMC; Paraphimosis; Zipper
<b>Date:</b>	2019/08/06 16:21:00
<b>Priority:</b>	Normal
<b>Type:</b>	Note

Foreskin-sparing rescue?

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**From:** Timothy Mastro <TMastro@fhi360.org>  
**Sent:** Tuesday, August 6, 2019 4:13 PM  
**To:** Kilmarx, Peter (NIH/FIC) [E] <peter.kilmarx@nih.gov>  
**Subject:** FW: Male circ pubs this week: Psychol distress in uncirc'd; Atopic disease in mental stenosis; Buried penis and penile cancer; Adverse events low in VMMC; Paraphimosis; Zipper

## Penile foreskin trapped in a zipper: what to do?

I saw the movie.

---

**From:** Brian Morris <brian.morris@sydney.edu.au>  
**Sent:** Tuesday, August 06, 2019 4:07 PM  
**To:** sblank@health.nyc.gov; michael.brady@nationwidechildrens.org; diek@u.washington.edu; bioethics@aap.org; Andrew.Freedman@cshs.org; maxwell@email.chop.edu; ealden@aap.net; Timothy Mastro <TMastro@fhi360.org>; Patrick Sullivan <pssulli@emory.edu>; michaek2@chw.edu.au; g.williams@unsw.edu.au; annem3@chw.edu.au; megp@chw.edu.au; davids@chw.edu.au; David Isaacs <david.isaacs@sydney.edu.au>; frank.oberklaid@rch.org.au; spencer.beasley@cdhb.health.nz; msouth@unimelb.edu.au; Shirley Alexander <shirley.alexander@sydney.edu.au>; Patrina Caldwell <patrina.caldwell@sydney.edu.au>; catherine.cole@health.wa.gov.au; paediatrics@markdesouza.net; s.denny@auckland.ac.nz; daryl.efron@rch.org.au; Hasantha Gunasekera <hasantha.gunasekera@sydney.edu.au>; Andrew Holland <andrew.holland@sydney.edu.au>; Joshua.kausman@rch.org.au; cdn@cd.net.au; Craig Mellis <craig.mellis@sydney.edu.au>; david.reith@otago.ac.nz; Redacted by agreement admin@wyndhamscc.com.au  
**Subject:** Male circ pubs this week: Psychol distress in uncirc'd; Atopic disease in mental stenosis; Buried penis and penile cancer; Adverse events low in VMMC; Paraphimosis; Zipper

<https://www.ncbi.nlm.nih.gov/pubmed/31375957>

*AIDS Behav.* 2019 Aug 2. doi: 10.1007/s10461-019-02620-7. [Epub ahead of print]

## Consent Challenges and Psychosocial Distress in the Scale-up of Voluntary Medical Male Circumcision Among Adolescents in Western Kenya.

Luseno WK<sup>1</sup>, Field SH<sup>2</sup>, Iritani BJ<sup>3</sup>, Rennie S<sup>4</sup>, Gilbertson A<sup>3</sup>, Odongo FS<sup>5</sup>, Kwaro D<sup>5</sup>, Ongili B<sup>5</sup>, Hallfors DD<sup>3</sup>.

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**Abstract**

In priority sub-Saharan African countries, on the ground observations suggest that the success of voluntary medical male circumcision (VMMC) programs should not be based solely on numbers of males circumcised. We identify gaps in the consent process and poor psychosocial outcomes among a key target group: male adolescents. We assessed compliance with consent and assent requirements for VMMC in western Kenya among males aged 15-19 (N = 1939). We also examined differences in quality of life, depression, and anticipated HIV stigma between uncircumcised and circumcised adolescents. A substantial proportion reported receiving VMMC services as minors without parent/guardian consent. In addition, uncircumcised males were significantly more likely than their circumcised peers to have poor quality of life and symptoms of depression. Careful monitoring of male adolescents' well-being is needed in large-scale VMMC programs. There is also urgent need for research to identify effective strategies to address gaps in the delivery of VMMC services.

**KEYWORDS:**

Adolescent males; Adolescent well-being; Informed consent; Kenya; Voluntary medical male circumcision

PMID: 31375957

DOI: [10.1007/s10461-019-02620-7](https://doi.org/10.1007/s10461-019-02620-7)

*Note re the following article:* There was no difference in circumcision rate between boys with and those without meatal stenosis.

<https://www.ncbi.nlm.nih.gov/pubmed/31375283>

*J Pediatr Surg.* 2019 Jul 22. pii: S0022-3468(19)30466-X. doi: 10.1016/j.jpedsurg.2019.07.011.

[Epub ahead of print]

**Increased risk of atopic diseases in boys with meatal stenosis: a possible pathophysiological relation.**

Nabavizadeh B<sup>1</sup>, Akbari P<sup>1</sup>, Ladi Seyedian SS<sup>1</sup>, Nabavizadeh R<sup>2</sup>, Kajbafzadeh AM<sup>1</sup>.

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**Abstract**

**PURPOSE:**

To evaluate the role of atopy (i.e. atopic dermatitis, allergic rhinitis, asthma, and food allergies) and its consequences on developing meatal stenosis in boys.

## **METHODS:**

After obtaining ethics approval from institutional review board, a retrospective chart review was conducted to gather records of patients with meatal stenosis (Group A) presented to our pediatric urology center between August 2012 and May 2016. History of any allergic reactions including allergic rhinitis, asthma, skin, food and drug allergies was considered as positive history of atopy. A control group of children referring to our center due to other etiologies were considered as control group (Group B). Data were analyzed using student t-test and Chi-square test.

## **RESULTS:**

During the study period, a total of 206 boys (mean age 41.01 months) were assigned to group A and 221 (mean age 35.56 months) to group B. 126 (61.16%) boys had history of allergic reactions in group A compared to 29 (13.12%) in the control arm (group B). Patients with meatal stenosis have a significantly higher (P-value <0.001) likelihood of suffering from allergic reactions.

## **CONCLUSIONS:**

The pathophysiology of meatal stenosis remains not fully understood yet. This study reveals a significant relation between hypersensitivity reactions and meatal stenosis in boys. Persistent inflammation in meatal area could potentially lead to scarring and stenosis. However, more investigation is required to elucidate this pathophysiology.

## **TYPE OF STUDY:**

Case-control study.

## **LEVEL OF EVIDENCE:**

Level III.

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## **KEYWORDS:**

Allergy; Atopy; Circumcision; Meatal stenosis

PMID: 31375283

DOI: [10.1016/j.jpedsurg.2019.07.011](https://doi.org/10.1016/j.jpedsurg.2019.07.011)

*Note re the following article:* Penile cancer is most commonly seen in uncircumcised men with phimosis. However, this study finds that circumcised men who suffer from buried penis can also develop penile cancer.

<https://www.ncbi.nlm.nih.gov/pubmed/31369750>

*Urology*. 2019 Jul 29. pii: S0090-4295(19)30682-X. doi: 10.1016/j.urology.2019.07.019. [Epub ahead of print]

## **The Prevalence of Penile Cancer in patients with Adult Acquired Buried Penis.**

Pekala KR<sup>1</sup>, Pelzman D<sup>2</sup>, Theisen KM<sup>2</sup>, Rogers D<sup>3</sup>, Maganty A<sup>2</sup>, Fuller TW<sup>2</sup>, Rusilko PJ<sup>2</sup>.

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2 Department of Urology.

3 University of Pittsburgh School of Medicine.

Abstract

#### **OBJECTIVE:**

To determine the prevalence of penile cancer in patients with adult acquired buried penis. Penile cancer is a rare but aggressive cancer. Several case reports have recently been published that indicate that adult acquired buried penis (AABP) may increase the risk of penile cancer.

#### **MATERIALS &METHODS:**

A retrospective review was conducted of adults diagnosed with AABP and penile cancer between 1/2008 and 12/2018 seen at a tertiary referral center. Demographics including age, BMI, comorbidities, etiology of AABP, smoking history, circumcision status, and premalignant lesions (condyloma, lichen sclerosus (LS) carcinoma in situ (CIS)) were recorded. For patients with penile cancer, AJCC staging, grade, TNM Staging and treatments were recorded. Basic descriptive statistics were performed for the overall cohort. We used Chi-square tests and Fisher exact tests to compare differences between patients with benign pathology and patients with malignant or pre-malignant pathology.

#### **RESULTS:**

We identified 150 patients with the diagnosis of adult acquired buried penis. The prevalence of penile squamous cell carcinoma was seven percent. There was a 35% rate of premalignant lesions. This study is limited by its retrospective and single-institution nature.

#### **CONCLUSION:**

AABP is a condition that incorporates multiple risk factors for penile cancer. The prevalence of penile cancer appears to be higher in patients with AABP, however more data is needed to confirm these initial findings. Patients with AABP should be counseled on these risks and should be considered for buried penis repair if a physical exam cannot be performed.

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#### **KEYWORDS:**

Adult acquired buried penis; Hidden penis; Penile cancer; Squamous cell carcinoma

PMID: 31369750

DOI: [10.1016/j.urolgy.2019.07.019](https://doi.org/10.1016/j.urolgy.2019.07.019)

<https://www.ncbi.nlm.nih.gov/pubmed/31368235>

*J Int AIDS Soc.* 2019 Jul;22(7):e25369. doi: [10.1002/jia2.25369](https://doi.org/10.1002/jia2.25369).

## **Adverse events in a large-scale VMMC programme in Tanzania: findings from a case series analysis.**

Hellar A<sup>1</sup>, Plotkin M<sup>2</sup>, Lija G<sup>3</sup>, Mwanamsangu A<sup>3</sup>, Mkungume S<sup>3</sup>, Christensen A<sup>3</sup>, Mushi J<sup>3</sup>, Machaku M<sup>1</sup>, Maokola T<sup>1</sup>, Mlangi E<sup>4</sup>, Curran K<sup>3,5</sup>.

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5 Johns Hopkins University Bloomberg School of Public Health, Baltimore, MD, USA.

## Abstract

### **INTRODUCTION:**

Adverse events (AEs) rates in voluntary medical male circumcision (VMMC) are critical measures of service quality and safety. While these indicators are key, monitoring AEs in large-scale VMMC programmes is not without challenges. This study presents findings on AEs that occurred in eight years of providing VMMC services in three regions of Tanzania, to provide discussion both on these events and the structural issues around maintaining safety and quality in scaled-up VMMC services.

### **METHODS:**

We look at trends over time, demographic characteristics, model of VMMC and type and timing of AEs for 1307 males who experienced AEs among all males circumcised in Tabora, Njombe and Iringa regions from 2009 to 2017. We analysed deidentified client data from a VMMC programme database and performed multivariable logistic regression with district clustering to determine factors associated with intraoperative and postoperative AEs among VMMC clients.

### **RESULTS AND DISCUSSION:**

Among 741,146 VMMC clients, 0.18% (1307/741,146) experienced a moderate or severe AE. The intraoperative AE rate was 2.02 per 100,000 clients, and postoperative rate was 2.29 per 1000 return clients. Multivariable logistic regression showed that older age (20 to 29 years) was significantly associated with intraoperative AEs (aOR: 3.51, 95% CI: 1.17 to 10.6). There was no statistical significant difference in AE rates by surgical method. Mobile VMMC service delivery was associated with the lowest risk of experiencing postoperative AEs (aOR:0.64, 95% CI: 0.42 to 0.98). AE rates peaked in the first one to three years of the programme and then steadily declined.

### **CONCLUSIONS:**

In a programme with robust AE monitoring methodologies, AE rates reported in these three regions were very low and declined over time. While these findings support the safety of VMMC services, challenges in reporting of AEs in a large-scale VMMC programme are acknowledged. International and national standards of AE reporting in VMMC programmes are clear. As VMMC programmes transition to national ownership, challenges, strengths and learning from AE reporting systems are needed to support safety and quality of services.

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### **KEYWORDS:**

HIV prevention; Tanzania; adverse events; intervention; quality; safety; voluntary medical male circumcision

PMID: 31368235

DOI: [10.1002/jia2.25369](https://doi.org/10.1002/jia2.25369)

<https://www.ncbi.nlm.nih.gov/pubmed/31367524>

*Urol Case Rep.* 2019 Jul 16;26:100967. doi: 10.1016/j.eucr.2019.100967. eCollection 2019 Sep.

## **Double scrotum? A rare ignored paraphimosis - Case report.**

Mishra DK<sup>1</sup>, Rajenthiran V<sup>2</sup>, Vinod Kumar Reddy T<sup>1</sup>, Agarwal MS<sup>3</sup>.

### **Author information**

1 Global Rainbow Healthcare, Agra, India.

2 Aarupadai Veedu Medical College, Pondicherry, India.

3 Department of Urology, Global Rainbow Healthcare, Agra, India.

### **Abstract**

Paraphimosis is usually acute painful condition. Delay in medical attention might result in dreaded complications like gangrene and auto-amputation. Very rarely patient might present late with large painless paraphimosis as in our case just because it interrupts intromission despite satisfactory painless erection.

### **KEYWORDS:**

Circumcision; Paraphimosis

PMID: 31367524

PMCID: PMC6656690

DOI: [10.1016/j.eucr.2019.100967](https://doi.org/10.1016/j.eucr.2019.100967)

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<https://www.ncbi.nlm.nih.gov/pubmed/31364098>

*Urol J.* 2019 Jul 30. doi: 10.22037/uj.v0i0.4973. [Epub ahead of print]

## **A Comparative Study on the Efficacy of Four Types of Circumcision for Elderly Males with Redundant Prepuce.**

Mu J<sup>1</sup>, Fan L<sup>2</sup>, Liu D<sup>2</sup>, Zhu D<sup>3</sup>.

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2 Department of Urology, The Second People's Hospital of Lianyungang, No.161, Xingfu Road, Haizhou District, Lianyungang, 222000, P.R.China.

3 Tianjin Medical University, No. 22, Qixiangtai Road, Tianjin, 300211, P.R. China.

### **Abstract**

### **PURPOSE:**

Circumcision is a common human urologic surgery performed in males with redundant prepuce to prevent the transmission and reduce the risk of urologic diseases. However, the optimal circumcision method for elderly men remains to be determined. Herein, the current study was conducted to characterize the efficacy of four different kinds of circumcision for elderly males with redundant prepuce.

### **METHODS:**

This retrospective study included 132 elderly males diagnosed with redundant prepuce who underwent circumcision at the outpatient department. Among them, 38 cases were subjected to traditional surgery (Group A), 23 cases to sleeve circumcision (Group B), and 42 cases to Shang Ring circumcision (Group C) and 29 cases to suturing device circumcision (Group D). Subsequently,

the operation time, loss of blood, postoperative pain, complications, wound healing, and the satisfaction were respectively compared and analyzed.

#### **RESULTS:**

The operation time of these 4 groups was calculated to be  $27.3 \pm 2.39$  min,  $30.4 \pm 2.23$  min,  $6.3 \pm 1.33$  min,  $7.6 \pm 1.29$  min, in Group A, Group B, Group C, Group D, respectively ( $P < 0.05$ ). Besides, the loss of blood was  $15.6 \pm 2.84$  mL,  $11.8 \pm 1.73$  mL,  $1.3 \pm 0.44$  mL,  $3.7 \pm 1.41$  mL, respectively ( $P < 0.05$ ). The elderly males who underwent Shang Ring circumcision exhibited the highest postoperative pain score, the longest pain duration, the longest healing time, the lowest recovery satisfaction rate and the highest operation experience satisfaction rate ( $P < 0.05$ ).

#### **CONCLUSION:**

Taken together, all four types of male circumcision present with advantages and drawbacks. The traditional male circumcision and sleeve circumcision led to longer operation time and more bleeding, but no additional medical equipment was needed. Meanwhile, the Shang Ring circumcision caused the shortest operation time and the least bleeding, accompanied by the longest pain duration and recovery time. Therefore, the application of sleeve circumcision or a suturing device was recommended for elderly males suffering from redundant prepuce.

PMID: 31364098

DOI: [10.22037/uj.v0i0.4973](https://doi.org/10.22037/uj.v0i0.4973)

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<https://www.ncbi.nlm.nih.gov/pubmed/29877561>

[unis Med.](#) 2017 Novembre;95(11):998-999.

## **Penile foreskin trapped in a zipper: what to do?**

Sallami S, Zribi S, Abou El Makarim S, Touinsi H.

PMID: 29877561

[Indexed for MEDLINE]

[Free full text](#)

*Comment re the article below:* The BMA 'NTMC' guidance is a case in point. Astonishingly, despite being a medical body, it claimed to be incapable of performing a review of the medical evidence in developing its 'guidance' in infant male circumcision. As a result, it relied instead on opinion. The consequences for public health and individual well-being were thereby disregarded.

[https://www.bmj.com/content/366/bmj.l4606?utm\\_source=etoc&utm\\_medium=email&utm\\_campaign=tbmj&utm\\_content=weekly&utm\\_term=20190802](https://www.bmj.com/content/366/bmj.l4606?utm_source=etoc&utm_medium=email&utm_campaign=tbmj&utm_content=weekly&utm_term=20190802)

### **Distinguishing opinion from evidence in guidelines**

*BMJ* 2019; 366 doi: <https://doi.org/10.1136/bmj.l4606>

The experience of experts can be useful when developing guidelines, but structures need to be in place to avoid opinion being confused with evidence

#### **Key messages**

- The role of experts in a guideline panel is to make judgments informed by the best available evidence
- When research evidence is not available, they can also provide expert evidence

- Expert evidence is different from expert opinion, which includes a judgment on the evidence
- Expert evidence should be collected systematically and available to panel members before meetings

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**Circumcision Academy of Australia:** <https://www.circumcisionaustralia.info>

**Circumcision Academy of Australia:** <http://www.circumcisionaustralia.org>

**Circumcision Academy of America:** <http://www.circumcisionamerica.org>

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Brian J. Morris, AM DSc PhD FAHA  
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<b>Sender:</b>	Kilmarx, Peter (NIH/FIC) [E] </O=EXCHANGELABS/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=E4ECF449BD7044BA9FD585C8DA4D47B4-KILMARXPH>
<b>Recipient:</b>	Timothy Mastro <TMastro@fhi360.org>
<b>Sent Date:</b>	2019/08/06 16:21:33
<b>Delivered Date:</b>	2019/08/06 16:21:00

<b>From:</b>	<peter.kilmarx@nih.gov>
<b>To:</b>	Malimane, Inacio (CDC/DDPHSIS/CGH/DGHT) /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=10f89e41b6144db3b6463bf9a5b0499e-vrm9 <vrm9@cdc.gov>
<b>CC:</b>	Singer, Daniel (Dan) (CDC/DDPHSIS/CGH/DGHP) /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=7d03e39b81a14c80b2627f4101514fb2-dps4 <dps4@cdc.gov>; Greenberg, Seth <sgreenberg@usaid.gov>; <shyoung@usaid.gov>; Nuno Gaspar <ngaspar@usaid.gov>
<b>Subject:</b>	Re: VMMC and HIV prevalence in Tete
<b>Date:</b>	2019/07/29 06:23:38
<b>Priority:</b>	Normal
<b>Type:</b>	Note

Hi and thanks Inacio and Nuno.

Peter Kilmarx  
Fogarty International Center, NIH

On Jul 29, 2019, at 5:26 AM, Malimane, Inacio (CDC/DDPHSIS/CGH/DGHT) <vrm9@cdc.gov> wrote:

Thanks, Dan and Peter.

I have included Nuno Gaspar who is the VMMC POC at USAID and may assist on providing the VMMC data from Tete province.

Best,

Inacio

---

**Inácio D. Malimane, MD**  
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---

**From:** Kilmarx, Peter (NIH/FIC) [E] <peter.kilmarx@nih.gov>  
**Sent:** Sunday, July 28, 2019 2:20 PM  
**To:** Singer, Daniel (Dan) (CDC/DDPHSIS/CGH/DGHP) <dps4@cdc.gov>; Greenberg, Seth <sgreenberg@usaid.gov>; Malimane, Inacio (CDC/DDPHSIS/CGH/DGHT) <vrm9@cdc.gov>; shyoung@usaid.gov  
**Subject:** RE: VMMC and HIV prevalence in Tete

Hi all and thanks in advance for any information. Dan describes the question well. It would be surprising if the province with the lowest prevalence of MC has the lowest prevalence of HIV. I'm told the MC estimates may be inaccurate and there is actually a lot of traditional MC.

Thanks,  
PK

---

**From:** Singer, Daniel (Dan) (CDC/DDPHSIS/CGH/DGHP) <[dps4@cdc.gov](mailto:dps4@cdc.gov)>  
**Sent:** Saturday, July 27, 2019 10:53 PM  
**To:** Greenberg, Seth <[sgreenberg@usaid.gov](mailto:sgreenberg@usaid.gov)>; Malimane, Inacio (CDC/DDPHSIS/CGH/DGHT) <[vrm9@cdc.gov](mailto:vrm9@cdc.gov)>; [shyoung@usaid.gov](mailto:shyoung@usaid.gov)  
**Cc:** Kilmarx, Peter (NIH/FIC) [E] <[peter.kilmarx@nih.gov](mailto:peter.kilmarx@nih.gov)>  
**Subject:** VMMC and HIV prevalence in Tete

Shannon, Seth, and Inacio,

Peter Kilmarx (cc'd) is the deputy director of the Fogarty International Center at NIH. He wrote to me inquiring on why the HIV prevalence in Tete is so low when the VMMC rate there is also low. As you know, there is a small group of people who think that male circumcision is immoral or abusive, and they are (apparently) making the argument that Tete proves VMMC is not necessary to control HIV.

Peter can clarify/correct the details of the discussion, but he is very interested in understanding what really drives the HIV epidemic in Tete and whether there is any truth to this apparent disparity. Could you provide him updated data and some context to help in a very difficult back-and-forth with some "intactivists"?

Please loop in anyone else appropriate from your teams.

And warm regards from Almaty.

Dan



Daniel A. Singer, MD, MPH, FACP  
Regional Director for Central Asia  
U.S. Centers for Disease Control and Prevention - Almaty  
E-mail: [dsinger@cdc.gov](mailto:dsinger@cdc.gov)

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	<vrm9@cdc.gov>; Singer, Daniel (Dan) (CDC/DDPHSIS/CGH/DGHP) /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=7d03e39b81a14c80b2627f4101514fb2-dps4 <dps4@cdc.gov>; Greenberg, Seth <sgreenberg@usaid.gov>; <shyoung@usaid.gov>; Nuno Gaspar <ngaspar@usaid.gov>
<b>Sent Date:</b>	2019/07/29 06:23:36
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## Nomination of Dr. Owen Mugurungi for the Roux Prize

Final – January 30, 2019

---

Name: Dr. Owen Mugurungi  
Title: Director HIV and TB Department  
Organization: Ministry of Health and Child Care  
Country: Zimbabwe  
Email:   
Phone: 

### **Describe the nominee's accomplishments and use of health evidence. (496 words, limit 500):**

---

As Director of Zimbabwe's HIV and TB Department since 2000, Dr. Mugurungi is widely recognized as the national leader of the HIV/AIDS response. The national program results are his results. According to the *Global Burden of Disease* database, HIV/AIDS was the number one cause of death in Zimbabwe in 2000 at 833 deaths per 100,000 population. By 2017, it had fallen to number three at 111/100,000, an 87% decline. From UNAIDS data, in 2000 there were an estimated 1.7 million people living with HIV infection (PLHIV), an adult prevalence of 25.1%; 110,000 new HIV infections per year; and 120,000 AIDS-related deaths. By 2017, the annual number of new HIV infections had declined to 41,000, a 63% decrease, and AIDS-related deaths declined to 22,000, an 82% decrease. During that time, the incidence-prevalence ratio declined from 0.07 to 0.03, which is the UNAIDS-defined threshold for "epidemic control."

In 2000, programs for HIV testing and treatment were in their infancy. Under Dr. Mugurungi's leadership, by 2017, 85% of PLHIV (1.1 million) had been diagnosed, >95% of them were on antiretroviral treatment (ART), and 81% of those on ART had an undetectable (suppressed) viral load (2016 estimate). This represents outstanding progress towards the UNAIDS "90-90-90" goals for achieving 90% in each of these measurements by the year 2020. In 2017 alone, 160,000 PLHIV initiated HIV treatment and an estimated 56,000 AIDS-related deaths were averted by the national program.

Early in the 2000s, before HIV treatment and biomedical prevention interventions were widely available, Dr. Mugurungi led a one of the few successful national programs for behavioral HIV prevention. Longitudinal studies showed an annual HIV incidence decline from 2.1% in 2000 to 0.63% in 2010, largely due to reduced sexual risk behavior – lower numbers of casual partners and high condom use in non-regular partnerships. Educational messaging continues and condom use, both male and female, remains high in Zimbabwe.

With Dr. Mugurungi's hands-on direction, by 2017 the national program for prevention of mother-to-child transmission of HIV (PMTCT) reached >95% coverage of HIV testing of pregnant women and 90% of HIV-infected women received antiretroviral drugs. The MTCT transmission rate at 18 months was 7.0%;

without intervention it would be 40%. The annual number of infant infections through MTCT fell from an estimated 26,000 in 2000 to 4,300 in 2017, an 84% decline. Dr. Mugurungi was at the forefront of implementing evidence-based policies, for example, "Option-B+" to provide ART to all HIV-infected pregnant women regardless of CD4 count to benefit the woman's health as well as preventing infant infection.

Dr. Mugurungi has also led an evidence-based, data-driven national program of voluntary medical male circumcision (VMMC), which is 60-70% effective in reducing female-to-male HIV transmission. Under his leadership, by 2017, 1.2 million circumcisions had been carried out nationally, with more than 220,000 procedures in 2017.

In summary, Dr. Mugurungi exemplifies the ideal of using health evidence in innovative ways to improve population health. For these accomplishments and impacts, he is truly deserving of the Roux Prize.

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**How has the nominee used evidence to impact health? (492 words, limit 500):**

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As Director of the HIV and TB Department in Zimbabwe since 2000, Dr. Mugurungi has led the HIV response in Zimbabwe, which has been very strongly grounded in epidemiologic and programmatic data, and, despite limited resources and occasional national emergencies, very successful. Dr. Mugurungi has been a principal investigator or senior scientist in research, program implementation, and evaluations including HIV surveillance; HIV prevention, including voluntary medical male circumcision (VMMC) and prevention of mother-to-child transmission (PMTCT); and HIV care and treatment. He has taken a population-wide, public health approach, always with evidence and data at the forefront.

His department is responsible for HIV surveillance activities and for producing national and regional HIV estimates. He has led the design and implementation of routine HIV surveillance systems and surveys and in interpreting and disseminating the results. As one example, he led studies to transition from use of special periodic antenatal surveys to use of routine PMTCT program records.

Dr. Mugurungi is a global leader in conducting research to develop methods for VMMC that are less dependent on clinicians and are more acceptable to men. This research has ranged from studies to identify barriers to uptake of VMMC to development of biomedical tools and procedures for male circumcision. With his direct involvement, the national VMMC program uses a specialized program planning tool. VMMC data were harmonized with nationally representative household-level data and are monitored with weekly data from Ministry dashboards and the national District Health Information System (DHIS2). Quality of program implementation is assessed through biannual national quality reports.

He has led the national PMTCT program with extensive use of data and evaluation, including development and implementation of a cell-phone based system to track infant HIV test specimens to centralized laboratories with test results transmitted by SMS back to the clinic and with an SMS notification to the mother.

Dr. Mugurungi was a co-principal investigator on the pioneering DART trial ("Development of AntiRetroviral Therapy") and co-led research on more feasible approaches to HIV testing, point-of-care

equipment for CD4 measurement, and accelerated ART initiation. National surveillance and data systems track HIV testing, test results, initiation and retention in HIV treatment, viral load, and HIV drug resistance at national and provincial and, for some elements, district and clinic level by sex and age group.

Dr. Mugurungi has evaluated the population-level impact of national HIV control programs with studies have included in-depth, mixed-method investigations and mathematical models to interpret trends in the HIV epidemic and to evaluate the potential impact of alternative implementation strategies.

Ongoing innovations in data use led by Dr. Mugurungi include 1) expanding the national DHIS2 system, which captures all HIV program data, to support scale-up of an electronic health record and include data from other disease programs and 2) implementation of case-based surveillance of new HIV infections with behavioral risk factors as well as bio-markers to design and implement innovative and efficient differentiated models of care, which will be crucial to access increasingly hard-to-reach populations.

Attachments (limit of five):

1. Dr. Mugurungi's CV.
2. EXTENDED ZIMBABWE NATIONAL HIV AND AIDS STRATEGIC PLAN (ZNASP) 2015 – 2020  
[http://procurement-notice.undp.org/view\\_file.cfm?doc\\_id=114051](http://procurement-notice.undp.org/view_file.cfm?doc_id=114051) [Note – no mention of Owen]
3. Mugurungi O. Country Progress towards 90-90-90 targets: Innovative approaches to close the gaps across the continuum of care. 90-90-90 Targets Workshop; July 22-23, 2017, Paris.  
<http://www.iapac.org/909090-workshop/presentations/909090tw17-Sa1530-Mugurungi.pdf>
4. Publication: Gregson S, Gonese E, Hallett TB, Taruberekera N, Hargrove JW, Lopman B, Corbett EL, Dorrington R, Dube S, Dehne K, Mugurungi O. HIV decline in Zimbabwe due to reductions in risky sex? Evidence from a comprehensive epidemiological review. *Int J Epidemiol.* 2010 Oct;39(5):1311-23.
5. Publication: Mutasa-Apollo T, Shiraishi RW, Takarinda KC, Dzangare J, Mugurungi O, Murungu J, Abdul-Quader A, Woodfill CJ. Patient retention, clinical outcomes and attrition-associated factors of HIV-infected patients enrolled in Zimbabwe's National Antiretroviral Therapy Programme, 2007-2010. *PLoS One.* 2014 Jan 29;9(1):e86305.

## Nomination of Dr. Owen Mugurungi for the Roux Prize

DRAFT – January 24, 2019

---

Name: Dr. Owen Mugurungi  
Title: Director HIV and TB Department  
Organization: Ministry of Health and Child Care  
Country: Zimbabwe  
Email:   
Phone: \_\_\_\_\_

### **Describe the nominee's accomplishments and use of health evidence. (limit 500 words, currently 499):**

As Director of Zimbabwe's HIV and TB Department since 2000, Dr. Mugurungi is widely recognized as the national leader of the HIV/AIDS response. The national program results are his results. According to the *Global Burden of Disease* database, HIV/AIDS was the number one cause of death in Zimbabwe in 2000 at 833 deaths per 100,000 population. By 2017, it had fallen to number three at 111/100,000, an 87% decline. From UNAIDS data, in 2000 there were an estimated 1.7 million people living with HIV infection (PLHIV), an adult prevalence of 25.1%; 110,000 new HIV infections per year; and 120,000 AIDS-related deaths. By 2017, the annual number of new HIV infections had declined to 41,000, a 63% decrease, and AIDS-related deaths declined to 22,000, an 82% decrease. During that time, the incidence-prevalence ratio declined from 0.07 to 0.03, which is the UNAIDS-defined threshold for "epidemic control."

In 2000, programs for HIV testing and treatment were in their early stages. Under Dr. Mugurungi's leadership, by 2017, 85% of PLHIV (1.1 million) had been diagnosed, >95% of them were on antiretroviral treatment (ART), and 81% of those on ART had an undetectable (suppressed) viral load (2016 estimate). This represents outstanding progress towards the UNAIDS "90-90-90" goals for achieving 90% in each of these measurements by the year 2020. In 2017 alone, 160,000 PLHIV initiated HIV treatment and an estimated 56,000 AIDS-related deaths were averted by the national program.

Early in the 2000s, before HIV treatment and biomedical prevention interventions were widely available, Dr. Mugurungi led a one of the few successful national programs for behavioral HIV prevention. Longitudinal studies showed an annual HIV incidence decline from 2.1% in 2000 to 0.63% in 2010, largely due to reduced sexual risk behavior – lower numbers of casual partners and high condom use in non-regular partnerships. Educational messaging continues and condom use, both male and female, remains high in Zimbabwe.

With Dr. Mugurungi's hands-on direction, by 2017 the national program for prevention of mother-to-child transmission of HIV (PMTCT) reached >95% coverage of HIV testing of pregnant women and 90% of HIV-infected women received antiretroviral drugs. The MTCT transmission rate at 18 months was 7.0%;

without intervention it would be 40%. The annual number of infant infections through MTCT fell from an estimated 26,000 in 2000 to 4,300 in 2017, an 84% decline. Dr. Mugurungi was at the forefront of implementing evidence-based policies, for example, "Option-B+" to provide ART to all HIV-infected pregnant women regardless of CD4 count to benefit the woman's health as well as preventing infant infection.

Dr. Mugurungi has also led an evidence-based, data-driven national program of voluntary medical male circumcision (VMMC), which is 60-70% effective in reducing female-to-male HIV transmission. Under his leadership, by 2017, 1.2 million circumcisions had been carried out nationally, with more than 220,000 procedures carried out in 2017.

In summary, Dr. Mugurungi exemplifies the ideal of using health evidence in innovative ways to improve population health. For these accomplishments and impacts, he is truly deserving of the Roux Prize.

---

**How has the nominee used evidence to impact health? (limit 500 words, currently 500):**

---

As Director of the HIV and TB Department in Zimbabwe since 2000, Dr. Mugurungi has led the HIV response in Zimbabwe, which has been very strongly grounded in epidemiologic and programmatic data, and, despite limited resources and occasional national emergencies, very successful. Dr. Mugurungi has been a principal investigator or senior scientist in research, program implementation, and evaluations including HIV surveillance; HIV prevention, including voluntary medical male circumcision (VMMC) and prevention of mother-to-child transmission (PMTCT); and HIV care and treatment. He has taken a population-wide, public health approach, always with evidence and data at the forefront.

His department is responsible for HIV surveillance activities and for producing national and regional HIV estimates. He has led the design and implementation of routine HIV surveillance systems and surveys and in interpreting and disseminating the results. As one example, he led studies to transition from use of special periodic antenatal surveys to use of routine PMTCT program records.

Dr. Mugurungi is a global leader in conducting research to develop methods for VMMC that are less dependent on clinicians and are more acceptable to men. This research has ranged from studies to identify barriers to uptake of VMMC to development of biomedical tools and procedures for male circumcision. With his direct involvement, the national VMMC program uses a specialized program planning pool. VMMC data were harmonized with nationally representative household-level data and are monitored with weekly data from Ministry dashboards and the national District Health Information System (DHIS2). Quality of program implementation is assessed through biannual national quality reports.

He has led the national PMTCT program with extensive use of data and evaluation, including development and implementation of a cell-phone based system to track infant HIV test specimens to centralized laboratories with test results transmitted by SMS back to the clinic and with an SMS notification to the mother.

Dr. Mugurungi was a co-principal investigator on the pioneering DART trial ("Development of AntiRetroviral Therapy") and co-led research on more feasible approaches to HIV testing, point-of-care

equipment for CD4 measurement, and accelerated ART initiation. National surveillance and data systems track HIV testing, test results, initiation and retention in HIV treatment, viral load, and HIV drug resistance at national and provincial and in some cases district and clinic level by sex and age group.

Dr. Mugurungi has evaluated the population-level impact of national HIV control programs with studies have included in-depth, mixed-method investigations of trends in the HIV epidemic, progress in implementing national programs, and mathematical models to interpret trends in the HIV epidemic and to evaluate the potential impact of alternative implementation strategies.

Ongoing innovations in data use led by Dr. Mugurungi include expanding the national DHIS2 system, which captures all HIV program data, to support scale-up of an electronic health record and include data from other disease programs and implementation of case-based surveillance of new HIV infections with behavioral risk factors as well as bio-markers to design and implement innovative and efficient differentiated models of care and be crucial to reach increasingly hard-to-reach populations.

Potential Attachments (limit of five, need to delete two):

1. Dr. Mugurungi's CV.
2. EXTENDED ZIMBABWE NATIONAL HIV AND AIDS STRATEGIC PLAN (ZNASP) 2015 – 2020  
[http://procurement-notice.undp.org/view\\_file.cfm?doc\\_id=114051](http://procurement-notice.undp.org/view_file.cfm?doc_id=114051) [Note – no mention of Owen]
3. Mugurungi O. Country Progress towards 90-90-90 targets: Innovative approaches to close the gaps across the continuum of care. 90-90-90 Targets Workshop; July 22-23, 2017, Paris.  
<http://www.iapac.org/909090-workshop/presentations/909090tw17-Sa1530-Mugurungi.pdf>
4. Publication: Gregson S, Gonese E, Hallett TB, Taruberekera N, Hargrove JW, Lopman B, Corbett EL, Dorrington R, Dube S, Dehne K, Mugurungi O. HIV decline in Zimbabwe due to reductions in risky sex? Evidence from a comprehensive epidemiological review. *Int J Epidemiol*. 2010 Oct;39(5):1311-23.
5. Publication: Hatzold K, Mavhu W, Jasi P, Chatora K, Cowan FM, Taruberekera N, Mugurungi O, Ahanda K, Njeuhmeli E. Barriers and motivators to voluntary medical male circumcision uptake among different age groups of men in Zimbabwe: results from a mixed methods study. *PLoS One*. 2014 May 6;9(5):e85051.
6. Publication: Mutasa-Apollo T, Shiraishi RW, Takarinda KC, Dzangare J, Mugurungi O, Murungu J, Abdul-Quader A, Woodfill CJ. Patient retention, clinical outcomes and attrition-associated factors of HIV-infected patients enrolled in Zimbabwe's National Antiretroviral Therapy Programme, 2007-2010. *PLoS One*. 2014 Jan 29;9(1):e86305.
7. Publication: Dinh TH, Mushavi A, Shiraishi RW, Tippet Barr B, Balachandra S, Shambira G, Nyakura J, Zinyowera S, Tshimanga M, Mugurungi O, Kilmarx PH. Impact of Timing of Antiretroviral Treatment and Birth Weight on Mother-to-Child Human Immunodeficiency Virus Transmission: Findings From an 18-Month Prospective Cohort of a Nationally Representative Sample of Mother-Infant Pairs During the Transition from Option A to Option B+ in Zimbabwe. *Clin Infect Dis*. 2018 Feb 1;66(4):576-585

<b>From:</b> <peter.kilmarx@nih.gov>
<b>To:</b> KRETSINGER, Katrina <kretsingerk@who.int>
<b>Subject:</b> Re: Items on Male Circumcision, 2018 to Date
<b>Date:</b> 2018/12/11 07:28:21
<b>Priority:</b> Normal
<b>Type:</b> Note

Thanks Katrina. I am pretty happy with the progress being made with VMMC for HIV prevention in Africa, although it seems underemphasized relative to other prevention modalities. I still occasionally get tweeted at by intactivists. A luta continua!

I'll be in Geneva in March and will get in touch.

Stay well,  
PK

On Dec 10, 2018, at 11:50 PM, KRETSINGER, Katrina <kretsingerk@who.int> wrote:

Not sure who might be the best audience for this anymore, but thought you might! Cheers,  
Katrina

Sent from my iPhone

Peter Kilmarx  
Fogarty International Center, NIH

Begin forwarded message:

**Resent-From:** <kok4@cdc.gov>  
**From:** Kaguimah Anthony <info@childmortality-prevention.org>  
**Date:** 11 December 2018 at 00:56:19 CET  
**To:** kok4@cdc.gov  
**Subject:** Items on Male Circumcision, 2018 to Date

Items on Male  
Circumcision,  
2018 to Date

Items on Male  
Circumcision, 2018  
to Date

Please see below, ranked by viewership, items on male circumcision to appear on [www.childsurvival.net](http://www.childsurvival.net) in 2018. While most items are from Africa, there are also items from Indonesia (item 1), Papua New Guinea (10), Texas (12), Thailand (13), Poland (16), and China (17).

Good reading.

Article Title	No of Hits
1. • Voluntary Medical Male Circumcision to Prevent HIV in Tanah Papua, Indonesia: Field Trial to Assess Acceptability and Feasibility. <a href="http://www.childsurvival.net/?content=com_articles&amp;artid=7400">http://www.childsurvival.net/?content=com_articles&amp;artid=7400</a>	41
2. • What device would be best for early infant male circumcision in east and southern Africa? Provider experiences and opinions with three different devices in Kenya. <a href="http://www.childsurvival.net/?content=com_articles&amp;artid=7007">http://www.childsurvival.net/?content=com_articles&amp;artid=7007</a>	38
3. • Acceptability and feasibility of early infant male circumcision for HIV prevention in Malawi <a href="http://www.childsurvival.net/?content=com_articles&amp;artid=7005">http://www.childsurvival.net/?content=com_articles&amp;artid=7005</a>	36
4. • Scale-Up of Voluntary Medical Male Circumcision Services for HIV Prevention - 12 Countries in Southern and Eastern Africa, 2013-2016. <a href="http://www.childsurvival.net/?content=com_articles&amp;artid=6998">http://www.childsurvival.net/?content=com_articles&amp;artid=6998</a>	34
5. • Effect of male circumcision on risk of sexually transmitted infections and cervical cancer in women <a href="http://www.childsurvival.net/?content=com_articles&amp;artid=7001">http://www.childsurvival.net/?content=com_articles&amp;artid=7001</a>	33
6. • Obtaining a male circumcision prevalence rate of 80% among adults in a short time: An observational prospective intervention study in the Orange Farm township of South Africa	32



<a href="http://www.childsurvival.net/?content=com_articles&amp;artid=7008">http://www.childsurvival.net/?content=com_articles&amp;artid=7008</a>	
7. • Use of the ShangRing circumcision device in boys below 18 years old in Kenya: results from a pilot study.	30
<a href="http://www.childsurvival.net/?content=com_articles&amp;artid=7003">http://www.childsurvival.net/?content=com_articles&amp;artid=7003</a>	
8. • Evidence that promotion of male circumcision did not lead to sexual risk compensation in prioritized Sub-Saharan countries	30
<a href="http://www.childsurvival.net/?content=com_articles&amp;artid=7004">http://www.childsurvival.net/?content=com_articles&amp;artid=7004</a>	
9. • Geographic Coverage of Male Circumcision in Western Kenya	30
<a href="http://www.childsurvival.net/?content=com_articles&amp;artid=7009">http://www.childsurvival.net/?content=com_articles&amp;artid=7009</a>	
10. • Re-establishing safer medical-circumcision-integrated initiation ceremonies for HIV prevention in a rural setting in Papua New Guinea. A multi-method acceptability study.	30
<a href="http://www.childsurvival.net/?content=com_articles&amp;artid=7401">http://www.childsurvival.net/?content=com_articles&amp;artid=7401</a>	
11. • CDC Male Circumcision Recommendations Represent a Key Public Health Measure	29
<a href="http://www.childsurvival.net/?content=com_articles&amp;artid=7006">http://www.childsurvival.net/?content=com_articles&amp;artid=7006</a>	
12. • Why are we cutting? A Survey of Cultural Views on Circumcision in the Texas Panhandle	29
<a href="http://www.childsurvival.net/?content=com_articles&amp;artid=7397">http://www.childsurvival.net/?content=com_articles&amp;artid=7397</a>	
13. • Physicians' and nurses' thoughts and concerns about introducing neonatal male circumcision in Thailand: a qualitative study.	29
<a href="http://www.childsurvival.net/?content=com_articles&amp;artid=7398">http://www.childsurvival.net/?content=com_articles&amp;artid=7398</a>	
14. • Modifying the health system to maximize voluntary medical male circumcision uptake: a qualitative study in Botswana	28
<a href="http://www.childsurvival.net/?content=com_articles&amp;artid=6997">http://www.childsurvival.net/?content=com_articles&amp;artid=6997</a>	
15. • [Post-circumcision] Tetanus in adult males, Bugando Medical Centre, United Republic of Tanzania	27
<a href="http://www.childsurvival.net/?content=com_articles&amp;artid=7000">http://www.childsurvival.net/?content=com_articles&amp;artid=7000</a>	
16. • Attitudes, Beliefs and Predictors of Male Circumcision Promotion among Medical University Students in a Traditionally Non-Circumcising Region. [Poland]	27
<a href="http://www.childsurvival.net/?content=com_articles&amp;artid=7399">http://www.childsurvival.net/?content=com_articles&amp;artid=7399</a>	
17. • Impact of Educational Interventions on Acceptance and Uptake of Male Circumcision in the General Population of Western China: A Multicenter Cohort Study.	27
<a href="http://www.childsurvival.net/?content=com_articles&amp;artid=7402">http://www.childsurvival.net/?content=com_articles&amp;artid=7402</a>	

18. • Predictors of voluntary medical male circumcision prevalence among men aged 25-39 years in Nyanza region, Kenya: Results from the baseline survey of the TASC0 study. 26

[http://www.childsurvival.net/?content=com\\_articles&artid=7002](http://www.childsurvival.net/?content=com_articles&artid=7002)

[<powerphplist.png>](#)

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This message was sent to [kok4@cdc.gov](mailto:kok4@cdc.gov) by [info@childsurvival.net](mailto:info@childsurvival.net)

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<b>Sender:</b> <peter.kilmarx@nih.gov>
<b>Recipient:</b> KRETSINGER, Katrina <kretsingerk@who.int>
<b>Sent Date:</b> 2018/12/11 07:28:20
<b>Delivered Date:</b> 2018/12/11 07:28:21
<b>Message Flags:</b> Unsent

<b>From:</b>	Kilmarx, Peter (NIH/FIC) [E] </O=EXCHANGELABS/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=E4ECF449BD7044BA9FD585C8DA4D47B4-KILMARXPH>
<b>To:</b>	Catherine Hankins [Redacted by agreement]
<b>Subject:</b>	RE: Marking World AIDS Day 2018 and thanking you
<b>Date:</b>	2018/12/02 17:14:00
<b>Priority:</b>	Normal
<b>Type:</b>	Note

Thanks Cate for your note. Our time and work together in recent years has been a joy. I'm thankful for all that you do and the opportunity to sometimes get to do it with you.

[Redacted by agreement]

Warm holiday wishes,  
PK

**From:** Catherine Hankins [Redacted by agreement]  
**Sent:** Sunday, December 2, 2018 4:57 PM  
**Subject:** Marking World AIDS Day 2018 and thanking you

Dear family and friends,

Yesterday marked the 30th anniversary of World AIDS Day – the first one was inaugurated by Jonathan Mann, head of the World AIDS programme, in 1988. As you may recall, he was killed 10 years later in the Swissair New York-to-Geneva flight that came down near Peggy's Cove, Nova Scotia.

Jonathan would be astounded at how big the HIV epidemic became and how many people have died – 35 million. I remember the day in June 1981 when the CDC report arrived on my desk describing the first cases of what we now know was AIDS. We still don't have either a vaccine or a cure but a lot of progress has been made in rolling out effective antiretroviral treatment and HIV prevention tools (male and female condoms, medical male circumcision, and oral pre-exposure prophylaxis). An estimated 75% of all people living with HIV worldwide know their HIV-positive status (the goal for 2020 is 90%) and 59% of all people living with HIV are on treatment (the goal for 2020 is 81%). People on treatment who achieve suppression of the virus do not transmit HIV to other people (U=U: undetectable=untransmittable) and benefit clinically themselves and can live long and healthy lives.

I am writing to you because I want to thank you for your support over the years to me and others fighting the HIV pandemic. It has been such a long haul so far – and despite all of the successes to date, the road ahead will be long. Your interest and encouragement in all kinds of ways have been so helpful to me personally over the years.

Two quick local achievements to report:

The Mayor of Montreal Valerie Laplante launched *Montreal sans sida* on Friday, making Montreal the first Canadian city to aim to eliminate AIDS by 2020 (photo at City Hall attached). I

have had the honour of being a member of the Coordinating Committee overseeing this initiative.

Yesterday, following a letter that five of us who are scientists and clinicians wrote to the Attorney-General of Canada Jody Wilson-Raybould -- it was accompanied by statements from the Canadian Legal HIV/AIDS Network and the Canadian Coalition to Reform HIV Criminalisation -- the Attorney-General announced a new directive on HIV criminalization to federal prosecutors that will finally bring Canadian jurisprudence regarding HIV non-disclosure in line with the scientific evidence. <https://www.newswire.ca/news-releases/attorney-general-of-canada-to-issue-directive-regarding-prosecutions-of-hiv-non-disclosure-cases-701701791.html>

Both of these are both positive moves in the right direction!

Thanking you and hoping this finds you enjoying a fine December wherever you are.

Cathy/Cate

<b>Sender:</b>	Kilmarx, Peter (NIH/FIC) [E] </O=EXCHANGELABS/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=E4ECF449BD7044BA9FD585C8DA4D47B4-KILMARXPH>
<b>Recipient:</b>	Catherine Hankins <span style="border: 1px solid black; padding: 2px;">Redacted by agreement</span>
<b>Sent Date:</b>	2018/12/02 17:14:14
<b>Delivered Date:</b>	2018/12/02 17:14:00

Page 225 of 561

Withheld pursuant to exemption

Redacted by agreement

of the Freedom of Information and Privacy Act

<b>From:</b>	Kilmarx, Peter (NIH/FIC) [E] </O=EXCHANGELABS/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=E4ECF449BD7044BA9FD585C8DA4D47B4-KILMARXPH>
<b>To:</b>	Gordon, Latoya (NIH/FIC) [C] /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=b43d702b63f14d0fad8e8eb2c32777ce-gordonlr <latoya.gordon@nih.gov>
<b>Subject:</b>	RE: 6284539: Manuscript Review
<b>Date:</b>	2018/10/21 05:26:00
<b>Priority:</b>	Normal
<b>Type:</b>	Note

Hi Latoya,  
Please print attached for me.

Thanks,  
PK

-----Original Message-----

From: Advances in Urology <heba.abdelsabour@hindawi.com>  
Sent: Sunday, October 21, 2018 5:24 AM  
To: Kilmarx, Peter (NIH/FIC) [E] <peter.kilmarx@nih.gov>  
Subject: 6284539: Manuscript Review

Dear Dr. Kilmarx,

Thank you for agreeing to review the Review Article titled "Arguments Opposing Male Circumcision Are Undermined by Strong Scientific Evidence From a Systematic Review" by Brian Morris, Stephen Moreton and John N. Krieger.

You can view the full PDF file of the manuscript and post your review report using the following URL:

<http://mts.hindawi.com/reviewer/8924231586213940/>

Best regards,

--

\*\*\*\*\*  
Heba Abdelsabour  
Editorial Office  
Hindawi  
<http://www.hindawi.com>  
\*\*\*\*\*

<b>Sender:</b>	Kilmarx, Peter (NIH/FIC) [E] </O=EXCHANGELABS/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=E4ECF449BD7044BA9FD585C8DA4D47B4-KILMARXPH>
<b>Recipient:</b>	Gordon, Latoya (NIH/FIC) [C] /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=b43d702b63f14d0fad8e8eb2c32777ce-gordonlr <latoya.gordon@nih.gov>
<b>Sent Date:</b>	2018/10/21 05:26:25
<b>Delivered Date:</b>	2018/10/21 05:26:00

Aug 9, 2018

*Advances in Urology*

Word count:

Abstract: 299

Main text: 11,558

References: 396

## **Arguments Opposing Male Circumcision Are Undermined by Strong Scientific Evidence From a Systematic Review**

Brian J. Morris,<sup>1</sup> Stephen Moreton,<sup>2</sup> John N. Krieger<sup>3</sup>

<sup>1</sup>School of Medical Sciences and Bosch Institute, University of Sydney, Sydney, New South Wales, 2006, Australia

<sup>2</sup>33 Marina Avenue, Warrington, England, WA5 1HY, UK

<sup>3</sup>Department of Urology, University of Washington School of Medicine, Seattle, Washington, 98195, USA

Correspondence should be addressed to Brian J. Morris; [brian.morris@sydney.edu.au](mailto:brian.morris@sydney.edu.au)

Email addresses of all authors: [brian.morris@sydney.edu.au](mailto:brian.morris@sydney.edu.au); [stephenmoreton@totalise.co.uk](mailto:stephenmoreton@totalise.co.uk); [jkrieger@uw.edu](mailto:jkrieger@uw.edu)

**Abstract**

Multiple claims are used by opponents in arguing against male circumcision (MC), especially in minors. We evaluated such claims by a PRISMA-compliant systematic review of the scientific evidence from searches of PubMed, Google Scholar, EMBASE and Cochrane databases. Database searches retrieved 283 publications for inclusion. Examination of bibliographies of these yielded 69 further publications. Evaluation of arguments and evidence claiming that MC is either unnecessary or harmful, when compared with scientific data rated by quality found: (1) frequency of adverse events from early infant MC by medical providers using local anesthesia is low (approximately 0.5%). (2) Virtually all adverse events are minor and easily treated with complete resolution. (3) The evidence shows no long-term psychological harm. (4) There are no adverse effects on sexual function or pleasure. (5) MC provides a lifetime of protection against urinary tract infections, oncogenic types of human papillomavirus, genital herpes, human immunodeficiency virus, various other sexually transmitted infections (STIs), candidiasis, inferior hygiene, penile inflammatory conditions, very strong protection against penile cancer and modest protection against prostate cancer. (6) In female sexual partners, MC reduces the risk of cervical cancer and various STIs. To maximize benefits and minimize risks, the evidence supported early infant MC rather than delay of the procedure until males are old enough to decide for themselves. Risk-benefit analyses have reported that benefits exceed risks by 100–200 to 1. A balanced evaluation of ethical issues supported the rights of children to be provided with low-risk, high-benefit interventions such MC in order to protect their health. Expert evaluations of case-law have supported the legality of MC of minors. Other data have demonstrated that early infant MC is cost-saving to health systems. In conclusion, arguments opposing MC are supported mostly by low quality evidence and opinion and are contradicted by strong scientific evidence.

*Key words:* Male circumcision; infancy; adulthood; evidence, arguments; public health; urinary tract infection; sexually transmitted infection; complications; sexual function; sexual pleasure; ethics; policy



<b>From:</b>	Kilmarx, Peter (NIH/FIC) [E] </O=EXCHANGELABS/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=E4ECF449BD7044BA9FD585C8DA4D47B4-KILMARXPH>
<b>To:</b>	Chen, Robert (Bob) (CDC/OID/NCHHSTP) <rtc1@cdc.gov>
<b>Subject:</b>	RE: Release of CDC Male Circumcision Recommendations - PLEASE READ
<b>Date:</b>	2018/08/31 11:36:00
<b>Priority:</b>	Normal
<b>Type:</b>	Note

Thanks Bob. If I were in a less prominent USG job, I'd probably be more vocal about the issue. Peter Hotez is a role model.

Best,  
PK

---

**From:** Chen, Robert (Bob) (CDC/OID/NCHHSTP) <rtc1@cdc.gov>  
**Sent:** Friday, August 31, 2018 11:29 AM  
**To:** Kilmarx, Peter (NIH/FIC) [E] <peter.kilmarx@nih.gov>  
**Subject:** RE: Release of CDC Male Circumcision Recommendations - PLEASE READ

Hi Peter, sorry you were a pioneer in being abused by social media on controversial issues, hope this better prepared you for your current job.... Bob

---

**From:** Kilmarx, Peter (NIH/FIC) [E]  
**Sent:** Friday, August 31, 2018 11:08 AM  
**To:** Chen, Robert (Bob) (CDC/OID/NCHHSTP) <rtc1@cdc.gov>  
**Subject:** RE: Release of CDC Male Circumcision Recommendations - PLEASE READ

Thanks Bob. I heard from Ken and suggested be also share with you and the list below. Went into clearance in 2010!

I'm still getting stuff like: <https://twitter.com/Intaction1/status/1022963512329596928>

All the best,  
PK

---

**From:** Chen, Robert (Bob) (CDC/OID/NCHHSTP) <rtc1@cdc.gov>  
**Sent:** Friday, August 31, 2018 11:04 AM  
**To:** Charles Lebaron [Redacted by agreement]; Charbel El Bcheraoui <charbel@uw.edu>; Kilmarx, Peter (NIH/FIC) [E] <peter.kilmarx@nih.gov>  
**Subject:** FW: Release of CDC Male Circumcision Recommendations - PLEASE READ

FYI, after very long gestation 😊

---

**From:** Circumcision (CDC)

**Sent:** Thursday, August 30, 2018 5:36 PM

**To:** Peterman, Thomas (CDC/OID/NCHHSTP) <tap1@cdc.gov>; 'Greg.Millett@amfar.org' <Greg.Millett@amfar.org>; 'tmastro@fhi360.org' <tmastro@fhi360.org>; Chen, Robert (Bob) (CDC/OID/NCHHSTP) <rtc1@cdc.gov>; 'pssulli@emory.edu' <pssulli@emory.edu>; Warner, Lee (CDC/ONDIEH/NCCDPHP) <dlw7@cdc.gov>; Redacted by agreement

**Subject:** Release of CDC Male Circumcision Recommendations - PLEASE READ

Dear Colleague:

The Division of HIV/AIDS Prevention (DHAP) at the Centers for Disease Control and Prevention (CDC) wishes to inform you that CDC has developed *Information for Providers Counseling Male Patients and Parents Regarding Male Circumcision and the Prevention of HIV Infection, Sexually Transmitted Infections, and other Health Outcomes*. In addition, CDC developed documents to describe the evidence that serves as the basis for this information, and to provide CDC responses to peer and public review.

Today, the following documents were posted to the CDC website at <https://www.cdc.gov/hiv/risk/male-circumcision.html>:

**1) *Information for Providers Counseling Male Patients and Parents Regarding Male Circumcision and the Prevention of HIV Infection, Sexually Transmitted Infections, and other Health Outcomes\****

This document is intended to assist health care providers in the United States who share information with men and parents of male infants during decision making about male circumcision conducted by health care providers (i.e., medically performed) as it relates to the prevention of human immunodeficiency virus (HIV) infection, sexually transmitted infections, and other health outcomes. A brief bulleted summary of the health benefits and risks of elective medically performed male circumcision is provided at the end of the document.

Appendices I and II provide a grading scheme and grading of the evidence for key statements made in the document.

a) **Appendix I. Grading Scheme and Criteria for Inclusion of Citations, for *Information for Providers to Share with Male Patients and Parents Regarding Male Circumcision and the Prevention of HIV Infection, Sexually Transmitted Infections, and other Health Outcomes***

Appendix 1 includes the grading scheme and criteria for inclusion of citations and specific considerations for use of the scheme for *Information for Providers Counseling Male Patients and Parents Regarding Male Circumcision and the Prevention of HIV Infection, Sexually Transmitted Infections, and other Health Outcomes*

b) **Appendix II. Grading of Citations, for *Information for Providers to Share with Male Patients and Parents Regarding Male Circumcision and the Prevention of HIV Infection, Sexually Transmitted Infections, and other Health Outcome***

Appendix 2 includes a list of key statements in *Information for Providers Counseling Male Patients and Parents Regarding Male Circumcision and the Prevention of HIV Infection, Sexually Transmitted Infections, and other Health Outcomes* and the consensus grade applied to the citation supporting that statement. Descriptive information for each citation is also provided including methodology (randomized clinical trial, observational study, case control, expert opinion, etc.), outcome of interest

(HIV/ sexually transmitted infection, penile cancer, urinary tract infection, etc.), effect of circumcision on outcome of interest (increase, decrease, no change, not applicable), study population (location, risk behavior, gender, race/ethnicity, age group, and any additional comments).

**2) Background, Methods, and Synthesis of Scientific Information Used to Inform *Information for Providers to Share with Male Patients and Parents Regarding Male Circumcision and the Prevention of HIV Infection, Sexually Transmitted Infections, and other Health Outcomes*\*\***

This is a companion document to *Information for Providers Counseling Male Patients and Parents Regarding Male Circumcision and the Prevention of HIV infection, Sexually Transmitted Infections, and other Health Outcomes* that describes the methods for evidence review and provides a description of the evidence about the preventive health benefits, safety, and risks of medical male circumcision; the acceptability of, provider attitudes towards, access to, and cost-effectiveness of male circumcision; and related ethical considerations. The data examined are mainly in the context of the United States, but data from other countries are included to inform the U.S. experience.

**3) Peer Review Comments and CDC Responses for *Information for Providers Counseling Male Patients and Parents Regarding Male Circumcision and the Prevention of HIV infection, Sexually Transmitted Infections, and other Health Outcomes*\* and *Background, Methods, and Synthesis of Scientific Information Used to Inform "Information for Providers to Share with Male Patients and Parents Regarding Male Circumcision and the Prevention of HIV Infection, Sexually Transmitted Infections, and other Health Outcomes"*\*\***

This document presents a compilation of all the comments received and the corresponding CDC responses during the first peer review comment period for a) *Information for Providers Counseling Male Patients and Parents Regarding Male Circumcision and the Prevention of HIV infection, Sexually Transmitted Infections, and other Health Outcomes*\* and b) *Background, Methods, and Synthesis of Scientific Information Used to Inform "Information for Providers to Share with Male Patients and Parents Regarding Male Circumcision and the Prevention of HIV Infection, Sexually Transmitted Infections, and other Health Outcomes"*\*\*

**4) Summary of Public Comments and CDC Responses to Public Comments for *Information for Providers Counseling Male Patients and Parents Regarding Male Circumcision and the Prevention of HIV infection, Sexually Transmitted Infections, and other Health Outcomes*\***

This document presents a compilation of all the comments received and the corresponding CDC responses during the public comment period for the document *Information for Providers Counseling Male Patients and Parents Regarding Male Circumcision and the Prevention of HIV infection, Sexually Transmitted Infections, and other Health Outcomes*\*

**5) Summary of Peer Review Comments and CDC Responses to Second Round of Peer Review Comments for *Information for Providers to Share with Male Patients and Parents Regarding Male Circumcision and the Prevention of HIV Infection, Sexually Transmitted Infections, and other Health Outcomes*\***

This document presents a compilation of all the comments received and the corresponding CDC responses during the second peer review comment period for the document: *Information for Providers to Share with Male Patients and Parents Regarding Male Circumcision and the Prevention of HIV Infection, Sexually Transmitted Infections, and other Health Outcomes*. \*

CDC's Division of HIV/AIDS Prevention encourages you to share information about these documents with fellow colleagues. We thank you for joining CDC in our commitment to HIV/AIDS prevention in the United States.

Cordially yours,

Division of HIV/AIDS Prevention  
National Center for HIV/AIDS, Viral  
Hepatitis, STD, and TB Prevention  
Centers for Disease Control and Prevention

*\*Formerly titled Recommendations for Providers Counseling Male Patients and Parents Regarding Male Circumcision and the Prevention of HIV Infection, Sexually Transmitted Infections, and other Health Outcomes*

*\*\*Formerly titled Background, Methods, and Synthesis of Scientific Information Used to Inform the "Recommendations for Providers Counseling Male Patients and Parents Regarding Male Circumcision and the Prevention of HIV Infection, Sexually Transmitted Infections, and other Health Outcomes.*

**PLEASE NOTE THAT THIS EMAIL BOX IS NOT MONITORED.**  
**PLEASE DO NOT REPLY TO THIS EMAIL.**

<b>Sender:</b>	Kilmarx, Peter (NIH/FIC) [E] </O=EXCHANGELABS/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=E4ECF449BD7044BA9FD585C8DA4D47B4-KILMARXPH>
<b>Recipient:</b>	Chen, Robert (Bob) (CDC/OID/NCHHSTP) <rtc1@cdc.gov>
<b>Sent Date:</b>	2018/08/31 11:36:46
<b>Delivered Date:</b>	2018/08/31 11:36:00

<b>From:</b>	Kilmarx, Peter (NIH/FIC) [E] </O=EXCHANGELABS/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=E4ECF449BD7044BA9FD585C8DA4D47B4-KILMARXPH>
<b>To:</b>	Peterman, Thomas (CDC/OID/NCHHSTP) <tap1@cdc.gov>
<b>Subject:</b>	RE: Good News!
<b>Date:</b>	2018/08/31 10:14:00
<b>Priority:</b>	Normal
<b>Type:</b>	Note

Still getting this: <https://twitter.com/Intaction1/status/1022963512329596928>

It would have made sense to talk about the recommendations at the ASTDA meeting, but nothing.

---

**From:** Peterman, Thomas (CDC/OID/NCHHSTP) <tap1@cdc.gov>  
**Sent:** Friday, August 31, 2018 10:13 AM  
**To:** Kilmarx, Peter (NIH/FIC) [E] <peter.kilmarx@nih.gov>  
**Subject:** RE: Good News!

Very. And, you will still be getting some hate mail.

---

**From:** Kilmarx, Peter (NIH/FIC) [E]  
**Sent:** Friday, August 31, 2018 10:08 AM  
**To:** Peterman, Thomas (CDC/OID/NCHHSTP) <tap1@cdc.gov>  
**Subject:** RE: Good News!

Kind of sad how the intactivists have been able to shut this down.

---

**From:** Peterman, Thomas (CDC/OID/NCHHSTP) <tap1@cdc.gov>  
**Sent:** Friday, August 31, 2018 10:00 AM  
**To:** Kilmarx, Peter (NIH/FIC) [E] <peter.kilmarx@nih.gov>  
**Subject:** RE: Good News!

Peter, 20 million cases later, but I guess there are still some folks getting HIV. Tom

---

**From:** Kilmarx, Peter (NIH/FIC) [E]  
**Sent:** Thursday, August 30, 2018 3:45 PM  
**To:** Peterman, Thomas (CDC/OID/NCHHSTP) <tap1@cdc.gov>  
**Subject:** FW: Good News!

Hi Tom,

Recommendations are finally out, 11 years after our Atlanta consultation -

<https://www.ncbi.nlm.nih.gov/pmc/articles/PMC2788411/>

---

CDC male circumcision recommendations and background documents as well as the public and peer review comments and CDC responses were posted on the CDC DHAP website today and can be accessed via the following link: <https://www.cdc.gov/hiv/risk/male-circumcision.html>.

---

Not a lot of public communication planned.

Best,  
PK

<b>Sender:</b>	Kilmarx, Peter (NIH/FIC) [E] </O=EXCHANGELABS/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=E4ECF449BD7044BA9FD585C8DA4D47B4-KILMARXPH>
<b>Recipient:</b>	Peterman, Thomas (CDC/OID/NCHHSTP) <tap1@cdc.gov>
<b>Sent Date:</b>	2018/08/31 10:15:00
<b>Delivered Date:</b>	2018/08/31 10:14:00

<b>From:</b> <peter.kilmarx@nih.gov>
<b>To:</b> KRETSINGER, Katrina <kretsingerk@who.int>
<b>Subject:</b> Re: Good News!
<b>Date:</b> 2018/08/31 06:41:29
<b>Priority:</b> Normal
<b>Type:</b> Note

Longest. Clearance. Ever.

Best,  
PK

On Aug 31, 2018, at 2:10 AM, KRETSINGER, Katrina <kretsingerk@who.int>wrote:

Whoa!!!! A ghost from the past!

Sent from my iPhone

Peter Kilmarx  
Deputy Director, Fogarty International Center, NIH  
Rear Admiral, USPHS

On 31 Aug 2018, at 00:28, Kilmarx, Peter (NIH/FIC) [E] <peter.kilmarx@nih.gov>wrote:

Thanks Ken. Great to see this.

The WHO consultation in Montreaux was in March 2007. We had our consultation in Atlanta in April 2007, the first country to do so. The recommendations went into clearance in December 2010, as I recall.

Others you could include:

- • Tom Peterman
- • Chris Cagle
- • Tim Mastro
- • Bob Chen
- • Greg Millett
- • Patrick Sullivan
- • Lee Warner
- • Others from 2007 consultation – see :  
<https://www.ncbi.nlm.nih.gov/pmc/articles/PMC2788411/>

Best to all,  
PK

Peter H. Kilmarx, MD, FACP, FIDSA  
Rear Admiral, U.S. Public Health Service  
Deputy Director, Fogarty International Center  
U.S. National Institutes of Health  
Cell Redacted by agreement Tel: +1-301-496-1415  
Email [peter.kilmarx@nih.gov](mailto:peter.kilmarx@nih.gov)  
<image001.jpg><image002.png><image003.png>  
*Impact · Integrity · Innovation*

---

**From:** Dominguez, Ken (CDC/OID/NCHHSTP) <[kld0@cdc.gov](mailto:kld0@cdc.gov)>  
**Sent:** Thursday, August 30, 2018 3:01 PM  
**To:** Smith, Dawn (CDC/OID/NCHHSTP) <[dks0@CDC.GOV](mailto:dks0@CDC.GOV)>; Taylor, Allan W. (CDC/CGH/OD) <[avt0@CDC.GOV](mailto:avt0@CDC.GOV)>; Kretsinger, Katrina (CDC/CGH/GID) <[kok4@CDC.GOV](mailto:kok4@CDC.GOV)>; Kretsinger, Katrina (CDC who.int) <[kretsingerk@who.int](mailto:kretsingerk@who.int)>; Redacted by agreement; Kilmarx, Peter (NIH/FIC) [E] <[peter.kilmarx@nih.gov](mailto:peter.kilmarx@nih.gov)>; Gust, Deborah (CDC/OID/NCHHSTP) <[dgg6@CDC.GOV](mailto:dgg6@CDC.GOV)>; Porter, Sarah E. (CDC/CGH/DGHT) <[ici9@cdc.gov](mailto:ici9@cdc.gov)>; Gant, Zanetta (CDC/OID/NCHHSTP) <[uwf5@cdc.gov](mailto:uwf5@cdc.gov)>; Patel, Unnati (FDA/CBER) (CTR) <[Unnati.Patel@fda.hhs.gov](mailto:Unnati.Patel@fda.hhs.gov)>  
**Cc:** Hoover, Karen W. (CDC/OID/NCHHSTP) <[ffw6@CDC.GOV](mailto:ffw6@CDC.GOV)>; Samandari, Taraz (CDC/OID/NCHHSTP) <[tts0@CDC.GOV](mailto:tts0@CDC.GOV)>; Alan Greenberg <[aeg1@gwu.edu](mailto:aeg1@gwu.edu)>  
**Subject:** Good News!

On behalf of the Division of HIV/AIDS Prevention (DHAP) at the Centers for Disease Control and Prevention (CDC), I extend a big thank you to you for your tremendous contributions to the CDC male circumcision recommendations over the years!

Am happy to announce that the CDC male circumcision recommendations and background documents as well as the public and peer review comments and CDC responses were posted on the CDC DHAP website today and can be accessed via the following link: <https://www.cdc.gov/hiv/risk/male-circumcision.html>.

Due to security reasons, please do not forward this email.

Best wishes for a great holiday weekend!

Thanks!

Ken Dominguez

<b>Sender:</b> < <a href="mailto:peter.kilmarx@nih.gov">peter.kilmarx@nih.gov</a> >
<b>Recipient:</b> KRETSINGER, Katrina < <a href="mailto:kretsingerk@who.int">kretsingerk@who.int</a> >
<b>Sent Date:</b> 2018/08/31 06:41:29
<b>Message Flags:</b> Unsent



<b>From:</b>	Kilmarx, Peter (NIH/FIC) [E] </O=EXCHANGELABS/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=E4ECF449BD7044BA9FD585C8DA4D47B4-KILMARXPH>
<b>To:</b>	Timothy Mastro <TMastro@fhi360.org>
<b>Subject:</b>	RE: Release of CDC Male Circumcision Recommendations - PLEASE READ
<b>Date:</b>	2018/08/30 17:48:00
<b>Priority:</b>	Normal
<b>Type:</b>	Note

Shouldn't be. They should have sent by BCC: even to this group.

I still get stuff like: <https://twitter.com/Intaction1/status/1022963512329596928>

---

**From:** Timothy Mastro <TMastro@fhi360.org>  
**Sent:** Thursday, August 30, 2018 5:45 PM  
**To:** Kilmarx, Peter (NIH/FIC) [E] <peter.kilmarx@nih.gov>  
**Subject:** RE: Release of CDC Male Circumcision Recommendations - PLEASE READ

Just as long as my e-mail and cell number aren't linked to it

---

**From:** Kilmarx, Peter (NIH/FIC) [E] <peter.kilmarx@nih.gov>  
**Sent:** Thursday, August 30, 2018 5:43 PM  
**To:** Timothy Mastro <TMastro@fhi360.org>  
**Subject:** RE: Release of CDC Male Circumcision Recommendations - PLEASE READ

Nice of them to send to you and other stalwarts.

PK

---

**From:** Timothy Mastro <TMastro@fhi360.org>  
**Sent:** Thursday, August 30, 2018 5:41 PM  
**To:** Kilmarx, Peter (NIH/FIC) [E] <peter.kilmarx@nih.gov>  
**Subject:** FW: Release of CDC Male Circumcision Recommendations - PLEASE READ

Thank you!

---

**From:** Circumcision (CDC) <circumcision@cdc.gov>  
**Sent:** Thursday, August 30, 2018 5:36 PM  
**To:** Peterman, Thomas (CDC/OID/NCHHSTP) <tap1@cdc.gov>; 'Greg.Millett@amfar.org' <Greg.Millett@amfar.org>; Timothy Mastro <TMastro@fhi360.org>; Chen, Robert (Bob) (CDC/OID/NCHHSTP) <rtc1@cdc.gov>; Patrick Sullivan <psulli@emory.edu>; Warner, Lee (CDC/ONDIEH/NCCDPHP) <dlw7@cdc.gov> Redacted by agreement  
**Subject:** Release of CDC Male Circumcision Recommendations - PLEASE READ

Dear Colleague:

The Division of HIV/AIDS Prevention (DHAP) at the Centers for Disease Control and Prevention (CDC) wishes to inform you that CDC has developed *Information for Providers Counseling Male Patients and Parents Regarding Male Circumcision and the Prevention of HIV Infection, Sexually Transmitted Infections, and other Health Outcomes*. In addition, CDC developed documents to describe the evidence that serves as the basis for this information, and to provide CDC responses to peer and public review.

Today, the following documents were posted to the CDC website at <https://www.cdc.gov/hiv/risk/male-circumcision.html>:

**1) *Information for Providers Counseling Male Patients and Parents Regarding Male Circumcision and the Prevention of HIV Infection, Sexually Transmitted Infections, and other Health Outcomes\****

This document is intended to assist health care providers in the United States who share information with men and parents of male infants during decision making about male circumcision conducted by health care providers (i.e., medically performed) as it relates to the prevention of human immunodeficiency virus (HIV) infection, sexually transmitted infections, and other health outcomes. A brief bulleted summary of the health benefits and risks of elective medically performed male circumcision is provided at the end of the document.

Appendices I and II provide a grading scheme and grading of the evidence for key statements made in the document.

- a) **Appendix I. Grading Scheme and Criteria for Inclusion of Citations, for *Information for Providers to Share with Male Patients and Parents Regarding Male Circumcision and the Prevention of HIV Infection, Sexually Transmitted Infections, and other Health Outcomes***

Appendix 1 includes the grading scheme and criteria for inclusion of citations and specific considerations for use of the scheme for *Information for Providers Counseling Male Patients and Parents Regarding Male Circumcision and the Prevention of HIV Infection, Sexually Transmitted Infections, and other Health Outcomes*

- b) **Appendix II. Grading of Citations, for *Information for Providers to Share with Male Patients and Parents Regarding Male Circumcision and the Prevention of HIV Infection, Sexually Transmitted Infections, and other Health Outcome***

Appendix 2 includes a list of key statements in *Information for Providers Counseling Male Patients and Parents Regarding Male Circumcision and the Prevention of HIV Infection, Sexually Transmitted Infections, and other Health Outcomes* and the consensus grade applied to the citation supporting that statement. Descriptive information for each citation is also provided including methodology (randomized clinical trial, observational study, case control, expert opinion, etc.), outcome of interest (HIV/ sexually transmitted infection, penile cancer, urinary tract infection, etc.), effect of circumcision on outcome of interest (increase, decrease, no change, not applicable), study population (location, risk behavior, gender, race/ethnicity, age group, and any additional comments).

**2) *Background, Methods, and Synthesis of Scientific Information Used to Inform Information for Providers to Share with Male Patients and Parents Regarding Male Circumcision and the Prevention of HIV Infection, Sexually Transmitted Infections, and other Health Outcomes\*\****

This is a companion document to *Information for Providers Counseling Male Patients and Parents Regarding Male Circumcision and the Prevention of HIV Infection, Sexually Transmitted Infections, and*

*other Health Outcomes* that describes the methods for evidence review and provides a description of the evidence about the preventive health benefits, safety, and risks of medical male circumcision; the acceptability of, provider attitudes towards, access to, and cost-effectiveness of male circumcision; and related ethical considerations. The data examined are mainly in the context of the United States, but data from other countries are included to inform the U.S. experience.

**3) Peer Review Comments and CDC Responses for *Information for Providers Counseling Male Patients and Parents Regarding Male Circumcision and the Prevention of HIV Infection, Sexually Transmitted Infections, and other Health Outcomes\** and *Background, Methods, and Synthesis of Scientific Information Used to Inform "Information for Providers to Share with Male Patients and Parents Regarding Male Circumcision and the Prevention of HIV Infection, Sexually Transmitted Infections, and other Health Outcomes"\*\*\****

This document presents a compilation of all the comments received and the corresponding CDC responses during the first peer review comment period for a) *Information for Providers Counseling Male Patients and Parents Regarding Male Circumcision and the Prevention of HIV Infection, Sexually Transmitted Infections, and other Health Outcomes\** and b) *Background, Methods, and Synthesis of Scientific Information Used to Inform "Information for Providers to Share with Male Patients and Parents Regarding Male Circumcision and the Prevention of HIV Infection, Sexually Transmitted Infections, and other Health Outcomes"\*\*\**

**4) Summary of Public Comments and CDC Responses to Public Comments for *Information for Providers Counseling Male Patients and Parents Regarding Male Circumcision and the Prevention of HIV Infection, Sexually Transmitted Infections, and other Health Outcomes\****

This document presents a compilation of all the comments received and the corresponding CDC responses during the public comment period for the document *Information for Providers Counseling Male Patients and Parents Regarding Male Circumcision and the Prevention of HIV Infection, Sexually Transmitted Infections, and other Health Outcomes\**

**5) Summary of Peer Review Comments and CDC Responses to Second Round of Peer Review Comments for *Information for Providers to Share with Male Patients and Parents Regarding Male Circumcision and the Prevention of HIV Infection, Sexually Transmitted Infections, and other Health Outcomes\****

This document presents a compilation of all the comments received and the corresponding CDC responses during the second peer review comment period for the document: *Information for Providers to Share with Male Patients and Parents Regarding Male Circumcision and the Prevention of HIV Infection, Sexually Transmitted Infections, and other Health Outcomes.\**

CDC's Division of HIV/AIDS Prevention encourages you to share information about these documents with fellow colleagues. We thank you for joining CDC in our commitment to HIV/AIDS prevention in the United States.

Cordially yours,

Division of HIV/AIDS Prevention  
National Center for HIV/AIDS, Viral  
Hepatitis, STD, and TB Prevention  
Centers for Disease Control and Prevention

*\*Formerly titled Recommendations for Providers Counseling Male Patients and Parents Regarding Male Circumcision and the Prevention of HIV Infection, Sexually Transmitted Infections, and other Health Outcomes*

*\*\*Formerly titled Background, Methods, and Synthesis of Scientific Information Used to Inform the "Recommendations for Providers Counseling Male Patients and Parents Regarding Male Circumcision and the Prevention of HIV Infection, Sexually Transmitted Infections, and other Health Outcomes.*

**PLEASE NOTE THAT THIS EMAIL BOX IS NOT MONITORED.**  
**PLEASE DO NOT REPLY TO THIS EMAIL.**

<b>Sender:</b>	Kilmarx, Peter (NIH/FIC) [E] </O=EXCHANGELABS/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=E4ECF449BD7044BA9FD585C8DA4D47B4-KILMARXPH>
<b>Recipient:</b>	Timothy Mastro <TMastro@fhi360.org>
<b>Sent Date:</b>	2018/08/30 17:48:06
<b>Delivered Date:</b>	2018/08/30 17:48:00

<b>From:</b>	Kilmarx, Peter (NIH/FIC) [E] </O=EXCHANGELABS/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=E4ECF449BD7044BA9FD585C8DA4D47B4-KILMARXPH>
<b>To:</b>	Dominguez, Ken (CDC/OID/NCHHSTP) <kld0@cdc.gov>
<b>Subject:</b>	RE: Good News!
<b>Date:</b>	2018/08/30 17:40:00
<b>Priority:</b>	Normal
<b>Type:</b>	Note

Thanks Ken. I don't think you need to send to everyone, but would aim for the lead folks from other OPDIVS – Laura Cheever, Carl Dieffenbach, etc.

Best,  
PK

---

**From:** Dominguez, Ken (CDC/OID/NCHHSTP) <kld0@cdc.gov>  
**Sent:** Thursday, August 30, 2018 5:35 PM  
**To:** Kilmarx, Peter (NIH/FIC) [E] <peter.kilmarx@nih.gov>  
**Subject:** RE: Good News!

Was able to get the message out to the individuals on your list. Will work on obtaining emails for the other folks from the consultation!

Thanks Peter!

Kenneth L. Dominguez, MD, MPH  
 CAPT, U.S. Public Health Service  
 Centers for Disease Control and Prevention  
 National Center for HIV, Viral Hepatitis, STD, and TB Prevention  
 Division of HIV/AIDS Prevention  
 Epidemiology Branch, Prevention for Negatives Team

---

**From:** Kilmarx, Peter (NIH/FIC) [E]  
**Sent:** Thursday, August 30, 2018 3:29 PM  
**To:** Dominguez, Ken (CDC/OID/NCHHSTP) <kld0@cdc.gov>; Smith, Dawn (CDC/OID/NCHHSTP) <dks0@cdc.gov>; Taylor, Allan W. (CDC/CGH/OD) <avt0@cdc.gov>; Kretsinger, Katrina (CDC/CGH/GID) <kok4@cdc.gov>; Kretsinger, Katrina (CDC who.int) <kretsingerk@who.int>; Redacted by agreement Gust, Deborah (CDC/OID/NCHHSTP) <dgg6@cdc.gov>; Porter, Sarah E. (CDC/CGH/DGHT) <icj9@cdc.gov>; Gant, Zanetta (CDC/OID/NCHHSTP) <uwf5@cdc.gov>; Patel, Unnati (FDA/CBER) (CTR) <Unnati.Patel@fda.hhs.gov>  
**Cc:** Hoover, Karen W. (CDC/OID/NCHHSTP) <ffw6@cdc.gov>; Samandari, Taraz (CDC/OID/NCHHSTP) <tts0@cdc.gov>; Greenberg, Alan (CDC gwu.edu) <aeg1@gwu.edu>  
**Subject:** RE: Good News!

Thanks Ken. Great to see this.

The WHO consultation in Montreaux was in March 2007. We had our consultation in Atlanta in April 2007, the first country to do so. The recommendations went into clearance in December 2010, as I recall.

Others you could include:

- • Tom Peterman
- • Chris Cagle
- • Tim Mastro
- • Bob Chen
- • Greg Millett
- • Patrick Sullivan
- • Lee Warner
- • Others from 2007 consultation – see :

<https://www.ncbi.nlm.nih.gov/pmc/articles/PMC2788411/>

Best to all,  
PK

Peter H. Kilmarx, MD, FACP, FIDSA  
Rear Admiral, U.S. Public Health Service  
Deputy Director, Fogarty International Center  
U.S. National Institutes of Health  
Cell Redacted by Tel: +1-301-496-1415  
Email [peter.kilmarx@nih.gov](mailto:peter.kilmarx@nih.gov)



[FIC](#)  [FB icon](#)  [Twitter icon](#)

*Impact · Integrity · Innovation*

---

**From:** Dominguez, Ken (CDC/OID/NCHHSTP) <[klid0@cdc.gov](mailto:klid0@cdc.gov)>  
**Sent:** Thursday, August 30, 2018 3:01 PM  
**To:** Smith, Dawn (CDC/OID/NCHHSTP) <[dks0@CDC.GOV](mailto:dks0@CDC.GOV)>; Taylor, Allan W. (CDC/CGH/OD) <[avt0@CDC.GOV](mailto:avt0@CDC.GOV)>; Kretsinger, Katrina (CDC/CGH/GID) <[kok4@CDC.GOV](mailto:kok4@CDC.GOV)>; Kretsinger, Katrina (CDC who.int) <[kretsingerk@who.int](mailto:kretsingerk@who.int)> Redacted by agreement Kilmarx, Peter (NIH/FIC) [E] <[peter.kilmarx@nih.gov](mailto:peter.kilmarx@nih.gov)>; Gust, Deborah (CDC/OID/NCHHSTP) <[dgg6@CDC.GOV](mailto:dgg6@CDC.GOV)>; Porter, Sarah E. (CDC/CGH/DGHT) <[icj9@cdc.gov](mailto:icj9@cdc.gov)>; Gant, Zanetta (CDC/OID/NCHHSTP) <[uwf5@cdc.gov](mailto:uwf5@cdc.gov)>; Patel, Unnati (FDA/CBER) (CTR) <[Unnati.Patel@fda.hhs.gov](mailto:Unnati.Patel@fda.hhs.gov)>  
**Cc:** Hoover, Karen W. (CDC/OID/NCHHSTP) <[ffw6@CDC.GOV](mailto:ffw6@CDC.GOV)>; Samandari, Taraz (CDC/OID/NCHHSTP) <[tts0@CDC.GOV](mailto:tts0@CDC.GOV)>; Alan Greenberg <[aeg1@gwu.edu](mailto:aeg1@gwu.edu)>  
**Subject:** Good News!

On behalf of the Division of HIV/AIDS Prevention (DHAP) at the Centers for Disease Control and Prevention (CDC), I extend a big thank you to you for your tremendous contributions to the CDC male circumcision recommendations over the years!

Am happy to announce that the CDC male circumcision recommendations and background documents as well as the public and peer review comments and CDC responses were posted on the CDC DHAP website today and can be accessed via the following link: <https://www.cdc.gov/hiv/risk/male-circumcision.html>.

Due to security reasons, please do not forward this email.

Best wishes for a great holiday weekend!

Thanks!

Ken Dominguez

<b>Sender:</b>	Kilmarx, Peter (NIH/FIC) [E] </O=EXCHANGELABS/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=E4ECF449BD7044BA9FD585C8DA4D47B4-KILMARXPH>
<b>Recipient:</b>	Dominguez, Ken (CDC/OID/NCHHSTP) <kid0@cdc.gov>
<b>Sent Date:</b>	2018/08/30 17:40:21
<b>Delivered Date:</b>	2018/08/30 17:40:00

<b>From:</b>	Kilmarx, Peter (NIH/FIC) [E] </O=EXCHANGELABS/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=E4ECF449BD7044BA9FD585C8DA4D47B4-KILMARXPH>
<b>To:</b>	Timothy Mastro <TMastro@fhi360.org>
<b>Subject:</b>	FW: Good News! (VMMC)
<b>Date:</b>	2018/08/30 15:33:00
<b>Priority:</b>	Normal
<b>Type:</b>	Note

Tim,  
 Amazing gestation period for this. Names redacted to protect the innocent.

PK

---

**From:**  
**Sent:** Thursday, August 30, 2018 3:29 PM  
**To:**  
**Cc:**  
**Subject:** RE: Good News!

Thanks. Great to see this.

The WHO consultation in Montreaux was in March 2007. We had our consultation in Atlanta in April 2007, the first country to do so. The recommendations went into clearance in December 2010, as I recall.

Others you could include:

- • Tim Mastro . . .
- • Others from 2007 consultation – see :  
<https://www.ncbi.nlm.nih.gov/pmc/articles/PMC2788411/>

Best to all,  
 PK

Peter H. Kilmarx, MD, FACP, FIDSA  
 Rear Admiral, U.S. Public Health Service  
 Deputy Director, Fogarty International Center  
 U.S. National Institutes of Health  
 Cell Redacted by agreement Tel: +1-301-496-1415  
 Email [peter.kilmarx@nih.gov](mailto:peter.kilmarx@nih.gov)



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---

**From:**  
**Sent:** Thursday, August 30, 2018 3:01 PM  
**To:**  
**Cc:**  
**Subject:** Good News!

On behalf of the Division of HIV/AIDS Prevention (DHAP) at the Centers for Disease Control and Prevention (CDC), I extend a big thank you to you for your tremendous contributions to the CDC male circumcision recommendations over the years!

Am happy to announce that the CDC male circumcision recommendations and background documents as well as the public and peer review comments and CDC responses were posted on the CDC DHAP website today and can be accessed via the following link: <https://www.cdc.gov/hiv/risk/male-circumcision.html>.

Due to security reasons, please do not forward this email.

Best wishes for a great holiday weekend!

Thanks!

<b>Sender:</b>	Kilmarx, Peter (NIH/FIC) [E] </O=EXCHANGELABS/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=E4ECF449BD7044BA9FD585C8DA4D47B4-KILMARXPH>
<b>Recipient:</b>	Timothy Mastro <TMastro@fhi360.org>
<b>Sent Date:</b>	2018/08/30 15:33:40
<b>Delivered Date:</b>	2018/08/30 15:33:00

<b>From:</b>	Kilmarx, Peter (NIH/FIC) [E] </O=EXCHANGELABS/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=E4ECF449BD7044BA9FD585C8DA4D47B4-KILMARXPH>
<b>To:</b>	St. Louis, Mike (CDC/CGH/DGHT) <mes2@cdc.gov>; Habel, Melissa (CDC/CGH/DGHT) /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=86e8a460da5441f1a8925736ffd402d8-evh6 <evh6@CDC.GOV>; Toledo, Carlos (CDC/CGH/DGHT) /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=32aa94f055d748d0804e00958e423598-COT8 <cot8@CDC.GOV>; Benech, Irene (CDC/CGH/DGHT) /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=82d41d7713b44cf0956d2e834e5a3aef-hvm6 <hvm6@cdc.gov>; Oluoch, Tom O. (CDC/CGH/DGHT) /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=95760e5ce7d946a38b18c6f0fede946b-HOF0 <hof0@cdc.gov>; Warner, Alicia Hunter (CDC/CGH/DGHT) /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=7d62d9a85e5440c882fa345e36c9d6c6-APH6 <aph6@CDC.GOV>
<b>CC:</b>	Herman-Roloff, Amy (CDC/CGH/DGHT) /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=b54f29f69b2a4157bb12e5a92e33aced-bjy2 <bjy2@cdc.gov>; Balachandra, Shirish (CDC/CGH/DGHT) /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=c68f8ebc627b444f977085c662e9b75e-ymx1 <ymx1@cdc.gov>; Tan, Sze-Yee A <stan45@gatech.edu>; Belinda GT Zhang (belinda.zhang@gatech.edu) <belinda.zhang@gatech.edu>; Kelsie GaTech Thomas <Redacted by agreement>
<b>Subject:</b>	RE: FETP program in Zim is STILL the gift that keeps on giving to fight global HIV ! (including demand creation for VMMC)
<b>Date:</b>	2018/06/05 11:22:00
<b>Priority:</b>	Normal
<b>Type:</b>	Note

Hi and thanks Mike. Great to see this!

The PEPFAR funding was cut in COP '15, but, as I recall, Shannon Hader found some funds to continue. I don't know the current status.

Best,  
PK

---

**From:** St. Louis, Mike (CDC/CGH/DGHT) <mes2@cdc.gov>

**Sent:** Tuesday, June 5, 2018 10:32 AM

**To:** Habel, Melissa (CDC/CGH/DGHT) <evh6@CDC.GOV>; Toledo, Carlos (CDC/CGH/DGHT) <cot8@CDC.GOV>; Benech, Irene (CDC/CGH/DGHT) <hvm6@cdc.gov>; Oluoch, Tom O. (CDC/CGH/DGHT) <hof0@cdc.gov>; Warner, Alicia Hunter (CDC/CGH/DGHT) <aph6@CDC.GOV>

**Cc:** Kilmarx, Peter (NIH/FIC) [E] <peter.kilmarx@nih.gov>; Herman-Roloff, Amy (CDC/CGH/DGHT) <bjy2@cdc.gov>; Balachandra, Shirish (CDC/CGH/DGHT) <ymx1@cdc.gov>; Tan, Sze-Yee A <stan45@gatech.edu>; Belinda GT Zhang (belinda.zhang@gatech.edu) <belinda.zhang@gatech.edu>; Kelsie GaTech Thomas <Redacted by agreement>

**Subject:** FETP program in Zim is STILL the gift that keeps on giving to fight global HIV ! (including demand creation for VMMC)

CDC-Zim got forced to cut out its support to the FETP about 5 years ago, I think?

But Mufuta, Tsitsi, and the FETP team just keep cranking out publications on important HIV implementation issues.

[https://www.researchgate.net/publication/325372882 Effectiveness of Demand creation promotions and demand creation personnel in creating demand for Voluntary Medical Male Circumcision C hitungwiza District Zimbabwe in 2016](https://www.researchgate.net/publication/325372882_Effectiveness_of_Demand_creation_promotions_and_demand_creation_personnel_in_creating_demand_for_Voluntary_Medical_Male_Circumcision_Chitungwiza_District_Zimbabwe_in_2016)

<b>Sender:</b>	Kilmarx, Peter (NIH/FIC) [E] </O=EXCHANGELABS/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=E4ECF449BD7044BA9FD585C8DA4D47B4-KILMARXPH>
<b>Recipient:</b>	St. Louis, Mike (CDC/CGH/DGHT) <mes2@cdc.gov>; Habel, Melissa (CDC/CGH/DGHT) /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=86e8a460da5441f1a8925736ffd402d8-evh6 <evh6@CDC.GOV>; Toledo, Carlos (CDC/CGH/DGHT) /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=32aa94f055d748d0804e00958e423598-COT8 <cot8@CDC.GOV>; Benech, Irene (CDC/CGH/DGHT) /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=82d41d7713b44cf956d2e834e5a3aef-hvm6 <hvm6@cdc.gov>; Oluoch, Tom O. (CDC/CGH/DGHT) /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=95760e5ce7d946a38b18c6f0fed946b-HOF0 <hof0@cdc.gov>; Warner, Alicia Hunter (CDC/CGH/DGHT) /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=7d62d9a85e5440c882fa345e36c9d6c6-APH6 <aph6@CDC.GOV>; Herman-Roloff, Amy (CDC/CGH/DGHT) /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=b54f29f69b2a4157bb12e5a92e33aced-bjy2 <bjy2@cdc.gov>; Balachandra, Shirish (CDC/CGH/DGHT) /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=c68f8ebc627b444f977085c662e9b75e-ymx1 <ymx1@cdc.gov>; Tan, Sze-Yee A <stan45@gatech.edu>; Belinda GT Zhang (belinda.zhang@gatech.edu) <belinda.zhang@gatech.edu>; Kelsie GaTech Thomas [Redacted by agreement]
<b>Sent Date:</b>	2018/06/05 11:22:39
<b>Delivered Date:</b>	2018/06/05 11:22:00

<b>From:</b> <peter.kilmarx@nih.gov>
<b>To:</b> Timothy Mastro <TMastro@fhi360.org>
<b>Subject:</b> Re: Novel Male Circumcision
<b>Date:</b> 2018/06/04 15:53:37
<b>Priority:</b> Normal
<b>Type:</b> Note

Sounds good to me!

Peter Kilmarx, Deputy Director  
Fogarty International Center, NIH  
RADM, U.S. Public Health Service

On Jun 4, 2018, at 3:49 PM, Timothy Mastro <TMastro@fhi360.org> wrote:

Another breakthrough!

From: Boris Wang [Redacted by agreement]  
Sent: Monday, June 04, 2018 4:54 AM  
To: Timothy Mastro <TMastro@fhi360.org>  
Subject: Novel Male Circumcision

Dear Dr. T.Mastro,

How are you today? This is Boris Wang from China. I'm also a member of IAS (International Aids Society), and reading through your paper on the IAS. Hope everything is going well in your side! I'm working in Jinagxi Langhe Medical Instrument Co., Ltd that manufactures novel disposable circumcision suture device.

As we known, there is substantial evidence that male circumcision protects against several diseases, like: urinary tract infections, syphilis, chancroid and invasive penile cancer, as well as HIV.

Disposable Circumcision Suture Device is our patented product, we invented in 2009 with our own patent for inventions, since then we are working with urologist and now have already widely promoted and spread in Chinese public hospitals, meanwhile, we have exported to Malaysia, Indonesia, India, Italy, Botswana and South Africa. We are the original inventor and standard setter of this circumcision suture device, also the leader in this technology, till now our device have verified by more than TWO MILLION cases, averaged 1,500 patients circumcised by our device per day.

Disposable Circumcision Suture Device is a novel circumcision device, and It designed based on bowel anastomotic stapler principles. It is operated by simply squeezing its handles to trigger the circular scalpel blade and stapler, thereby removing the foreskin and creating the anastomosis at the same time. The cicatrices created smooth appearance as compared to the more nodular appearance found in the suturing method. An average of 7.7 minutes was achieved in a study conducted on 62 patients as opposed to far greater times for traditional methods.

Post-operative edema is also greatly reduced. It completes hemostasis and severance in one easy step by a simple firing mechanism of the device. A great reduction in pain renders not only the overall patient experience but allows easier change of dressings greatly reducing any risk of post-operative infections. When new tissue grows will push staple out and staple will drop automatically after one week, 30-45 days drop completed.

Disposable Circumcision Suture Device is easy to operate and free surgeons hand at utmost, it's also provided a new circumcision concept and new treatment option to both doctors and patients. More information please download operation video and academic paper from below our company website, or search "LANGHE MEDICAL" in YouTube.

<https://www.youtube.com/channel/UCDCJC7vFPaZGD4Z-apTuW4g>

I'm looking forward your reply!

Best Wishes,

---

Boris Wang

Mobile/WhatsApp: Redacted by agreement

Jinagxi Langhe Medical Instrument Co., Ltd

Office: 4F, Tower D, Wanli Trade Center,  
No. 346 Panyu Ave. North, Guangzhou, Guangdong, 511400, P.R.China

Tel: +86 20-31046082

Web: <http://www.langhemedical.com/en/index.php>

---

Boris Wang

Mobile/WhatsApp: Redacted by agreement

Jinagxi Langhe Medical Instrument Co., Ltd

Office: 4F, Tower D, Wanli Trade Center,  
No. 346 Panyu Ave. North, Guangzhou, Guangdong, 511400, P.R.China

Web: <http://www.langhemedical.com/en/index.php>

<CIRCCURER™ Introduction.pdf>

**Sender:** <peter.kilmarx@nih.gov>

**Recipient:** Timothy Mastro <TMastro@fhi360.org>

**Sent Date:** 2018/06/04 15:53:37

**Message Flags:** Unsent

<b>From:</b>	Kilmarx, Peter (NIH/FIC) [E] </O=EXCHANGELABS/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=E4ECF449BD7044BA9FD585C8DA4D47B4-KILMARXPH>
<b>To:</b>	Vuyelwa T S Chitimbire <chitimbire@zach.org.zw>
<b>Subject:</b>	Re: Now available: your article is in an issue of Global Health Action
<b>Date:</b>	2018/01/14 12:58:45
<b>Priority:</b>	Normal
<b>Type:</b>	Note

Hi Vee,

Great to hear from you. I'm very proud of you guys and our PBF model. I'll let Ambassador Wharton know when I see him :)

Best wishes for new year!

Peter Kilmarx, Deputy Director  
Fogarty International Center, NIH  
RADM, U.S. Public Health Service

On Jan 14, 2018, at 6:59 PM, Vuyelwa T S Chitimbire <chitimbire@zach.org.zw> wrote:

Dear Peter,

Could not resist to say hello- long time and compliments of the New Year. Hoping that you are all good. The VMMC program has done well under CDC – I am reminded of the days we started, when a lot of people did not have much confidence in our performance. Now we have a good model and PBF is working well.

All the best and greetings to your better half.

Vee Chitimbire

---

**From:** Kilmarx, Peter (NIH/FIC) [E] [mailto:peter.kilmarx@nih.gov]

**Sent:** Friday, January 12, 2018 10:32 PM

**To:** Scott Barnhart <sbht@uw.edu>; Caryl Feldacker <cfeld@uw.edu>; [Redacted by agreement] <[Redacted]@zach.org.zw>; Marianne M. Holec <mmholec@uw.edu>; Abby R Stepaniak <arstep@uw.edu>; Aaron Bochner <bochner@uw.edu>; bmakunike@itech-zimbabwe.org; vmurenje@itech-zimbabwe.org; Herman-Roloff, Amy (CDC/CGH/DGHT) <bjy2@cdc.gov>

**Subject:** RE: Now available: your article is in an issue of Global Health Action

Adding my thanks and congratulations to all for a very successful program.

Caryl – great to see this finally published. Thanks for your perseverance.

PK

---

**From:** Scott Barnhart [mailto:sbht@uw.edu]

**Sent:** Thursday, January 11, 2018 11:42 AM

**To:** Caryl Feldacker <cfeld@uw.edu>; [Redacted by agreement] <[Redacted]@zach.org.zw>; Marianne M. Holec <mmholec@uw.edu>; Abby R Stepaniak <arstep@uw.edu>; Aaron Bochner

<bochner@uw.edu>; [bmakunike@itech-zimbabwe.org](mailto:bmakunike@itech-zimbabwe.org); [vmurenje@itech-zimbabwe.org](mailto:vmurenje@itech-zimbabwe.org); Kilmarx, Peter (NIH/FIC) [E] <[peter.kilmarx@nih.gov](mailto:peter.kilmarx@nih.gov)>; Herman-Roloff, Amy (CDC/CGH/DGHT) <[bjy2@cdc.gov](mailto:bjy2@cdc.gov)>

**Subject:** Re: Now available: your article is in an issue of Global Health Action

And a very big thank you to you Caryl. That was a long and heavy lift. Really glad to see this published but more importantly it a privilege to work with this entire group that made this integrated approach successful. Compliments of the new season to all, Scott

Scott Barnhart, MD, MPH  
Professor, Departments of Medicine and Global Health  
University of Washington  
Telephone: +1 206-685-4875  
Mobile: Redacted by agreement  
Facsimile: +1 206-221-4945  
Skype: scottbarnhart1

"A pessimist makes his opportunities difficult, and an optimist makes his difficulties opportunities."

---

**From:** Caryl Feldacker <[cfeld@uw.edu](mailto:cfeld@uw.edu)>

**Sent:** Thursday, January 11, 2018 8:26 AM

**To:** Scott Barnhart, Redacted by agreement, [chitimbire@zach.org.zw](mailto:chitimbire@zach.org.zw); Marianne M. Holec; Abby R Stepaniak; Aaron Bochner; [bmakunike@itech-zimbabwe.org](mailto:bmakunike@itech-zimbabwe.org); [vmurenje@itech-zimbabwe.org](mailto:vmurenje@itech-zimbabwe.org); [peter.kilmarx@nih.gov](mailto:peter.kilmarx@nih.gov); [bjy2@cdc.gov](mailto:bjy2@cdc.gov)

**Subject:** Now available: your article is in an issue of Global Health Action

Congrats to us all on the final publication of this manuscript!

Best,

Caryl

Begin forwarded message:

**From:** "info@tandfonline.com" <[info@tandfonline.com](mailto:info@tandfonline.com)>

**Date:** January 11, 2018 at 3:53:50 AM PST

**To:** [cfeld@uw.edu](mailto:cfeld@uw.edu)

**Subject:** Taylor & Francis author update: congratulations, your article is in an issue of Global Health Action

**Reply-To:** [noreply@tandfonline.com](mailto:noreply@tandfonline.com)



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Dear Caryl Feldacker,

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Author Services team

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<b>Recipient:</b>	Vuyelwa T S Chitimbire <chitimbire@zach.org.zw>
<b>Sent Date:</b>	2018/01/14 12:58:44
<b>Delivered Date:</b>	2018/01/14 12:58:45

<b>From:</b>	Kilmarx, Peter (NIH/FIC) [E] </O=EXCHANGELABS/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=E4ECF449BD7044BA9FD585C8DA4D47B4-KILMARXPH>
<b>To:</b>	Glass, Roger (NIH/FIC) [E] /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=03506bbbb1a2472790395288d4970bc0-glassr <glassr@mail.nih.gov>; Puderbaugh, Ann (NIH/FIC) [E] /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=903639684fe84ad48c32e9447c951460-puderba <puderba@mail.nih.gov>
<b>Subject:</b>	FW: Taylor & Francis author update: congratulations, your article is in an issue of Global Health Action
<b>Date:</b>	2018/01/12 15:22:00
<b>Priority:</b>	Normal
<b>Type:</b>	Note

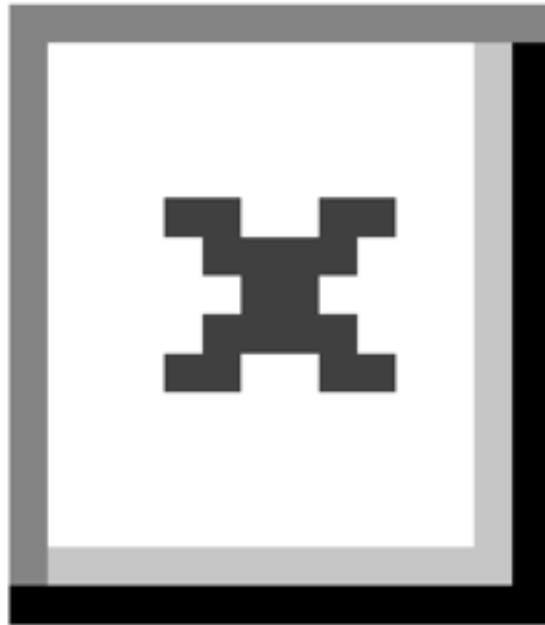
A new article from CDC days.

I initiated a cost-reimbursement incentive for public clinics to conduct male circumcision in Zimbabwe. It worked.

PK

---

**From:** info@tandfonline.com [mailto:info@tandfonline.com]  
**Sent:** Thursday, January 11, 2018 7:23 AM  
**To:** Kilmarx, Peter (NIH/FIC) [E] <peter.kilmarx@nih.gov>  
**Subject:** Taylor & Francis author update: congratulations, your article is in an issue of Global Health Action



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## Global Health Action



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<b>Subject:</b>	Fwd: Male cir pubs this week: Lichen sclerosus (BXO) in boys; PrePex device; Long foreskin
<b>Date:</b>	2017/12/26 19:11:04
<b>Priority:</b>	Normal
<b>Type:</b>	Note

Hi Amy,

Congratulations on this publication! I remember talking to the Hubert fellow about this a few years ago.

Happy holidays,  
PK

Peter Kilmarx, Deputy Director  
Fogarty International Center, NIH  
RADM, U.S. Public Health Service

Begin forwarded message:

**From:** Brian Morris <brian.morris@sydney.edu.au>

**Date:** December 26, 2017 at 6:10:26 PM EST

**To:** Brian Morris <brian.morris@sydney.edu.au>

**Subject: Male cir pubs this week: Lichen sclerosus (BXO) in boys; PrePex device; Long foreskin**

<https://www.ncbi.nlm.nih.gov/pubmed/29266891>

[Cir Pediatr.](#) 2017 Oct 25;30(4):211-215.

**[Clinical and immunohistochemical correlation of balanitis xerotica obliterans].**

[Article in Spanish; Abstract available in Spanish from the publisher]

[Betancourth-Alvarenga JE<sup>1</sup>](#), [Vázquez Rueda F<sup>2</sup>](#), [Siu Uribe A<sup>1</sup>](#), [Escassi Gil A<sup>1</sup>](#), [Vargas Cruz V<sup>1</sup>](#), [Sánchez Sánchez R<sup>3</sup>](#), [Ortega Salas R<sup>3</sup>](#), [Paredes Esteban RM<sup>1</sup>](#).

**Author information**

1 Cirugía Pediátrica. Hospital Universitario Reina Sofía. Córdoba.

2 Cirugía Pediátrica. Hospital Universitario Reina Sofía. Córdoba. Profesor Asociado de la Facultad de Medicina de la Universidad de Córdoba e Investigador del Instituto Maimónides de Investigación Biomédica de Córdoba.

3 Patología. Hospital Universitario Reina Sofía. Córdoba.

**Abstract**

in [English](#), [Spanish](#)

**AIM:**

Balanitis xerotica obliterans (BXO) [lichen sclerosis] is a disease of the skin and mucosa of male genitals of unknown etiology that may affect children of any age. It has a low incidence (9-19%) and in adults is considered a potential premalignant lesion. The aim of our study is to establish the incidence of BXO in our center and to determine its correlation between the clinical and immunohistochemical (IHC) findings.

**METHODS:**

Prospective cohort including all children < 14 years with foreskin pathology that required a circumcision between 2014-2016. Statistical analysis of the clinical characteristics, histological and IHC findings searching for inflammatory response, premalignant lesions and microbiological findings.

**RESULTS:**

A total of 176 boys with phimosis had circumcision with a mean age of  $7 \pm 3$  years (Range 2-14). Presurgical diagnosis of BXO was suspected in 28.4% (n= 50) whereas the AP confirmed a total of 29.5% (n= 53) with a very good interobserver concordance (kappa= 0.81:  $p < 0.01$ ). Previous treatment with corticoids in BXO was found in 63.5% (n= 33/52). Meatal stenosis was found in 7.69% (n= 4/52) requiring meatal/urethral dilations. Patients with BXO had a T-Lymphocytes CD3+ mediated inflammatory response with a positive correlation between tumor suppressing protein (p53) expression and chronic inflammation.

**CONCLUSIONS:**

BXO is a chronic inflammatory disease mediated by T-lymphocytes with an incidence greater than previously reported. Surgeons' criterion has a very good concordance with the AP findings. The elevation of p53 in children with BXO may indicate a plausible malignant potential that may require a surgical treatment (circumcision) and an adequate follow-up.

**KEYWORDS:**

Balanitis xerotica obliterans; Children; Circumcision; T-Lymphocytes; Tumor suppressing protein p53  
PMID: 29266891

<https://www.ncbi.nlm.nih.gov/pubmed/29272320>

*PLoS One*. 2017 Dec 22;12(12):e0190055. doi: 10.1371/journal.pone.0190055. eCollection 2017.

**A systems-based assessment of the PrePex device adverse events active surveillance system in Zimbabwe.**

Adamson PC<sup>1,2</sup>, Tafuma TA<sup>3</sup>, Davis SM<sup>4</sup>, Xaba S<sup>5</sup>, Herman-Roloff A<sup>3</sup>.

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1 School of Medicine, University of California San Francisco, San Francisco, California, United States of America.

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3 U.S. Centers for Disease Control and Prevention, Harare, Zimbabwe.

4 U.S. Centers for Disease Control and Prevention, Atlanta, Georgia, United States of America.

5 AIDS and TB Programme, Ministry of Health and Child Care, Harare, Zimbabwe.

**Abstract**

**BACKGROUND:**

Voluntary Medical Male Circumcision (VMMC) is an effective method for HIV prevention and the World Health Organization (WHO) has recommended its expansion in 14 African countries with a high prevalence of HIV and low prevalence of male circumcision. The WHO has recently pre-qualified the PrePex device, a non-surgical male circumcision device, which reduces procedure time, can increase acceptability of VMMC, and can expand the set of potential provider cadres. The PrePex device was introduced in Zimbabwe as a way to scale-up VMMC services in the country. With the rapid scale-up of the PrePex device, as well as other similar devices, a strong surveillance system to detect adverse events (AE) is needed to monitor the safety profile of these devices. We performed a systems-based evaluation of the PrePex device AE active surveillance system in Zimbabwe.

**METHODS:**

The evaluation was based on the Centers for Disease Control and Prevention's Updated Guidelines for Evaluating Public Health Surveillance Systems. We adapted these guidelines to fit our local context. The evaluation incorporated the review of the standard operating procedures and surveillance system documents. Additionally, structured, in-person interviews were performed with



key stakeholders who were users of the surveillance system at various levels. These key stakeholders were from the Ministry of Health, implementing partners, and health facilities in Harare.

**RESULTS:**

Clients were requested to return to the facility for follow-up on days 7, 14 and 49 after placement of the device. In the event of a severe AE, a standard report was generated by the health facility and relayed to the Ministry of Health Child and Care and donor agencies through predefined channels within 24 hours of diagnosis. Clinic staff reported difficulties with the amount of documentation required to follow up with clients and to report AEs. The surveillance system's acceptability among users interviewed was high, and users were motivated to identify all possible AEs related to this device. The surveillance system was purely paper-based and both duplicate and discrepant reporting forms between sites were identified.

**CONCLUSION:**

The PrePex AE active surveillance system was well accepted among participants in the health system. However, the amount of documentation which was required to follow-up with patients was a major barrier within the system, and might lead to decreased timeliness and quality of reporting. A passive surveillance system supported by electronic reporting would improve acceptance of the program.

PMID: 29272320

DOI: [10.1371/journal.pone.0190055](https://doi.org/10.1371/journal.pone.0190055)

[http://www.infosurhoy.com/cocoon/saii/xhtml/en\\_GB/topstories/the-worlds-largest-penis-is-not-what-it-seems/](http://www.infosurhoy.com/cocoon/saii/xhtml/en_GB/topstories/the-worlds-largest-penis-is-not-what-it-seems/)

**The World's Largest Penis Is Not What It Seems**

BY DENIS BEDOYA ON DECEMBER 23, 2017

.... Roberto Esquivel Cabrera, the man with possibly the world's biggest penis. The 54-year-old from Saltillo in Mexico rose to fame in 2015 after a video of his 48-centimeter (18.9-inch) penis went viral. [But] his [Cabrera's] penis isn't 19 inches.

Doctors have acknowledged this and said he could have a normal sex life if he is essentially circumcised. The man stretched his foreskin constantly, from what I understand, but it's normal underneath. The penis itself is just about 16 to 18 centimeters [6.2 to 7 inches] from the pubis. The rest of the tissue ... is just foreskin, blood vessels, and some inflammation of the skin. So, effectively, the majority of the length comes from his foreskin. It also appears that Cabrera's penis isn't naturally in this state. Although he strongly denies this, Cabrera told a psychiatrist that he had put bands with weights on his penis [foreskin] when he was younger in order to make it longer.

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Professor Emeritus  
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Anderson Stuart Building (F13)  
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The University of Sydney  
Sydney, NSW 2006, Australia

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**Sent Date:** 2017/12/26 19:11:04

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<b>To:</b>	Glass, Roger (NIH/FIC) [E] /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=03506bbb1a2472790395288d4970bc0-glassr <glassr@mail.nih.gov>
<b>Subject:</b>	RE: Male circ pubs this week: PrePex device; Lichen sclerosis (BXO) is much higher in obese boys
<b>Date:</b>	2017/12/13 17:02:00
<b>Priority:</b>	Normal
<b>Type:</b>	Note

Thanks Roger. Trying to. A half dozen more coming from CDC.

Since Monday, I'm taking the lead on writing up a short commentary from our Grand Challenges track.

I've also discussed with Nicki and Adrienne writing up our background quantitative work on research metrics.

PK

---

**From:** Glass, Roger (NIH/FIC) [E]  
**Sent:** Wednesday, December 13, 2017 4:56 PM  
**To:** Kilmarx, Peter (NIH/FIC) [E] <peter.kilmarx@nih.gov>  
**Subject:** RE: Male circ pubs this week: PrePex device; Lichen sclerosis (BXO) is much higher in obese boys

Peter,

Very nice! You have a great track record of publications. Keep it up!

Roger

---

**From:** Kilmarx, Peter (NIH/FIC) [E]  
**Sent:** Wednesday, December 13, 2017 12:42 PM  
**To:** Glass, Roger (NIH/FIC) [E] <glassr@mail.nih.gov>; Puderbaugh, Ann (NIH/FIC) [E] <puderba@mail.nih.gov>  
**Subject:** FW: Male circ pubs this week: PrePex device; Lichen sclerosis (BXO) is much higher in obese boys

FYI – a new publication from CDC days. We found that use of the PrePex device for male circumcision was safe and effective for HIV positive men. This obviates the need for HIV testing prior to male circumcision, which is the leading barrier to the procedure. Nice to be the lead article in this weekly digest!

PK

**From:** Brian Morris [<mailto:brian.morris@sydney.edu.au>]

**Sent:** Tuesday, December 12, 2017 6:30 PM

**To:** Brian Morris <[brian.morris@sydney.edu.au](mailto:brian.morris@sydney.edu.au)>

**Subject:** Male circ pubs this week: PrePex device; Lichen sclerosis (BXO) is much higher in obese boys

<https://www.ncbi.nlm.nih.gov/pubmed/29220392>

*PLoS One*. 2017 Dec 8;12(12):e0189146. doi: 10.1371/journal.pone.0189146. eCollection 2017.

**Safety and efficacy of the PrePex device in HIV-positive men: A single-arm study in Zimbabwe.**

[Tshimanga M](#)<sup>1</sup>, [Makunike-Chikwinya B](#)<sup>2</sup>, [Mangwiro T](#)<sup>3</sup>, [Tapiwa Gundidza P](#)<sup>1</sup>, [Chatikobo P](#)<sup>1</sup>, [Murenje V](#)<sup>2</sup>, [Herman-Roloff A](#)<sup>4</sup>, [Kilmarx PH](#)<sup>4</sup>, [Holec M](#)<sup>5</sup>, [Gwinji G](#)<sup>6</sup>, [Mugurungi O](#)<sup>6</sup>, [Murwira M](#)<sup>7</sup>, [Xaba S](#)<sup>6</sup>, [Barnhart S](#)<sup>5,8,9</sup>, [Feldacker C](#)<sup>5,9</sup>.

#### Author information

1 Zimbabwe Community Health Intervention Project (ZiCHIRE), Harare, Zimbabwe.

2 International Training and Education Center for Health (I-TECH), Harare, Zimbabwe.

3 Department of Health Sciences, University of Zimbabwe, Harare, Zimbabwe.

4 U.S. Centers for Disease Control and Prevention, Harare, Zimbabwe.

5 International Training and Education Center for Health (I-TECH), Seattle, Washington, United States of America.

6 Ministry of Health and Child Care, Harare, Zimbabwe.

7 Zimbabwe National Family Planning Council (ZNFPC), Harare, Zimbabwe.

8 Department of Medicine, University of Washington, Seattle, Washington, United States of America.

9 Department of Global Health, University of Washington, Seattle, Washington, United States of America.

#### Abstract

##### METHODS:

We aimed to determine if the adverse event (AE) rate was non-inferior to an AE rate of 2%, a rate considered the global standard of MC safety. Study procedures, AE definitions, and study staff were unchanged from previous PrePex Zimbabwe trials. After PrePex placement and removal, weekly visits assessed wound healing. Men returned on Day 90. Safety was defined as occurrence of moderate and serious clinical AEs. Efficacy was defined as ability to reach the endpoint of complete circumcision.

##### RESULTS:

Among 400 healthy, HIV-positive, consenting adults, median age was 40 years (IQR: 34, 46); 79.5% in WHO stage 2; median CD4 was 336.5c/μl (IQR: 232, 459); 337 (85%) on anti-retroviral therapy.

Among 385 (96%) observed completely healed, median days to complete healing was 42 (IQR: 35-49). There was no association between time to healing and CD4 ( $p = 0.66$ ). Four study-related severe AEs and no moderate AEs were reported: severe/moderate AE rate of 1.0% (95% CI: 0.27% to 2.5). This was non-inferior to 2% AEs ( $p = 0.0003$ ). All AEs were device displacements resulting in surgical MC and, subsequently, complete healing.

##### CONCLUSION:

Male circumcision among healthy, HIV-positive men using PrePex is safe and effective. Reducing the barrier of HIV testing while improving counseling for safer sex practices among all MC clients could increase MC uptake and avert more HIV infections.

PMID: 29220392

DOI: [10.1371/journal.pone.0189146](https://doi.org/10.1371/journal.pone.0189146)

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<https://www.ncbi.nlm.nih.gov/pubmed/29204461>

*Glob Pediatr Health*. 2017 Nov 24;4:2333794X17742749. doi: 10.1177/2333794X17742749.  
eCollection 2017.

### **The Association Between BXO and Obesity in Boys Undergoing Circumcision.**

Fuchs ME<sup>1</sup>, Beecroft N<sup>2</sup>, Dajusta DG<sup>1</sup>, McLeod DJ<sup>1,3</sup>.

#### Author information

#### **Abstract**

This study investigated whether boys with balanitis xerotica obliterans (BXO) have increased rates of obesity compared with boys with no concern for BXO (NCB). Boys ≤18 years old with circumcision pathology-confirmed BXO were compared with an age-matched group who had NCB during circumcision. Boys with BXO were found to have a mean body mass index of 70.64 percentile for age compared with 52.43 percentile in age-matched controls ( $P = .0005$ ). The rate of obesity was significantly higher in boys with BXO (42%) compared with 12.4% in boys with NCB (odds ratio = 5.12; 95% CI = 2.6 to 10.06). Given the increasing rates of childhood obesity and the long-term health consequences of both BXO and obesity, special attention should be paid to this population. Further research is needed to determine if BXO in obese children may represent an early indicator of a systemic disease process where intervention may be warranted.

#### **KEYWORDS:**

BXO; balanitis xerotica obliterans; circumcision; lichen sclerosus; obesity

PMID: 29204461

PMCID: [PMCS703089](https://pubmed.ncbi.nlm.nih.gov/PMC5703089/)

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	Caryl Feldacker <cfeld@uw.edu>; Mufuta Tshimanga [Redacted by agreement] [Redacted by agreement]
<b>To:</b>	Scott Barnhart <sbht@uw.edu>; Batsi Makunike <bmakunike@itech-zimbabwe.org>; Vernon Murenje <vmurenje@itech-zimbabwe.org>; Herman-Roloff, Amy (CDC/CGH/DGHT) /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=b54f29f69b2a4157bb12e5a92e33aced-bjy2 <bjy2@cdc.gov>; Marrianne M. Holec <mmholec@uw.edu>; Owen Mugurungi [Redacted by agreement]
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<b>Date:</b>	2017/12/13 12:49:00
<b>Priority:</b>	Normal
<b>Type:</b>	Note

Hi and congratulations to all on our publication! Nice to see that it is the lead abstract in this weekly digest. I've attached the .pdf.

Please share with your network, communications staff, Twitter, etc. This is an important advance in VMMC. Getting the word out will make a difference in implementation as well as the rate of article citation.

Best wishes to all,  
PK

Peter H. Kilmarx, MD, FACP, FIDSA  
Rear Admiral, U.S. Public Health Service  
Deputy Director, Fogarty International Center  
U.S. National Institutes of Health  
Cell [Redacted by agreement] Tel: +1-301-496-1415  
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**Sent:** Tuesday, December 12, 2017 6:30 PM  
**To:** Brian Morris <[brian.morris@sydney.edu.au](mailto:brian.morris@sydney.edu.au)>  
**Subject:** Male circ pubs this week: PrePex device; Lichen sclerosis (BXO) is much higher in obese boys

<https://www.ncbi.nlm.nih.gov/pubmed/29220392>

[PLoS One](#), 2017 Dec 8;12(12):e0189146. doi: 10.1371/journal.pone.0189146. eCollection 2017.  
**Safety and efficacy of the PrePex device in HIV-positive men: A single-arm study in Zimbabwe.**

Tshimanga M<sup>1</sup>, Makunike-Chikwinya B<sup>2</sup>, Mangwiro T<sup>3</sup>, Tapiwa Gundidza P<sup>1</sup>, Chatikobo P<sup>1</sup>, Murenje V<sup>2</sup>, Herman-Roloff A<sup>4</sup>, Kilmarx PH<sup>4</sup>, Holec M<sup>5</sup>, Gwinji G<sup>6</sup>, Mugurungi O<sup>6</sup>, Murwira M<sup>7</sup>, Xaba S<sup>6</sup>, Barnhart S<sup>5,8,9</sup>, Feldacker C<sup>5,9</sup>.

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1 Zimbabwe Community Health Intervention Project (ZiCHIRe), Harare, Zimbabwe.

2 International Training and Education Center for Health (I-TECH), Harare, Zimbabwe.

3 Department of Health Sciences, University of Zimbabwe, Harare, Zimbabwe.

4 U.S. Centers for Disease Control and Prevention, Harare, Zimbabwe.

5 International Training and Education Center for Health (I-TECH), Seattle, Washington, United States of America.

6 Ministry of Health and Child Care, Harare, Zimbabwe.

7 Zimbabwe National Family Planning Council (ZNFPC), Harare, Zimbabwe.

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9 Department of Global Health, University of Washington, Seattle, Washington, United States of America.

#### **Abstract**

##### **METHODS:**

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Among 400 healthy, HIV-positive, consenting adults, median age was 40 years (IQR: 34, 46); 79.5% in WHO stage 2; median CD4 was 336.5c/μl (IQR: 232, 459); 337 (85%) on anti-retroviral therapy. Among 385 (96%) observed completely healed, median days to complete healing was 42 (IQR: 35-49). There was no association between time to healing and CD4 ( $p = 0.66$ ). Four study-related severe AEs and no moderate AEs were reported: severe/moderate AE rate of 1.0% (95% CI: 0.27% to 2.5). This was non-inferior to 2% AEs ( $p = 0.0003$ ). All AEs were device displacements resulting in surgical MC and, subsequently, complete healing.

##### **CONCLUSION:**

Male circumcision among healthy, HIV-positive men using PrePex is safe and effective. Reducing the barrier of HIV testing while improving counseling for safer sex practices among all MC clients could increase MC uptake and avert more HIV infections.

PMID: 29220392

DOI: [10.1371/journal.pone.0189146](https://doi.org/10.1371/journal.pone.0189146)

**Free full text**

<https://www.ncbi.nlm.nih.gov/pubmed/29204461>

*Glob Pediatr Health*. 2017 Nov 24;4:2333794X17742749. doi: 10.1177/2333794X17742749.

eCollection 2017.

#### **The Association Between BXO and Obesity in Boys Undergoing Circumcision.**

Fuchs ME<sup>1</sup>, Beecroft N<sup>2</sup>, Dajusta DG<sup>1</sup>, McLeod DJ<sup>1,3</sup>.

#### Author information

##### **Abstract**

This study investigated whether boys with balanitis xerotica obliterans (BXO) have increased rates of obesity compared with boys with no concern for BXO (NCB). Boys ≤18 years old with circumcision



pathology-confirmed BXO were compared with an age-matched group who had NCB during circumcision. Boys with BXO were found to have a mean body mass index of 70.64 percentile for age compared with 52.43 percentile in age-matched controls ( $P = .0005$ ). The rate of obesity was significantly higher in boys with BXO (42%) compared with 12.4% in boys with NCB (odds ratio = 5.12; 95% CI = 2.6 to 10.06). Given the increasing rates of childhood obesity and the long-term health consequences of both BXO and obesity, special attention should be paid to this population. Further research is needed to determine if BXO in obese children may represent an early indicator of a systemic disease process where intervention may be warranted.

**KEYWORDS:**

BXO; balanitis xerotica obliterans; circumcision; lichen sclerosus; obesity

PMID: 29204461

PMCID: [PMCS703089](#)

DOI: [10.1177/2333794X17742749](#)

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Brian J. Morris, DSc PhD FAHA  
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The University of Sydney  
Sydney, NSW 2006, Australia

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	Caryl Feldacker <cfeld@uw.edu>; Mufuta Tshimanga <[Redacted by agreement]>
	Scott Barnhart <sbht@uw.edu>; Batsi Makunike <bmakunike@itech-zimbabwe.org>;
<b>Recipient:</b>	Vernon Murenje <vmurenje@itech-zimbabwe.org>; Herman-Roloff, Amy (CDC/CGH/DGHT) /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=b54f29f69b2a4157bb12e5a92e33aced-bjy2 <bjy2@cdc.gov>; Marrianne M. Holec <mmholec@uw.edu>; Owen Mugurungi <[Redacted by agreement]>
<b>Sent Date:</b>	2017/12/13 12:49:41
<b>Delivered Date:</b>	2017/12/13 12:49:00

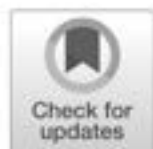
RESEARCH ARTICLE

# Safety and efficacy of the PrePex device in HIV-positive men: A single-arm study in Zimbabwe

Mufuta Tshimanga<sup>1</sup>, Batsirai Makunike-Chikwinya<sup>2</sup>, Tonderayi Mangwiro<sup>3</sup>, Patricia Tapiwa Gundidza<sup>1</sup>, Pesanal Chatikobo<sup>1</sup>, Vernon Murenje<sup>2</sup>, Amy Herman-Roloff<sup>4</sup>, Peter H. Kilmarx<sup>4</sup>, Marianne Holec<sup>5</sup>, Gerald Gwinji<sup>6</sup>, Owen Mugurungi<sup>6</sup>, Munyaradzi Murwira<sup>7</sup>, Sinokuthemba Xaba<sup>8</sup>, Scott Barnhart<sup>5,8,9</sup>, Caryl Feldacker<sup>5,9\*</sup>

**1** Zimbabwe Community Health Intervention Project (ZiCHIRe), Harare, Zimbabwe, **2** International Training and Education Center for Health (I-TECH), Harare, Zimbabwe, **3** Department of Health Sciences, University of Zimbabwe, Harare, Zimbabwe, **4** U.S. Centers for Disease Control and Prevention, Harare, Zimbabwe, **5** International Training and Education Center for Health (I-TECH), Seattle, Washington, United States of America, **6** Ministry of Health and Child Care, Harare, Zimbabwe, **7** Zimbabwe National Family Planning Council (ZNFP), Harare, Zimbabwe, **8** Department of Medicine, University of Washington, Seattle, Washington, United States of America, **9** Department of Global Health, University of Washington, Seattle, Washington, United States of America

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**OPEN ACCESS**

**Citation:** Tshimanga M, Makunike-Chikwinya B, Mangwiro T, Tapiwa Gundidza P, Chatikobo P, Murenje V, et al. (2017) Safety and efficacy of the PrePex device in HIV-positive men: A single-arm study in Zimbabwe. *PLoS ONE* 12(12): e0189146. <https://doi.org/10.1371/journal.pone.0189146>

**Editor:** D William Cameron, University of Ottawa, CANADA

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**Data Availability Statement:** Due to ethical restrictions imposed by the University of Washington (UW), the data underlying this study are available to qualified researchers upon request. Queries related to data access may be submitted to the corresponding author or to Jane Edelson [jedelson@uw.edu](mailto:jedelson@uw.edu), Regulatory Specialist at the UW.

**Funding:** This work has been supported by the President's Emergency Plan for AIDS Relief (PEPFAR) through the Centers for Disease Control and Prevention (CDC) under the terms of

## Abstract

Male circumcision (MC) for sexually active, HIV-negative men reduces HIV transmission and averts HIV infections. Excluding HIV-positive men from MC decreases access to additional health and hygiene benefits. In settings where HIV-testing is, or is perceived to be, required for MC, testing may reduce MC uptake. Reducing promotion of HIV testing within MC settings and promoting device-based MC may speed MC scale-up. To assess safety and efficacy of PrePex MC device among HIV-positive men, we conducted a one-arm, open-label, prospective study in otherwise healthy HIV-positive men in Zimbabwe.

## Methods

We aimed to determine if the adverse event (AE) rate was non-inferior to an AE rate of 2%, a rate considered the global standard of MC safety. Study procedures, AE definitions, and study staff were unchanged from previous PrePex Zimbabwe trials. After PrePex placement and removal, weekly visits assessed wound healing. Men returned on Day 90. Safety was defined as occurrence of moderate and serious clinical AEs. Efficacy was defined as ability to reach the endpoint of complete circumcision.

## Results

Among 400 healthy, HIV-positive, consenting adults, median age was 40 years (IQR: 34, 46); 79.5% in WHO stage 2; median CD4 was 336.5c/μl (IQR: 232, 459); 337 (85%) on anti-retroviral therapy. Among 385 (96%) observed completely healed, median days to complete healing was 42 (IQR: 35–49). There was no association between time to healing and CD4 ( $p = 0.66$ ). Four study-related severe AEs and no moderate AEs were reported: severe/moderate AE rate of 1.0% (95% CI: 0.27% to 2.5). This was non-inferior to 2% AEs

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<b>To:</b>	Catherine Hankins /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=26ce65daf4844079b87db7f2e1200af5-CUSTOM_hank <c.hankins@aighd.org>
<b>Subject:</b>	Re: Male circ pubs this week: Benefits to women; Treatment of penile cancer; VMMC; MSM
<b>Date:</b>	2017/10/14 01:13:56
<b>Priority:</b>	Normal
<b>Type:</b>	Note

See you soon, inshallah.

On Oct 13, 2017, at 11:40 PM, Cate Hankins <c.hankins@aighd.org> wrote:

Thanks! See you tomorrow if all works well. iMessage is fine to use and email at Schipol.

C Hankins MD PhD FRCPC CM  
+1 450 775 0032; +31 20 566 1233; +44 745 204 3167

On Oct 11, 2017, at 01:30, Kilmarx, Peter (NIH/FIC) [E] <peter.kilmarx@nih.gov> wrote:

Hi Cate,  
Great article! Hope to see you in a few days.

PK

Peter Kilmarx, Deputy Director  
Fogarty International Center, NIH  
RADM, U.S. Public Health Service

Begin forwarded message:

**From:** Brian Morris <brian.morris@sydney.edu.au>  
**Date:** October 11, 2017 at 1:41:29 AM GMT+2  
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[http://www.thelancet.com/journals/langlo/article/PIIS2214-109X\(17\)30386-8/fulltext](http://www.thelancet.com/journals/langlo/article/PIIS2214-109X(17)30386-8/fulltext)

THE LANCET Global Health Volume 5, No. 11, e1054–e1055, November 2017

**Effect of male circumcision on risk of sexually transmitted infections and cervical cancer in women**

Brian J Morris<image001.png>, Catherine A Hankins

- School of Medical Sciences and Bosch Institute, University of Sydney, Sydney, NSW 2006, Australia; Amsterdam Institute for Global Health and Development, Amsterdam, Netherlands; Faculty of Medicine, McGill University, Montreal, Canada;

- [London School of Hygiene and Tropical Medicine, London, UK](#)
- [Email the author Brian J Morris](#)

<https://www.ncbi.nlm.nih.gov/pubmed/28972681>

*BJU Int.* 2017 Oct 3. doi: 10.1111/bju.14037. [Epub ahead of print]

**Surgical Management of Penile Carcinoma in Situ: Results from an International Collaborative Study and Review of the Literature.**

[Chipollini J](#)<sup>1</sup>, [Yan S](#)<sup>2</sup>, [Ottenhof SR](#)<sup>3</sup>, [Zhu Y](#)<sup>4</sup>, [Draeger D](#)<sup>5</sup>, [Baumgarten AS](#)<sup>6</sup>, [Tang DH](#)<sup>1</sup>, [Protzel C](#)<sup>5</sup>, [Ye DW](#)<sup>4</sup>, [Hakenberg OW](#)<sup>5</sup>, [Horenblas S](#)<sup>3</sup>, [Watkin NA](#)<sup>2</sup>, [Spiess PE](#)<sup>1</sup>.

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1 Department of Genitourinary Oncology, H. Lee Moffitt Cancer Center, Research Institute, Tampa, FL, USA.

2 Department of Urology, St George's Healthcare NHS Trust, Tooting, London, UK.

3 Department of Urological Oncology, Netherlands Cancer Institute, Amsterdam, the Netherlands.

4 Department of Urology, Fudan University Shanghai Cancer Center, Shanghai, 200032, P.R. China.

5 Department of Urology, University Hospital Rostock, Rostock, Germany.

6 Department of Urology, University of South Florida Morsani College of Medicine, Tampa, FL, USA.

**Abstract**

**OBJECTIVES:**

To evaluate recurrence after penile sparing surgery (PSS) in the management of carcinoma-in-situ (CIS) of the penis in a large multicenter cohort of patients.

**PATIENTS AND METHODS:**

We identified consecutive patients from 5 major, academic centers treated from June 1986 to November 2014 who underwent PSS for pathologically proven penile CIS. Primary outcome was local recurrence free survival (RFS) and estimated using the Kaplan-Meier method.

**RESULTS:**

A total of 205 patients were identified. Treatment modalities included circumcision, glansectomy, wide local excision, laser therapy and total glans resurfacing. Over a median follow-up of 40 months (interquartile range [IQR]: 26-65.6), there were 48 local recurrences with 45.8% occurring in the first year and 81.3% occurring by year 5. Majority of recurrences were observed in the laser group (58.3%). Median time to local recurrence was 15.9 months (5.66-26.14). The 1, 2, and 5-yr RFS were 88.4, 85.6, and 75%, respectively; and the median RFS was 106.5 months (80.2-132.2).

**CONCLUSIONS:**

Among patients with penile CIS selected for surgical management, durable responses at intermediate to long-term follow-up were noted. For those with glandular CIS, glans resurfacing offered the best outcomes. This article is protected by copyright. All rights reserved.

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**KEYWORDS:**

Penile cancer; carcinoma-in-situ; recurrences

PMID: 28972681

DOI: [10.1111/bju.14037](https://doi.org/10.1111/bju.14037)

<https://www.ncbi.nlm.nih.gov/pubmed/28982175>

*PLoS One.* 2017 Oct 5;12(10):e0185872. doi: 10.1371/journal.pone.0185872. eCollection 2017.

**Predictors of voluntary medical male circumcision prevalence among men aged 25-39 years in Nyanza region, Kenya: Results from the baseline survey of the TASC0 study.**

Odoyo-June E<sup>1</sup>, Agot K<sup>2</sup>, Grund JM<sup>3</sup>, Onchiri F<sup>1</sup>, Musingila P<sup>1</sup>, Mboya E<sup>2</sup>, Emusu D<sup>1</sup>, Onyango J<sup>2</sup>, Ohaga S<sup>2</sup>, Soo L<sup>1</sup>, Otieno-Nyunya B<sup>1</sup>.

**Author information**

1 Division of Global HIV & TB (DGHT), U.S. Centers for Disease Control and Prevention (CDC), Nairobi, Kenya.

2 Impact Research and Development Organization, Kisumu, Kenya.

3 Division of Global HIV & TB (DGHT), U.S. Centers for Disease Control and Prevention (CDC), Atlanta, GA, United States of America.

**Abstract**

**INTRODUCTION:**

Uptake of voluntary medical male circumcision (VMMC) as an intervention for prevention of HIV acquisition has been low among men aged  $\geq 25$  years in Nyanza region, western Kenya. We conducted a baseline survey of the prevalence and predictors of VMMC among men ages 25-39 years as part of the preparations for a cluster randomized controlled trial (cRCT) called the Target, Speed and Coverage (TASCO) Study. The TASCO Study aimed to assess the impact of two demand creation interventions- interpersonal communication (IPC) and dedicated service outlets (DSO), delivered separately and together (IPC + DSO)-on VMMC uptake.

**METHODS:**

As part of the preparatory work for implementation of the cRCT to evaluate tailored interventions to improve uptake of VMMC, we conducted a survey of men aged 25-39 years from a traditionally non-circumcising Kenyan ethnic community within non-contiguous locations selected as study sites. We determined their circumcision status, estimated the baseline circumcision prevalence and assessed predictors of being circumcised using univariate and multivariate logistic regression.

**RESULTS:**

A total of 5,639 men were enrolled of which 2,851 (50.6%) reported being circumcised. The odds of being circumcised were greater for men with secondary education (adjusted Odds Ratio (aOR) = 1.65; 95% CI: 1.45-1.86,  $p < 0.001$ ), post-secondary education (aOR = 1.72; 95% CI: 1.44-2.06,  $p < 0.001$ ), and those employed (aOR = 1.32; 95% CI: 1.18-1.47,  $p < 0.001$ ). However, the odds were lower for men with a history of being married (currently married, divorced, separated, or widowed).

**CONCLUSION:**

Among adult men in the rural Nyanza region of Kenya, men with post-primary education and employed were more likely to be circumcised. VMMC programs should focus on specific sub-groups of men, including those aged 25-39 years who are married, divorced/separated/ widowed, and of low socio-economic status (low education and unemployed).

PMID: 28982175

DOI: [10.1371/journal.pone.0185872](https://doi.org/10.1371/journal.pone.0185872)

**Free full text**

<https://www.ncbi.nlm.nih.gov/pubmed/28978292>

*Afr J AIDS Res.* 2017 Sep;16(3):251-256. doi: 10.2989/16085906.2017.1369441.

**Factors associated with the take-up of voluntary medical male circumcision amongst learners in rural KwaZulu-Natal.**

George G<sup>1</sup>, Govender K<sup>1,2</sup>, Beckett S<sup>1</sup>, Montague C<sup>3</sup>, Frohlich J<sup>3</sup>.

**Author information**

1 Health Economics and HIV/AIDS Research Division (HEARD), University of KwaZulu-Natal, Durban, South Africa.

2 School of Psychology, University of KwaZulu-Natal, Durban, South Africa.

3Centre for the AIDS Programme of Research in South Africa (CAPRISA), KwaZulu-Natal, Durban, South Africa.

#### **Abstract**

Voluntary medical male circumcision (VMMC) is an integral part of South Africa's HIV prevention programme. School-going males, in particular, are considered a cost-effective target population. However, ambitious policy targets have not been achieved due to the plateau in demand for VMMC. This study documents the factors influencing demand for VMMC amongst school-going males. Data were collected from 750 learners (251 circumcised and 499 uncircumcised) from 42 secondary schools in KwaZulu-Natal, South Africa. There was a positive association between the perceived benefit of VMMC and the likelihood of undergoing circumcision (AOR: 1.41,  $p = 0.01$ ). There was a negative association between self-efficacy to use condoms and likelihood of undergoing VMMC (AOR: 0.75,  $p < 0.01$ ). Learners who perceived VMMC as having a number of health benefits, including reducing of the chances of contracting HIV and sexually transmitted infections (STIs), increasing penile hygiene and the belief that VMMC allows them to use condoms less frequently, were more likely to undergo VMMC. Of concern, learners who were confident in their ability to access condoms and to use a condom with their partner were less likely to undergo VMMC.

#### **KEYWORDS:**

HIV prevention; HIV/AIDS; South Africa; adolescent friendly health services; circumcision for HIV prevention; demand for circumcision

PMID: 28978292

DOI: [10.2989/16085906.2017.1369441](https://doi.org/10.2989/16085906.2017.1369441)

<https://www.ncbi.nlm.nih.gov/pubmed/26607929>

*AIDS Behav.* 2016 Nov;20(11):2543-2544.

#### **Higher Frequency of Unprotected Ingestive Anal Sex Among Young Black MSM Who are Circumcised.**

Frisch M<sup>1,2</sup>.

#### **Author information**

1 Department of Epidemiology Research, Statens Serum Institut, 5 Artillerivej, 2300, Copenhagen S, Denmark. [mfr@ssi.dk](mailto:mfr@ssi.dk).

2 Center for Sexology Research, Aalborg University, Aalborg, Denmark. [mfr@ssi.dk](mailto:mfr@ssi.dk).

#### **Comment on**

- [Circumcision Status is Not Associated with Condom Use and Prevalence of Sexually Transmitted Infections Among Young Black MSM, \[AIDS Behav. 2016\]](#)

PMID: 26607929

DOI: [10.1007/s10461-015-1251-3](https://doi.org/10.1007/s10461-015-1251-3)

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Brian J. Morris, DSc PhD FAHA  
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The University of Sydney  
Sydney, NSW 2006, Australia

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<b>Subject:</b>	RE: REVISION ATTACHED: Fwd: PLOS ONE Decision: Revision required [PONE-D-17-23346] - [EMID:041d2173f70a5e85]
<b>Date:</b>	2017/10/09 13:48:00
<b>Priority:</b>	Normal
<b>Type:</b>	Note

Hi Caryl,

Thanks for your continued work on this. Your responses look good. I added some suggestions to the attached.

One point to response: I would pull out the numbers from references 42-44 on HIV testing as a barrier to VMMC and add them to the text of the paper. As I recall, HIV testing is the greatest or one of the greatest barriers to VMMC. It is worth spelling out explicitly that HIV testing is a major barrier to VMMC, but it is needed for use PrePex unless such use is proven to be safe for HIV+ men, which we have just done. This is not some nuance of counselling messages; this study addresses a major barrier to VMMC.

Good luck with resubmission!

PK

---

**From:** Caryl Feldacker [mailto:cfeld@uw.edu]  
**Sent:** Friday, October 06, 2017 1:05 PM  
**To:** Scott Barnhart <sbht@uw.edu> [Redacted by agreement] Kilmarx, Peter (NIH/FIC) [E] <peter.kilmarx@nih.gov>; Herman-Roloff, Amy (CDC/CGH/DGHT) <bjy2@cdc.gov>  
**Subject:** REVISION ATTACHED: Fwd: PLOS ONE Decision: Revision required [PONE-D-17-23346] - [EMID:041d2173f70a5e85]  
**Importance:** High

Dear Prof, Scott, Peter and Amy,

Attached please find my revised HIV+ paper and my response to reviewers. I did significantly revise the conclusions and made meaningful changes throughout. This version is tracked, and we must submit a tracked version with the final clean copy. Please review my response letter and my revisions, paying special attention to the highlights and to the conclusion. I was responding to the reviewer who did not buy our argument against mandatory testing, so I hope that is a stronger conclusion. Use track changes if you edit, please.

Please try to have your comments back to me within 2 weeks. Otherwise, I will assume you are find with my changes and resubmit.

Thank you for your help in getting this published!  
Best,  
Caryl

---

**From:** Scott Barnhart [mailto:sbht@uw.edu]  
**Sent:** Wednesday, September 27, 2017 5:11 AM  
**To:** Caryl Feldacker <cfeld@uw.edu>  
**Cc:** Dr. Batsi Makunike-Chikwinya <bmakunike@itech-zimbabwe.org>; Marrienne M. Holec <mmholec@uw.edu>; [redacted by agreement] <[redacted]@pessy@zichire.org>  
**Subject:** Re: Fwd: PLOS ONE Decision: Revision required [PONE-D-17-23346] - [EMID:041d2173f70a5e85]

Great!

Scott Barnhart, MD, MPH  
Professor of Medicine and Global Health  
University of Washington

On Sep 27, 2017 2:05 PM, Caryl Feldacker <cfeld@uw.edu> wrote:  
Good news! I'll start working on the revision as soon as the RFA is finished.

Best,  
Caryl

Begin forwarded message:

**From:** "PLOS ONE" <em@editorialmanager.com>  
**Date:** September 27, 2017 at 2:29:26 AM PDT  
**To:** "Caryl Feldacker" <cfeld@uw.edu>  
**Subject:** PLOS ONE Decision: Revision required [PONE-D-17-23346] - [EMID:041d2173f70a5e85]  
**Reply-To:** PLOS ONE <plosone@plos.org>

PONE-D-17-23346

Safety and Efficacy of the PrePex Device in HIV-infected men: a single-arm study in Zimbabwe  
PLOS ONE

Dear Dr. Feldacker,

Thank you for submitting your manuscript to PLOS ONE. After careful consideration, we feel that it has merit but does not fully meet PLOS ONE's publication criteria as it currently stands. Therefore, we invite you to submit a revised version of the manuscript that addresses the points raised during the review process.

We would appreciate receiving your revised manuscript by Nov 11 2017 11:59PM. When you

are ready to submit your revision, log on to <http://pone.edmgr.com/> and select the 'Submissions Needing Revision' folder to locate your manuscript file.

If you would like to make changes to your financial disclosure, please include your updated statement in your cover letter.

To enhance the reproducibility of your results, we recommend that if applicable you deposit your laboratory protocols in [protocols.io](http://protocols.io), where a protocol can be assigned its own identifier (DOI) such that it can be cited independently in the future. For instructions see: <http://journals.plos.org/plosone/s/submission-guidelines#loc-laboratory-protocols>

Please include the following items when submitting your revised manuscript:

- A rebuttal letter that responds to each point raised by the academic editor and reviewer(s). This letter should be uploaded as separate file and labeled 'Response to Reviewers'.
- A marked-up copy of your manuscript that highlights changes made to the original version. This file should be uploaded as separate file and labeled 'Revised Manuscript with Track Changes'.
- An unmarked version of your revised paper without tracked changes. This file should be uploaded as separate file and labeled 'Manuscript'.

We look forward to receiving your revised manuscript.

Kind regards,

D William Cameron, MD  
Academic Editor  
PLOS ONE

Journal Requirements:

When submitting your revision, we need you to address these additional requirements.

1. Please ensure that your manuscript meets PLOS ONE's style requirements, including those for file naming. The PLOS ONE style templates can be found at [http://www.journals.plos.org/plosone/s/file?id=wjVg/PLOSOOne\\_formatting\\_sample\\_main\\_body.pdf](http://www.journals.plos.org/plosone/s/file?id=wjVg/PLOSOOne_formatting_sample_main_body.pdf) and [http://www.journals.plos.org/plosone/s/file?id=ba62/PLOSOOne\\_formatting\\_sample\\_title\\_authors\\_affiliations.pdf](http://www.journals.plos.org/plosone/s/file?id=ba62/PLOSOOne_formatting_sample_title_authors_affiliations.pdf)

2. Please amend your current ethics statement to address the following concerns:

- Did participants provide their written or verbal informed consent to participate in this study?
- If consent was verbal, please explain i) why written consent was not obtained, ii) how you documented participant consent, and iii) whether the ethics committees/IRB approved this consent procedure.

3. We note that you have indicated that data from this study are available upon request. PLOS only allows data to be available upon request if there are legal or ethical restrictions on sharing data publicly. For information on unacceptable data access restrictions, please see <http://journals.plos.org/plosone/s/data-availability#loc-unacceptable-data-access-restrictions>.

In your revised cover letter, please address the following prompts:

a) If there are ethical or legal restrictions on sharing a de-identified data set, please explain them in detail (e.g., data contain potentially identifying or sensitive patient information) and who has imposed them (e.g., an ethics committee). Please also provide contact information for a data access committee, ethics committee, or other institutional body to which data requests may be sent.

b) If there are no restrictions, please upload the minimal anonymized data set necessary to replicate your study findings as either Supporting Information files or to a stable, public repository and provide us with the relevant URLs, DOIs, or accession numbers. Please see <http://www.bmj.com/content/340/bmj.c181.long> for guidelines on how to de-identify and prepare clinical data for publication. For a list of acceptable repositories, please see <http://journals.plos.org/plosone/s/data-availability#loc-recommended-repositories>.

We will update your Data Availability statement on your behalf to reflect the information you provide.

4. Please include a separate caption for each figure in your manuscript.

5. We note you have included a table to which you do not refer in the text of your manuscript. Please ensure that you refer to Table 4 in your text; if accepted, production will need this reference to link the reader to the Table.

6. Please include captions for your Supporting Information files at the end of your manuscript, and update any in-text citations to match accordingly. Please see our Supporting Information guidelines for more information: <http://journals.plos.org/plosone/s/supporting-information>.

b(5)

Page 281 of 561 to Page 285 of 561

Withheld pursuant to exemption

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of the Freedom of Information and Privacy Act

Comments from PK  
October 9, 2017

PONE-D-17-23346  
Safety and Efficacy of the PrePex Device in HIV-infected men: a single-arm study in Zimbabwe  
PLOS ONE

Dear Dr. Feldacker,

Thank you for submitting your manuscript to PLOS ONE. After careful consideration, we feel that it has merit but does not fully meet PLOS ONE's publication criteria as it currently stands. Therefore, we invite you to submit a revised version of the manuscript that addresses the points raised during the review process.

We would appreciate receiving your revised manuscript by Nov 11 2017 11:59PM. When you are ready to submit your revision, log on to <http://pone.edmgr.com/> and select the 'Submissions Needing Revision' folder to locate your manuscript file.

If you would like to make changes to your financial disclosure, please include your updated statement in your cover letter.

To enhance the reproducibility of your results, we recommend that if applicable you deposit your laboratory protocols in protocols.io, where a protocol can be assigned its own identifier (DOI) such that it can be cited independently in the future. For instructions see: <http://journals.plos.org/plosone/s/submission-guidelines#loc-laboratory-protocols>

Please include the following items when submitting your revised manuscript:

- A rebuttal letter that responds to each point raised by the academic editor and reviewer(s). This letter should be uploaded as separate file and labeled 'Response to Reviewers'.
- A marked-up copy of your manuscript that highlights changes made to the original version. This file should be uploaded as separate file and labeled 'Revised Manuscript with Track Changes'.
- An unmarked version of your revised paper without tracked changes. This file should be uploaded as separate file and labeled 'Manuscript'.

We look forward to receiving your revised manuscript.

Kind regards,

D William Cameron, MD  
Academic Editor  
PLOS ONE

Journal Requirements:

Page 287 of 561 to Page 295 of 561

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<b>From:</b>	Kilmarx, Peter (NIH/FIC) [E] </O=EXCHANGELABS/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=E4ECF449BD7044BA9FD585C8DA4D47B4-KILMARXPH>
<b>To:</b>	Officer, Jackie (NIH/FIC) [E] /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=8f79d039e79844c0932985349a2921d6-officerjm <jackie.officer@nih.gov>; Collins, Dexter (NIH/FIC) [E] /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=466875329d1644fbbc76c0fd04363f6d-collinsd <collinsd@mail.nih.gov>; Bridbord, Ken (NIH/FIC) [E] /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=faa0977cecee4195a15bc1527b51a472-bridbord <bridbord@ficod.fic.nih.gov>; Katz, Flora (NIH/FIC) [E] /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=b14300668a5843ca91afa75e1d2368e8-katzf <katzf@mail.nih.gov>; Anand, Nalini (NIH/FIC) [E] /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=ccacfb05a5d44358a0f9022b7553f072-anandn <anandn@mail.nih.gov>; Puderbaugh, Ann (NIH/FIC) [E] /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=903639684fe84ad48c32e9447c951460-puderba <puderba@mail.nih.gov>; Spiro, David (NIH/FIC) [E] /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=cfe0d2b827c94f73ad0df4d1ca369322-spirodj <david.spiro@nih.gov>; Eiss, Robert (NIH/FIC) [E] /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=707887ee7ba548e1a0ae55f683ce2017-eissr <eissr@mail.nih.gov>
<b>Subject:</b>	RE: WF 367515 - FYI ONLY - George Denniston writes to Dr. Collins about a project, funded by the Gates Foundation, which operates on men in Africa (circumcision) to prevent the spread of AIDS
<b>Date:</b>	2017/09/12 15:29:00
<b>Priority:</b>	Normal
<b>Type:</b>	Note

Dr. Collins could proudly affirm:

1. • It was large part NIH research that proved medical male circumcision (MMC) substantially reduces the risk of female-to-male HIV transmission.
2. • The U.S. government is the largest funder of MMC (not the Gates Foundation).
3. • The numbers of men accessing MMC in Africa are in the millions (not hundreds of thousands).
4. • (And, incidentally, unsafe injection accounts for a few percent of HIV transmission in Africa, not 30%).

PK

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**From:** Officer, Jackie (NIH/FIC) [E]  
**Sent:** Tuesday, September 12, 2017 2:59 PM  
**To:** Collins, Dexter (NIH/FIC) [E] <collinsd@mail.nih.gov>; Bridbord, Ken (NIH/FIC) [E] <bridbord@ficod.fic.nih.gov>; Kilmarx, Peter (NIH/FIC) [E] <peter.kilmarx@nih.gov>; Katz, Flora (NIH/FIC) [E] <katzf@mail.nih.gov>; Anand, Nalini (NIH/FIC) [E] <anandn@mail.nih.gov>; Puderbaugh, Ann (NIH/FIC) [E] <puderba@mail.nih.gov>; Spiro, David (NIH/FIC) [E] <david.spiro@nih.gov>; Eiss, Robert (NIH/FIC) [E] <eissr@mail.nih.gov>  
**Subject:** WF 367515 - FYI ONLY - George Denniston writes to Dr. Collins about a project, funded by the Gates Foundation, which operates on men in Africa (circumcision) to prevent the spread of AIDS



FYI's to FIC and OAR. Assigned to NIAID for Direct Reply. Thanks

<b>Sender:</b>	Kilmarx, Peter (NIH/FIC) [E] </O=EXCHANGELABS/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=E4ECF449BD7044BA9FD585C8DA4D47B4-KILMARXPH>
<b>Recipient:</b>	Officer, Jackie (NIH/FIC) [E] /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=8f79d039e79844c0932985349a2921d6-officerjm <jackie.officer@nih.gov>; Collins, Dexter (NIH/FIC) [E] /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=466875329d1644fbbc76c0fd04363f6d-collinsd <collinsd@mail.nih.gov>; Bridbord, Ken (NIH/FIC) [E] /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=faa0977cecee4195a15bc1527b51a472-bridbord <bridbord@ficod.fic.nih.gov>; Katz, Flora (NIH/FIC) [E] /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=b14300668a5843ca91afa75e1d2368e8-katzf <katzf@mail.nih.gov>; Anand, Nalini (NIH/FIC) [E] /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=ccacfb05a5d44358a0f9022b7553f072-anandn <anandn@mail.nih.gov>; Puderbaugh, Ann (NIH/FIC) [E] /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=903639684fe84ad48c32e9447c951460-puderba <puderba@mail.nih.gov>; Spiro, David (NIH/FIC) [E] /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=cfe0d2b827c94f73ad0df4d1ca369322-spirodj <david.spiro@nih.gov>; Eiss, Robert (NIH/FIC) [E] /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=707887ee7ba548e1a0ae55f683ce2017-eissr <eissr@mail.nih.gov>
<b>Sent Date:</b>	2017/09/12 15:29:40
<b>Delivered Date:</b>	2017/09/12 15:29:00

<b>From:</b>	Kilmarx, Peter (NIH/FIC) [E] </O=EXCHANGELABS/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=E4ECF449BD7044BA9FD585C8DA4D47B4-KILMARXPH>
<b>To:</b>	Zeitvogel, Karin (NIH/FIC) [C] /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=88c10b27b17344b8a564d9a5fd55db6e-zeitvogelkd <karin.zeitvogel@nih.gov>; Puderbaugh, Ann (NIH/FIC) [E] /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=903639684fe84ad48c32e9447c951460-puderba <puderba@mail.nih.gov>
<b>Subject:</b>	FW: IAS 2017: A week in review
<b>Date:</b>	2017/07/28 15:57:00
<b>Priority:</b>	Normal
<b>Type:</b>	Note

More - was in my junk email folder.

PK

-----Original Message-----

From: IAS 2017 [mailto:conference.news@ias2017.org]  
Sent: Thursday, July 27, 2017 6:18 AM  
To: Kilmarx, Peter (NIH/FIC) [E] <peter.kilmarx@nih.gov>  
Subject: IAS 2017: A week in review

It's been an extraordinary week for HIV science. An extraordinary week for the HIV response.  
9th IAS Conference on HIV Science

View this email in your browser <<http://mailchi.mp/iasociety/ias-2017-daily-digest-monday-24-july-9754337e=bdf6fe42bc>>  
<<http://iasociety.us6.list-manage.com/track/click?u=3746d12bbc6932846a58d505c&id=6b128375728e=bdf6fe42bc>>  
<<https://gallery.mailchimp.com/3746d12bbc6932846a58d505c/images/3a141f18-fd4e-477e-922a-8164aa12401d.jpg>>  
<<http://iasociety.us6.list-manage2.com/track/click?u=3746d12bbc6932846a58d505c&id=4925ff27b28e=bdf6fe42bc>>  
<<http://iasociety.us6.list-manage1.com/track/click?u=3746d12bbc6932846a58d505c&id=1b905cbcb8a&e=bdf6fe42bc>>  
<<http://iasociety.us6.list-manage.com/track/click?u=3746d12bbc6932846a58d505c&id=a8b5df6f5c&e=bdf6fe42bc>>  
<<http://iasociety.us6.list-manage1.com/track/click?u=3746d12bbc6932846a58d505c&id=42f48b2a4b&e=bdf6fe42bc>>

THANK YOU FOR BEING A PART OF IAS 2017

It's been an extraordinary week for HIV science. An extraordinary week for the HIV response. The 9th International AIDS Society Conference on HIV Science <<http://iasociety.us6.list-manage1.com/track/click?u=3746d12bbc6932846a58d505c&id=14eac3726f8e=bdf6fe42bc>> (IAS 2017) in Paris has come to an end, with nearly 8,000 researchers, advocates, policy makers, funders and community leaders from more than 140 countries.

Thank you to everyone who participated in moving HIV science into policy and practice. To end the week, here's a quick summary of some of what made news inside the Palais des Congrès, and our recap of the top five stories generating global attention from IAS 2017.

1. The future is injectable, as treatment for HIV and its co-infections continues to improve The quest for better, easier-to-take treatments for HIV and related diseases continued its advance this week at IAS 2017. Results from the 96-week LATTE-2 study <<http://iasociety.us6.list-manage.com/track/click?u=3746d12bbc6932846a58d505c&id=3296b508168e=bdf6fe42bc>> on a long-acting, injectable HIV treatment regimen raised the prospect that monthly or bimonthly injections of antiretroviral therapy (ART) could soon replace daily pills for people living with HIV, while a report from HPTN 077 <<http://iasociety.us6.list-manage.com/track/click?u=3746d12bbc6932846a58d505c&id=5acd9014ad8e=bdf6fe42bc>> supports the prospect of long-acting injectable pre-exposure prophylaxis (PrEP) as well. A faster and less expensive treatment for HIV-associated cryptococcal meningitis <<http://iasociety.us6.list-manage.com/track/click?u=3746d12bbc6932846a58d505c&id=412b8446648e=bdf6fe42bc>> could revolutionize treatment for the infection, which kills more than 100,000 people living with HIV each year. And the TAC ANRS 12311 study <<http://iasociety.us6.list-manage.com/track/click?u=3746d12bbc6932846a58d505c&id=3beef066e0&e=bdf6fe42bc>> showed that direct-acting antiviral therapies are safe and effective for treating hepatitis C in sub-Saharan Africa, including for people co-infected with HIV.

2. The prevention toolbox goes bigger and broader, too A Phase 1/2a vaccine study called APPROACH <<http://iasociety.us6.list-manage1.com/track/click?u=3746d12bbc6932846a58d505c&id=8d68c2894a&e=bdf6fe42bc>> evaluated seven different "prime boost" HIV vaccine regimens and identified the most promising candidate for an upcoming proof-of-concept efficacy study. A new subgroup analysis of the IPERGAY study <<http://iasociety.us6.list-manage1.com/track/click?u=3746d12bbc6932846a58d505c&id=380cd58620&e=bdf6fe42bc>> supports the on-demand use of PrEP for men who have sex with men (MSM), even if they have sex less frequently. Evidence builds that HIV self-testing can play a vital role in supporting rapid linkage to care for key populations, including female sex workers <<http://iasociety.us6.list>>

<http://iasociety.us6.list-manage.com/track/click?u=3746d12bbc6932846a58d505c&id=961917efe38e&e=ddf6fe42bc> . And a large study in serodiscordant MSM couples <http://iasociety.us6.list-manage.com/track/click?u=3746d12bbc6932846a58d505c&id=8906c13388&e=ddf6fe42bc> supports the growing body of evidence that "Undetectable = Untransmittable" (U=U).

### 3. Meeting targets saves lives

On the eve of IAS 2017, UNAIDS announced <http://iasociety.us6.list-manage.com/track/click?u=3746d12bbc6932846a58d505c&id=cf93469cbb&e=ddf6fe42bc> that a record-setting 53% of adults living with HIV worldwide were accessing therapy in 2016, and AIDS-related deaths have dropped by nearly 50% since 2005. As a growing number of countries reach or approach the 90-90-90 targets, however, numerous studies here highlighted regions, countries and populations that are not receiving the benefits of advances in HIV prevention and treatment. A breakthrough study featured new data from Swaziland <http://iasociety.us6.list-manage.com/track/click?u=3746d12bbc6932846a58d505c&id=8dbba0ffa2&e=ddf6fe42bc> demonstrating the remarkable nationwide impact of expanded HIV prevention and treatment in the nation with the highest HIV incidence in the world. The incidence of HIV in that country has almost halved in the past five years.

4. Exploring the links between HIV cure and cancer Identifying new strategies to achieve the long-term remission or cure of HIV infection, courtesy of collaborations between HIV and cancer research, was the focus of a standing-room-only pre-conference meeting, the IAS HIV Cure & Cancer Forum <http://iasociety.us6.list-manage1.com/track/click?u=3746d12bbc6932846a58d505c&id=b1b4de8a9f&e=ddf6fe42bc> . Sponsored by the IAS Towards an HIV Cure initiative <http://iasociety.us6.list-manage.com/track/click?u=3746d12bbc6932846a58d505c&id=652436e99e&e=ddf6fe42bc> , the Forum was the first major scientific gathering to address the links between, and potential synergies in the treatment of HIV and cancer.

### 5. Science matters... and so does money.

IAS set the tone for the week with the launch of the Paris Statement <http://iasociety.us6.list-manage.com/track/click?u=3746d12bbc6932846a58d505c&id=03e653963b&e=ddf6fe42bc> , which reiterated that "scientific knowledge is the backbone of the HIV response" and called for "an unfaltering commitment to research." "A golden age in HIV science" was the catchphrase heard repeatedly throughout the week. But IAS President Linda-Gail Bekker warned in her speech <http://iasociety.us6.list-manage.com/track/click?u=3746d12bbc6932846a58d505c&id=46599d218d&e=ddf6fe42bc> at the opening ceremony that if the proposed funding cuts by the US administration to HIV programmes and research become a reality, the impact on current and future scientific advances would be "devastating" for the global response to the epidemic. A new Kaiser Family Foundation/UNAIDS report <http://iasociety.us6.list-manage.com/track/click?u=3746d12bbc6932846a58d505c&id=84a67f9ece&e=ddf6fe42bc> showed that donor government funding for HIV fell 7% last year to the lowest level since 2010, while the Resource Tracking Working Group <http://iasociety.us6.list-manage1.com/track/click?u=3746d12bbc6932846a58d505c&id=d72dce4880&e=ddf6fe42bc> found the lowest annual investment in HIV prevention R&D in more than a decade. Advocates and policy makers meeting here called for concerted efforts to reverse the cuts and promote the tremendous impact, seen in hundreds of presentations at IAS 2017, of investing in HIV R&D, treatment and prevention.

See below for more resources and materials that came out of IAS 2017. We look forward to seeing you at the 22nd International AIDS Conference <http://iasociety.us6.list-manage.com/track/click?u=3746d12bbc6932846a58d505c&id=e492638536&e=ddf6fe42bc> (AIDS 2018) in Amsterdam, Netherlands.

<http://iasociety.us6.list-manage2.com/track/click?u=3746d12bbc6932846a58d505c&id=f7bf96fdb1&e=ddf6fe42bc>  
<http://iasociety.us6.list-manage1.com/track/click?u=3746d12bbc6932846a58d505c&id=33c92bce07&e=ddf6fe42bc>  
<http://iasociety.us6.list-manage.com/track/click?u=3746d12bbc6932846a58d505c&id=3d8fab6a658&e=ddf6fe42bc>  
<http://iasociety.us6.list-manage.com/track/click?u=3746d12bbc6932846a58d505c&id=f20c60e76a&e=ddf6fe42bc>  
<http://iasociety.us6.list-manage.com/track/click?u=3746d12bbc6932846a58d505c&id=c8d40672fa&e=ddf6fe42bc>  
IAS 2017 FOLLOW-UP WEBINARS

Clinical Care Options and the IAS present live CME/CE-certified webinars IAS President-Elect Anton Pozniak will explore how data presented at IAS 2017 may affect patient care strategies and will answer your questions today (27 July 2017) at 9:00 PDT/12:00 EDT/18:00 CEST. Click here <http://iasociety.us6.list-manage.com/track/click?u=3746d12bbc6932846a58d505c&id=178f461be0&e=ddf6fe42bc> to register (it's free!) and submit questions, or visit CCO's coverage of IAS 2017 <http://iasociety.us6.list-manage.com/track/click?u=3746d12bbc6932846a58d505c&id=8603135d44&e=ddf6fe42bc> at next week to download the slides and an audio recording.

### IAS 2017 IN THE HEADLINES

What can science learn from a child who has controlled HIV without drugs for more than 8 years?

— Science <http://iasociety.us6.list-manage.com/track/click?u=3746d12bbc6932846a58d505c&id=ff7ea644e3&e=ddf6fe42bc>

Monthly shot could be the 'next revolution' in HIV therapy, replacing daily pills

— The Washington Post <<http://iasociety.us6.list-manage.com/track/click?u=3746d12bbc6932846a58d505c&id=5faeef145f8e=bd66fe42bc>>

Undetectable viral load "completely effective" at stopping HIV transmission, study finds  
— BuzzFeed News <<http://iasociety.us6.list-manage.com/track/click?u=3746d12bbc6932846a58d505c&id=74d95c2b5a8e=bd66fe42bc>>

Sida: le Swaziland, pays le plus touché au monde, a divisé par deux les contaminations  
— Agence France Presse <<http://iasociety.us6.list-manage.com/track/click?u=3746d12bbc6932846a58d505c&id=3f8c71365d8e=bd66fe42bc>>

#### YESTERDAY AT IAS 2017: WHAT YOU MISSED

<<https://gallery.mailchimp.com/3746d12bbc6932846a58d505c/images/9bb4131e-8791-4c64-9b09-5104c6932cc4.jpg>>  
Real-world solutions for fighting HIV among young people IAS President Linda-Gail Bekker began the final official IAS 2017 press conference with a round of applause for the more than 400 journalists who attended and reported on the conference, and the countless additional reporters who followed the news on the IAS 2017 Live Feed. <<http://iasociety.us6.list-manage.com/track/click?u=3746d12bbc6932846a58d505c&id=9ce5af11588e=bd66fe42bc>> That global media attention keeps the world focused on HIV, and the science-driven strategies that can defeat it.

Focusing the final briefing on HIV among young people was appropriate, Bekker noted, because it means we leave Paris with their needs fresh on our minds. Citing the disproportionate global impact of HIV on young people, and especially young women, South African HIV director Yogan Pillay reminded the audience that HIV is "a social disease as much as a biomedical disease," and that keeping young girls in school, reducing unwanted pregnancies, addressing sexual and gender violence and creating economic opportunities for young people are all key to halting the epidemic. Kate Thomson discussed a new Global Fund strategy to scale up gender responsive HIV and sexual and reproductive health programmes for young people, and support young people to engage in decision-making on programmes that will affect their lives. Speaking of how her personal experience informs her work as a peer counsellor, IAS Youth Ambassador Shanine Mushonga of Zimbabwe said, "What rings true is the passion of my peers when I was lost, and didn't know what was happening to my body." And Anna Grimsrud of the IAS spoke on the need to make HIV treatment and other services more accessible for young people and their families through innovative differentiated care strategies tailored to their lives and needs.

<<https://gallery.mailchimp.com/3746d12bbc6932846a58d505c/images/c771638b-023f-44fd-aa46-8a1b0065e078.jpg>>  
Women, girls and HIV

New research on HIV and STI prevention presented at IAS 2017 could impact the lives of women at risk worldwide. Among the presentations creating buzz on Wednesday were:

- \* A cross-sectional analysis of more than 4,700 women in South Africa evaluating differences in HIV and STI rates between women whose partners were circumcised or uncircumcised. The report provides critical evidence that male circumcision presents health benefits for women as well as men. (TUAC0404 <<http://iasociety.us6.list-manage.com/track/click?u=3746d12bbc6932846a58d505c&id=478bb7f5aa8e=bd66fe42bc>> )
- \* An analysis from the MTN-020/ASPIRE study of the dapivirine vaginal ring. This large study, one of the few to evaluate STI risk among users of hormonal implants and intrauterine devices (IUDs), which are commonly used in many African settings, analysed STI acquisition risk among women using different popular contraceptive methods. (WEPDC0102 <<http://iasociety.us6.list-manage2.com/track/click?u=3746d12bbc6932846a58d505c&id=d9922985138e=bd66fe42bc>> )
- \* New data from South Africa supporting community-based counselling and testing for adolescent girls and young women (AGYW). AGYW in South Africa have an HIV incidence rate four times higher than their male counterparts, but also had the highest testing uptake of any age groups of either gender in this study involving more than 660,000 people. (TUAC0201 <<http://iasociety.us6.list-manage1.com/track/click?u=3746d12bbc6932846a58d505c&id=f5b4eeebaf8e=bd66fe42bc>> )

Turning to the prevention of HIV transmission in childbirth, attendees heard about:

- \* A Thai study offering encouraging data about the ability of the integrase inhibitor raltegravir to block HIV perinatal transmission among late-presenting HIV-positive pregnant women (WEAC0202 <<http://iasociety.us6.list-manage.com/track/click?u=3746d12bbc6932846a58d505c&id=14da66bb5d8e=bd66fe42bc>> ); a Brazilian study of late-presenting pregnant women that found that raltegravir was associated with significantly higher rate of undetectable viral load at delivery and lower incidence of adverse events, as compared with lopinavir/ritonavir (WEAC0201 <<http://iasociety.us6.list-manage1.com/track/click?u=3746d12bbc6932846a58d505c&id=e5a12577518e=bd66fe42bc>> ); and a large French cohort study that found no significant association between 1st trimester exposure to raltegravir and birth defects. (MOAB0204 <<http://iasociety.us6.list-manage.com/track/click?u=3746d12bbc6932846a58d505c&id=1f07dab7e58e=bd66fe42bc>> )

#### COMMUNITY CORNER

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The global HIV/AIDS epidemic: progress and challenges – editorial and this week's cover #IAS2017 <<http://iasociety.us6.list-manage.com/track/click?u=3746d12bbc6932846a58d505c&id=8dd09a75438&e=bd6fe42bc>> #UNAIDS <<http://iasociety.us6.list-manage.com/track/click?u=3746d12bbc6932846a58d505c&id=20738ca8a58&e=bd6fe42bc>> <https://t.co/auYd1dOtdm> <<http://iasociety.us6.list-manage.com/track/click?u=3746d12bbc6932846a58d505c&id=f6e0e95f8&e=bd6fe42bc>> [pic.twitter.com/MERBFjtT47](https://pic.twitter.com/MERBFjtT47) <<http://iasociety.us6.list-manage.com/track/click?u=3746d12bbc6932846a58d505c&id=d5a4c0d822&e=bd6fe42bc>>

— The Lancet (@TheLancet) July 21, 2017 <<http://iasociety.us6.list-manage.com/track/click?u=3746d12bbc6932846a58d505c&id=d0425f05a7&e=bd6fe42bc>>

Study tested 3 placebo #MPTs <<http://iasociety.us6.list-manage.com/track/click?u=3746d12bbc6932846a58d505c&id=7e9a9dedd4&e=bd6fe42bc>> in women in SA & Kenya, injectables rated most highly, then rings, then pills. #IAS2017 <<http://iasociety.us6.list-manage.com/track/click?u=3746d12bbc6932846a58d505c&id=916a73e29e8&e=bd6fe42bc>> <https://t.co/zp0JFHPs2A> <<http://iasociety.us6.list-manage.com/track/click?u=3746d12bbc6932846a58d505c&id=7f50a56b01&e=bd6fe42bc>>

— Chelsea Polis, PhD (@cbpolis) July 26, 2017 <<http://iasociety.us6.list-manage.com/track/click?u=3746d12bbc6932846a58d505c&id=0dbdd5cd4c&e=bd6fe42bc>>

MSF launches new algorithms for decision making to treat #AIDS <<http://iasociety.us6.list-manage.com/track/click?u=3746d12bbc6932846a58d505c&id=e0639abe22&e=bd6fe42bc>> patients in low resource settings; complement to new @WHO <<http://iasociety.us6.list-manage.com/track/click?u=3746d12bbc6932846a58d505c&id=1907f7676e&e=bd6fe42bc>> guidelines #IAS2017 <<http://iasociety.us6.list-manage.com/track/click?u=3746d12bbc6932846a58d505c&id=869bfb3662&e=bd6fe42bc>> [pic.twitter.com/nbHD7k69n5](https://pic.twitter.com/nbHD7k69n5) <<http://iasociety.us6.list-manage.com/track/click?u=3746d12bbc6932846a58d505c&id=a48d4105d2&e=bd6fe42bc>>

— MSF HIV (@MSF\_HIV) July 23, 2017 <<http://iasociety.us6.list-manage.com/track/click?u=3746d12bbc6932846a58d505c&id=88a3bb119d&e=bd6fe42bc>>

It's been a momentous week for the #UequalsU <<http://iasociety.us6.list-manage.com/track/click?u=3746d12bbc6932846a58d505c&id=35a09add5e&e=bd6fe42bc>> message with so much support coming out at #IAS2017 <<http://iasociety.us6.list-manage.com/track/click?u=3746d12bbc6932846a58d505c&id=69e4e10f4a&e=bd6fe42bc>> . Onwards and upwards #HIVTIP <<http://iasociety.us6.list-manage.com/track/click?u=3746d12bbc6932846a58d505c&id=d296f1b9408&e=bd6fe42bc>> [pic.twitter.com/upSIDKoZmA](https://pic.twitter.com/upSIDKoZmA) <<http://iasociety.us6.list-manage.com/track/click?u=3746d12bbc6932846a58d505c&id=3b88000d56&e=bd6fe42bc>>

— Andrew Kev Goyvaerts (@PMovingOnCICCEO) July 26, 2017 <<http://iasociety.us6.list-manage.com/track/click?u=3746d12bbc6932846a58d505c&id=95b3dd0056&e=bd6fe42bc>>

"It's now or never... We have a window of opportunity to end #AIDS <<http://iasociety.us6.list-manage.com/track/click?u=3746d12bbc6932846a58d505c&id=088ee7f2a8&e=bd6fe42bc>> ," says @MichelSidibe <<http://iasociety.us6.list-manage.com/track/click?u=3746d12bbc6932846a58d505c&id=2f07046dde&e=bd6fe42bc>> at #IAS2017 <<http://iasociety.us6.list-manage.com/track/click?u=3746d12bbc6932846a58d505c&id=ce53742f62&e=bd6fe42bc>> . Watch live: <https://t.co/xQE88TorYx> <<http://iasociety.us6.list-manage.com/track/click?u=3746d12bbc6932846a58d505c&id=98b8ce8e7f&e=bd6fe42bc>> [pic.twitter.com/GUOXEQnRPS](https://pic.twitter.com/GUOXEQnRPS) <<http://iasociety.us6.list-manage.com/track/click?u=3746d12bbc6932846a58d505c&id=7eb9d1aeeb&e=bd6fe42bc>>

— UNAIDS (@UNAIDS) July 23, 2017 <<http://iasociety.us6.list-manage.com/track/click?u=3746d12bbc6932846a58d505c&id=fb7afac36&e=bd6fe42bc>>

Dr. Jeffrey Joy from the @bccfe <<http://iasociety.us6.list-manage.com/track/click?u=3746d12bbc6932846a58d505c&id=bf482baf94&e=bd6fe42bc>> explains phylogenetic clustering of HIV

in Canada at #IAS2017 <<http://iasociety.us6.list-manage2.com/track/click?u=3746d12bbc6932846a58d505c&id=75157c1c1d&e=bdf6fe42bc>> . @IAS\_conference  
<<http://iasociety.us6.list-manage1.com/track/click?u=3746d12bbc6932846a58d505c&id=6a32f670da&e=bdf6fe42bc>> pic.twitter.com/DEhdORqqgE  
<<http://iasociety.us6.list-manage.com/track/click?u=3746d12bbc6932846a58d505c&id=31256db20e&e=bdf6fe42bc>>

— Ana Cecilia Ulloa (@ACUlloa) July 26, 2017 <<http://iasociety.us6.list-manage.com/track/click?u=3746d12bbc6932846a58d505c&id=92a5c9646e&e=bdf6fe42bc>>

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For more highlights from the web and social media check out the Crowd360 Digital Hub.

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<<https://gallery.mailchimp.com/3746d12bbc6932846a58d505c/images/4f1c7799-8623-4fec-823e-7e0ac2b63adf.png>>

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<<http://iasociety.us6.list-manage.com/track/open.php?u=3746d12bbc6932846a58d505c&id=0bafb3a45a&e=bdf6fe42bc>>

<b>Sender:</b>	Kilmarx, Peter (NIH/FIC) [E] /o=EXCHANGELABS/ou=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/cn=RECIPIENTS/cn=E4ECF449BD7044BA9FD585C8DA4D47B4-KILMARXPH>
<b>Recipient:</b>	Zeitvogel, Karin (NIH/FIC) [C] /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=88c10b27b17344b8a564d9a5fd55db6e-zeitvogelkd <karin.zeitvogel@nih.gov>; Puderbaugh, Ann (NIH/FIC) [E] /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=903639684fe84ad48c32e9447c951460-puderba <puderba@mail.nih.gov>
<b>Sent Date:</b>	2017/07/28 15:57:07
<b>Delivered Date:</b>	2017/07/28 15:57:00

<b>From:</b>	Kilmarx, Peter (NIH/FIC) [E] /O=EXCHANGELABS/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=E4ECF449BD7044BA9FD585C8DA4D47B4-KILMARXPH <peter.kilmarx@nih.gov>
<b>To:</b>	Caryl Feldacker <cfeld@uw.edu>
<b>Subject:</b>	Re: Decision on your submission to [REDACTED]
<b>Date:</b>	2017/05/23 23:07:56
<b>Priority:</b>	Normal
<b>Type:</b>	Note

Hope so!

On May 23, 2017, at 10:31 PM, Caryl Feldacker <cfeld@uw.edu> wrote:

I did send BMC a quick note. I am hoping [REDACTED] may be positive. Holding out hope!

---

**From:** Kilmarx, Peter (NIH/FIC) [E] [mailto:peter.kilmarx@nih.gov]

**Sent:** Tuesday, May 23, 2017 1:20 PM

**To:** Caryl Feldacker <cfeld@uw.edu>

**Subject:** Re: Decision on your submission to [REDACTED]

Up to you of course [REDACTED] can be slow - FYI.

On May 23, 2017, at 10:12 PM, Caryl Feldacker <cfeld@uw.edu> wrote:

I do not know. I feel like they have been less than reasonable with the initial submission and revision process. I am happy to try, however. I am currently revising the paper, yet again, with this latest 6 months of data.

---

**From:** Kilmarx, Peter (NIH/FIC) [E] [mailto:peter.kilmarx@nih.gov]

**Sent:** Tuesday, May 23, 2017 1:06 PM

**To:** Caryl Feldacker <cfeld@uw.edu>

**Cc:** Scott Barnhart <sbht@uw.edu>; Batsi Makunike <bmakunike@itech-zimbabwe.org>; Herman-Roloff, Amy (CDC/CGH/DGHT) <bjy2@cdc.gov>; Marrienne M. Holec <mmholec@uw.edu>

**Subject:** Re: Decision on your submission to [REDACTED]

Is it worth reminding them of this? That it is not a simple resubmission?

PK

On May 23, 2017, at 5:58 PM, Caryl Feldacker <cfeld@uw.edu> wrote:

It was submitted as research and now, decreased by 3000 words, as a debate to another [REDACTED]

---

**From:** Kilmarx, Peter (NIH/FIC) [E] [mailto:peter.kilmarx@nih.gov]  
**Sent:** Tuesday, May 23, 2017 8:36 AM  
**To:** Caryl Feldacker <cfeld@uw.edu>  
**Cc:** Scott Barnhart <sbht@uw.edu>; Batsi Makunike <bmakunike@itech-zimbabwe.org>; Herman-Roloff, Amy (CDC/CGH/DGHT) <bjy2@cdc.gov>; Marrienne M. Holec <mmholec@uw.edu>  
**Subject:** Re: Decision on your submission to (b)(4); (b)(6)

Hi Caryl and sorry this is so challenging. I'm confused. Are they incorrect when they said it is a resubmission of the same paper?

Thanks,  
PK

Peter Kilmarx, Deputy Director  
Fogarty International Center, NIH  
RADM, U.S. Public Health Service

On May 23, 2017, at 5:17 PM, Caryl Feldacker <cfeld@uw.edu> wrote:

Just ridiculous. It was rejected as research by (b)(4); (b)(6) so we tried as a debate. Different papers. This is infuriating.

-----Original Message-----

(b)(4); (b)(6)

(b)(4); (b)(6)

Sent: Tuesday, May 23, 2017 7:24 AM

To: Caryl Feldacker <cfeld@uw.edu>

Subject: Decision on your submission to (b)(4); (b)(6)

(b)(4); (b)(6)



(b)(4); (b)(6)



<b>Sender:</b>	Kilmarx, Peter (NIH/FIC) [E] /O=EXCHANGELABS/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=E4ECF449BD7044BA9FD585C8DA4D47B4-KILMARXPH <peter.kilmarx@nih.gov>
<b>Recipient:</b>	Caryl Feldacker <cfeld@uw.edu>
<b>Sent Date:</b>	2017/05/23 23:07:55
<b>Delivered Date:</b>	2017/05/23 23:07:56

<b>From:</b>	Kilmarx, Peter (NIH/FIC) [E] /O=EXCHANGELABS/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=E4ECF449BD7044BA9FD585C8DA4D47B4-KILMARXPH <peter.kilmarx@nih.gov>
<b>To:</b>	Caryl Feldacker <cfeld@uw.edu>
<b>CC:</b>	Scott Barnhart <sbht@uw.edu>; Marriane M. Holec <mmholec@uw.edu>; Batsi Makunike <bmakunike@itech-zimbabwe.org>; Herman-Roloff, Amy (CDC/CGH/DGHT) /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=b54f29f69b2a4157bb12e5a92e33aced-bjy2 <bjy2@cdc.gov>; Mufuta Tshimanga [Redacted by agreement] [Redacted by agreement] [Redacted by agreement]
<b>Subject:</b>	Re: Prepex among HIV+ men for CDC review
<b>Date:</b>	2017/05/03 20:43:06
<b>Priority:</b>	Normal
<b>Type:</b>	Note

Thanks Caryl and good luck with revision.

Peter Kilmarx, Deputy Director  
Fogarty International Center, NIH  
RADM, U.S. Public Health Service

On May 3, 2017, at 5:06 PM, Caryl Feldacker <cfeld@uw.edu> wrote:

Hi All,

I will take a first stab at the comments and send around a revised paper in the coming week or two. Nothing too major, but still time consuming.

Best,  
Caryl

From: Masawi, Muchada (CDC/CGH/DGHT) [mailto:hok2@cdc.gov] On Behalf Of ZIM-ADS (CDC)  
Sent: Tuesday, May 2, 2017 11:18 PM  
To: Caryl Feldacker <cfeld@uw.edu>; ZIM-ADS (CDC) <zimads@cdc.gov>  
Cc: Mandisarisa, John (CDC/CGH/DGHT) <HOK3@cdc.gov>; Balachandra, Shirish (CDC/CGH/DGHT) <ymxl@cdc.gov>; Rogers, John H. (CDC/CGH/DGHT) <yet6@cdc.gov>  
Subject: RE: Prepex among HIV+ men for CDC review

Hi Caryl,

Please find attached comments on the above. As usual, please revise and resubmit to us a clean and a tracked version of the manuscript.

Thank you.

Best regards,  
Muchada

[Description: Description: Description: CDC logo for email  
aw]<<http://www.cdc.gov/globalhealth/countries/zimbabwe/>>Muchada Masawi  
ADS/COAG Analyst, Zimbabwe  
U.S. Centers for Disease Control and Prevention (CDC)  
+263 (0) 772-129-118 [mmasawi@cdc.gov](mailto:mmasawi@cdc.gov)<<mailto:mmasawi@cdc.gov>>  
[www.cdc.gov/globalhealth/countries/zimbabwe](http://www.cdc.gov/globalhealth/countries/zimbabwe/)<[http://www.cdc.gov/globalhealth/countries/zimbabwe](http://www.cdc.gov/globalhealth/countries/zimbabwe/)>

From: Caryl Feldacker [<mailto:cfeld@uw.edu>]  
Sent: Wednesday, April 26, 2017 6:27 AM  
To: ZIM-ADS (CDC) <[zimads@cdc.gov](mailto:zimads@cdc.gov)<<mailto:zimads@cdc.gov>>>  
Cc: Mandisarisa, John (CDC/CGH/DGHT) <[HOK3@cdc.gov](mailto:HOK3@cdc.gov)<<mailto:HOK3@cdc.gov>>>;  
Balachandra, Shirish (CDC/CGH/DGHT) <[yml@cdc.gov](mailto:yml@cdc.gov)<<mailto:yml@cdc.gov>>>; Rogers,  
John H. (CDC/CGH/DGHT) <[yet6@cdc.gov](mailto:yet6@cdc.gov)<<mailto:yet6@cdc.gov>>>  
Subject: RE: Prepex among HIV+ men for CDC review

Thank you, Muchada!

Sorry for the omission of the affiliations. I added in the remaining missing information, and I placed the disclaimer and acknowledgement before the references as journal submission requires. Thank you for added in those pieces.

I think this should be ready for submission!  
Best,  
Caryl

From: Masawi, Muchada (CDC/CGH/DGHT) [<mailto:hok2@cdc.gov>] On Behalf Of ZIM-ADS (CDC)  
Sent: Tuesday, April 25, 2017 7:18 AM  
To: Caryl Feldacker <[cfeld@uw.edu](mailto:cfeld@uw.edu)<<mailto:cfeld@uw.edu>>>; ZIM-ADS (CDC) <[zimads@cdc.gov](mailto:zimads@cdc.gov)<<mailto:zimads@cdc.gov>>>  
Cc: Mandisarisa, John (CDC/CGH/DGHT) <[HOK3@cdc.gov](mailto:HOK3@cdc.gov)<<mailto:HOK3@cdc.gov>>>;  
Balachandra, Shirish (CDC/CGH/DGHT) <[yml@cdc.gov](mailto:yml@cdc.gov)<<mailto:yml@cdc.gov>>>; Rogers,  
John H. (CDC/CGH/DGHT) <[yet6@cdc.gov](mailto:yet6@cdc.gov)<<mailto:yet6@cdc.gov>>>  
Subject: RE: Prepex among HIV+ men for CDC review

Hi Caryl,

We have received comments regarding the above paper. Feedback requested that author affiliations, PEPFAR acknowledgements and the CDC disclaimer be included on the paper which I have gone ahead and done but I would like for you to finalize the information and ensure that it is correct. Please also include information on who the corresponding author is. As soon as this is done, we can have the paper re-submitted in eClearance.

Thanks!

Muchada

[Description: Description: Description: CDC logo for email  
aw]<<http://www.cdc.gov/globalhealth/countries/zimbabwe/>>Muchada Masawi  
ADS/COAG Analyst, Zimbabwe  
U.S. Centers for Disease Control and Prevention (CDC)  
+263 (0) 772-129-118 [mmasawi@cdc.gov](mailto:mmasawi@cdc.gov)<<mailto:mmasawi@cdc.gov>>  
[www.cdc.gov/globalhealth/countries/zimbabwe/](http://www.cdc.gov/globalhealth/countries/zimbabwe/)<<http://www.cdc.gov/globalhealth/countries/zimbabwe/>>

From: Caryl Feldacker [<mailto:cfeld@uw.edu>]  
Sent: Tuesday, March 28, 2017 5:32 PM  
To: Masawi, Muchada (CDC/CGH/DGHT) <[hok2@cdc.gov](mailto:hok2@cdc.gov)<<mailto:hok2@cdc.gov>>>; ZIM-  
ADS (CDC) <[zimads@cdc.gov](mailto:zimads@cdc.gov)<<mailto:zimads@cdc.gov>>>  
Cc: Mandisarisa, John (CDC/CGH/DGHT) <[HOK3@cdc.gov](mailto:HOK3@cdc.gov)<<mailto:HOK3@cdc.gov>>>;  
Balachandra, Shirish (CDC/CGH/DGHT) <[ymlx1@cdc.gov](mailto:ymlx1@cdc.gov)<<mailto:ymlx1@cdc.gov>>>  
Subject: RE: Prepex among HIV+ men for CDC review

Dear Muchada,

I accidentally omitted Sino's concurrence, now included below. Of course, I, too, concur:  
I, Caryl Feldacker, agree to be a co-author on the paper titled "Safety and Efficacy of the PrePex  
Device in HIV-infected men: a single-arm study in Zimbabwe", for CDC review in anticipation  
of submission to PLOS ONE.

Please pass on our paper on Prepex among HIV+ men paper. We hope this will quickly pass  
through CDC review so that it may be published in a timely manner. Thank you very much!  
Best,  
Caryl Feldacker

From: Caryl Feldacker  
Sent: Monday, March 27, 2017 9:03 AM  
To: Masawi, Muchada (CDC/CGH/DGHA) ([hok2@cdc.gov](mailto:hok2@cdc.gov)<<mailto:hok2@cdc.gov>>)  
<[hok2@cdc.gov](mailto:hok2@cdc.gov)<<mailto:hok2@cdc.gov>>>  
Cc: John Mandisarisa <[HOK3@cdc.gov](mailto:HOK3@cdc.gov)<<mailto:HOK3@cdc.gov>>>; Balachandra, Shirish  
(CDC/CGH/DGHA) ([ymlx1@cdc.gov](mailto:ymlx1@cdc.gov)<<mailto:ymlx1@cdc.gov>>)  
<[ymlx1@cdc.gov](mailto:ymlx1@cdc.gov)<<mailto:ymlx1@cdc.gov>>>  
Subject: Prepex among HIV+ men for CDC review  
Importance: High

Dear Muchada,

Please receive our paper on Prepex among HIV+ men paper. We hope this will quickly pass

through CDC review so that it may be published in a timely manner. Thank you very much!  
Best,  
Caryl Feldacker

Hi Caryl,  
This is in great shape. Congratulations.

I, Peter Kilmarx, agree to be a co-author on the paper titled "Safety and Efficacy of the PrePex Device in HIV-infected men: a single-arm study in Zimbabwe", for CDC review in anticipation of submission to PLOS ONE.

Peter Kilmarx, Deputy Director  
Fogarty International Center, NIH  
RADM, U.S. Public Health Service

On Mar 24, 2017, at 5:36 PM, Caryl Feldacker <[cfeld@uw.edu](mailto:cfeld@uw.edu)<<mailto:cfeld@uw.edu>>>

I, Gerald Gwinji, agree to be a co-author on the paper titled "Safety and Efficacy of the PrePex Device in HIV-infected men: a single-arm study in Zimbabwe", for CDC review in anticipation of submission to PLOS ONE.

On Fri, Mar 24, 2017 at 11:32 PM, Caryl Feldacker  
<[cfeld@uw.edu](mailto:cfeld@uw.edu)<<mailto:cfeld@uw.edu>>> wrote:

--

Thank you, Sino! I will submit this statement with the paper.

Best,  
Caryl

From: Sinokuthemba Xaba Redacted by agreement  
Sent: Tuesday, March 28, 2017 6:11 AM  
To: Caryl Feldacker <[cfeld@uw.edu](mailto:cfeld@uw.edu)<<mailto:cfeld@uw.edu>>>  
Cc: Vernon Murenje <[vmurenje@itech-zimbabwe.org](mailto:vmurenje@itech-zimbabwe.org)<<mailto:vmurenje@itech-zimbabwe.org>>>  
Subject: Re: Reminder: Concurrence needed for HIV+ study paper I for CDC submission

Dear Caryl

Thank you for the email, I concur to be a co-author of this study paper:  
I, Sinokuthemba Xaba, agree to be a co-author on the paper titled "Safety and Efficacy of the PrePex Device in HIV-infected men: a single-arm study in Zimbabwe", for CDC review in anticipation of submission to PLOS ONE.

Kind regards

Sinokuthemba Xaba  
National Male Circumcision Co-ordinator  
Ministry of Health and Child Care (MoHCC)  
HARARE

Cell No. [Redacted by agreement]

e-mail [Redacted by agreement]

Brigadier General (Dr) G Gwinji  
(MB ChB, MSc, MPH, MCPCPZ)

"Whatever work you do, do your best"  
Ecclesiastes 9:10

I, Owen Mugurungi agree to be a co-author on the paper titled "Safety and Efficacy of the PrePex Device in HIV-infected men: a single-arm study in Zimbabwe ", for CDC review in anticipation of submission to PLOS ONE.

On Fri, 24 Mar, 2017 at 11:33 PM Caryl Feldacker  
<[cfeld@uw.edu](mailto:cfeld@uw.edu)<<mailto:cfeld@uw.edu>>>wrote:

Dear Caryl

I, Mufuta Tshimanga, agree to be a co-author on the paper titled "Safety and Efficacy of the PrePex Device in HIV-infected men: a single-arm study in Zimbabwe ", for CDC review in anticipation of submission to PLOS ONE.

Thanks you

Mufuta

Prof. Mufuta Tshimanga, MD

Director/Coordinator, ZimFETP

Department of Community Medicine

University of Zimbabwe

Cell [Redacted by agreement]

Office: 002634733675

Hi Caryl -

Thanks for all of your work!

I, Amy Herman-Roloff, agree to be a co-author on the paper titled "Safety and Efficacy of the PrePex Device in HIV-infected men: a single-arm study in Zimbabwe", for CDC review in anticipation of submission to PLOS ONE.

Thanks!

Amy

From: Dr Batsirai Makunike-Chikwinya [<mailto:bmakunike@itech-zimbabwe.org>]

Sent: Tuesday, March 21, 2017 12:06 AM

To: Caryl Feldacker <[cfeld@uw.edu](mailto:cfeld@uw.edu)<<mailto:cfeld@uw.edu>>>

Subject: RE: Concurrence language needed for HIV+ study paper 1 for CDC submission

I, Batsirai Makunike-Chikwinya, agree to be a co-author on the paper titled "Safety and Efficacy of the PrePex Device in HIV-infected men: a single-arm study in Zimbabwe", for CDC review in anticipation of submission to PLOS ONE.

Regards

Batsi

Dear Caryl

I, Munyaradzi Murwira, agree to be a co-author on the paper titled "Safety and Efficacy of the PrePex Device in HIV-infected men: a single-arm study in Zimbabwe", for CDC review in anticipation of submission to PLOS ONE.

Regards

Dr. M. Murwira (MBChB, MPH, MBA)

Executive Director

Zimbabwe National Family Planning Council (ZNFPC)

Box ST 220 Southerton

Harare - Zimbabwe

Tel :- +263-4-621909

Cell: Redacted by agreement

e-mail:- [ed@znfpc.org.zw](mailto:ed@znfpc.org.zw)<<mailto:ed@znfpc.org.zw>>

Website:- [www.znfpc.org.zw](http://www.znfpc.org.zw)<<http://www.znfpc.org.zw/>>

Family Planning: Its Your Choice

Hi Caryl,

I, Pesanai Chatikobo agree to be a co-author on the paper titled "Safety and Efficacy of the PrePex Device in HIV-infected men: a single-arm study in Zimbabwe", for CDC review in anticipation of submission to PLOS ONE.

Thank you!

Pesanai Chatikobo

From: Patricia Tapiwa Gundidza

Redacted by agreement

Sent: Monday, March 20, 2017 12:03 PM

To: Caryl Feldacker <[cfeld@uw.edu](mailto:cfeld@uw.edu)<<mailto:cfeld@uw.edu>>>

Subject: Re: Concurrence language needed for HIV+ study paper 1 for CDC submission

Caryl

As requested

I, Patricia T. Gundidza, agree to be a co-author on the paper titled "Safety and Efficacy of the PrePex Device in HIV-infected men: a single-arm study in Zimbabwe", for CDC review in anticipation of submission to PLOS ONE.

Kind regards

Patricia

I, Tonderayi I Mangwiro, agree to be co author on the paper titled " Safety and Efficacy of the Prepex Device in HIV infected men: a single - arm study in Zimbabwe", for CDC review in anticipation of submission to PLOS ONE for publication.

Sent from Yahoo Mail on Android<<https://overview.mail.yahoo.com/mobile/?src=Android>>

On Mon, 20 Mar 2017 at 20:34, Caryl Feldacker

Hi

I, Vernon Murenje, agree to be a co-author on the paper titled "Safety and Efficacy of the PrePex Device in HIV-infected men: a single-arm study in Zimbabwe", for CDC review in anticipation of submission to PLOS ONE.

Best regards,

Vernon Murenje | VMMC Program Manager

International Training & Education Centre for Health (I-TECH)

4 Bath Road | Belgravia | Harare | Zimbabwe

Work: +263-4-700585/15<<tel:%2B263-4-704890>>| Cell: Redacted by agreement



429<tel:%2B263%2071%202%20632%20923>

Email: [vmurenje@itech-zimbabwe.org](mailto:vmurenje@itech-zimbabwe.org)<mailto:smabaya@itech-zimbabwe.org> | Skype: murenje.vernon<<http://www.go2itech.org/>>

I, Marianne Holec, agree to be a co-author on the paper titled "Safety and Efficacy of the PrePex Device in HIV-infected men: a single-arm study in Zimbabwe", for CDC review in anticipation of submission to PLOS ONE.

Thank you,  
Marrianne

I, Scott Barnhart, agree to be a co-author on the paper titled "Safety and Efficacy of the PrePex Device in HIV-infected men: a single-arm study in Zimbabwe", for CDC review in anticipation of submission to PLOS ONE.

Scott Barnhart, MD, MPH  
Professor, Departments of Medicine and Global Health  
University of Washington  
Telephone: +1 206-685-4875  
Mobile: (b)(6). Redacted by agreement  
Facsimile: +1 206-221-4945  
Skype: scottbarnhart1

"A pessimist makes his opportunities difficult, and an optimist makes his difficulties opportunities."

Caryl Feldacker, PhD, MPH  
Clinical Assistant Professor  
Research & Evaluation Advisor/Zimbabwe

International Training & Education Center for Health (I-TECH)  
Department of Global Health, University of Washington  
HMC #359932, 325 9th Avenue, Seattle, WA. 98104-2499  
Phone: +1 (206) 543-3456 Fax: +1 (206) 221-4945  
Skype: caryl.feldacker  
Website: <http://www.go2itech.org><<http://www.go2itech.org/>>

<image001.png>

<Comments-

%20Safety%20and%20Efficacy%20of%20the%20PrePex%20Device%20in%20....pdf>

<b>Sender:</b>	Kilmarx, Peter (NIH/FIC) [E] /O=EXCHANGELABS/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=E4ECF449BD7044BA9FD585C8DA4D47B4-KILMARXPH <peter.kilmarx@nih.gov>
<b>Recipient:</b>	Scott Barnhart <sbht@uw.edu>; Marriane M. Holec <mmholec@uw.edu>; Batsi Makunike <bmakunike@itech-zimbabwe.org>; Herman-Roloff, Amy (CDC/CGH/DGHT) /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=b54f29f69b2a4157bb12e5a92e33aced-bjy2 <bjy2@cdc.gov>; Mufuta Tshimanga (b)(6); Redacted by agreement Redacted by agreement Caryl Feldacker <cfeld@uw.edu>
<b>Sent Date:</b>	2017/05/03 20:43:05
<b>Delivered Date:</b>	2017/05/03 20:43:06



CDC

<b>From:</b>	Kilmarx, Peter (NIH/FIC) [E] </O=EXCHANGELABS/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=E4ECF449BD7044BA9FD585C8DA4D47B4-KILMARXPH>
<b>To:</b>	Caryl Feldacker <cfeld@uw.edu>; Scott Barnhart <sbht@uw.edu>; Herman-Roloff, Amy (CDC/CGH/DGHT) /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=b54f29f69b2a4157bb12e5a92e33aced-bjy2 <bjy2@cdc.gov>
<b>CC:</b>	Marrienne M. Holec <mmholec@uw.edu>; Batsi Makunike <bmakunike@itech-zimbabwe.org>
<b>Subject:</b>	RE: next steps with integration paper
<b>Date:</b>	2017/04/14 14:43:00
<b>Priority:</b>	Normal
<b>Type:</b>	Note

Let's hope the 7<sup>th</sup> time is the charm!

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**From:** Caryl Feldacker [mailto:cfeld@uw.edu]  
**Sent:** Friday, April 14, 2017 1:18 PM  
**To:** Kilmarx, Peter (NIH/FIC) [E] <peter.kilmarx@nih.gov>; Scott Barnhart <sbht@uw.edu>; Herman-Roloff, Amy (CDC/CGH/DGHT) <bjy2@cdc.gov>  
**Cc:** Marrienne M. Holec <mmholec@uw.edu>; Batsi Makunike <bmakunike@itech-zimbabwe.org>  
**Subject:** RE: next steps with integration paper

Hi Peter,

We will try to [REDACTED] as a debate article. No need for your review. If we get a revise and resubmit, that timing might be better for your input.

Thank you very much!  
Best,  
Caryl

---

**From:** Kilmarx, Peter (NIH/FIC) [E] [mailto:peter.kilmarx@nih.gov]  
**Sent:** Friday, April 14, 2017 10:05 AM  
**To:** Scott Barnhart <sbht@uw.edu>; Caryl Feldacker <cfeld@uw.edu>; Herman-Roloff, Amy (CDC/CGH/DGHT) <bjy2@cdc.gov>  
**Cc:** Marrienne M. Holec <mmholec@uw.edu>; Batsi Makunike <bmakunike@itech-zimbabwe.org>  
**Subject:** RE: next steps with integration paper

Hi,  
I agree we don't need to go for review if we are just shortening or changing authors.

I would go with [REDACTED] There have published several papers on implementation of VMMC in Africa.

Things are pretty crazy here with our elimination in the President's Budget. I'm going on leave for the next week and already have a briefcase full. I'd suggest not waiting for me to review. Otherwise it would be at least a couple weeks.

PK

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**From:** Scott Barnhart [mailto:sbht@uw.edu]  
**Sent:** Friday, April 14, 2017 12:58 PM  
**To:** Caryl Feldacker <cfeld@uw.edu>; Kilmarx, Peter (NIH/FIC) [E] <peter.kilmarx@nih.gov>; Herman-Roloff, Amy (CDC/CGH/DGHT) <bjv2@cdc.gov>  
**Cc:** Marrienne M. Holec <mmholec@uw.edu>; Batsi Makunike <bmakunike@itech-zimbabwe.org>  
**Subject:** Re: next steps with integration paper

Hi Caryl: We do not need to go back to CDC for re-review. Thanks for persisting. Scott

Scott Barnhart, MD, MPH  
Professor, Departments of Medicine and Global Health  
University of Washington  
Telephone: +1 206-685-4875  
Mobile: Redacted by agreement  
Facsimile: +1 206-221-4945  
Skype: scottbarnhart1

"A pessimist makes his opportunities difficult, and an optimist makes his difficulties opportunities."

---

**From:** Caryl Feldacker <cfeld@uw.edu>  
**Sent:** Friday, April 14, 2017 9:27 AM  
**To:** Kilmarx, Peter (NIH/FIC) [E]; Herman-Roloff, Amy (CDC/CGH/DGHT); Scott Barnhart  
**Cc:** Marrienne M. Holec; Batsi Makunike  
**Subject:** next steps with integration paper

Hi Peter and team,

(b)(4); (b)(6)

(b)(4); (b)(6)

Which do you think we would have a better chance with? We have a version with 3500 words, attached, if you all would like to see the greatly pared down version. It could benefit from some comments at this point as I significantly condensed it and updated the information including adding in the pivot as otherwise the data was too old and outdated.

Also, is it time to change the authors on this? Add Vernon? Add Shirish from CDC? Do we need to go back through CDC review?

Thoughts?

Best,  
Caryl

-----Original Message-----

From: Kilmarx, Peter (NIH/FIC) [E] [<mailto:peter.kilmarx@nih.gov>]

Sent: Thursday, April 13, 2017 2:10 PM

To: Herman-Roloff, Amy (CDC/CGH/DGHT) <[bjy2@cdc.gov](mailto:bjy2@cdc.gov)>; Caryl Feldacker <[cfeld@uw.edu](mailto:cfeld@uw.edu)>; Scott Barnhart <[sbht@uw.edu](mailto:sbht@uw.edu)>

Cc: Marianne M. Holec <[mmholec@uw.edu](mailto:mmholec@uw.edu)>

Subject: RE: Your submission to (b)(4); (b)(6)

(b)(4); (b)(6)

PK

-----Original Message-----

From: Herman-Roloff, Amy (CDC/CGH/DGHT) [<mailto:bjy2@cdc.gov>]

Sent: Thursday, April 13, 2017 12:10 PM

To: Caryl Feldacker <[cfeld@uw.edu](mailto:cfeld@uw.edu)>; Kilmarx, Peter (NIH/FIC) [E] <[peter.kilmarx@nih.gov](mailto:peter.kilmarx@nih.gov)>; Scott Barnhart <[sbht@uw.edu](mailto:sbht@uw.edu)>

Cc: Marianne M. Holec <[mmholec@uw.edu](mailto:mmholec@uw.edu)>

Subject: RE: Your submission to (b)(4); (b)(6)

Hi Peter -

Would greatly appreciate your insight on this. Caryl and I have brainstormed the journals to submit to to-date....do you have any other ideas?

Thanks -  
Amy

-----Original Message-----

From: Caryl Feldacker [<mailto:cfeld@uw.edu>]

Sent: Thursday, April 13, 2017 6:04 PM

To: Kilmarx, Peter (NIH/FIC) [E] <[peter.kilmarx@nih.gov](mailto:peter.kilmarx@nih.gov)>; Scott Barnhart <[sbht@uw.edu](mailto:sbht@uw.edu)>; Herman-Roloff, Amy (CDC/CGH/DGHT) <[bjy2@cdc.gov](mailto:bjy2@cdc.gov)>  
Cc: Marianne M. Holec <[mmholec@uw.edu](mailto:mmholec@uw.edu)>  
Subject: RE: Your submission to [REDACTED]

Hi Peter,

We submitted to [REDACTED]

It is now 2500 down from its original 6000.

Best,  
Caryl

-----Original Message-----

From: Kilmarx, Peter (NIH/FIC) [E] [<mailto:peter.kilmarx@nih.gov>]  
Sent: Wednesday, April 5, 2017 2:24 PM  
To: Caryl Feldacker <[cfeld@uw.edu](mailto:cfeld@uw.edu)>; Scott Barnhart <[sbht@uw.edu](mailto:sbht@uw.edu)>; Herman-Roloff, Amy (CDC/CGH/DGHT) <[bjy2@cdc.gov](mailto:bjy2@cdc.gov)>  
Cc: Marianne M. Holec <[mmholec@uw.edu](mailto:mmholec@uw.edu)>  
Subject: RE: Your submission to [REDACTED]

Hi - if you can share the list of where you have sent already, we can think of other possibilities.

PK

-----Original Message-----

From: Caryl Feldacker [<mailto:cfeld@uw.edu>]  
Sent: Tuesday, April 04, 2017 10:56 PM  
To: Kilmarx, Peter (NIH/FIC) [E] <[peter.kilmarx@nih.gov](mailto:peter.kilmarx@nih.gov)>; Scott Barnhart <[sbht@uw.edu](mailto:sbht@uw.edu)>; Herman-Roloff, Amy (CDC/CGH/DGHT) <[bjy2@cdc.gov](mailto:bjy2@cdc.gov)>  
Cc: Marianne M. Holec <[mmholec@uw.edu](mailto:mmholec@uw.edu)>  
Subject: RE: Your submission to [REDACTED]

This is about the 6th rejection. I think my plan now is to update with 2016 information, include the pivot, and reduce to 2000 words as a commentary.

Other ideas?

Caryl

-----Original Message-----

From: Kilmarx, Peter (NIH/FIC) [E] [<mailto:peter.kilmarx@nih.gov>]  
Sent: Tuesday, April 4, 2017 3:22 PM  
To: Caryl Feldacker <[cfeld@uw.edu](mailto:cfeld@uw.edu)>; Scott Barnhart <[sbht@uw.edu](mailto:sbht@uw.edu)>; Herman-Roloff, Amy (CDC/CGH/DGHT) <[bjy2@cdc.gov](mailto:bjy2@cdc.gov)>  
Subject: RE: Your submission to [REDACTED]

Sorry and a bit surprised to see this. Next step?

Best,  
PK

-----Original Message-----

[Redacted]

Sent: Tuesday, April 04, 2017 1:05 PM

To: Kilmarx, Peter (NIH/FIC) [E] <peter.kilmarx@nih.gov>

Subject: Your submission to [Redacted]

You are being carbon copied ("cc:d") on an e-mail "To" "Caryl Feldacker" cfeld@uw.edu

CC: bmakunike@itech-zimbabwe.org, mmholec@uw.edu, bochner@uw.edu, arstep@uw.edu,

[Redacted] peter.kilmarx@nih.gov, bly2@cdc.gov, yih0@cdc.gov,

[Redacted] chitimbire@zach.org.zw, sbht@uw.edu

[Redacted]

**Sender:** Kilmarx, Peter (NIH/FIC) [E] </O=EXCHANGELABS/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=E4ECF449BD7044BA9FD585C8DA4D47B4-KILMARXPH>

**Recipient:** Caryl Feldacker <cfeld@uw.edu>;  
Scott Barnhart <sbht@uw.edu>;  
Herman-Roloff, Amy (CDC/CGH/DGHT) /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=b54f29f69b2a4157bb12e5a92e33aced-bly2 <bly2@cdc.gov>;  
Marrianne M. Holec <mmholec@uw.edu>;  
Batsi Makunike <bmakunike@itech-zimbabwe.org>

**Sent Date:** 2017/04/14 14:43:56

**Delivered Date:** 2017/04/14 14:43:00



<b>From:</b>	Kilmarx, Peter (NIH/FIC) [E] /O=EXCHANGELABS/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=E4ECF449BD7044BA9FD585C8DA4D47B4-KILMARXPH <peter.kilmarx@nih.gov>
<b>To:</b>	Puderbaugh, Ann (NIH/FIC) [E] /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=903639684fe84ad48c32e9447c951460-puderba <puderba@mail.nih.gov>
<b>Subject:</b>	Re: URGENT FW: query from Fogarty re: PHASE 2 ZIKA VACCINE TRIAL BEGINS IN U.S., CENTRAL AND SOUTH AMERICA
<b>Date:</b>	2017/04/05 10:19:18
<b>Priority:</b>	Normal
<b>Type:</b>	Note

Thanks very much Ann. I trust you are crunching this into a message.

Peter Kilmarx, Deputy Director  
Fogarty International Center, NIH  
RADM, U.S. Public Health Service

On Apr 5, 2017, at 9:47 AM, Puderbaugh, Ann (NIH/FIC) [E] <puderba@mail.nih.gov> wrote:

Here's what Barbara dug up so far...

---

**From:** Sina, Barbara (NIH/FIC) [E]  
**Sent:** Monday, April 03, 2017 2:58 PM  
**To:** Puderbaugh, Ann (NIH/FIC) [E] <puderba@mail.nih.gov>  
**Cc:** Potash, Shana (NIH/FIC) [E] <Shana.Potash@nih.gov>  
**Subject:** RE: URGENT FW: query from Fogarty re: PHASE 2 ZIKA VACCINE TRIAL BEGINS IN U.S., CENTRAL AND SOUTH AMERICA

He's had a grant from NIAID/DAIDS for Sao Paulo Clinical Trials Unit from 2008-2014- a pretty good outcome for a trainee & NIAID. He could not get any more support from FIC or be a faculty member in an HIV training program after 2012 because we eliminated Brazil as a country eligible for training grants.

---

**From:** Puderbaugh, Ann (NIH/FIC) [E]  
**Sent:** Monday, April 03, 2017 2:28 PM  
**To:** Sina, Barbara (NIH/FIC) [E] <sinab@mail.nih.gov>  
**Subject:** RE: URGENT FW: query from Fogarty re: PHASE 2 ZIKA VACCINE TRIAL BEGINS IN U.S., CENTRAL AND SOUTH AMERICA

Very helpful—thanks so much!  
Ann

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**From:** Sina, Barbara (NIH/FIC) [E]  
**Sent:** Monday, April 03, 2017 2:25 PM  
**To:** Potash, Shana (NIH/FIC) [E] <Shana.Potash@nih.gov>

**Cc:** Puderbaugh, Ann (NIH/FIC) [E] <puderba@mail.nih.gov>

**Subject:** RE: URGENT FW: query from Fogarty re: PHASE 2 ZIKA VACCINE TRIAL BEGINS IN U.S., CENTRAL AND SOUTH AMERICA

**From King Holmes's FY2007 progress report in which Casapia is listed as a new scholar:**

"Martin Casapia, MD, is Co-Principal Investigator for the HIV Vaccine Preparedness Study and several other related studies under the HIV Prevention Trials Network in Iquitos, Peru. Dr. Casapia received specialty training in Infectious and Tropical Diseases from the Universidad Peruana Cayetano Heredia and post-graduate training in epidemiology in Mexico and Brazil. He entered the MPH degree program in the Department of Epidemiology in September 2005 with Dr. Connie Celum as his faculty mentor."

**From Carey Farquhar's FY 2007 progress report in which Casapia is listed as a continuing MS/MPH student:**

"Title: Sexual risk and lack of circumcision associated with HIV and HSV-2 infection in men who have sex with men in Peru

Country: Peru (Casapia)

FWA number of foreign site: FWA 0000 1941

Date of foreign IRB approval: 6/14/06

UW Human Subjects number and approval date: 06-1416-E/A 01, 6/09/06"

"Continuing Scholar Martin Casapia MD training period 9/05-9/07"

"Martin Casapia, MD, is Co-Principal Investigator for the HIV Vaccine Preparedness Study and several other related studies under the HIV Prevention Trials Network in Iquitos, Peru. He entered the MPH degree program in the Department of Epidemiology in September 2005 with Dr. Connie Celum as his faculty mentor. In June 2006 he returned to Peru to conduct his study, "Sexual risk and lack of circumcision associated with HIV and HSV-2 infection in men who have sex with men in Peru," and returned to Seattle in March 2007 to complete his thesis and graduate."

**He was not mentioned in the FY2008 renewal application.**

**Here are the papers I found that he published with Connie Celum, his UW mentor:**

Effect of aciclovir on HIV-1 acquisition in herpes simplex virus 2 seropositive women and men who have sex with men: a randomised, double-blind, placebo-controlled trial Prof Connie Celum, MDa, b, c, Prof Anna Wald, MDb, c, d, f, Prof James Hughes, PhDe, Jorge Sanchez, MDg, Stewart Reid, MDh, i, Sinead Delany-Moretlwe, MDj, Frances Cowan, MDk, Martin Casapia, MDg, Lancet Volume 371, Issue 9630, 21-27 June 2008, Pages 2109-2119

Male Circumcision and Risk of HIV Acquisition among Men who have Sex with Men from the United States and Peru

Jorge Sánchez,<sup>1,3</sup> Victor G. Sal y Rosas,<sup>2</sup> James P. Hughes,<sup>2</sup> Jared M. Baeten,<sup>3</sup> Jonathan Fuchs,<sup>5</sup> Susan P. Buchbinder,<sup>5</sup> Beryl A. Koblin,<sup>6</sup> Martin Casapia,<sup>7</sup> Abner Ortiz,<sup>8</sup> and Connie Celum<sup>3,4</sup> AIDS. 2011 Feb 20; 25(4): 519-523.

**Before the UW AITRP program it appears that he worked with Gotuzzo in Peru (these are co-authored papers I found):**

Clustered local transmission and asymptomatic Plasmodium falciparum and Plasmodium vivax malaria infections in a recently emerged, hypoendemic Peruvian Amazon community OraLee Branch, W Martin Casapia, Dionicia V Gamboa, Jean N Hernandez, Freddy F Alava, Norma oncal, Eugenia Alvarez, Enrique J Perez and Eduardo Gotuzzo Malaria Journal 2005 4:27

#### RELATIONSHIP BETWEEN INTENSITY OF SOIL-TRANSMITTED HELMINTH INFECTIONS AND ANEMIA DURING PREGNANCY

Authors: RENEE LAROCQUE<sup>1</sup>, MARTIN CASAPIA<sup>1</sup>, EDUARDO GOTUZZO<sup>1</sup>, THERESA W. GYORKOS / The American Journal of Tropical Medicine and Hygiene Volume 73, Issue 4 Oct 2005, p. 783 - 789

A double-blind randomized controlled trial of antenatal mebendazole to reduce low birthweight in a hookworm-endemic area of Peru Renée Larocque, Martin Casapia, Eduardo Gotuzzo, J. Dick MacLean, Julio C. Soto, Elham Rahme, Theresa W. Gyorkos Trop Med & Intrnl Health Volume 11, Issue 10 October 2006 Pages 1485–1495

Lack of Risk of Adverse Birth Outcomes After Deworming in Pregnant Women Gyorkos, Theresa W. PhD\*; Larocque, Renee MSc\*; Casapia, Martin MD†; Gotuzzo, Eduardo MD‡ Pediatric Infectious Disease Journal: September 2006 - Volume 25 - Issue 9 - pp 791-794

According to his publications, in 2005-6 he was affiliated with Asociacio´n Civil Selva Amazo´nica, Iquitos, Peru. In 2007 he is listed as with the Direccio´n Regional de Salud Loreto, Ministerio de Salud del Peru, Lima, Peru as well as his previous institution. In 2008 he was affiliated with Asociacio´n Civil Salud y Educacio´n, Impacta, Lima, Peru. Recently he was back with Asociacio´n Civil Selva Amazo´nica, Iquitos, Peru (according to papers published in 2010-2017) where he continued to work on helminth and HIV infections including clinical trials with a variety of U.S. groups working in Peru (including several who had FIC HIV training grants but I didn't try to find out if he was a faculty in any of these programs- let me kwno if you want this information).

Medicines for Malaria Venture (MMV) published an interview (&photo) with him related to an anti-malaria drug trial: <https://www.mmv.org/newsroom/interviews/dsm265>

He's listed as faculty for Global Health Fellows Northern Pacific Global Health Research Fellows Training Consortium: <http://fogartyfellows.org/program-overview/peru/>

He's the PI of a NIAID P50 grant 4P50AI098574

Soil-Transmitted Helminthiases (STH) and Deworming 2012-2016 (copy attached- includes biosketch) DESCRIPTION (provided by applicant): The essential goal of the proposed Tropical Medicine Center is to improve the health of populations, especially those in neglected tropical disease-endemic regions of the world, by undertaking and promoting interdisciplinary research excellence. Its specific objectives include: i) the promotion and conduct of high quality basic, clinical and epidemiological research in tropical medicine; ii) engagement in collaborative research with local, national and international researchers; and iii) commitment to the training and mentoring of students and junior investigators. The first concrete examples of this mission and objectives are illustrated in the three proposed research projects which focus on three diseases causing the highest burden of disease among the neglected tropical diseases, i.e., the soil-transmitted helminthiases of ascariasis, hookworm disease and trichuriasis. These diseases are highly endemic in the Amazon region of Peru where the proposed Tropical Medicine Center will be situated. Each research project addresses important research gaps in a

high-risk group (i.e., preschool-age children, school-age children and women of reproductive age) such that results will be able to be used immediately by global agencies like the World Health Organization and national authorities (and their partners) to inform health policy and intervention strategy on deworming. Ultimately, this research will contribute to reducing the morbidity, mortality and disability caused by the soil-transmitted helminthiases which currently are estimated to affect two billion people living in resource-poor communities around the world. RELEVANCE: The proposed Tropical Medicine Center will be based in the Amazon region of Peru and will be a center of research excellence in basic, clinical and epidemiological research focusing on neglected tropical diseases. Because of the high burden of disease caused by the soil-transmitted helminthiases, this cluster of diseases will constitute the inaugural research focus of the new Center. Project 1: Improving Childhood Growth and Development in Resource-Poor LMICs with Deworming by Incorporating Deworming in Integrated Child Health Care Project Leader (PL): Casapia, M. DESCRIPTION (provided by applicant): Preschool-age children are one of the three high risk groups for morbidity attributable to soil-transmitted helminth infections (STH). At this age, the STH infections of most importance are Ascaris and Trichuris infections. WHO recommends deworming of preschool-age children as of 12 months of age, but there is no empirical evidence on what deworming exposure (in terms of age at administration and frequency of administration) has the most beneficial impact on health. This research project therefore proposes to follow a cohort of preschool-age children who are known to have had different deworming exposure histories between 12 and 24 months of age. By following these children up to five years of age, cumulative health benefits will be measured and the optimal deworming strategy identified. It is expected that these health benefits will extend into later childhood and beyond. Research results will also be used to inform deworming health policy and strategies in STH-endemic regions globally. RELEVANCE: An important window of opportunity exists between 12 and 24 months of age when health and nutrition interventions play a vital role in both short and long term health. It is important to measure this health impact, not only because it fills a research gap, but also because it is useful feedback for program managers, and other partners. In following children up to five years of age, this cohort study will provide key empirical evidence on the health impact of different deworming strategies targeted to this high risk age.

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**From:** Wolfman, Celia (NIH/FIC) [E]

**Sent:** Monday, April 03, 2017 10:09 AM

**To:** Katz, Flora (NIH/FIC) [E] <katzf@mail.nih.gov>; FIC DITR <ditr@ficod.fic.nih.gov>

**Cc:** Anand, Nalini (NIH/FIC) [E] <anandn@mail.nih.gov>; Puderbaugh, Ann (NIH/FIC) [E]

<puderba@mail.nih.gov>

**Subject:** RE: URGENT FW: query from Fogarty re: PHASE 2 ZIKA VACCINE TRIAL BEGINS IN U.S., CENTRAL AND SOUTH AMERICA

I found two of the individuals involved in the Zika Trial in CareerTrac:

- 1. ASCA CRS Iquitos (Iquitos, Peru);
- Principal investigator: **Dr. Martin Casapia Morales**
- \*\*\*\*\*In CareerTrac his name is MARTIN CASAPIA but the email is the exact same. He was an AITRP trainee of Carey Farquhar (D43TW7) from 2005-2007 who got funded for a Masters at Univ of Washington.
- 2. Centro de Pesquisas Clinicas do Instituto Central da FMUSP/University of São Paulo;

- Principal investigator: **Dr. Esper Kallas**
- \*\*\*\*\*In CareerTrac, he is shown as an AITRP trainee of Arthur Reingold (D43TW3). However there is no in-training information.
- 

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**From:** Katz, Flora (NIH/FIC) [E]  
**Sent:** Saturday, April 01, 2017 9:42 AM  
**To:** FIC DITR <[ditr@ficod.fic.nih.gov](mailto:ditr@ficod.fic.nih.gov)>; Wolfman, Celia (NIH/FIC) [E] <[celia.wolfman@nih.gov](mailto:celia.wolfman@nih.gov)>  
**Cc:** Anand, Nalini (NIH/FIC) [E] <[anandn@mail.nih.gov](mailto:anandn@mail.nih.gov)>  
**Subject:** Fwd: URGENT FW: query from Fogarty re: PHASE 2 ZIKA VACCINE TRIAL BEGINS IN U.S., CENTRAL AND SOUTH AMERICA

We are looking into whether any of those involved with the zika vaccine trials had any association with fogarty. Please let me know if you recognize any of these names. Celia, can you do a check in careertrac? Thanks, flora

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**From:** Stover, Kathy (NIH/NIAID) [E]  
**Sent:** Friday, March 31, 2017 2:12 PM  
**To:** Puderbaugh, Ann (NIH/FIC) [E] <[puderba@mail.nih.gov](mailto:puderba@mail.nih.gov)>  
**Subject:** RE: query from Fogarty re: PHASE 2 ZIKA VACCINE TRIAL BEGINS IN U.S., CENTRAL AND SOUTH AMERICA

Hi Ann,  
Here you go. Hope it helps:

- Puerto Rico Clinical and Tranlational Research Consoritum (PRCTRC) (PI Dr. Clemente Diaz-[Clemente.Diaz@upr.edu](mailto:Clemente.Diaz@upr.edu))
- San Juan City Hospital Research Unit (San Juan, Puerto Rico); Principal investigator: Dr. Nicolas Rosario - [nrosario@sanjuanciudadpatria.com](mailto:nrosario@sanjuanciudadpatria.com)
- Fundación de Investigación de Diego (San Juan, Puerto Rico); Principal investigator: Dr. Grisell Ortiz-Lasanta - [mrt@fdipr.com](mailto:mrt@fdipr.com) / [info@fdipr.com](mailto:info@fdipr.com)
- ASCA CRS Iquitos (Iquitos, Peru); Principal investigator: Dr. Martin Casapia Morales - 

Redacted by agreement
- Centro de Pesquisas Clinicas do Instituto Central da FMUSP/University of São Paulo (São Paulo, Brazil); Principal investigator: Dr. Esper Kallas - [esper.kallas@usp.br](mailto:esper.kallas@usp.br)
- Fundación Inciensa or FUNIN (Santa Cruz, Costa Rica); Principal investigator: Dr. Paula Gonzalez - [pgonzalez@proyectoguanacaste.com](mailto:pgonzalez@proyectoguanacaste.com)

- Costa Rican Center of Medical Research (Puntarenas, Costa Rica); Principal investigator: Dr. Gisela Herrera - [gherrera@racsa.co.cr](mailto:gherrera@racsa.co.cr)
- Hospital Civil de Guadalajara “Fray Antonio Alcalde” (Guadalajara, Mexico); Principal investigator: Dr. Rayo Mortin Otero Redacted by agreement
- Instituto Conmemorativo Gorgas de Estudios de la Salud (Panama City, Panama); Dr. Nestor Sosa: Redacted by agreement Dr. Israel Cedeño (Director of Epidemiology) - [cedeno@minsa.gob.pa](mailto:cedeno@minsa.gob.pa)

[.gov/cgi-bin/wa.exe?A0=nihpress](http://.gov/cgi-bin/wa.exe?A0=nihpress)>.

<b>Sender:</b>	Kilmarx, Peter (NIH/FIC) [E] /O=EXCHANGELABS/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=E4ECF449BD7044BA9FD585C8DA4D47B4-KILMARXPH <peter.kilmarx@nih.gov>
<b>Recipient:</b>	Puderbaugh, Ann (NIH/FIC) [E] /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=903639684fe84ad48c32e9447c951460-puderba <puderba@mail.nih.gov>
<b>Sent Date:</b>	2017/04/05 10:19:17
<b>Delivered Date:</b>	2017/04/05 10:19:18

<b>From:</b>	Kilmarx, Peter (NIH/FIC) [E] /O=EXCHANGELABS/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=E4ECF449BD7044BA9FD585C8DA4D47B4-KILMARXPH <peter.kilmarx@nih.gov>
<b>To:</b>	Scott Barnhart <sbht@uw.edu>
<b>Subject:</b>	Re: Male circ pubs this week: Proof of no adverse psychological effect; VMMC; Circ rates for Australia
<b>Date:</b>	2017/03/22 10:09:16
<b>Priority:</b>	Normal
<b>Type:</b>	Note

Right. Congress decides.

On Mar 22, 2017, at 10:04 AM, Scott Barnhart <sbht@uw.edu> wrote:

Thanks Peter. It is rough on so many fronts just anticipating the fall out (b)(6)  
(b)(6) reminded me the President doesn't have the power of the purse. Nonetheless I'm on the board of a small ngo that helps first gen students go to college and we are at risk of losing our 30 AmeriCorps staffers- what a loss. Hopefully it won't happen. Best, Scott

Scott Barnhart, MD, MPH  
Professor, Departments of Medicine and Global Health  
University of Washington  
Telephone: +1 206-685-4875  
Mobile: (b)(6)  
Facsimile: +1 206-221-4945  
Skype: scottbarnhart1

"A pessimist makes his opportunities difficult, and an optimist makes his difficulties opportunities."

**From:** Kilmarx, Peter (NIH/FIC) [E] <peter.kilmarx@nih.gov>  
**Sent:** Wednesday, March 22, 2017 6:53 AM  
**To:** Scott Barnhart  
**Subject:** Re: Male circ pubs this week: Proof of no adverse psychological effect; VMMC; Circ rates for Australia

I will do. With our Center slated for elimination in the president's budget, is a busy time. Between us, it's a lot quicker to clear something that is really ready for clearance. After a couple iterations of careful review and substantive input, it's hard to maintain my usual rapid turnaround time.

Best,  
PK

On Mar 22, 2017, at 9:45 AM, Scott Barnhart <[sbht@uw.edu](mailto:sbht@uw.edu)>wrote:

Thx. We are all pumped. Also, if you can send your concurrence on the HIV+ that would be great. We are trying to clear the table to look at new projects.

Scott Barnhart, MD, MPH  
Professor, Departments of Medicine and Global Health  
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Telephone: +1 206-685-4875  
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Skype: scottbarnhart1

"A pessimist makes his opportunities difficult, and an optimist makes his difficulties opportunities."

**From:** Kilmarx, Peter (NIH/FIC) [E] <[peter.kilmarx@nih.gov](mailto:peter.kilmarx@nih.gov)>  
**Sent:** Wednesday, March 22, 2017 6:37 AM  
**To:** Scott Barnhart  
**Subject:** Re: Male circ pubs this week: Proof of no adverse psychological effect; VMMC; Circ rates for Australia

Woot woot!

On Mar 22, 2017, at 9:20 AM, Scott Barnhart <[sbht@uw.edu](mailto:sbht@uw.edu)>wrote:

Hi Peter: That would be great. I'm not sure whether we'll get to that in this cycle. I just heard we got our highest numbers this week. 2600 this past week. Zach and Zichire are on fire and filling the gap from loss of doing prepex (which had been up to 30% of our numbers). Thx. Scott

Scott Barnhart, MD, MPH  
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Skype: scottbarnhart1

"A pessimist makes his opportunities difficult, and an optimist makes his difficulties opportunities."



**From:** Kilmarx, Peter (NIH/FIC) [E] <[peter.kilmarx@nih.gov](mailto:peter.kilmarx@nih.gov)>  
**Sent:** Wednesday, March 22, 2017 5:35 AM  
**To:** Scott Barnhart  
**Subject:** Re: Male circ pubs this week: Proof of no adverse psychological effect; VMMC; Circ rates for Australia

Thanks Scott.

I'd be happy to be involved in any overall evaluation of PDF in Zim. No clearance needed at NIH :)  
Cheers,  
PK

On Mar 22, 2017, at 7:56 AM, Scott Barnhart <[sbht@uw.edu](mailto:sbht@uw.edu)>wrote:

Yes, it's interesting. The modeling done by CHAI and others suggests the benefits, prevalence of HIV being equal, is greatest in district with lower penetration (better impact to get from 10%-20% than from 70% to 80%). So pushing in districts which are the same as ART scale up may not make that much sense but the differences are modest and there are some benefits to have a smaller geographic area. We'll see that the future brings. As always, thanks for your early and persistent support. It's been a good project and hard to believe we are almost done with Year 4.

Scott Barnhart, MD, MPH  
Professor, Departments of Medicine and Global Health  
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Facsimile: +1 206-221-4945  
Skype: scottbarnhart1

"A pessimist makes his opportunities difficult, and an optimist makes his difficulties opportunities."

**From:** Kilmarx, Peter (NIH/FIC) [E] <[peter.kilmarx@nih.gov](mailto:peter.kilmarx@nih.gov)>  
**Sent:** Wednesday, March 22, 2017 3:06 AM  
**To:** Scott Barnhart  
**Subject:** Re: Male circ pubs this week: Proof of no adverse psychological effect; VMMC; Circ rates for Australia

Oh right, I understand. I always thought we'd get more done on the steep part of the sigmoid curve in more districts rather than pushing to the top in fewer districts. Efficiency, equity, those things.  
Cheers,  
PK

On Mar 21, 2017, at 10:56 PM, Scott Barnhart <[sbht@uw.edu](mailto:sbht@uw.edu)>wrote:

Hi Peter: Thanks. We have a great team there and here. With the COP 15 Pivot we went from 21 to 10 districts. So, we have been intensively focused on fewer districts though we'd rather have more districts to broaden our target pool. Best, Scott

Scott Barnhart, MD, MPH  
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Skype: scottbarnhart1

"A pessimist makes his opportunities difficult, and an optimist makes his difficulties opportunities."

**From:** Kilmarx, Peter (NIH/FIC) [E] <[peter.kilmarx@nih.gov](mailto:peter.kilmarx@nih.gov)>  
**Sent:** Tuesday, March 21, 2017 5:48 PM  
**To:** Scott Barnhart  
**Subject:** Re: Male circ pubs this week: Proof of no adverse psychological effect; VMMC; Circ rates for Australia

Hi Scott,  
Thanks for your reply.  
What do you mean scaling up in half the districts?  
I'll let you know if I have any good ideas after reading.  
Congratulations on your successes in Zimbabwe.  
All the best,  
PK

Peter Kilmarx, Deputy Director  
Fogarty International Center, NIH  
RADM, U.S. Public Health Service

On Mar 21, 2017, at 8:40 PM, Scott Barnhart <[sbht@uw.edu](mailto:sbht@uw.edu)>wrote:

Hi Peter:

Thanks. We are glad to get into press. As you can see it is a mixed bag. Bottom line is it works. We've scaled up even now in half the districts. As we have done so we are having to rely

more on mobile teams as we outstripped the local clinic's capacity to take up more work. We have no good strategy to mitigate the downsides of PBF. I'd love suggestions. We have wanted to move this forward in Namibia - the only other place where we are an IP. The structure there is much more rigid and so far we have not gotten traction. Nor, have we gotten much in the way of numbers (annual target is 8,000 and we struggle to get there). I hope the "Integration" paper gets accepted. It's been under review since August. I think the combination of using local capacity and PBF is a good one but has many challenges in rapid scale up as with VMMC and also COP 15 pivot. Hope you are doing well. Scott

Scott Barnhart, MD, MPH  
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Skype: scottbarnhart1

"A pessimist makes his opportunities difficult, and an optimist makes his difficulties opportunities."

**From:** Kilmarx, Peter (NIH/FIC) [E] <[peter.kilmarx@nih.gov](mailto:peter.kilmarx@nih.gov)>  
**Sent:** Tuesday, March 21, 2017 4:50 PM  
**To:** Scott Barnhart  
**Subject:** Fwd: Male circ pubs this week: Proof of no adverse psychological effect; VMMC; Circ rates for Australia

Hi Scott, I'm very interested to see this paper on performance-based financing and look forward to reading. Are there any plans to modify the program in Zimbabwe or implement in other countries?  
Cheers,  
PK

Peter Kilmarx, Deputy Director  
Fogarty International Center, NIH  
RADM, U.S. Public Health Service

Begin forwarded message:

**From:** Brian Morris <[brian.morris@sydney.edu.au](mailto:brian.morris@sydney.edu.au)>  
**Date:** March 21, 2017 at 7:26:16 PM EDT  
**To:** Brian Morris <[brian.morris@sydney.edu.au](mailto:brian.morris@sydney.edu.au)>  
**Subject:** Male circ pubs this week: Proof of no adverse psychological effect; VMMC; Circ rates for Australia

<https://www.ncbi.nlm.nih.gov/pubmed/28291263>

*Transl Psychiatry*. 2017 Mar 14;7(3):e1063. doi: 10.1038/tp.2017.23.

**Circumcision does not alter long-term glucocorticoids accumulation or psychological effects associated with trauma- and stressor-related disorders.**

Ullmann E1,2, Licinio J3, Barthel A1,4, Petrowski K5, Oratovski B1, Stalder T6,7, Kirschbaum C6, Bornstein S1,8.

**Author information**

1 Department of Medicine, TU Dresden, Carl Gustav Carus, Dresden, Germany.

2 Department for Child and Adolescent Psychiatry, Psychotherapy, and Psychosomatics, University of Leipzig, Leipzig, Germany.

3 Mind and Brain Theme, South Australian Health and Medical Research Institute, Flinders University, Adelaide, SA, Australia.

4 Endokrinologikum RUHR, Bochum, Germany.

5 German Sport University, Cologne, Germany.

6 Department of Psychology, TU Dresden, Dresden, Germany.

7 Clinical Psychology, University of Siegen, Siegen, Germany.

8 Faculty of Life Sciences & Medicine, Endocrinology and Diabetes, Kings College London, London, UK.

**Abstract**

Male infants and boys through early adolescence can undergo circumcision either for the sake of upholding religious traditions or for medical reasons. According to both, Jewish as well as Islamic tenets, circumcision is a religious rite symbolizing the bond with God. The World Health Organization (WHO), the United Nations Council (UNC) as well as the American Academy of Pediatrics (AAP), and the Centers for Disease Control and Prevention (CDC) strongly recommend circumcision to promote hygiene and prevent disease. This procedure has frequently been criticized by various communities claiming that circumcision in infancy and early adolescence were psychologically traumatizing with medical implications up into old age. Due to the lack of evidence concerning an alleged increase in vulnerability, we measured objective and subjective stress and trauma markers, including glucocorticoids from hair samples, in circumcised and non-circumcised males. We found no differences in long-term limbic-hypothalamic-pituitary-adrenal axis activity, subjective stress perception, anxiety, depressiveness, physical complaints, sense of coherence and resilience. Rather, an increase in the glucocorticoid levels indicated a healthy lifestyle and appropriate functioning. Thus, our findings provide evidence that male circumcision does not promote psychological trauma. Moreover, a qualitative approach, the ambivalence construct, was used for the discussion, aiming at a discourse devoid of biases.

PMID: 28291263 DOI: [10.1038/tp.2017.23](https://doi.org/10.1038/tp.2017.23)

<https://www.ncbi.nlm.nih.gov/pubmed/28291049>

*J Acquir Immune Defic Syndr*. 2017 Mar 10. doi: 10.1097/QAI.0000000000001349. [Epub ahead of print]

**Social disequilibrium and the risk of HIV acquisition: A multilevel study in rural KwaZulu-Natal Province, South Africa.**

Tomita A1, Vandormael AM, Bärnighausen T, de Oliveira T, Tanser F.

**Author information**

1Nelson R Mandela School of Medicine, University of KwaZulu-Natal 2Africa Health Research Institute, University of KwaZulu-Natal 3Department of Global Health and Population, Harvard T.H. Chan School of Public Health 4Institute for Public Health, University of Heidelberg 5Centre for the AIDS Programme of Research in South Africa (CAPRISA) 6School of Nursing and Public Health, University of KwaZulu-Natal.

**Abstract**

**BACKGROUND:**

Few population-based multilevel studies have quantified the risks that social context poses in rural communities with high HIV incidence across South Africa. We investigated the individual, social and community challenges to HIV acquisition risk in areas with high and low incidence of HIV infection (hotspots/coldspots).

**METHODS:**

The cohort (N=17,376) included all HIV-negative adults enrolled in a population-based HIV surveillance study from 2004-2015 in a rural South African community with a large labor migrancy. Multilevel survival models were fitted to examine the social determinants (i.e. neighborhood migration intensity), community traits (i.e. HIV prevalence), and individual determinants of HIV acquisition risk in identified hotspots/coldspots.

**RESULTS:**

The HIV acquisition risk (aHR=1.05, 95% CI:1.01-1.09) was greater in hotspots with a higher neighborhood migration intensity amongst men. In women, higher neighborhood migration intensity (aHR=1.02, 95% CI:1.01-1.02) was associated with a greater HIV acquisition risk, irrespective of whether they lived in hotspot/coldspot communities. HIV acquisition risk was greater in communities with a higher prevalence of HIV in both men (aHR=1.07, 95% CI:1.03-1.12) and women (aHR=1.03, 95% CI:1.01-1.05), irrespective of hotspot/coldspot locations.

**CONCLUSION:**

HIV acquisition risk was strongly influenced by gender (i.e. young women), behavior (i.e. sexual debut, contraception, circumcision) and social determinants. Certain challenges (i.e. community disease prevalence) for HIV acquisition risk impacted both sexes, regardless of residence in hotspot/coldspot communities, while social determinants (i.e. neighborhood migration intensity) were pronounced in hotspots among men. Future intervention scale-up requires addressing the social context that contributes to HIV acquisition risk in rural areas with high migration.

PMID: 28291049 DOI: [10.1097/QAI.0000000000001349](https://doi.org/10.1097/QAI.0000000000001349)

<https://www.ncbi.nlm.nih.gov/pubmed/28301588>

*PLoS One*. 2017 Mar 16;12(3):e0174047. doi: 10.1371/journal.pone.0174047. eCollection 2017.

**Is it all about the money? A qualitative exploration of the effects of performance-based financial incentives on Zimbabwe's voluntary male medical circumcision program.**

Feldacker C1,2, Bochner AF1, Herman-Roloff A3, Holec M1, Murenje V4, Stepaniak A1, Xaba S5, Tshimanga M6, Chitimbire V7, Makaure S6, Hove J7, Barnhart S1,2,8, Makunike B4.

**Author information**

1 International Training and Education Center for Health (I-TECH), Seattle, WA United States of America.

2 Department of Global Health, University of Washington, Seattle, WA, United States of America.

3 U.S. Centers for Disease Control and Prevention, Harare, Zimbabwe.

4 International Training and Education Center for Health (I-TECH), Harare, Zimbabwe.

5 Ministry of Health and Child Care, Harare, Zimbabwe.

6 Zimbabwe Community Health Intervention Project (ZiCHIRe), Harare, Zimbabwe.

7 Zimbabwe Association of Church-related Hospitals (ZACH), Harare, Zimbabwe.

8 Department of Medicine, University of Washington, Seattle, WA, United States of America.

**Abstract**

**BACKGROUND:**

In 2013, Zimbabwe's voluntary medical male circumcision (VMMC) program adopted performance-based financing (PBF) to speed progress towards ambitious VMMC targets. The \$25 USD PBF intended to encourage low-paid healthcare workers to remain in the public sector and to strengthen the public healthcare system. The majority of the incentive supports healthcare workers (HCWs) who perform VMMC alongside other routine services; a small portion supports province, district, and facility levels.

## **METHODS:**

This qualitative study assessed the effect of the PBF on HCW motivation, satisfaction, and professional relationships. The study objectives were to: 1) Gain understanding of the advantages and disadvantages of PBF at the HCW level; 2) Gain understanding of the advantages and disadvantages of PBF at the site level; and 3) Inform scale up, modification, or discontinuation of PBF for the national VMMC program. Sixteen focus groups were conducted: eight with HCWs who received PBF for VMMC and eight with HCWs in the same clinics who did not work in VMMC and, therefore, did not receive PBF. Fourteen key informant interviews ascertained administrator opinion.

## **RESULTS:**

Findings suggest that PBF appreciably increased motivation among VMMC teams and helped improve facilities where VMMC services are provided. However, PBF appears to contribute to antagonism at the workplace, creating divisiveness that may reach beyond VMMC. PBF may also cause distortion in the healthcare system: HCWs prioritized incentivized VMMC services over other routine duties. To reduce workplace tension and improve the VMMC program, participants suggested increasing HCW training in VMMC to expand PBF beneficiaries and strengthening integration of VMMC services into routine care.

## **CONCLUSION:**

In the low-resource, short-staffed context of Zimbabwe, PBF enabled rapid VMMC scale up and achievement of ambitious targets; however, side effects make PBF less advantageous and sustainable than envisioned. Careful consideration is warranted in choosing whether, and how, to implement PBF to prioritize a public health program.

PMID: 28301588 DOI: [10.1371/journal.pone.0174047](https://doi.org/10.1371/journal.pone.0174047)

## **Free full text**

<https://www.ncbi.nlm.nih.gov/pubmed/28301626>

[J Drugs Dermatol](#). 2017 Mar 1;16(3):285-287.

## **Zoon Balanitis Revisited: Report of Balanitis Circumscripta Plasmacellularis Resolving With Topical Mupirocin Ointment Monotherapy.**

[Lee MA](#), [Cohen PR](#).

## **Abstract**

**INTRODUCTION:** Zoon balanitis is an idiopathic benign inflammatory condition of the glans penis and prepuce. A patient with biopsy confirmed diagnosis of Zoon balanitis who was successfully treated with topical mupirocin ointment monotherapy is described

**METHOD:** A search using PubMed database was performed using the following terms: Zoon balanitis (cases, diagnosis, treatment of), balanitis circumscripta plasmacellularis, and mupirocin. Relevant papers and their reference citations were reviewed and evaluated.

**RESULTS:** The gold standard of treatment for Zoon balanitis has previously been circumcision. More recently, topical calcineurin inhibitors have been shown to be effective. Our patient had successful resolution of his Zoon balanitis after 3 months of mupirocin ointment monotherapy.

**DISCUSSION:** Zoon balanitis is a benign inflammatory dermatosis. Previous successful treatment modalities include circumcision, phototherapy, laser therapy, and topical calcineurin inhibitors. Topical mupirocin ointment twice daily resulted in resolution of Zoon balanitis in our patient. Additional evaluation of mupirocin ointment as a therapeutic agent should be considered as a potential first-line therapy in patients with Zoon balanitis.

J Drugs Dermatol. 2017;16(3):285-287.

PMID: 28301626

<http://helmetorhoodie.weebly.com/home/australian-helmet-and-hoodie-stats-by-year>

Latest statistics on circumcision status of men in Australia by year of birth from 1945 through 1998, i.e., age approx. 18 to 71 years.

**Circumcision Academy of Australia:** <http://www.circumcisionaustralia.org>

**Circumcision Academy of America:** <http://www.circumcisionamerica.org>

-

---

YOUR DONATION IS USED 100% TOWARDS EDUCATION AND INFORMATION ON MALE CIRCUMCISION  
TO IMPROVE PUBLIC HEALTH



<http://www.circumcisionaustralia.org>

EITHER

By electronic funds transfer to: National Australia Bank:

Account Name: Circumcision Academy of Australia Inc.

BSB number: 082372

Account number: 840325369

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OR

By mailing a cheque to:

Circumcision Academy of Australia

PO Box 1776

Bondi Junction, NSW 2022

Australia

---

Brian J. Morris, DSc PhD FAHA

Professor Emeritus

School of Medical Sciences and Bosch Institute

Anderson Stuart Building (F13)

Sydney Medical School

The University of Sydney

Sydney, NSW 2006, Australia

The contents of this email might include material that could possibly reflect the expert views of an academic at the University of Sydney. Unless stated otherwise they should not be regarded as representing University policy since on many issues that academic staff are expert in the University does not maintain any specific policy.

<b>Sender:</b>	Kilmarx, Peter (NIH/FIC) [E] /O=EXCHANGELABS/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=E4ECF449BD7044BA9FD585C8DA4D47B4-KILMARXPH <peter.kilmarx@nih.gov>
<b>Recipient:</b>	Scott Barnhart <sbht@uw.edu>
<b>Sent Date:</b>	2017/03/22 10:09:16

<b>From:</b>	Kilmarx, Peter (NIH/FIC) [E] /O=EXCHANGELABS/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=E4ECF449BD7044BA9FD585C8DA4D47B4-KILMARXPH <peter.kilmarx@nih.gov>
<b>To:</b>	Caryl Feldacker <cfeld@uw.edu>
<b>CC:</b>	Herman-Roloff, Amy (CDC/CGH/DGHT) /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=b54f29f69b2a4157bb12e5a92e33aced-bjy2 <bjy2@cdc.gov>; Batsi Makunike <bmakunike@itech-zimbabwe.org>; Scott Barnhart <sbht@uw.edu>; Vernon Murenje <vmurenje@itech-zimbabwe.org>; Marianne M. Holec <mmholec@uw.edu>
<b>Subject:</b>	Re: REVISED HIV+ AE paper draft
<b>Date:</b>	2017/03/04 15:45:52
<b>Priority:</b>	Normal
<b>Type:</b>	Note

Thanks. I'll look forward to seeing once all the updates you mention below are finalized.

Peter Kilmarx, Deputy Director  
Fogarty International Center, NIH  
RADM, U.S. Public Health Service

On Mar 3, 2017, at 6:04 PM, Caryl Feldacker <cfeld@uw.edu> wrote:

Thanks, Peter. Anyone else wish to review this version? I have no further revisions to make.  
Best,  
Caryl

---

**From:** Kilmarx, Peter (NIH/FIC) [E] [<mailto:peter.kilmarx@nih.gov>]  
**Sent:** Friday, March 3, 2017 2:20 PM  
**To:** Caryl Feldacker <cfeld@uw.edu>; Herman-Roloff, Amy (CDC/CGH/DGHT) <bjy2@cdc.gov>  
**Cc:** Batsi Makunike <bmakunike@itech-zimbabwe.org>; Scott Barnhart <sbht@uw.edu>; Vernon Murenje <vmurenje@itech-zimbabwe.org>; Marianne M. Holec <mmholec@uw.edu>  
**Subject:** RE: REVISED HIV+ AE paper draft

Hi Caryl,  
Thanks for your reply. Let me please wait until it is finalized and ready for submission before reviewing again.

Thanks and best to all,  
PK

---

**From:** Caryl Feldacker [<mailto:cfeld@uw.edu>]  
**Sent:** Friday, March 03, 2017 2:17 PM  
**To:** Kilmarx, Peter (NIH/FIC) [E] <peter.kilmarx@nih.gov>; Herman-Roloff, Amy (CDC/CGH/DGHT) <bjy2@cdc.gov>  
**Cc:** Batsi Makunike <bmakunike@itech-zimbabwe.org>; Scott Barnhart <sbht@uw.edu>; Vernon

Murenje <[ymurenje@itech-zimbabwe.org](mailto:ymurenje@itech-zimbabwe.org)>; Marianne M. Holec <[mmholec@uw.edu](mailto:mmholec@uw.edu)>

**Subject:** RE: REVISED HIV+ AE paper draft

**Importance:** High

Dear Peter,

Thank you so much for this careful review. The paper is significantly improved. I completed the revisions as fully as I could. The remaining issues on definitions and clinical issues related to AEs will have to be answered by Prof.

I hope you will find this to be ready for submission.

Scott: I think that I will need some help to respond to Peter's and you last questions on the AEs. We can get his last clarifications when this group is happy with the paper.

Also, I did not update the citations or attach the appendices to this draft. I am having some trouble accessing the server. Apologies.

Best,  
Caryl

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**From:** Kilmarx, Peter (NIH/FIC) [E] [<mailto:peter.kilmarx@nih.gov>]

**Sent:** Saturday, February 25, 2017 12:01 PM

**To:** Caryl Feldacker <[cfeld@uw.edu](mailto:cfeld@uw.edu)>; Herman-Roloff, Amy (CDC/CGH/DGHT) <[bjy2@cdc.gov](mailto:bjy2@cdc.gov)>

**Cc:** Batsi Makunike <[bmakunike@itech-zimbabwe.org](mailto:bmakunike@itech-zimbabwe.org)>; Scott Barnhart <[sbht@uw.edu](mailto:sbht@uw.edu)>

**Subject:** RE: REVISED HIV+ AE paper draft

Hi Caryl,

Thanks for the chance to review again. I made a number of edits and suggestions. Ideally it should be essentially ready for journal submission before it is submitted for CDC clearance. Please complete the revision before sharing again.

Thanks!  
PK

Peter H. Kilmarx, MD, FACP, FIDSA  
RADM, U.S. Public Health Service  
Deputy Director, Fogarty International Center  
U.S. National Institutes of Health  
Cell Redacted by agreement Email [peter.kilmarx@nih.gov](mailto:peter.kilmarx@nih.gov)

---

**From:** Caryl Feldacker [[cfeld@uw.edu](mailto:cfeld@uw.edu)]

**Sent:** Thursday, February 23, 2017 5:23 PM

**To:** Kilmarx, Peter (NIH/FIC) [E]; Herman-Roloff, Amy (CDC/CGH/DGHT)

**Cc:** Batsi Makunike; Scott Barnhart

**Subject:** REVISED HIV+ AE paper draft

Dear Peter and Amy,

This reflects minor revision. If you can, review this version please. If you started on the other, no worries. This has the data updated from the Phase II trial only.

Thank you both so much for making time in your crazy schedules. I really appreciate it!

Best,  
Caryl

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**From:** Kilmarx, Peter (NIH/FIC) [E] [<mailto:peter.kilmarx@nih.gov>]  
**Sent:** Wednesday, February 22, 2017 1:55 PM  
**To:** Caryl Feldacker <[cfeld@uw.edu](mailto:cfeld@uw.edu)>; Herman-Roloff, Amy (CDC/CGH/DGHT) <[bjy2@cdc.gov](mailto:bjy2@cdc.gov)>  
**Cc:** Batsi Makunike <[bmakunike@itech-zimbabwe.org](mailto:bmakunike@itech-zimbabwe.org)>; Scott Barnhart <[sbht@uw.edu](mailto:sbht@uw.edu)>  
**Subject:** RE: Review of first HIV+ PrexPex Manuscript

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Sorry it's a busy time (although probably not as busy as Amy's). I'll plan to review and comment this week.

Thanks,  
PK

---

**From:** Caryl Feldacker [<mailto:cfeld@uw.edu>]  
**Sent:** Wednesday, February 22, 2017 9:36 AM  
**To:** Herman-Roloff, Amy (CDC/CGH/DGHT) <[bjy2@cdc.gov](mailto:bjy2@cdc.gov)>; Kilmarx, Peter (NIH/FIC) [E] <[peter.kilmarx@nih.gov](mailto:peter.kilmarx@nih.gov)>  
**Cc:** Batsi Makunike <[bmakunike@itech-zimbabwe.org](mailto:bmakunike@itech-zimbabwe.org)>; Scott Barnhart <[sbht@uw.edu](mailto:sbht@uw.edu)>  
**Subject:** Review of first HIV+ PrexPex Manuscript

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Best,  
Caryl

---

**From:** Caryl Feldacker  
**Sent:** Thursday, February 9, 2017 1:36 PM  
**To:** 'Kilmarx, Peter (NIH/FIC) [E]' <[peter.kilmarx@nih.gov](mailto:peter.kilmarx@nih.gov)>; Herman-Roloff, Amy (CDC/CGH/DGHT) <[bjy2@cdc.gov](mailto:bjy2@cdc.gov)>  
**Cc:** Scott Barnhart <[sbht@uw.edu](mailto:sbht@uw.edu)>; Batsi Makunike <[bmakunike@itech-zimbabwe.org](mailto:bmakunike@itech-zimbabwe.org)>  
**Subject:** RE: Review of first HIV+ PrexPex Manuscript

Dear Amy and Peter,

I think this is closer. I made edits and corrections in response to your comments, and we got some clarification from Prof. Before we go back to him, would you do another review? Then I will follow-up with Prof and revise quickly. I would like to send it to all authors and get it into CDC review sooner than later as they may delay it quite a bit.

Hope it looks better. Thank you both so much for your help!  
Best,  
Caryl

---

**From:** Kilmarx, Peter (NIH/FIC) [E] [<mailto:peter.kilmarx@nih.gov>]  
**Sent:** Tuesday, January 31, 2017 4:07 PM  
**To:** Caryl Feldacker <[cfeld@uw.edu](mailto:cfeld@uw.edu)>; Herman-Roloff, Amy (CDC/CGH/DGHT) <[bjy2@cdc.gov](mailto:bjy2@cdc.gov)>  
**Cc:** Scott Barnhart <[sbht@uw.edu](mailto:sbht@uw.edu)>; Batsi Makunike <[bmakunike@itech-zimbabwe.org](mailto:bmakunike@itech-zimbabwe.org)>  
**Subject:** RE: Review of first HIV+ PrexPex Manuscript

FYI - a reference of interest:

<https://www.ncbi.nlm.nih.gov/pubmed/28118387>

*PLoS One*. 2017 Jan 24;12(1):e0170641. doi: 10.1371/journal.pone.0170641. eCollection 2017.

**Could Circumcision of HIV-Positive Males Benefit Voluntary Medical Male Circumcision Programs in Africa? Mathematical Modeling Analysis.**

[Awad SF](#)<sup>1</sup>, [Sgaier SK](#)<sup>2,3,4</sup>, [Lau FK](#)<sup>2</sup>, [Mohamoud YA](#)<sup>1</sup>, [Tambatamba BC](#)<sup>5</sup>, [Kripke KE](#)<sup>6</sup>, [Thomas AG](#)<sup>7</sup>, [Bock N](#)<sup>8</sup>, [Reed JB](#)<sup>9</sup>, [Njeuhmeli E](#)<sup>10</sup>, [Abu-Raddad LJ](#)<sup>1,11,12</sup>.

**Author information**

- <sup>1</sup>Infectious Disease Epidemiology Group, Weill Cornell Medical College in Qatar, Cornell University, Qatar Foundation, Education City, Doha, Qatar.
- <sup>2</sup>Surgo Foundation, Washington, District of Columbia, United States of America.
- <sup>3</sup>Department of Global Health and Population, Harvard T. H. Chan School of Public Health, Boston, Massachusetts, United States of America.
- <sup>4</sup>Department of Global Health, University of Washington, Seattle, Washington, United States of America.
- <sup>5</sup>Ministry of Community Development and Mother and Child Health, Lusaka, Zambia.
- <sup>6</sup>Health Policy Initiative, Avenir Health, Washington, District of Columbia, United States of America.
- <sup>7</sup>Naval Health Research Center, U.S. Department of Defense, San Diego, California, United States of America.
- <sup>8</sup>Division of Global HIV/AIDS, Center for Global Health, Centers for Disease Control and Prevention, Atlanta, Georgia, United States of America.
- <sup>9</sup>Jhpiego, Washington, District of Columbia, United States of America.
- <sup>10</sup>United States Agency for International Development, Washington, District of Columbia, United States of America.
- <sup>11</sup>Department of Healthcare Policy and Research, Weill Cornell Medical College, Cornell University, New York, New York, United States of America.
- <sup>12</sup>College of Public Health, Hamad bin Khalifa University, Qatar Foundation, Education City, Doha, Qatar.

**Abstract**

**BACKGROUND:**

The epidemiological and programmatic implications of inclusivity of HIV-positive males in voluntary medical male circumcision (VMMC) programs are uncertain. We modeled these implications using Zambia as an illustrative example.

**METHODS AND FINDINGS:**

We used the Age-Structured Mathematical (ASM) model to evaluate, over an intermediate horizon (2010-2025), the effectiveness (number of VMMCs needed to avert one HIV infection) of VMMC scale-up scenarios with varying proportions of HIV-positive males. The model was calibrated by fitting to HIV prevalence time trend data from 1990 to 2014. We assumed that inclusivity of HIV positive males may benefit VMMC programs by increasing VMMC uptake among higher risk males, or by circumcision reducing HIV male-to-female transmission risk. All analyses were generated assuming no further antiretroviral therapy (ART) scale-up. The number of VMMCs needed to avert one HIV infection was projected to increase from 12.2 VMMCs per HIV infection averted, in a program that circumcises only HIV-negative males, to 14.0, in a program that includes HIV-positive males. The proportion of HIV-positive males was based on their representation in the population (e.g. 12.6% of those circumcised in 2010 would be HIV-positive based on HIV prevalence among males of 12.6% in 2010). However, if a program that only reaches out to HIV-negative males is associated with 20% lower uptake among higher-risk males, the effectiveness would be 13.2 VMMCs per infection averted. If improved inclusivity of HIV-positive males is associated with 20% higher uptake among higher-risk males, the effectiveness would be 12.4. As the assumed VMMC efficacy against male-to-female HIV transmission was increased from 0% to 20% and 46%, the effectiveness of circumcising regardless of HIV status improved from 14.0 to 11.5 and 9.1, respectively. The reduction in the HIV incidence rate among females increased accordingly, from 24.7% to 34.8% and 50.4%, respectively.

**CONCLUSION:**

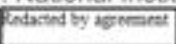
Improving inclusivity of males in VMMC programs regardless of HIV status increases VMMC effectiveness, if there is moderate increase in VMMC uptake among higher-risk males and/or if there is moderate efficacy for VMMC against male-to-female transmission. In these circumstances, VMMC programs can reduce the HIV incidence rate in males by nearly as much as expected by some ART programs, and additionally, females can benefit from the intervention nearly as much as males.

PMID: 28118387

DOI: [10.1371/journal.pone.0170641](https://doi.org/10.1371/journal.pone.0170641)

[PubMed - in process]

**Free full text**

Peter H. Kilmarx, MD, FACP, FIDSA  
RADM, U.S. Public Health Service  
Deputy Director, Fogarty International Center  
U.S. National Institutes of Health  
Cell  Email [peter.kilmarx@nih.gov](mailto:peter.kilmarx@nih.gov)

---

**From:** Kilmarx, Peter (NIH/FIC) [E]  
**Sent:** Monday, January 23, 2017 12:30 PM  
**To:** Caryl Feldacker; Herman-Roloff, Amy (CDC/CGH/DGHT)  
**Cc:** Scott Barnhart; Batsi Makunike  
**Subject:** RE: Review of first HIV+ PrexPex Manuscript

Hi Caryl,


This is a great start on this paper. Please see my comments attached. I look forward to seeing the next draft.

Suggested reviewers:

1. Naomi Bock – CDC
2. Jason Reed – JHPIEGO (formerly CDC)
3. Cate Hankins – AIGHD
4. Jeffrey Klausner – UCLA
5. Paul Feldblum – FHI360 (Amy may know better about this suggestion.)

Hi Scott and Batsi!

Best wishes,  
PK

Peter H. Kilmarx, MD, FACP, FIDSA  
RADM, U.S. Public Health Service  
Deputy Director, Fogarty International Center  
U.S. National Institutes of Health  
Cell  Email [peter.kilmarx@nih.gov](mailto:peter.kilmarx@nih.gov)  
<image001.png>

---

**From:** Caryl Feldacker [<mailto:cfeld@uw.edu>]  
**Sent:** Friday, January 20, 2017 5:00 PM  
**To:** Kilmarx, Peter (NIH/FIC) [E] <[peter.kilmarx@nih.gov](mailto:peter.kilmarx@nih.gov)>; Herman-Roloff, Amy (CDC/CGH/DGHT) <[bjy2@cdc.gov](mailto:bjy2@cdc.gov)>  
**Cc:** Scott Barnhart <[sbht@uw.edu](mailto:sbht@uw.edu)>; Batsi Makunike <[bmakunike@itech-zimbabwe.org](mailto:bmakunike@itech-zimbabwe.org)>  
**Subject:** RE: Review of first HIV+ PrexPex Manuscript

Hi Peter,

Glad to be back in touch with some good progress! I look forward to your comments in the HIV+ Prepex paper. I also hope to hear some good news from WHO Bulletin about the integration paper someday soon when they can find reviewers. On that note, do you have anyone that you can think of who might look with interest and favor on our paper about the VMMC integrated model? WHO is having a hard time finding reviewers. It is significantly slowing the process.

Best,  
Caryl

---

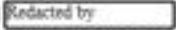
**From:** Kilmarx, Peter (NIH/FIC) [E] [<mailto:peter.kilmarx@nih.gov>]  
**Sent:** Thursday, January 19, 2017 2:08 PM  
**To:** Caryl Feldacker <[cfeld@uw.edu](mailto:cfeld@uw.edu)>; Herman-Roloff, Amy (CDC/CGH/DGHT) <[bjy2@cdc.gov](mailto:bjy2@cdc.gov)>  
**Subject:** RE: Review of first HIV+ PrexPex Manuscript

Hi and thanks Caryl and Amy. I'll aim to review carefully and provide comments this weekend.



Caryl – please note my new email address. The CDC affiliations should be used on the paper because that was my affiliation when the work was done. My NIH affiliation may be noted as a footnote if you want to include current author affiliations, but it doesn't matter to me.

Thanks,  
PK

Peter H. Kilmarx, MD, FACP, FIDSA  
RADM, U.S. Public Health Service  
Deputy Director, Fogarty International Center  
U.S. National Institutes of Health  
Cell  Email [peter.kilmarx@nih.gov](mailto:peter.kilmarx@nih.gov)  
<image001.png>

---

**From:** Caryl Feldacker [<mailto:cfeld@UW.EDU>]  
**Sent:** Thursday, January 19, 2017 12:10 PM  
**To:** Herman-Roloff, Amy (CDC/CGH/DGHT) <[bjy2@cdc.gov](mailto:bjy2@cdc.gov)>; Kilmarx, Peter (NIH/FIC) [E] <[peter.kilmarx@nih.gov](mailto:peter.kilmarx@nih.gov)>  
**Subject:** RE: Review of first HIV+ PrexPex Manuscript

Thank you, Amy! Hello, Peter!

I'd appreciate any comments, Peter, at your earliest convenience. It has one or two sections that are a work in progress – mostly on the sample size/design issues that we will face – but it is almost ready for CDC review.

Hopefully, it will have a speedy CDC review for submission to PLOS One by early February.

Best,  
Caryl

---

**From:** Herman-Roloff, Amy (CDC/CGH/DGHT) [<mailto:bjy2@cdc.gov>]  
**Sent:** Thursday, January 19, 2017 5:43 AM  
**To:** Kilmarx, Peter (NIH/FIC) [E] <[peter.kilmarx@nih.gov](mailto:peter.kilmarx@nih.gov)>  
**Cc:** Caryl Feldacker <[cfeld@uw.edu](mailto:cfeld@uw.edu)>  
**Subject:** Review of first HIV+ PrexPex Manuscript

Hi Peter –

It was nice to chat with you today. As discussed, I am in the midst of reviewing the second draft of the first paper coming out of the HIV+ PrePex study. You are listed as an author, and your in-depth review would be most appreciated. Caryl is coordinating the writing and responses, and I've copied her here. (Caryl – please note Peter's NIH's email address.)

Thanks –  
Amy

<image002.jpg>

<b>Sender:</b>	Kilmarx, Peter (NIH/FIC) [E] /O=EXCHANGELABS/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=E4ECF449BD7044BA9FD585C8DA4D47B4-KILMARXPH <peter.kilmarx@nih.gov>
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<b>Sent Date:</b>	2017/03/04 15:45:51
<b>Delivered Date:</b>	2017/03/04 15:45:52

<b>From:</b>	Kilmarx, Peter (NIH/FIC) [E] </O=EXCHANGELABS/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=E4ECF449BD7044BA9FD585C8DA4D47B4-KILMARXPH>
<b>To:</b>	Caryl Feldacker <cfeld@uw.edu>; Herman-Roloff, Amy (CDC/CGH/DGHT) /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=b54f29f69b2a4157bb12e5a92e33aced-bjy2 <bjy2@cdc.gov>
<b>CC:</b>	Batsi Makunike <bmakunike@itech-zimbabwe.org>; Scott Barnhart <sbht@uw.edu>; Vernon Murenje <vmurenje@itech-zimbabwe.org>; Marrienne M. Holec <mmholec@uw.edu>
<b>Subject:</b>	RE: REVISED HIV+ AE paper draft
<b>Date:</b>	2017/03/03 17:19:00
<b>Priority:</b>	Normal
<b>Type:</b>	Note

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Peter H. Kilmarx, MD, FACP, FIDSA  
RADM, U.S. Public Health Service  
Deputy Director, Fogarty International Center  
U.S. National Institutes of Health  
Cell [Redacted by agreement] Email [peter.kilmarx@nih.gov](mailto:peter.kilmarx@nih.gov)

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**Cc:** Batsi Makunike; Scott Barnhart  
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**Cc:** Batsi Makunike <[bmakunike@itech-zimbabwe.org](mailto:bmakunike@itech-zimbabwe.org)>; Scott Barnhart <[sbht@uw.edu](mailto:sbht@uw.edu)>  
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Caryl

---

**From:** Caryl Feldacker  
**Sent:** Thursday, February 9, 2017 1:36 PM  
**To:** 'Kilmarx, Peter (NIH/FIC) [E]' <peter.kilmarx@nih.gov>; Herman-Roloff, Amy (CDC/CGH/DGHT) <bjy2@cdc.gov>  
**Cc:** Scott Barnhart <sbht@uw.edu>; Batsi Makunike <bmakunike@itech-zimbabwe.org>  
**Subject:** RE: Review of first HIV+ PrexPex Manuscript

Dear Amy and Peter,

I think this is closer. I made edits and corrections in response to your comments, and we got some clarification from Prof. Before we go back to him, would you do another review? Then I will follow-up with Prof and revise quickly. I would like to send it to all authors and get it into CDC review sooner than later as they may delay it quite a bit.

Hope it looks better. Thank you both so much for your help!  
Best,  
Caryl

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**From:** Kilmarx, Peter (NIH/FIC) [E] [mailto:peter.kilmarx@nih.gov]  
**Sent:** Tuesday, January 31, 2017 4:07 PM  
**To:** Caryl Feldacker <cfeld@uw.edu>; Herman-Roloff, Amy (CDC/CGH/DGHT) <bjy2@cdc.gov>  
**Cc:** Scott Barnhart <sbht@uw.edu>; Batsi Makunike <bmakunike@itech-zimbabwe.org>  
**Subject:** RE: Review of first HIV+ PrexPex Manuscript

FYI - a reference of interest:

<https://www.ncbi.nlm.nih.gov/pubmed/28118387>  
[PLoS One](https://doi.org/10.1371/journal.pone.0170641), 2017 Jan 24;12(1):e0170641. doi: 10.1371/journal.pone.0170641. eCollection 2017.

## Could Circumcision of HIV-Positive Males Benefit Voluntary Medical Male Circumcision Programs in Africa? Mathematical Modeling Analysis.

[Awad SF](#)<sup>1</sup>, [Sgaier SK](#)<sup>2,3,4</sup>, [Lau FK](#)<sup>2</sup>, [Mohamoud YA](#)<sup>1</sup>, [Tambatamba BC](#)<sup>5</sup>, [Kripke KE](#)<sup>6</sup>, [Thomas AG](#)<sup>7</sup>, [Bock N](#)<sup>8</sup>, [Reed JB](#)<sup>9</sup>, [Njeuhmeli E](#)<sup>10</sup>, [Abu-Raddad LJ](#)<sup>1,11,12</sup>.

### Author information

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- <sup>11</sup>Department of Healthcare Policy and Research, Weill Cornell Medical College, Cornell University, New York, New York, United States of America.
- <sup>12</sup>College of Public Health, Hamad bin Khalifa University, Qatar Foundation, Education City, Doha, Qatar.

### Abstract

#### BACKGROUND:

The epidemiological and programmatic implications of inclusivity of HIV-positive males in voluntary medical male circumcision (VMMC) programs are uncertain. We modeled these implications using Zambia as an illustrative example.

#### METHODS AND FINDINGS:

We used the Age-Structured Mathematical (ASM) model to evaluate, over an intermediate horizon (2010-2025), the effectiveness (number of VMMCs needed to avert one HIV infection) of VMMC scale-up scenarios with varying proportions of HIV-positive males. The model was calibrated by fitting to HIV prevalence time trend data from 1990 to 2014. We assumed that inclusivity of HIV positive males may benefit VMMC programs by increasing VMMC uptake among higher risk males, or by circumcision reducing HIV male-to-female transmission risk. All analyses were generated assuming no further antiretroviral therapy (ART) scale-up. The number of VMMCs needed to avert one HIV infection was projected to increase from 12.2 VMMCs per HIV infection averted, in a program that circumcises only HIV-negative males, to 14.0, in a program that includes HIV-positive males. The proportion of HIV-positive males was based on their representation in the population (e.g. 12.6% of those circumcised in 2010 would be HIV-positive based on HIV prevalence among males of 12.6% in 2010). However, if a program that only reaches out to HIV-negative males is associated with 20% lower uptake among higher-risk males, the effectiveness would be 13.2 VMMCs per infection averted. If improved inclusivity of HIV-positive males is associated with 20% higher uptake among higher-risk males, the effectiveness would be 12.4. As the assumed VMMC efficacy against male-to-female HIV transmission was increased

from 0% to 20% and 46%, the effectiveness of circumcising regardless of HIV status improved from 14.0 to 11.5 and 9.1, respectively. The reduction in the HIV incidence rate among females increased accordingly, from 24.7% to 34.8% and 50.4%, respectively.

**CONCLUSION:**

Improving inclusivity of males in VMMC programs regardless of HIV status increases VMMC effectiveness, if there is moderate increase in VMMC uptake among higher-risk males and/or if there is moderate efficacy for VMMC against male-to-female transmission. In these circumstances, VMMC programs can reduce the HIV incidence rate in males by nearly as much as expected by some ART programs, and additionally, females can benefit from the intervention nearly as much as males.

PMID: 28118387

DOI: [10.1371/journal.pone.0170641](https://doi.org/10.1371/journal.pone.0170641)

[PubMed - in process]

**Free full text**

Peter H. Kilmarx, MD, FACP, FIDSA  
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Deputy Director, Fogarty International Center  
U.S. National Institutes of Health  
Cell Redacted by agreement Email [peter.kilmarx@nih.gov](mailto:peter.kilmarx@nih.gov)

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**From:** Kilmarx, Peter (NIH/FIC) [E]  
**Sent:** Monday, January 23, 2017 12:30 PM  
**To:** Caryl Feldacker; Herman-Roloff, Amy (CDC/CGH/DGHT)  
**Cc:** Scott Barnhart; Batsi Makunike  
**Subject:** RE: Review of first HIV+ PrexPex Manuscript

Hi Caryl,

This is a great start on this paper. Please see my comments attached. I look forward to seeing the next draft.

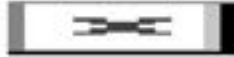
Suggested reviewers:

1. Naomi Bock – CDC
2. Jason Reed – JHPIEGO (formerly CDC)
3. Cate Hankins – AIGHD
4. Jeffrey Klausner – UCLA
5. Paul Feldblum – FHI360 (Amy may know better about this suggestion.)

Hi Scott and Batsi!

Best wishes,  
PK

Peter H. Kilmarx, MD, FACP, FIDSA  
RADM, U.S. Public Health Service  
Deputy Director, Fogarty International Center  
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Health

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**From:** Caryl Feldacker [mailto:[cfeld@uw.edu](mailto:cfeld@uw.edu)]  
**Sent:** Friday, January 20, 2017 5:00 PM  
**To:** Kilmarx, Peter (NIH/FIC) [E] <[peter.kilmarx@nih.gov](mailto:peter.kilmarx@nih.gov)>; Herman-Roloff, Amy (CDC/CGH/DGHT) <[bjy2@cdc.gov](mailto:bjy2@cdc.gov)>  
**Cc:** Scott Barnhart <[sbht@uw.edu](mailto:sbht@uw.edu)>; Batsi Makunike <[bmakunike@itech-zimbabwe.org](mailto:bmakunike@itech-zimbabwe.org)>  
**Subject:** RE: Review of first HIV+ PrexPex Manuscript

Hi Peter,

Glad to be back in touch with some good progress! I look forward to your comments in the HIV+ Prepex paper. I also hope to hear some good news from WHO Bulletin about the integration paper someday soon when they can find reviewers. On that note, do you have anyone that you can think of who might look with interest and favor on our paper about the VMMC integrated model? WHO is having a hard time finding reviewers. It is significantly slowing the process.

Best,  
Caryl

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**From:** Kilmarx, Peter (NIH/FIC) [E] [mailto:[peter.kilmarx@nih.gov](mailto:peter.kilmarx@nih.gov)]  
**Sent:** Thursday, January 19, 2017 2:08 PM  
**To:** Caryl Feldacker <[cfeld@uw.edu](mailto:cfeld@uw.edu)>; Herman-Roloff, Amy (CDC/CGH/DGHT) <[bjy2@cdc.gov](mailto:bjy2@cdc.gov)>  
**Subject:** RE: Review of first HIV+ PrexPex Manuscript

Hi and thanks Caryl and Amy. I'll aim to review carefully and provide comments this weekend.

Caryl – please note my new email address. The CDC affiliations should be used on the paper because that was my affiliation when the work was done. My NIH affiliation may be noted as a footnote if you want to include current author affiliations, but it doesn't matter to me.

Thanks,  
PK

Peter H. Kilmarx, MD, FACP, FIDSA  
RADM, U.S. Public Health Service  
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Health



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**From:** Caryl Feldacker [<mailto:cfeld@UW.EDU>]  
**Sent:** Thursday, January 19, 2017 12:10 PM  
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**Subject:** RE: Review of first HIV+ PrexPex Manuscript

Thank you, Amy! Hello, Peter!

I'd appreciate any comments, Peter, at your earliest convenience. It has one or two sections that are a work in progress – mostly on the sample size/design issues that we will face – but it is almost ready for CDC review.

Hopefully, it will have a speedy CDC review for submission to PLOS One by early February.

Best,  
Caryl

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**From:** Herman-Roloff, Amy (CDC/CGH/DGHT) [<mailto:bjy2@cdc.gov>]  
**Sent:** Thursday, January 19, 2017 5:43 AM  
**To:** Kilmarx, Peter (NIH/FIC) [E] <[peter.kilmarx@nih.gov](mailto:peter.kilmarx@nih.gov)>  
**Cc:** Caryl Feldacker <[cfeld@uw.edu](mailto:cfeld@uw.edu)>  
**Subject:** Review of first HIV+ PrexPex Manuscript

Hi Peter –

It was nice to chat with you today. As discussed, I am in the midst of reviewing the second draft of the first paper coming out of the HIV+ PrePex study. You are listed as an author, and your in-depth review would be most appreciated. Caryl is coordinating the writing and responses, and I've copied her here. (Caryl – please note Peter's NIH's email address.)

Thanks –  
Amy



Amy Herman-Roloff.

<b>Sender:</b>	Kilmarx, Peter (NIH/FIC) [E] </O=EXCHANGELABS/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=E4ECF449BD7044BA9FD585C8DA4D47B4-KILMARXPH>
<b>Recipient:</b>	Caryl Feldacker <cfeld@uw.edu>; Herman-Roloff, Amy (CDC/CGH/DGHT) /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=b54f29f69b2a4157bb12e5a92e33aced-bjy2 <bjy2@cdc.gov>; Batsi Makunike <bmakunike@itech-zimbabwe.org>; Scott Barnhart <sbht@uw.edu>; Vernon Murenje <vmurenje@itech-zimbabwe.org>; Marriane M. Holec <mmholec@uw.edu>
<b>Sent Date:</b>	2017/03/03 17:19:40
<b>Delivered Date:</b>	2017/03/03 17:19:00

35

### Treatment failure in Cameroon : problems and current limitations of the health care system

#### Background:

Although the WHO public health strategy aimed at eliminating the epidemic by 2030 has led to widespread access to antiretroviral therapy in countries in the global South, the emergence of viral resistance related to treatment failures poses a growing threat to individuals and the general public. In Cameroon, various constraints hinder the prevention, detection and case management of treatment failures. The aim of our study was to describe and analyze the context, determinants and modalities related to case management of treatment failure.

#### Methods:

Between 2010 and 2012, we conducted a survey in four urban sites: the Central Hospital in Yaounde; Laquintinie Hospital in Douala; Nylon District Hospital where an NGO (Médecins Sans Frontières) is involved; and the study site of the 2 Lady research project (ANRS 12169). Semi-structured interviews and observations were conducted at the sites with 85 patients and 53 health and psychosocial care professionals.

#### Results:

Treatment failure was often detected very late due to lack of routine viral load monitoring. Notification of the failure is often associated with placing blame on patients, who are seen as bearing sole responsibility for it. Capacities for prevention, detection and case management are limited by a lack of procedures. Medical and psychosocial care is focused on starting first-line treatment and switching from first- to second-line treatment, but long-term follow-up does not exist. Treatment failure redefines relationships between caregivers and patients. Caregivers' attitudes vacillate between compassion and condemnation, while the failure reinforces the patients' dependence. Current inaccessibility to third-line treatment makes the possibility of a new failure all the more dramatic.

#### Conclusion:

Treatment failures are a powerful indicator of the current limitations affecting the health care system. Our observations reflect the health system's current inability to adequately deliver adapted case management of treatment failures due to: unpreparedness of health care professionals and unavailability of diagnostic tools and treatments.

### Examining Heterogeneity in HIV Comprehensive Knowledge among Men and Women in Malawi

**Background:** There are few studies around socioeconomic determinants of comprehensive HIV knowledge, which provide crucial insights from social and behavioral aspects of HIV and AIDS. These provide insights on inter alia, the distribution and more importantly suggest potential mechanisms through which such knowledge, or lack thereof, ultimately conditions sexual behavior which in turn affect the HIV epidemic. However, there is an evident paucity of empirical literature examining in a more detailed what factors contribute to the gap(difference) in HIV and AIDS comprehensive knowledge. With this in mind, the main objective of this study is to empirically decompose the comprehensive HIV knowledge gap (difference in proportion of people having HIV and AIDS knowledge), between male and female in Malawi. To achieve this, the study first identifies the determinants of comprehensive HIV and AIDS knowledge. Secondly we undertake a decomposition analysis to identify how separate social economic factors contribute to the gap(difference) in comprehensive HIV and AIDS knowledge. The study is important as it combines, a gender, behavior dimension into the social aspects of HIV and AIDS. Not only that, it is a first application of labour economics method in the local context to understand the phenomenon.

**Methods:** Data for the study was obtained from the 2010 Malawi Demographic Health Survey (MDHS). A logit regression was used to assess factors associated with attainment of comprehensive HIV knowledge. On account of some binary nature and non-linearity of the variable of interest, the study utilized the Fairlie method to decompose the HIV and AIDS knowledge gap into its contributions. The decomposition method divides the rural-urban gap between males and females in attainment of comprehensive HIV knowledge into a part that is "explained" and "unexplained" (a residual part that cannot be accounted for by explanatory variables). This part is often used as a measure for discrimination among groups, but it also subsumes the effects of group differences in unobserved predictors. The Fairlie's decomposition allows a detailed decomposition of the separate contribution of each variable to comprehensive HIV knowledge gap.

**Results:** Findings from this study indicate 39% of women have HIV and AIDS comprehensive knowledge and 52% of men have comprehensive HIV and AIDS knowledge. This entails a difference of 14%. Of the difference, 75% is explained by the differences in social economic factors and behavior of men and women, where by 25% still remain unexplained. Having an HIV test reduces the gap as well as leaving in a high HIV and AIDS prevalence areas. Religion doesn't explain the gap. Exposure to radio and TV reduces the difference in HIV and AIDS knowledge. Being rich explains 25.1% of the difference where as being poorer reduces the gap (2.3%)

**Recommendations:** The results point to the need for a differentiated approach to HIV awareness taking into account the differences in the factors that mediate attainment of comprehensive HIV knowledge among men and women. Also need for development or review of strategic documents with respect to HIV communication and advocacy.

### Background

Availability of and increased access to antiretroviral therapy has significantly reduced morbidity and mortality associated with HIV. As a result, perinatally HIV infected children are able to grow into adolescents and young adults. In Uganda approximately 100,000-150,000 children and adolescents aged between 10-19 years of age are living with HIV. Although major strides have been made in the biomedical management of HIV among children and adolescents, there is limited information on the social challenges of HIV-positive adolescents in rural settings in sub-Saharan Africa. Previous work about the social challenges of HIV-positive adolescents has been limited to studies done in high-income countries and/or urban areas in low-income countries. The purpose of this study was to explore social challenges facing adolescents living with HIV in rural Uganda.

### Methods

We collected qualitative data using focus group discussions and one-on-one in-depth interviews between February and May 2016. Participants in the focus group discussions included 26 adolescents aged 13-17 years, 14 of whom were HIV-positive and 12 of whom were of unknown serostatus. We conducted a total of 42 in-depth interviews, including 5 interviews with HIV-positive adolescents, 5 interviews with adolescents of unknown HIV status, and 32 interviews with adult caregivers (10 of whom were themselves HIV-positive). HIV-positive participants were recruited from the Mbarara Immune Suppression Syndrome clinic. Participants of unknown serostatus were recruited from Nyakabare Parish, a rural site 20 km from Mbarara town. All interviews were audio-recorded, translated and transcribed into English, and coded using thematic analysis to identify themes related to social challenges.

### Results

Social challenges faced by adolescents were categorized under 7 themes: 1) stigma and discrimination; 2) fear of unintended disclosure of HIV status; 3) poverty associated with lack of basic needs and missed schooling; 4) ART adherence challenges; 5) food insecurity; 6) loss of parents; and 7) maltreatment. Stigma/discrimination and poverty were the most frequently mentioned challenges. Data generated from focus group discussions were rich in content than in depth interview data.

### Conclusion

HIV-positive adolescents face numerous important challenges that could compromise their engagement in HIV care.

### The potential of advanced mHealth interventions for youth populations: insights from mobile phone use in a mixed rural-urban sub district in South Africa

**Background:** The ubiquity of mobile phone use in Sub-Saharan Africa is well documented in adult populations. Measures of access to - and utilisation of - mobile phones among adolescents are lacking. Mobile health (mHealth) interventions have begun to explore the potential of using more advanced methods to engage young people in sexual and reproductive health messaging. These include mobile sites, social media, and applications ("apps"). To assess the potential effectiveness of advanced mHealth technologies in adolescent populations, we must first understand how this group engages with mobile phones, and across which platforms.

**Methods:** To describe mobile phone uptake and user behaviours amongst adolescents residing within a mixed rural-urban health sub district, data were gathered from 1286 participants (10 – 22 years) enrolled in a prospective longitudinal cohort study (Mzantsi Wakho) in the Eastern Cape Province, South Africa. The tablet-based questionnaire collected optional quantitative information regarding: 1) access to and personal ownership of a mobile phone; 2) SIM card ownership; 3) kind of device and operating system; 4) purposes of engagements (e.g. health-seeking); and 5) general usage characteristics (e.g. messaging preferences). Analyses were conducted using SPSS.

**Results:** Given that responses to all questions were not mandatory, there were variances in response rates (range 1006 – 1229, 78.2% - 95.6%). When disaggregated by indicator, self-reported data show that: 54.4% have access to a mobile device; 47.7% own a SIM card; 34.8% have access to a smart phone; and 25.3%, a basic phone. Possession of a personal phone (not shared) within the sample counted smartphone ownership as higher than basic phones: 33.8% versus 29.2%. Further, 21.1% reported owning a "brand name" phone, such as an iPhone or Blackberry device.

Usage statistics demonstrated that 14.9% of mobile phone engagement was for the purposes of gathering health information (including HIV-specific materials) and job-seeking. 40%, 39.2%, 37.3%, and 31.2% reported using their phones for music, WhatsApp messaging, games, and Facebook, respectively. SMS's remained the dominant mode of communicating, as reported by 44.7% of the sample.

Females reported having more access to mobile phones (61.8%) compared with males (44.7%) ( $p \leq 0.001$ ). Of note, access to cell phones increased with age ( $\chi^2 = 129.9678$   $\alpha$  0.5,  $df = 4$   $p \leq 0.00001$ ): 29% of those under 12; 42.9% 13-15 years; 66.6% 16-18 years; 78.5% 19-21 years; and 80% 22+ years. Smart phone access also increased accordingly with the exception of those aged 22+, perhaps owing to small sample size ( $n = 5$ ).

**Conclusions:** These results demonstrate a tangible shift away from basic cell phone use to more sophisticated, smart phone devices. It also highlights gender disparities in terms of access to mobile technologies. Thus, the potential to introduce novel mHealth interventions that target young people, particularly females – who are also most at risk of HIV infection, shows promise. However, these methods should be balanced with existing evidence-based programming to ensure a broader reach. Interventions that do not rely on mobile platforms should be retained with a view to potentially translate them to digital spaces as smartphones become less expensive, and thus more accessible to young people.

### Barriers to ART uptake experienced by healthy clients in Malawi under Test and Treat

**Background:** Malawi is one of the first countries in sub-Saharan Africa to implement antiretroviral therapy (ART) regardless of clinical stage or CD4 cell count (Test and Treat). We evaluated barriers and facilitators to ART uptake from the perspective of both asymptomatic newly HIV+ clients and HIV service providers during the first 6 weeks of program implementation.

**Methods:** Routine data from 5 ART clinics were reviewed to identify clients >18 years of age testing HIV+ between July 14-September 23, 2016. Individuals were screened to determine if they were asymptomatic at time of testing. In-depth interviews were conducted with asymptomatic clients. Twelve focus group discussions were completed with ART providers (n=31), HIV counselors (n=19), and community-based support staff (n=29).

**Results:** One hundred and fifty-three clients tested HIV+ and of those 22% (n=33) were asymptomatic. Ninety-one percent of clients identified as asymptomatic completed in-depth interviews (n=30). The most common barriers to ART uptake identified by clients were fear of disclosure (63%, n=19), fear of experiencing side effects while healthy (57%, n=17), work schedules or other commitments that conflict with clinic hours (33%, n=10), and needing time to accept their diagnosis before initiating ART (30%, n=9). Dominant facilitators to ART initiation included the desire to stay healthy to provide and/or care for family members (70%, n=21), motivation to prevent unwanted disclosure by becoming sick (23%, n=7), the desire to extend one's life (43%, n=13), and personal knowledge of others who died from AIDS due to delayed treatment (37%, n=11). Providers identified fear of disclosure, lack of privacy at ART clinics, and poor knowledge about benefits of early ART as primary barriers to uptake among asymptomatic clients. Additionally, providers raised concern that asymptomatic men may not engage in HIV care.

**Conclusions:** Concern about HIV disclosure, lack of privacy, fear of side effects, and facility hours may limit ART uptake among asymptomatic clients. Patient- and provider-reported barriers closely align. Interventions that improve privacy, support disclosure, increase patient knowledge, and improve access to ART may facilitate ART uptake among asymptomatic HIV+ individuals under Test and Treat.

## Discordance, Disclosure and Normative gender roles: A triad of barrier to couples HIV self-testing provided through a community-based approach in urban Blantyre, Malawi

**Background:** Most individuals living in established heterosexual relationships are unaware of their partner's HIV status, and most people with an HIV infected partner are unaware of their own status. Early results from a community-based HIV self-testing study in Malawi demonstrated that not all individuals living in established sexual relationships who self-tested for HIV tested did so their partner, despite an option of getting two test-kits. We describe factors that dissuade individuals living in established heterosexual relationships from self-testing for HIV with a sexual partner.

**Method:** Data were drawn from a 12-month qualitative longitudinal cohort study exploring the long-term consequences of semi-supervised HIV self-testing within couples in Blantyre Malawi. In-depth interviews were conducted within a month of self-testing with 33 individuals living in established heterosexual relationships who tested without a sexual partner were analysed.

**Results:** Both men and women who tested alone did so expressed fear of dealing with HIV discordant results within a trusting relationship when given a chance to self-test as couples. The failure to self-test with a partner was gendered with more men overtly declining or unconsciously unable to have joint HIV self-testing than women. Men feared blame and exposure of previous or current infidelity. Men were also often not available at home for economic or work reasons and were usually missed by the HIVST community-based approach.

**Conclusions:** The socio-structural landscape prohibited men differently from having a joint HIVST when compared to women owing to the normative notions of gender. To contribute towards achieving the UNAIDS 90:90:90 goals, it is important to overcome the structural barriers to couples testing that constrain the realisation of HIVST full potential in couples.



### Impact of Theater for Development and interactive Drama in community awareness and community mobilization of VMMC services

Story Workshop Education Trust (SWET) conducted a baseline study in order to design Social Behavior Change Communication programs on HIV prevention. This was followed by Theater for development (TFD) using Interactive drama. The aim of the study was to assess the knowledge levels on Sexual Reproductive Health and Rights among the youth aged between 15 to 24 years. The study was carried out in Balaka district in Traditional Authority Chanthunya and Amidu. The baseline was carried out through inter personal interview questions. This was followed by TFD sessions and data collection using activity performance forms. The baseline interviewed 52 youth from the two traditional authorities. This revealed that 84% of the youth are knowledgeable about Sexual Reproductive Health and Rights (SRHR), 42% of which were females. Their main sources of information are their peers, making up to 40%. The percentage of youth engaged in sexual activities was found to be 57%. The percentage of youth knowledgeable on Voluntary Medical Male Circumcision (VMMC) was found to be 92%. Males made up 42% of the percentage on VMMC. The percentage of circumcised males was found to be 23%. SWET facilitated Social and Behaviour Change Communication interventions through Theater for Development and interactive drama sessions. A total of 40 Theater for Development and interactive drama sessions were conducted in the area. This resulted into 84,741 youth reached using interpersonal forum discussions on SRHR. The Theater for Development sessions resulted to 1,143 youth demanding VMMC services. TFD and drama communicates information that is not discussed in most community gatherings. Its use should be explored in order to involve different age groups and trigger community discussions on sensitive subjects like HIV and AIDS.

<b>From:</b>	Kilmarx, Peter (NIH/FIC) [E] </O=NIH/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=KILMARXPH4BA>
<b>To:</b>	Scott Barnhart <sbht@uw.edu>
<b>Subject:</b>	RE: Review of first HIV+ PrexPex Manuscript
<b>Date:</b>	2017/02/01 08:41:00
<b>Priority:</b>	Normal
<b>Type:</b>	Note

That sounds like the approach that was recommended at the OGAC scientific advisory meeting I attended last year.

Cheers,  
PK

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**From:** Scott Barnhart [mailto:sbht@uw.edu]  
**Sent:** Wednesday, February 01, 2017 8:10 AM  
**To:** Kilmarx, Peter (NIH/FIC) [E] <peter.kilmarx@nih.gov>  
**Subject:** Re: Review of first HIV+ PrexPex Manuscript

They are pretty well immunized. There was one case of tetanus with PSI but the pt was 47 and thus immunized (or not) at an earlier time when immunization rates were less. We had hoped for a more nuanced risk assessment and perhaps age stratification.

Sent from my BlackBerry 10 smartphone.

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**From:** Kilmarx, Peter (NIH/FIC) [E]  
**Sent:** Wednesday, February 1, 2017 07:02  
**To:** Scott Barnhart  
**Subject:** Re: Review of first HIV+ PrexPex Manuscript

Hi Scott,  
I'm less closely involved these days, but I assume you are referring to tetanus risk. Are Zimbabweans not well immunized?

Cheers,  
PK

Peter Kilmarx, Deputy Director  
Fogarty International Center, NIH

On Feb 1, 2017, at 6:43 AM, Scott Barnhart <sbht@uw.edu> wrote:

Thanks Peter- This is quite interesting. I am really sorry to see the turn of events around prepex. We were doing very well with it rising to greater than 30% ov VMMC 's some weeks. Best, Scott

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Professor, Departments of Medicine and Global Health  
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Skype: scottbarnhart1

"A pessimist makes his opportunities difficult, and an optimist makes his difficulties opportunities."

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**From:** Kilmarx, Peter (NIH/FIC) [E] <[peter.kilmarx@nih.gov](mailto:peter.kilmarx@nih.gov)>  
**Sent:** Tuesday, January 31, 2017 4:06 PM  
**To:** Caryl Feldacker; Herman-Roloff, Amy (CDC/CGH/DGHT)  
**Cc:** Scott Barnhart; Batsi Makunike  
**Subject:** RE: Review of first HIV+ PrexPex Manuscript

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[Could Circumcision of HIV-Positive Males Benefit Voluntary Medical Male Circumcision Programs in Africa? Mathematical Modeling Analysis. - PubMed - NCBI](https://www.ncbi.nlm.nih.gov/pubmed/28118387)

[www.ncbi.nlm.nih.gov](http://www.ncbi.nlm.nih.gov)

PLoS One. 2017 Jan 24;12(1):e0170641. doi: 10.1371/journal.pone.0170641. eCollection 2017.

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PLoS One. 2017 Jan 24;12(1):e0170641. doi: 10.1371/journal.pone.0170641. eCollection 2017.  
**Could Circumcision of HIV-Positive Males Benefit Voluntary Medical Male Circumcision Programs in Africa? Mathematical Modeling Analysis.**

Awad SF<sup>1</sup>, Sgaier SK<sup>2,3,4</sup>, Lau FK<sup>2</sup>, Mohamoud YA<sup>1</sup>, Tambatamba BC<sup>5</sup>, Kripke KE<sup>6</sup>, Thomas AG<sup>7</sup>, Bock N<sup>8</sup>, Reed JB<sup>9</sup>, Njeuhmeli E<sup>10</sup>, Abu-Raddad LJ<sup>1,11,12</sup>.

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#### Abstract

##### BACKGROUND:

The epidemiological and programmatic implications of inclusivity of HIV-positive males in voluntary medical male circumcision (VMMC) programs are uncertain. We modeled these implications using Zambia as an illustrative example.

##### METHODS AND FINDINGS:

We used the Age-Structured Mathematical (ASM) model to evaluate, over an intermediate horizon (2010-2025), the effectiveness (number of VMMCs needed to avert one HIV infection) of VMMC scale-up scenarios with varying proportions of HIV-positive males. The model was calibrated by fitting to HIV prevalence time trend data from 1990 to 2014. We assumed that inclusivity of HIV positive males may benefit VMMC programs by increasing VMMC uptake among higher risk males, or by circumcision reducing HIV male-to-female transmission risk. All analyses were generated assuming no further antiretroviral therapy (ART) scale-up. The number of VMMCs needed to avert one HIV infection was projected to increase from 12.2 VMMCs per HIV infection averted, in a program that circumcises only HIV-negative males, to 14.0, in a program that includes HIV-positive males. The proportion of HIV-positive males was based on their representation in the population (e.g. 12.6% of those circumcised in 2010 would be HIV-positive based on HIV prevalence among males of 12.6% in 2010). However, if a program that only reaches out to HIV-negative males is associated with 20% lower uptake among higher-risk males, the effectiveness would be 13.2 VMMCs per infection averted. If improved inclusivity of HIV-positive males is associated with 20% higher uptake among higher-risk males, the effectiveness would be 12.4. As the assumed VMMC efficacy against male-to-female HIV transmission was increased from 0% to 20% and 46%, the effectiveness of circumcising regardless of HIV status improved from 14.0

to 11.5 and 9.1, respectively. The reduction in the HIV incidence rate among females increased accordingly, from 24.7% to 34.8% and 50.4%, respectively.

**CONCLUSION:**

Improving inclusivity of males in VMMC programs regardless of HIV status increases VMMC effectiveness, if there is moderate increase in VMMC uptake among higher-risk males and/or if there is moderate efficacy for VMMC against male-to-female transmission. In these circumstances, VMMC programs can reduce the HIV incidence rate in males by nearly as much as expected by some ART programs, and additionally, females can benefit from the intervention nearly as much as males.

PMID: 28118387

DOI: [10.1371/journal.pone.0170641](https://doi.org/10.1371/journal.pone.0170641)

[PubMed - in process]

**Free full text**

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Cell Redacted by agreement Email [peter.kilmarx@nih.gov](mailto:peter.kilmarx@nih.gov)

---

**From:** Kilmarx, Peter (NIH/FIC) [E]  
**Sent:** Monday, January 23, 2017 12:30 PM  
**To:** Caryl Feldacker; Herman-Roloff, Amy (CDC/CGH/DGHT)  
**Cc:** Scott Barnhart; Batsi Makunike  
**Subject:** RE: Review of first HIV+ PrexPex Manuscript

Hi Caryl,

This is a great start on this paper. Please see my comments attached. I look forward to seeing the next draft.

Suggested reviewers:

1. Naomi Bock – CDC
2. Jason Reed – JHPIEGO (formerly CDC)
3. Cate Hankins – AIGHD
4. Jeffrey Klausner – UCLA
5. Paul Feldblum – FHI360 (Amy may know better about this suggestion.)

Hi Scott and Batsi!

Best wishes,  
PK

Peter H. Kilmarx, MD, FACP, FIDSA  
RADM, U.S. Public Health Service  
Deputy Director, Fogarty International Center

U.S. National Institutes of Health  
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<image001.png>

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**From:** Caryl Feldacker [<mailto:cfeld@uw.edu>]  
**Sent:** Friday, January 20, 2017 5:00 PM  
**To:** Kilmarx, Peter (NIH/FIC) [E] <[peter.kilmarx@nih.gov](mailto:peter.kilmarx@nih.gov)>; Herman-Roloff, Amy (CDC/CGH/DGHT) <[bjy2@cdc.gov](mailto:bjy2@cdc.gov)>  
**Cc:** Scott Barnhart <[sbht@uw.edu](mailto:sbht@uw.edu)>; Batsi Makunike <[bmakunike@itech-zimbabwe.org](mailto:bmakunike@itech-zimbabwe.org)>  
**Subject:** RE: Review of first HIV+ PrexPex Manuscript

Hi Peter,

Glad to be back in touch with some good progress! I look forward to your comments in the HIV+ Prepex paper. I also hope to hear some good news from WHO Bulletin about the integration paper someday soon when they can find reviewers. On that note, do you have anyone that you can think of who might look with interest and favor on our paper about the VMMC integrated model? WHO is having a hard time finding reviewers. It is significantly slowing the process.

Best,  
Caryl

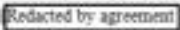
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**From:** Kilmarx, Peter (NIH/FIC) [E] [<mailto:peter.kilmarx@nih.gov>]  
**Sent:** Thursday, January 19, 2017 2:08 PM  
**To:** Caryl Feldacker <[cfeld@uw.edu](mailto:cfeld@uw.edu)>; Herman-Roloff, Amy (CDC/CGH/DGHT) <[bjy2@cdc.gov](mailto:bjy2@cdc.gov)>  
**Subject:** RE: Review of first HIV+ PrexPex Manuscript

Hi and thanks Caryl and Amy. I'll aim to review carefully and provide comments this weekend.

Caryl – please note my new email address. The CDC affiliations should be used on the paper because that was my affiliation when the work was done. My NIH affiliation may be noted as a footnote if you want to include current author affiliations, but it doesn't matter to me.

Thanks,  
PK

Peter H. Kilmarx, MD, FACP, FIDSA  
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Cell  Email [peter.kilmarx@nih.gov](mailto:peter.kilmarx@nih.gov)  
<image001.png>

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**From:** Caryl Feldacker [<mailto:cfeld@UW.EDU>]  
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**To:** Herman-Roloff, Amy (CDC/CGH/DGHT) <[bjv2@cdc.gov](mailto:bjv2@cdc.gov)>; Kilmarx, Peter (NIH/FIC) [E] <[peter.kilmarx@nih.gov](mailto:peter.kilmarx@nih.gov)>

**Subject:** RE: Review of first HIV+ PrexPex Manuscript

Thank you, Amy! Hello, Peter!

I'd appreciate any comments, Peter, at your earliest convenience. It has one or two sections that are a work in progress – mostly on the sample size/design issues that we will face – but it is almost ready for CDC review.

Hopefully, it will have a speedy CDC review for submission to PLOS One by early February.

Best,  
Caryl

---

**From:** Herman-Roloff, Amy (CDC/CGH/DGHT) [<mailto:bjv2@cdc.gov>]

**Sent:** Thursday, January 19, 2017 5:43 AM

**To:** Kilmarx, Peter (NIH/FIC) [E] <[peter.kilmarx@nih.gov](mailto:peter.kilmarx@nih.gov)>

**Cc:** Caryl Feldacker <[cfeld@uw.edu](mailto:cfeld@uw.edu)>

**Subject:** Review of first HIV+ PrexPex Manuscript

Hi Peter –

It was nice to chat with you today. As discussed, I am in the midst of reviewing the second draft of the first paper coming out of the HIV+ PrePex study. You are listed as an author, and your in-depth review would be most appreciated. Caryl is coordinating the writing and responses, and I've copied her here. (Caryl – please note Peter's NIH's email address.)

Thanks –  
Amy

<image002.jpg>

<b>Sender:</b>	Kilmarx, Peter (NIH/FIC) [E] </O=NIH/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=KILMARXPH4BA>
<b>Recipient:</b>	Scott Barnhart < <a href="mailto:sbht@uw.edu">sbht@uw.edu</a> >
<b>Sent Date:</b>	2017/02/01 08:41:34
<b>Delivered Date:</b>	2017/02/01 08:41:00

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<b>To:</b>	Barr, Beth (CDC/CGH/DGHT) /O=NIH/OU=NIHEXCHANGE/cn=Recipients/cn=HKCS <hkc5@CDC.GOV>
<b>Subject:</b>	FW: MC pubs this week: Roll-out for HIV prevention; Anesthesia; Penile cancer; Nurse practitioners to lower costs
<b>Date:</b>	2017/01/31 19:10:08
<b>Priority:</b>	Normal
<b>Type:</b>	Note

FYI - one might have expected a CDC author?

Cheers,

PK

<https://www.ncbi.nlm.nih.gov/pubmed/28132009>

[BMJ Open](#). 2017 Jan 27;7(1):e013562. doi: 10.1136/bmjopen-2016-013562.

**Challenges in data quality: the influence of data quality assessments on data availability and completeness in a voluntary medical male circumcision programme in Zimbabwe.**

[Xiao Y](#)<sup>1,2</sup>, [Bochner AF](#)<sup>2</sup>, [Makunike B](#)<sup>3</sup>, [Holec M](#)<sup>2</sup>, [Xaba S](#)<sup>4</sup>, [Tshimanga M](#)<sup>5</sup>, [Chitimbire V](#)<sup>6</sup>, [Barnhart S](#)<sup>2,7,8</sup>, [Feldacker C](#)<sup>2,8</sup>.

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- <sup>6</sup>Zimbabwe Association of Church-related Hospitals (ZACH), Harare, Zimbabwe.
- <sup>7</sup>Department of Medicine, University of Washington, Seattle, Washington, USA.
- <sup>8</sup>Department of Global Health, University of Washington, Seattle, Washington, USA.

#### **Abstract**

##### **OBJECTIVES:**

To assess availability and completeness of data collected before and after a data quality audit (DQA) in voluntary medical male circumcision (VMMC) sites in Zimbabwe to determine the effect of this process on data quality.

##### **SETTING:**

4 of 10 VMMC sites in Zimbabwe that received a DQA in February, 2015 selected by convenience sampling.

##### **PARTICIPANTS:**

Retrospective reviews of all client intake forms (CIFs) from November, 2014 and May, 2015. A total of 1400 CIFs were included from those 2 months across four sites.

##### **PRIMARY AND SECONDARY OUTCOMES:**

Data availability was measured as the percentage of VMMC clients whose CIF was on file at each site. A data evaluation tool measured the completeness of 34 key CIF variables. A comparison of pre-DQA and post-DQA results was conducted using  $\chi^2$  and t-tests.

##### **RESULTS:**



After the DQA, high record availability of over 98% was maintained by sites 3 and 4. For sites 1 and 2, record availability increased by 8.0% ( $p=0.001$ ) and 9.7% ( $p=0.02$ ), respectively. After the DQA, sites 1, 2 and 3 improved significantly in data completeness across 34 key indicators, increasing by 8.6% ( $p<0.001$ ), 2.7% ( $p=0.003$ ) and 3.8% ( $p<0.001$ ), respectively. For site 4, CIF data completeness decreased by 1.7% ( $p<0.01$ ) after the DQA.

#### CONCLUSIONS:

Our findings suggest that CIF data availability and completeness generally improved after the DQA. However, gaps in documentation of vital signs and adverse events signal areas for improvement. Additional emphasis on data completeness would help support high-quality programme implementation and availability of reliable data for decision-making.

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#### KEYWORDS:

Data quality; Monitoring and Evaluation; Zimbabwe; operations research; voluntary medical male circumcision

PMID: 28132009

DOI: [10.1136/bmjopen-2016-013562](https://doi.org/10.1136/bmjopen-2016-013562)

[PubMed - in process]

**Free full text**

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**From:** Brian Morris [[brian.morris@sydney.edu.au](mailto:brian.morris@sydney.edu.au)]

**Sent:** Tuesday, January 31, 2017 6:49 PM

**To:** Brian Morris

**Subject:** MC pubs this week: Roll-out for HIV prevention; Anesthesia; Penile cancer; Nurse practitioners to lower costs

<https://www.ncbi.nlm.nih.gov/pubmed/28121914>

*Medicine (Baltimore)*. 2017 Jan;96(4):e5328. doi: 10.1097/MD.0000000000005328.

**Obtaining a male circumcision prevalence rate of 80% among adults in a short time: An observational prospective intervention study in the Orange Farm township of South Africa.**

Marshall E<sup>1</sup>, Rain-Taljaard R, Tsepe M, Monkwe C, Taljaard D, Hlatwayo F, Xaba D, Molomo T, Lissouba P, Puren A, Auvert B.

#### Author information

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#### Abstract

World Health Organization recommends a target for the male circumcision prevalence rate of 80%. This rate will have a substantial impact on the human immunodeficiency virus-acquired immunodeficiency syndrome epidemic in Eastern and Southern Africa. The objective of the study was to assess whether an innovative intervention can lead to an increased voluntary male medical circumcision (VMMC) uptake among adults in a short time. This prospective observational study of a demand generation intervention was conducted in the township of Orange Farm (South Africa) in August to November 2015. In this community male circumcision prevalence rate among adults was stable between 2010 and 2015 at 55% and 57%, despite regular VMMC campaigns at community level and the presence of a VMMC clinic that offered free VMMC. The intervention took place in a random sample of 981 households where 522 men aged 18 to 49 years accepted to participate in the study. Among the 226 uncircumcised men, 212 accepted to be enrolled in the intervention study. A personal male circumcision adviser trained in interpersonal communication skills was assigned to each uncircumcised participant. The male circumcision advisers were trained to explain the risks and benefits of VMMC, and to discuss 24 possible reasons given by men for not being circumcised. Participants were then followed for 9 weeks. Each participant had a maximum of 3 motivational interviews at home. Participants who decided to be circumcised received financial compensation for their time equivalent to 2.5 days of work at the minimum South African salary rate. Among the 212 uncircumcised men enrolled in the intervention, 69.8% (148/212; 95% confidence interval [CI]; 63.4%-75.7%) agreed to be circumcised, which defines the uptake of the intervention. The male circumcision prevalence rate of the sample increased from 56.7% (296/522) to 81.4% (425/522; 77.9%-84.6%),  $P < 0.001$ , corresponding to a relative increase of 43.6% (95% CI: 35.4%-53.7%). The reported reasons for accepting circumcision were motivational interviews with the male circumcision adviser (83.1%), and time compensation (39.4%). Increased uptake of VMMC uptake can be obtained in a short time among adult males but requires an intense intervention centered on uncircumcised men at an individual level and time compensation.

PMID: 28121914

DOI: [10.1097/MD.00000000000005328](https://doi.org/10.1097/MD.00000000000005328)

[PubMed - in process]

**Free full text**

<https://www.ncbi.nlm.nih.gov/pubmed/28118387>

PLoS One. 2017 Jan 24;12(1):e0170641. doi: 10.1371/journal.pone.0170641. eCollection 2017.

### **Could Circumcision of HIV-Positive Males Benefit Voluntary Medical Male Circumcision Programs in Africa? Mathematical Modeling Analysis.**

[Awad SF](#)<sup>1</sup>, [Sgaier SK](#)<sup>2,3,4</sup>, [Lau FK](#)<sup>2</sup>, [Mohamoud YA](#)<sup>1</sup>, [Tambatamba BC](#)<sup>5</sup>, [Kripke KE](#)<sup>6</sup>, [Thomas AG](#)<sup>7</sup>, [Bock N](#)<sup>8</sup>, [Reed JB](#)<sup>9</sup>, [Njeuhmeli E](#)<sup>10</sup>, [Abu-Raddad LJ](#)<sup>1,11,12</sup>.

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- <sup>4</sup>Department of Global Health, University of Washington, Seattle, Washington, United States of America.
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- • <sup>6</sup>Health Policy Initiative, Avenir Health, Washington, District of Columbia, United States of America.
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- • <sup>9</sup>Jhpiego, Washington, District of Columbia, United States of America.
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- • <sup>11</sup>Department of Healthcare Policy and Research, Weill Cornell Medical College, Cornell University, New York, New York, United States of America.
- • <sup>12</sup>College of Public Health, Hamad bin Khalifa University, Qatar Foundation, Education City, Doha, Qatar.

## Abstract

### BACKGROUND:

The epidemiological and programmatic implications of inclusivity of HIV-positive males in voluntary medical male circumcision (VMMC) programs are uncertain. We modeled these implications using Zambia as an illustrative example.

### METHODS AND FINDINGS:

We used the Age-Structured Mathematical (ASM) model to evaluate, over an intermediate horizon (2010-2025), the effectiveness (number of VMMCs needed to avert one HIV infection) of VMMC scale-up scenarios with varying proportions of HIV-positive males. The model was calibrated by fitting to HIV prevalence time trend data from 1990 to 2014. We assumed that inclusivity of HIV positive males may benefit VMMC programs by increasing VMMC uptake among higher risk males, or by circumcision reducing HIV male-to-female transmission risk. All analyses were generated assuming no further antiretroviral therapy (ART) scale-up. The number of VMMCs needed to avert one HIV infection was projected to increase from 12.2 VMMCs per HIV infection averted, in a program that circumcises only HIV-negative males, to 14.0, in a program that includes HIV-positive males. The proportion of HIV-positive males was based on their representation in the population (e.g. 12.6% of those circumcised in 2010 would be HIV-positive based on HIV prevalence among males of 12.6% in 2010). However, if a program that only reaches out to HIV-negative males is associated with 20% lower uptake among higher-risk males, the effectiveness would be 13.2 VMMCs per infection averted. If improved inclusivity of HIV-positive males is associated with 20% higher uptake among higher-risk males, the effectiveness would be 12.4. As the assumed VMMC efficacy against male-to-female HIV transmission was increased from 0% to 20% and 46%, the effectiveness of circumcising regardless of HIV status improved from 14.0 to 11.5 and 9.1, respectively. The reduction in the HIV incidence rate among females increased accordingly, from 24.7% to 34.8% and 50.4%, respectively.

### CONCLUSION:

Improving inclusivity of males in VMMC programs regardless of HIV status increases VMMC effectiveness, if there is moderate increase in VMMC uptake among higher-risk males and/or if there is moderate efficacy for VMMC against male-to-female transmission. In these circumstances, VMMC programs can reduce the HIV incidence rate in males by nearly as much as expected by some ART programs, and additionally, females can benefit from the intervention nearly as much as males.

PMID: 28118387

DOI: [10.1371/journal.pone.0170641](https://doi.org/10.1371/journal.pone.0170641)

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**Free full text**

<https://www.ncbi.nlm.nih.gov/pubmed/28130505>

*Sex Transm Infect.* 2017 Jan 27. pii: sextrans-2016-052930. doi: 10.1136/sextrans-2016-052930. [Epub ahead of print]

**A national evaluation using standardised patient actors to assess STI services in public sector clinical sentinel surveillance facilities in South Africa.**

Kohler PK<sup>1,2,3</sup>, Marumo E<sup>4</sup>, Jed SL<sup>1,3</sup>, Mema G<sup>3</sup>, Galagan S<sup>1,3</sup>, Tapia K<sup>1</sup>, Pillay E<sup>3</sup>, DeKadt J<sup>3</sup>, Naidoo E<sup>3</sup>, Dombrowski JC<sup>5</sup>, Holmes KK<sup>1,5,6</sup>.

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- <sup>4</sup>National Department of Health, Pretoria South Africa Civitas Building, Pretoria, South Africa.
- <sup>5</sup>Department of Medicine, University of Washington, Seattle, Washington, USA.
- <sup>6</sup>Department of Epidemiology, University of Washington, Seattle, Washington, USA.

**Abstract**

**OBJECTIVES:**

Quality concerns in STI service delivery and missed opportunities for integration with HIV testing and prevention services in South Africa have been well documented. This national evaluation aimed to evaluate current utilisation and adherence to national STI guidelines, including partner notification and integration with HIV services, for diagnosis and management of STIs.

**METHODS:**

Facility surveys assessed infrastructure and resource availability, and standardised patient (SP) assessments evaluated quality of STI care in 50 public clinics in nine provinces in South Africa. The primary outcome was the proportion of SPs receiving essential STI care, defined as: offered an HIV test, condoms, partner notification counselling and correct syndromic treatment. Weighted proportions were generated, and SP findings were compared by gender using  $\chi^2$  tests with Rao-Scott correction.

**RESULTS:**

More than 80% of facilities reported medications in stock, with the exceptions of oral cefixime (48.3%), oral erythromycin (75.1%) and paediatric syrups. Among 195 SP encounters, 18.7% (95% CI 10.7% to 30.5%) received all hypothesised essential STI services: offered HIV test (67.1%), offered condoms (31.4%), partner notification counselling (70.2%) and recommended syndromic treatment (60.7%). Men were more likely than women to be offered all services (25.1% vs 12.3%,  $p=0.023$ ), recommended treatment (70.7% vs 50.9%,  $p=0.013$ ) and partner notification counselling (79.9% vs 60.6%,  $p=0.020$ ). Only 6.3% of providers discussed male circumcision with male SPs, and 26.3% discussed family planning with female SPs.

**CONCLUSIONS:**

This evaluation of STI services across South Africa found gaps in the availability of medications, adherence to STI guidelines, condom provision and prevention messaging. Limited integration with HIV services for this high-risk population was a missed opportunity. Quality of STI care should continue to be monitored, and interventions to improve quality should be prioritised as part of national strategic HIV and primary healthcare agendas.

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**KEYWORDS:**

AFRICA; HEALTH SERV RESEARCH; IMPLEMENTATION SCIENCE; PUBLIC HEALTH; SERVICE DELIVERY

PMID: 28130505

DOI: [10.1136/sextrans-2016-052930](https://doi.org/10.1136/sextrans-2016-052930)

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<https://www.ncbi.nlm.nih.gov/pubmed/28114944>

[BMC Med Inform Decis Mak](#). 2017 Jan 23;17(1):14. doi: 10.1186/s12911-017-0409-5.

**The use of multi-criteria decision making models in evaluating anesthesia method options in circumcision surgery.**

[Hancerliogullari G](#)<sup>1,2</sup>, [Hancerliogullari KO](#)<sup>3</sup>, [Koksalmis E](#)<sup>4</sup>.

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- <sup>3</sup>Department of Pediatric Surgery, Faculty of Medicine, Giresun University, Giresun, Turkey.
- <sup>4</sup>Department of Industrial Engineering, Faculty of Management, Istanbul Technical University, Istanbul, Turkey.

**Abstract**

**BACKGROUND:**

Determining the most suitable anesthesia method for circumcision surgery plays a fundamental role in pediatric surgery. This study is aimed to present pediatric surgeons' perspective on the relative importance of the criteria for selecting anesthesia method for circumcision surgery by utilizing the multi-criteria decision making methods.

**METHODS:**

Fuzzy set theory offers a useful tool for transforming linguistic terms into numerical assessments. Since the evaluation of anesthesia methods requires linguistic terms, we utilize the fuzzy Analytic Hierarchy Process (AHP) and fuzzy Technique for Order Preference by Similarity to Ideal Solution (TOPSIS). Both mathematical decision-making methods are originated from individual judgements for qualitative factors utilizing the pair-wise comparison matrix. Our model uses four main criteria, eight sub-criteria as well as three alternatives. To assess the relative priorities, an online questionnaire was completed by three experts, pediatric surgeons, who had experience with circumcision surgery.

**RESULTS:**

Discussion of the results with the experts indicates that time-related factors are the most important criteria, followed by psychology, convenience and duration. Moreover, general anesthesia with penile block for circumcision surgery is the preferred choice of anesthesia compared to general anesthesia without penile block, which has a greater priority compared to local anesthesia under the discussed main-criteria and sub-criteria.

**CONCLUSIONS:**

The results presented in this study highlight the need to integrate surgeons' criteria into the decision making process for selecting anesthesia methods. This is the first study in which multi-criteria decision

making tools, specifically fuzzy AHP and fuzzy TOPSIS, are used to evaluate anesthesia methods for a pediatric surgical procedure.

**KEYWORDS:**

AHP; Anesthesia methods; Circumcision surgery procedure; Fuzzy; Health care; Multi-criteria; TOPSIS  
PMID: 28114944

DOI: [10.1186/s12911-017-0409-5](https://doi.org/10.1186/s12911-017-0409-5)

[PubMed - in process]

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<https://www.ncbi.nlm.nih.gov/pubmed/28132009>

*BMJ Open*. 2017 Jan 27;7(1):e013562. doi: 10.1136/bmjopen-2016-013562.

**Challenges in data quality: the influence of data quality assessments on data availability and completeness in a voluntary medical male circumcision programme in Zimbabwe.**

Xiao Y<sup>1,2</sup>, Bochner AF<sup>2</sup>, Makunike B<sup>3</sup>, Holec M<sup>2</sup>, Xaba S<sup>4</sup>, Tshimanga M<sup>5</sup>, Chitimbire V<sup>6</sup>, Barnhart S<sup>2,7,8</sup>, Feldacker C<sup>2,8</sup>.

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- <sup>6</sup>Zimbabwe Association of Church-related Hospitals (ZACH), Harare, Zimbabwe.
- <sup>7</sup>Department of Medicine, University of Washington, Seattle, Washington, USA.
- <sup>8</sup>Department of Global Health, University of Washington, Seattle, Washington, USA.

**Abstract**

**OBJECTIVES:**

To assess availability and completeness of data collected before and after a data quality audit (DQA) in voluntary medical male circumcision (VMC) sites in Zimbabwe to determine the effect of this process on data quality.

**SETTING:**

4 of 10 VMC sites in Zimbabwe that received a DQA in February, 2015 selected by convenience sampling.

**PARTICIPANTS:**

Retrospective reviews of all client intake forms (CIFs) from November, 2014 and May, 2015. A total of 1400 CIFs were included from those 2 months across four sites.

**PRIMARY AND SECONDARY OUTCOMES:**

Data availability was measured as the percentage of VMC clients whose CIF was on file at each site. A data evaluation tool measured the completeness of 34 key CIF variables. A comparison of pre-DQA and post-DQA results was conducted using  $\chi^2$  and t-tests.

**RESULTS:**

After the DQA, high record availability of over 98% was maintained by sites 3 and 4. For sites 1 and 2, record availability increased by 8.0% ( $p=0.001$ ) and 9.7% ( $p=0.02$ ), respectively. After the DQA, sites 1, 2 and 3 improved significantly in data completeness across 34 key indicators, increasing by 8.6% ( $p<0.001$ ), 2.7% ( $p=0.003$ ) and 3.8% ( $p<0.001$ ), respectively. For site 4, CIF data completeness decreased by 1.7% ( $p<0.01$ ) after the DQA.

**CONCLUSIONS:**

Our findings suggest that CIF data availability and completeness generally improved after the DQA. However, gaps in documentation of vital signs and adverse events signal areas for improvement. Additional emphasis on data completeness would help support high-quality programme implementation and availability of reliable data for decision-making.

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**KEYWORDS:**

Data quality; Monitoring and Evaluation; Zimbabwe; operations research; voluntary medical male circumcision

PMID: 28132009

DOI: [10.1136/bmjopen-2016-013562](https://doi.org/10.1136/bmjopen-2016-013562)

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<https://www.ncbi.nlm.nih.gov/pubmed/28127454>

*Cent European J Urol.* 2016;69(4):377-383. doi: 10.5173/cej.2016.890. Epub 2016 Oct 17.

**Primary penile cancer organ sparing treatment.**

Sosnowski R<sup>1</sup>, Kuligowski M<sup>1</sup>, Kuczkiewicz O<sup>2</sup>, Moskal K<sup>2</sup>, Wolski JK<sup>1</sup>, Bjurlin MA<sup>3</sup>, Wysocki JS<sup>4</sup>, Pęczkowski P<sup>5</sup>, Protzel C<sup>6</sup>, Demkow T<sup>1</sup>.

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- <sup>4</sup>Department of Urology, NYU Langone Medical Center, NYU School of Medicine, New York, New York USA.
- <sup>5</sup>Department of Radiotherapy, Maria Skłodowska-Curie, Memorial Cancer Hospital, Warsaw, Poland.
- <sup>6</sup>Department of Urology, University of Rostock, Germany.

**Abstract****INTRODUCTION:**

Surgical treatment of penile cancer is usually associated with mutilation; alterations in self-esteem and body image; affecting sexual and urinary functions; and declined health-related quality of life. Recently, organ sparing treatment has appeared and led to limiting these complications.

**MATERIAL AND METHODS:**

An extensive review of the literature concerning penile-preserving strategies was conducted. The focus was put on indications, general principles of management, surgical options and reconstructive techniques, the most common complications, as well as functional and oncological outcomes.

**RESULTS:**

Analyzed methods, e.g.: topical chemotherapy, laser ablation therapy, radiotherapy, Moh's microscopic surgery, circumcision, wide local excision, glans resurfacing and glanslectomy are indicated in low-stage tumors (Tis, Ta-T2). After glanslectomy, reconstruction is also possible.

**CONCLUSIONS:**

Organ sparing techniques may achieve good anatomical, functional, and psychological outcomes without compromising local cancer control, which depends on early diagnosis and treatment. Penile sparing strategies are acceptable treatment approaches in selected patients with low-stage penile cancer after establishing disease-risk and should be considered in this population.

**KEYWORDS:**

QoL; organ sparing treatment; penile cancer

PMID: 28127454

PMCID: [PMC5260461](#)

DOI: [10.5173/ceju.2016.890](#)

[PubMed]

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<https://www.ncbi.nlm.nih.gov/pubmed/28124519>

*Int Braz J Urol.* 2017 Jan-Feb;43(1):7-9. doi: 10.1590/S1677-5538.IBJU.2017.01.03.

**Should routine neonatal circumcision be a polic[y] to prevent penile cancer? | Opinion: Yes.**

[Ornellas AA](#)<sup>1,2</sup>, [Ornellas P](#)<sup>3,4</sup>.

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- <sup>3</sup>Departamentos de Urologia, Hospital Souza Aguiar Hospital, Departamento de Patologia, Laboratório de Biometria Circulante, RJ, Brasil.
- <sup>4</sup>Programa de Pós-Graduação em Ciências Médicas (PGCM), Universidade Estadual Rio de Janeiro State, RJ, Brasil.

**KEYWORDS:**

Circumcision, Male; Penile Neoplasms; Phimosi

PMID: 28124519

[PubMed - in process]

<https://www.ncbi.nlm.nih.gov/pubmed/28124520>

*Int Braz J Urol.* 2017 Jan-Feb;43(1):10-12. doi: 10.1590/S1677-5538.IBJU.2017.01.04.

**Should routine neonatal circumcision be a policy to prevent penile cancer? | Opinion: No.**

[Tang DH](#)<sup>1</sup>, [Spiess PE](#)<sup>1</sup>.

**Author information**

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**KEYWORDS:**

Circumcision, Male; Penile Neoplasms; Phimosi

PMID: 28124520

[PubMed - in process]



<https://www.ncbi.nlm.nih.gov/pubmed/28133698>

*Saudi Med J.* 2017 Feb;38(2):213-214. doi: 10.15537/smj.2017.2.18065.

**Non-therapeutic infant male circumcision. evidence, ethics and international law perspective.**

Berhanu A<sup>1</sup>, Alkhenizan A.

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Redacted by agreement

**Abstract**

[No Available Abstract].

PMID: 28133698

DOI: [10.15537/smj.2017.2.18065](https://doi.org/10.15537/smj.2017.2.18065)

[PubMed - in process]

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<http://www.news8000.com/health/mayo-study-proposes-method-to-lower-circumcision-costs/291188898>

**Mayo study proposes method to lower circumcision costs**

Switch from specialists to [nurse practitioners] could save millions

Posted: Jan 24, 2017 07:35 PM CST

Updated: Jan 24, 2017 07:35 PM CST

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<b>Sender:</b> Kilmarx, Peter (NIH/FIC) [E] /O=NIH/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=KILMARXP44BA <peter.kilmarx@nih.gov>
<b>Recipient:</b> Barr, Beth (CDC/CGH/DGHT) /O=NIH/OU=NIH/EXCHANGE/cn=Recipients/cn=HKCS <hkc5@CDC.GOV>
<b>Sent Date:</b> 2017/01/31 19:10:08

Comments from Peter Kilmarx – January 23, 2017

Safety and Efficacy of the PrePex™ Device among HIV-positive men in Zimbabwe

Authors

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8. Dr. Gerald Gwinji,
9. T. Mangwiro
10. Owen Mugurungi,
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12. Peter H. Kilmarx
13. Munyaradzi Murwira
14. Sinokuthemba Xaba
15. Scott Barnhart
16. Caryl Feldacker

## Background

Zimbabwe has reached ~~about approximately~~ 50% of ~~its the~~ national 1.3 million voluntary medical male circumcision (VMMC) target for 2018 [1] with a national VMMC program adverse event (AE) rate of 0.35% [2]. Non-surgical male circumcision (MC) devices, such as PrePex, have the potential to accelerate ~~adult~~ VMMC programme scale up. In pilot studies and controlled trials in sub-Saharan Africa, moderate and severe AE rates from device-based MC range from 0-5.9% [3-9] with few severe AEs resulting in permanent impairment.

PrePex ~~may~~ ~~has~~ been shown to be faster, simpler ~~more simple to implement~~, and more cost effective ~~as when~~ compared to surgical ~~circumcision-MC~~ [10-12] and may be safely applied by ~~less highly trained lower cadres of~~ nurses and clinicians [7, 13, 14]. ~~In some contexts,~~ ~~Devices,~~ such as PrePex, also appear more acceptable than surgical MC as they do not cause prolonged pain [6] nor interrupt activities of daily living for most clients [5]. PrePex has also proven safe and effective [3-5]. For these reasons, PrePex offers an important tool to accelerate VMMC programs to meet targets especially in men over age 15 years. Zimbabwe piloted the PrePex device in a series of clinical trials from October 2011 to establish its safety and efficacy for VMMC among HIV-negative adults [15] and adolescents [16]. To date, WHO pre-qualification supports PrePex for circumcision of HIV negative adult men [17].

Stigma associated with identification as HIV-positive is a major barrier to the scale up of VMMC ~~in Zimbabwe~~, including the use of PrePex. While HIV testing is ~~usually~~ not a precondition to being circumcised ~~in national VMMC policies~~, ~~in practice~~ virtually all men are HIV tested prior to circumcision, and some men ~~report~~ may avoid VMMC because they do not want to be HIV tested ~~of the strong suggestion to~~ ~~perception that~~ HIV testing as part of VMMC counseling by both MC clients and providers [18, 19]. If PrePex is shown to be safe among HIV-positive men, ~~promotion of~~ provider initiated HIV testing would continue, but ~~messaging about~~ the perceived mandate for HIV testing before the procedure would be adapted, and the ~~importance of this practice~~ would diminish. Moreover, as VMMC scales up, excluding HIV-positive men in VMMC programs could increase stigmatization. To avoid indirect identification, HIV-positive men might seek MC surgery from potentially unsafe sources to mask their sero-status. Similarly, ~~HIV-uninfected men~~ might use their circumcision status to negotiate unsafe sex. Therefore, establishment of the safety of use of PrePex for VMMC of HIV-positive men would be ~~advantageous in Zimbabwe and the region~~.

There is some evidence to support the safety of surgical VMMC in healthy, HIV-positive men [20-22], but MC research ~~on the safety of~~ MC among HIV-positive adults is limited, especially in the context of MC devices. This lack of evidence is most acute in ~~the~~ countries in the African region where rapid expansion of male circumcision programmes is most urgent. Therefore, we conducted a one-arm, open-label, prospective, cohort field study to assess the safety, acceptability and feasibility of the PrePex device among HIV-positive adult men. The primary objective was to assess the safety of the PrePex device as measured through moderate or severe AEs among HIV-positive men ~~in order to~~. The results will inform policy and clinical practice pertaining to HIV testing among men seeking circumcision.

## Methods

(b)(5)

(b)(5)

**HIV + STUDY ADVERSE EVENTS**

(b)(5)

Device-related Adverse Events

**Participant 1: Self-removal**

The participant was an informal vendor, 35 years of age and was of WHO clinical stage 1. His CD4 count on day of device placement was 497c/µl and was referred to the OI clinic since he was not on ART. The participant had a size E device applied on him on 26 October 2015 after all the study processes and procedures were met. On day 7 after device placement (2 November 2015), the participant did not turn up for the scheduled visit and so was followed up. It was discovered that the participant was in Mozambique and had removed the device on day 3 after device placement. On 3 November 2015, the client came at the site. On examination, swelling and necrotic of distal foreskin was noted. Debridement and secondary suturing was done. He was encouraged to come for daily G&I dressing. He was given Cloxacillin and Ibuprofen for pain. On the second day after suturing, the participant did not turn up for bandage removal. He was picked up from home and was seen by a doctor who ordered dressing with Betadine and was given Ciprofloxacin. After two days he came and bandage was removed and wound cleaned with Betadine solution. Client was instructed to do saline baths and to abstain from sexual intercourse. Complete wound healing was noted on day 37 after device placement. This event was reported to MRCZ.

**Participant 2: Device Displacement**

The participant, an unmarried man 39 years of age, had device size A applied on 2 November 2015. All the vital signs were fine on day of screening. He was of WHO clinical stage 2 and had a CD4 count of 172 c/µl. He was on first line of ARVs and was taking Tenolam E and Cotrimoxazole. He had been on ART for one year eleven months. Before device placement, he underwent a psychosocial interview and portrayed some good understanding of the procedure. The participant did not turn up for device removal on the scheduled day. On day 8, the participant was visited at home but he was not there. A message was left for him to visit the clinic. The participant was picked up from home on day 9 post placement. He said the device had been completely displaced on day 1 but had only noticed it the following morning. On examination the device displacement resulted in partial necrosis of the foreskin with gross oedema. There was no ulceration or evidence of sepsis noted. Dorsal slit circumcision was done and closed with 3/0 vicryl on a cutting, dressed with paraffin gauze. Participant came for weekly review visits and seen to be completely healed on day 28. This event was reported to MRCZ.

**Participant 3: Device Displacement**

All vital signs were normal on day of placement. He was on clinical stage 2 and had a CD4 count of 164 c/µl. He was on first line of ARVs and was taking Tenolam E. He had been on ART since 9 November 2009. The participant had size C device placed on 18 March 2016. The adverse event occurred while he was at home with the device on. The device had displaced on fifth day

after placement. Participant said the device moved while scratching the penis which was itchy post placement. On examination of the site, foreskin was in full retraction and swollen distal to the device. Swelling of shaft and glands could be seen. No septic sores were noted. Surgical circumcision using the sleeve method was done. Sutured with 2/0 Vicryl and dressed with paraffin gauze. On day 7, the participant came for review. Sutureline was septic and graying in the frenular area. Swelling subsided, discolouring of the skin L 0.5cm between the ring line and swollen line (Black band) was noted. Participant was advised on cleaning the wound with salty water. On day 42, client was completely healed. This event was reported to MRCZ.

#### Participant 4: Device Displacement

The participant was a 32 year old married man who had size C device placed on 24 March 2016. On day of device placement, the participant had a CD4 count of 283 c/µl, was of WHO clinical stage 1 and was taking first line ARVs, Tenolam E and Cotrimoxazole. He had been initiated on ART on 20 March 2016. The adverse event occurred while the participant was at home with the device on. The participant came on the 28<sup>th</sup> of March reporting that the device came off on third day after placement while taking a shower. On examination of the site, foreskin was in full retraction. Gross swelling of the penile shaft was noted but was not septic. Dorsal slit was done under local anaesthetic Lignocaine 2% and Bupivacaine 0.5%. Haemosthesis was achieved and suturing with 3.0 Vicryl done. Dressing of the wound was done and participant instructed to return on day 2 post operatively. Participant was given some Ciprofloxacin and Brufen. On day 9 post device placement, participant came for review and bandage was removed and participant was encouraged to start salt baths. Sutures were intact. On day 12 post device placement, the wound area had no sutures and was septic. The doctor ordered daily G&I dressings and was given Cloxacillin 500mg. Participant was completely healed on day 52 post device placement. This event was reported to MRCZ.

#### Adverse Events not related to the device

##### Participant 5:

The participant a married 45 years of age man was of WHO clinical stage 2 and on first line of ARVs taking Tenolam E. He had been initiated on ART on 30 October 2012. His CD4 count on day of device placement was 361 c/µl. The participant had size C device applied on 1 February 2016. The device was successfully removed on day 7 post placement and experienced pain level VAS 2 when the device was being removed. The participant came for all scheduled visits and did not report any pain. On day 37 post device placement, the participant came for a review visit but complained of difficulty in passing urine and was referred to the doctor. Upon further investigations, the problem had started in September 2015 before he came for circumcision. He had complete obstruction about 28 days post device placement. Upon realizing his problem, he had gone to Karanda Mission Hospital where catheterisation was unsuccessful but urine started coming out. He was experiencing pain towards the meatal opening when passing urine. On examination the bladder was not full but had some suprapubic tenderness. USS done at a private hospital showed significant urine retention of about 59%. There were no stones seen. The diagnosis was urethral stricture with unknown immediate cause. An ultrasound was done and participant was referred to Parirenyatwa OPD urology. Participant was completely healed on day 49 post device placement. This event was reported to MRCZ.

(b)(5)

**Participant 6:**

The participant was a 33 year old man who had a size C device applied on 4 April 2016 after all the study processes and procedures were met. On day of device placement, the participant had a CD4 count of 256 c/μl, was of WHO clinical stage 1 and was taking first line ARVs, Tenolam E. He had been on ART for about four and a half years. Participant healed on 9 May 2016, 35 days after device placement. The adverse event occurred while participant was killed in a road traffic accident at home on the 5<sup>th</sup> of June 2016, nearly 60 days after circumcision. The study team only got to know about it on the 4<sup>th</sup> of July after trying to contact the participant to remind him of the last review visit which had been scheduled for that day. This event was reported to MRCZ.

<b>From:</b>	Kilmarx, Peter (NIH/FIC) [E] </O=NIH/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=KILMARXPH4BA>
<b>To:</b>	Herman-Roloff, Amy (CDC/CGH/DGHT) <bjy2@cdc.gov>; Caryl Feldacker <cfeld@UW.EDU>
<b>Subject:</b>	RE: BLT/2016/184218 Acknowledgment of Manuscript
<b>Date:</b>	2017/01/19 17:21:00
<b>Priority:</b>	Normal
<b>Type:</b>	Note

Thanks Amy.

Caryl - I'm now back in the loop on this.

Cheers,  
PK

-----Original Message-----

From: Herman-Roloff, Amy (CDC/CGH/DGHT) [mailto:bjy2@cdc.gov]  
Sent: Thursday, January 19, 2017 8:33 AM  
To: Kilmarx, Peter (NIH/FIC) [E] <peter.kilmarx@nih.gov>  
Subject: FW: BLT/2016/184218 Acknowledgment of Manuscript

For your records...

-----Original Message-----

From: bulletin.submit.ask@who.int [mailto:bulletin.submit.ask@who.int]  
Sent: Monday, August 29, 2016 12:22 PM  
To: Caryl Feldacker <cfeld@uw.edu>  
Cc: Batsirai Makunike <bmakunike@itech-zimbabwe.org>; Marianne Holec <mmholec@uw.edu>; Aaron Bochner <chochner@uw.edu>; Abby Stepaniak <arstep@uw.edu>; Robert Nyanga <Redacted by agreement>; Sinokathemba Xaba <Redacted by agreement>; Peter Kilmarx <pbk4@cdc.gov>; Herman-Roloff, Amy (CDC/CGH/DGHT) <bjy2@cdc.gov>; Taurayi Tafuma <yih0@cdc.gov>; Mufata Tshimanga <Redacted by agreement>; Vuyelwa Chitimbire <chitimbire@zach.org.zw>; Scott Barnhart <sbht@uw.edu>  
Subject: BLT/2016/184218 Acknowledgment of Manuscript

Dear Dr. Feldacker

MS ID#: BLT/2016/184218  
MS TITLE: Implementing voluntary medical male circumcision using an integrated, health systems approach: Experience from 21 districts in Zimbabwe

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We thank you for your interest in the World Health Organization and the Bulletin.

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<b>Recipient:</b>	Herman-Roloff, Amy (CDC/CGH/DGHT) <bjy2@cdc.gov>; Caryl Feldacker <cfeld@UW.EDU>
<b>Sent Date:</b>	2017/01/19 17:21:46



**Delivered Date:** 2017/01/19 17:21:00

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<b>To:</b>	Herman-Roloff, Amy (CDC/CGH/DGHT) /O=NIH/OU=NIHEXCHANGE/cn=Recipients/cn=bjy2 <bjy2@cdc.gov>
<b>Subject:</b>	RE: PLOS ONE: Notification of co-authorship on manuscript - [EMID:bc3e5dd9b59e1a9]
<b>Date:</b>	2017/01/17 17:36:00
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Hi Amy,  
Greetings from Bethesda!

I'm updating my papers-in-progress list.

Do you know what happened to this one - Feldacker?

I've asked others about them, but would also be interested if you have any news about TASP or ZIMPHIA - the world AIDS day results were exciting, but interested in status of manuscripts (and authorship).

I hope all is well with you guys.

PK

---

**From:** PLOS ONE <[em@editorialmanager.com](mailto:em@editorialmanager.com)>  
**Sent:** Tuesday, October 6, 2015 12:25 PM  
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**Reply To:** PLOS ONE  
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PONE-D-15-43969  
Implementing voluntary medical male circumcision using an integrated, health systems approach: Experience from 21 districts in Zimbabwe  
Dr. Caryl Feldacker

Dear Peter Kilmarx,

You are receiving this email because you have been listed as an author on a manuscript recently submitted to PLOS ONE and entitled "Implementing voluntary medical male circumcision using an integrated, health systems approach: Experience from 21 districts in Zimbabwe".

The corresponding author for the submission process is: Dr. Caryl Feldacker

The full author list for the submission is: Caryl Feldacker, PhD; Batsirai Makunike; Marianne

Holec; Aaron Bochner; Abby Stepaniak; Robert Nyanga; Sinokuthemba Xaba; Peter Kilmarx; Amy Herman-Roloff; Taurayi Tafuma; Mufuta Tshimanga; Vuyelwa Chitimbire; Scott Barnhart

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<b>To:</b>	Katz, Flora (NIH/FIC) [E] /O=NIH/OU=NIH/EXCHANGE/cn=FIC/cn=katzf <katzf@mail.nih.gov>
<b>Subject:</b>	FW: FW: New projects in Kenya, Tanzania, and more from intrahealth.org
<b>Date:</b>	2016/10/31 15:48:00
<b>Priority:</b>	Normal
<b>Type:</b>	Note

FYI – attachment.

**From:** Ariel Pablos-Mendez [mailto:apablos@usaid.gov]  
**Sent:** Monday, October 31, 2016 11:14 AM  
**To:** Glass, Roger (NIH/FIC) [E] <glassr@mail.nih.gov>  
**Cc:** Kilmarx, Peter (NIH/FIC) [E] <peter.kilmarx@nih.gov>; Frymus, Diana (GH/OHA/SPER) <dfrymus@usaid.gov>  
**Subject:** Re: FW: New projects in Kenya, Tanzania, and more from intrahealth.org

Dear Roger,

Our USAID Kenya Mission has had extensive investments in HRH. The new award builds on previous ones and other ongoing awards. Intrahealth has been a key partner in Kenya. More information on the work supported in HRIS, Human Resource Management including an emergency hiring plan for health workers, Pre-Service Education can be found [here](#). Also attaching a briefer with more information.

HIV funding is a major component of our HRH programs. Unfortunately, there hasn't been NIH participation on the PEPFAR HRH TWG for over a year which has limited our engagement. There are many ongoing discussions with OGAC regarding funding approvals for all agency HRH HOP activities and ensuring coordination and preventing duplication. I am looping in Diane Frymus, our POC in this area and quite involved with global HRH strategy as well. Our missions would appreciate more engagement with MEPI.

Cheers,,

Ariel

Ariel Pablos-Méndez, MD, MPH  
Assistant Administrator for Global Health  
Child and Maternal Survival Coordinator  
U.S. Agency for International Development  
Washington, D.C.  
Tel: +1 (571) 551-7060  
Email: [apablos@usaid.gov](mailto:apablos@usaid.gov)

On Sun, Oct 30, 2016 at 2:22 AM, Glass, Roger (NIH/FIC) [E] <[glassr@mail.nih.gov](mailto:glassr@mail.nih.gov)> wrote:  
Hello Ariel,

We just learned around this amazing investment by USAID in Kenya and Tanzania. Could you tell us more and see how this interacts or might interact with our MEPI activities in these countries. One big difference is that the MEPI grants went directly to the schools in Africa which has really empowered their leadership to do amazing things together. I wonder if there might be some real scope or though to link these activities in the field. towards a common vision.

Best.

Roger

---

**From:** Kilmarx, Peter (NIH/FIC) [E]  
**Sent:** Friday, October 28, 2016 11:38 AM  
**To:** McDermott, Jeanne (NIH/FIC) [E]; Katz, Flora (NIH/FIC) [E]  
**Cc:** Glass, Roger (NIH/FIC) [E]; Wallick, Stacy (NIH/FIC) [E]  
**Subject:** RE: New projects in Kenya, Tanzania, and more from [intrahealth.org](http://intrahealth.org)

Thanks Jeanne. That's a significant investment by USAID.

Through a new five-year, \$37.8 million award from the US Agency for International Development, [IntraHealth International](http://IntraHealthInternational.org) and its partners will strengthen health professional training programs and health workforce management systems throughout Kenya to help the country improve the health of its citizens.

PK

---

**From:** McDermott, Jeanne (NIH/FIC) [E]  
**Sent:** Friday, October 28, 2016 11:11 AM  
**To:** Katz, Flora (NIH/FIC) [E] <[katzf@mail.nih.gov](mailto:katzf@mail.nih.gov)>; Kilmarx, Peter (NIH/FIC) [E] <[peter.kilmarx@nih.gov](mailto:peter.kilmarx@nih.gov)>  
**Subject:** FW: New projects in Kenya, Tanzania, and more from [intrahealth.org](http://intrahealth.org)

See new award to INTRAH for Kenya to "...work with our local partners to increase the number of students graduating from Kenya's health training institutions and improve the quality and applicability of their training to the country's disease burden. We will also work with national and county-level leaders to improve health workforce management and leadership and to strengthen the ability of health sector leaders to access and use health workforce and epidemiological data to make informed and effective decisions.

More details at <https://www.intrahealth.org/page/new-project-in-kenya-will-bring-health-care-to-the-countrys-most-vulnerable->

Jeanne McDermott MPH PhD  
Program Officer, Div of Training and Research  
Fogarty International Center, NIH  
Bldg 31 Rm B2 C39 , 31 Center Dr. MSC 2220  
Bethesda MD 20892-2220 USA  
[301 496-1492](tel:3014961492), [301 402-0779](tel:3014020779) (FAX)  
[jeanne.mcdermott@nih.gov](mailto:jeanne.mcdermott@nih.gov)  
I telework on Fridays and can be reached via email

**From:** IntraHealth International [<mailto:intrahealth=intrahealth.org@mail83.suw17.mcsv.net>] **On Behalf Of** IntraHealth International

**Sent:** Friday, October 28, 2016 10:16 AM

**To:** McDermott, Jeanne (NIH/FIC) [E] <[mcdermoj@mail.nih.gov](mailto:mcdermoj@mail.nih.gov)>

**Subject:** New projects in Kenya, Tanzania, and more from [intrahealth.org](http://intrahealth.org)

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## IntraHealth Awarded \$123 Million to Improve Health Care and Fight Disease around the World

Major new awards from the US government, foundations, and the private sector are expanding IntraHealth's impact globally as it strengthens health systems, addresses the health worker shortage, and fights disease around the world.

### NEWS & FEATURES

#### New Project in Kenya Will Bring Health Care to the Country's Most Vulnerable

With a new five-year, \$37.8 million award from USAID, IntraHealth will help counties build the health workforces they need to expand health services and reach more people in need.

#### Tohara Plus to Provide HIV-Prevention Services to More than 412,000 Tanzanians

Through a new five-year award from the US Centers for Disease Control and Prevention, IntraHealth will strengthen and scale up a comprehensive package of high-quality, safe services for voluntary

### INTRAHEALTH ON TUMBLR



### INTRAHEALTH BLOG POSTS

Out-of-School Youth: Often Forgotten in Reproductive Health Programs

Under a Shade Tree in Mali, Women with Fistula Recover Together

WASH Works. So What Are We Waiting For?

A Death in Durham Stirs Drive to Prevent Intimate Partner Violence

[medical male circumcision among adolescents and adult men in Tanzania.](#)

[It only takes \\$300 to train 10 health workers to use mSakhi. Donate today through CaringCrowd and your donation will be matched up to \\$250.](#)

---

## [Turkana County: The Face of Kenya's Health Worker Boom](#)

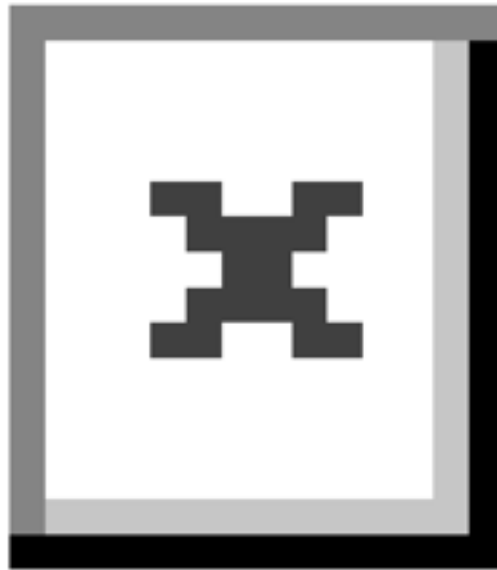
[It used to be where Kenyan health workers who stepped out of line were sent as punishment. But today, Turkana County has become the go-to place for health workers. Here's how it happened.](#)

---

## [UN Security Council Should Take Immediate Action to Protect Health Workers and Health Care in Conflict](#)

[Recently the United Nations Security Council held a briefing on Resolution 2286, which it passed in May 2016 to condemn attacks on health care. Yet despite the resolution, such attacks continue at an alarming rate, including airstrikes in September in Aleppo, Syria, that destroyed the two largest remaining hospitals.](#)





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<b>Recipient:</b>	Katz, Flora (NIH/FIC) [E] /O=NIH/OU=NIHEXCHANGE/cn=FIC/cn=katzf <katzf@mail.nih.gov>
<b>Sent Date:</b>	2016/10/31 15:49:00
<b>Delivered Date:</b>	2016/10/31 15:48:00



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# KENYA

**The Government of Kenya has set ambitious targets for providing essential health services to all its citizens.** It has a plan to slash the country's persistently high maternal, child, and newborn mortality rates by 2030, combat infectious and noncommunicable diseases as well as expand the use of reproductive health and family planning services. Kenya is also committed to accelerating its progress combatting HIV and AIDS.

To meet these goals, Kenya must ramp up and improve access to and quality of health care throughout the country. Kenya needs to produce and retain more health workers; update the skills and support the performance management system of its existing health workforce; and build capacity at the county level for planning, deploying, and managing an effective health workforce.

IntraHealth International has worked in Kenya for over 30 years strengthening health workforce systems at the national and county levels to align Kenya's health workforce with the most pressing health care needs of its citizens. Our work has focused on improving the availability and quality of family planning, HIV/AIDS, and maternal, newborn, and child health (MNCH) services.

## FUNZOKenya (2012–2017)

Through the USAID-funded FUNZOKenya project, IntraHealth works with the Kenyan government to strengthen preservice education of health workers, improve access to and quality of in-service training, build capacities of training institutions and faculty, and link licensure to professional development across the health system. For example, we:

- Establish regional training hubs that have updated 8,000+ health workers in critical areas such as HIV, family planning, and MNCH.
- Improve the supply of health workers by forecasting the number of new health workers required and working with training institutions to increase their admissions capacities.



**47 counties are strengthening human resources for health policies, guidelines, and management systems.**



**8,000+ health workers received in-service training on topics such as HIV, MNCH, and family planning at eight regional training hubs.**



**5,000+ students received loans making their schooling to become health workers possible.**

- Develop scholarship and loan programs to increase the supply of health workers through the Afya Elimu Fund. To date, 341 students have benefited from scholarships and more than 5,000 students have accessed loans.
- Harness technology to support 15 training institutions to develop and deliver up-to-date technical content and curricula through new teaching modalities as well as eLearning and mLearning.
- Improve service delivery by strengthening regulatory agencies' abilities to identify performance gaps, review curricula, and reinforce the link between continuing professional development and registration/relicensure.
- Develop the iHRIS Train database, which contains records for nearly 12,000 health workers, to provide data for improving efficiencies and filling training gaps.

### Human Resources for Health (HRH) Capacity Bridge Project (2014–2015)

The USAID-funded project builds on the successes of the previous Capacity Kenya project by strengthening HRH systems at the national and county levels. We partner with county governments, USAID implementing partners, the public and private sectors, and faith-based organizations to build an adequate, skilled, and equitably distributed health workforce. The project's multilevel interventions improve health workforce management through strengthening national- and county-level HRH functions and rolling out an HRH information system based on IntraHealth's iHRIS software. For example, we:

- Provide HRH leadership by convening donor and partner coordination meetings and establishing an HRH technical working group.
- Provide technical assistance to develop HRH policies and guidelines at the national and county levels.
- Establish five functional HRH Inter-Agency Coordination Committees involving 34 counties.
- Develop interoperability between iHRIS and the AfyaInfo District Health Information Systems (DHIS-2) to link facility-level workload indicators to service delivery statistics.
- Provide iHRIS training to county health personnel

to improve human resources data and use of iHRIS for decision-making.

- Facilitate the transition of 837 health workers originally deployed under Capacity Kenya to 43 county government payrolls.

### Improving Reproductive Health Commodity Management (2015–2016)

Through an Innovation Fund grant awarded by the Reproductive Health Supplies Coalition, IntraHealth is improving reproductive health commodity management in the North Rift Region. This project is developing an eLearning course, building the capacities of supply chain managers through eTraining and mentoring, and strengthening county capacity to budget for commodities and monitor supply chain management performance.

### Measurement Learning and Evaluation (2013–2015)

IntraHealth partners with the University of North Carolina at Chapel Hill on this Bill & Melinda Gates Foundation-funded project to promote evidence-based decision-making in designing urban family planning and reproductive health interventions.

### CapacityPlus (2009–2015)

Through the USAID-funded, IntraHealth-led CapacityPlus project, IntraHealth analyzes Kenya's existing social welfare workforce relative to the needs of the country's vulnerable children. The analysis identifies gaps in service delivery and technical skills and provides a roadmap to inform the government as it plans, designs, and supports a more robust social welfare workforce.

### Pfizer Global Health Fellows Program

Since 2006, IntraHealth has partnered with Pfizer's international corporate volunteer program, which places Pfizer employees in short-term assignments with leading international development organizations.

### Past projects and funders

- Capacity Kenya 2009–2014 (USAID)
- Capacity Project 2004–2009 (USAID)
- APHIA II North Eastern Province 2007–2012, sub to Pathfinder International (USAID)
- PRIME II 1999–2004 (USAID)

## CONTACT

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<b>From:</b>	Kilmarx, Peter (NIH/FIC) [E] /O=NIH/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=KILMARXPH4BA <peter.kilmarx@nih.gov>
<b>To:</b>	Dominguez, Ken (CDC/OID/NCHHSTP) </O=NIH/OU=NIHHUB/cn=CDC Proxy List/cn=SMTP:kid0@CDC.GOV>
<b>Subject:</b>	Fwd: Critical Evaluation of Adler's Challenge to the CDC's Male Circumcision Recommendations
<b>Date:</b>	2016/08/01 20:34:59
<b>Priority:</b>	Normal
<b>Type:</b>	Note

Hi Ken,  
Greetings from Bethesda!

Here's a publication of interest. Do you know when the CDC recommendations will be finalized? August is always a good time for it.

Best wishes, PK

Peter Kilmarx  
Fogarty International Center, NIH

Begin forwarded message:

**From:** Brian Morris <brian.morris@sydney.edu.au>  
**Date:** August 1, 2016 at 20:03:38 EDT  
**To:** Brian Morris <brian.morris@sydney.edu.au>  
**Subject: Critical Evaluation of Adler's Challenge to the CDC's Male Circumcision Recommendations**

<http://booksandjournals.brillonline.com/content/journals/10.1163/15718182-02402004.jsessionid=1dcgw13ijubvm.x-brill-live-02>

## Critical Evaluation of Adler's Challenge to the CDC's Male Circumcision Recommendations



image of The International Journal of Children's Rights

• **Authors:** Beth E. Rivin<sup>1</sup>; Douglas S. Diekema<sup>2</sup>; Anna C. Mastroianni<sup>3</sup>; John N. Krieger<sup>4</sup>; Jeffrey D. Klausner<sup>4</sup> and Brian J. Morris<sup>5</sup>

• **Source:** *The International Journal of Children's Rights*, Volume 24, Issue 2, pages 265 – 303 **Publication Year :** 2016

• **DOI:** [10.1163/15718182-02402004](https://doi.org/10.1163/15718182-02402004)

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• **Subjects:** Human Rights & Humanitarian Law

• **Keywords:** policy; male circumcision; critical perspective; Centers for Disease Control and Prevention; children's rights

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• Abstract

• Full Text

• Media

• References(171)

• Cited By (0)

• Metrics

We evaluate Peter Adler's challenge to the Centers for Disease Control and Prevention (CDC) draft recommendations on male circumcision (this issue, see pp. 237–262). The CDC advocates elective male circumcision (MC) to improve public health in the US based on strong scientific evidence. In marked contrast to the CDC, Adler's criticisms depend on speculative claims and obfuscation of the scientific data. Adler's central argument that circumcision in infancy should be delayed to allow a boy to make up his own mind as an adult fails to appreciate that circumcision later in life is a more complex operation, entails higher risk, is more likely to involve general anaesthesia and presents financial, psychological and organisational barriers. These limitations are avoided by circumcision early in infancy, when it is convenient, safe, quick, low risk, usually involves local anaesthesia and provides benefits immediately. Benefits of male circumcision include: protection against urinary tract infections that are ten times higher in uncircumcised infants; inflammatory skin conditions; other foreskin problems; sexually transmitted infections and genital cancers in the male and his female sexual partners. Circumcision during infancy is also associated with faster healing and improved cosmetic outcomes. Circumcision does not impair sexual function or pleasure. Some authorities regard the failure to offer circumcision as unethical, just as it would be unethical to fail to encourage paediatric vaccination. Since the benefits vastly outweigh the risks, each intervention is in the best interests of the child. In conclusion, Adler's criticisms of the CDC's evidence-based male circumcision policy are flawed scientifically, ethically and legally, and should be dismissed as endangering public health and individual well-being.

**Affiliations:** 1: University of Washington School of Law, Seattle, Washington, USA [brivin@uw.edu](mailto:brivin@uw.edu) ; 2: Treuman Katz Center for Pediatric Bioethics, Seattle Children's Hospital, Seattle, Washington, USA [diek@uw.edu](mailto:diek@uw.edu) ; 3: Section of Urology, VA Puget Sound Health Care System and School of Medicine, University of Washington, Seattle, Washington, USA [amastroi@uw.edu](mailto:amastroi@uw.edu) ; 4: Division of Infectious Diseases, Department of Medicine, David Geffen School of Medicine, University of California Los Angeles, California, USA, [jkrieger@uw.edu](mailto:jkrieger@uw.edu); [jdklausner@mednet.ucla.edu](mailto:jdklausner@mednet.ucla.edu) ; 5: *Brian J. Morris* DSc PhD, Professor Emeritus, School of Medical Sciences, Building F13, University of Sydney, New South Wales 2006, Australia

## Introduction

GO TO SECTION

We evaluate an article by Peter Adler ([Adler, 2016](#)), a legal advisor for an anti-circumcision organisation. His article attempts to undermine draft recommendations favouring male circumcision

(mc) in the United States that were developed by the Centers for Disease Control and Prevention (cdc) after a thorough evaluation of the scientific evidence (Centers, 2014). The cdc's document supports the policy statement on infant mc (imc) from the American Academy of Pediatrics (aap) in 2012 which found that the benefits of imc outweigh the risks (American, 2012a).

Adler claims, 'the draft cdc recommendations are not medically correct, ethically sound, legally permissible, or procedurally valid'. He goes on to say, 'accordingly they should not be implemented and would be legally invalid if they are'. His statement that the cdc's draft recommendations, 'provide erroneous and misleading advice to physicians that exposes them to the threat of lawsuits<sup>1</sup> by men and parents', is based on fallacious arguments propagated by the anti-circumcision lobby. Adler refers to, 'thousands of official [?] comments [that] have been filed opposed to the cdc proposal', and, 'public protests against it'. This simply reflects the efficient use of social media by anti-mc groups to mobilise their members, most of whom are lay activists whose *modus operandi* is akin to childhood vaccination opponents. Almost all of those comments were short, repetitious and lacked scientific merit or legal foundation for us policy. Policy should be decided on scientific evidence and current law, not public protests by fringe groups. Adler's conclusion is that, the cdc must revise its draft guidelines to comport with the prevailing view that circumcision is on balance deleterious to health; that men have the right to make the "circumcision decision" for themselves; that physicians are not permitted to circumcise healthy boys; and that Medicaid cannot be used to pay for unnecessary surgery.

Below, we summarise the scientific and legal evidence that contradicts each of Adler's arguments.

## **1 Medically Justified?**

GO TO SECTION

### 1.1 Unscientific and Isolated Medical Opinion?

#### 1.1.1 Undisclosed and Unaddressed Criticisms?

Adler cites, 'a group of 38 distinguished physicians from Europe and Canada'<sup>2</sup> (Frisch *et al.*, 2013) who criticised the aap's imc policy, that the cdc supported. He fails to mention that the aap responded at the time, arguing convincingly that a cultural bias against imc is more likely to exist in Europe than cultural bias favouring imc in the usa, since the usa comprises similar

<b>From:</b>	Kilmarx, Peter (NIH/FIC) [E] </O=NIH/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=KILMARXPH4BA>
<b>To:</b>	Balachandra, Shirish (CDC/CGH/DGHT) /O=NIH/OU=NIHEXCHANGE/cn=Recipients/cn=yxm1 <ymx1@cdc.gov>
<b>Subject:</b>	RE: your thoughts?
<b>Date:</b>	2016/06/24 11:14:51
<b>Priority:</b>	Normal
<b>Type:</b>	Note

Hi Shirish,  
Nice to hear from you.

I like it! A few suggestions attached.

AIDS Care or AIDS Behavior are good choices for this. You could start higher and at least hope for quick responses.

Thanks for inviting me to join. As you know, I already have a number of ongoing interests/papers in Zimbabwe and probably would be best not to add to that. I don't think my inclusion would change the journal outcomes.

Sorry won't see you in DUR. Get in touch if you are here when I am.

Cheers,  
PK

---

**From:** Balachandra, Shirish (CDC/CGH/DGHT)  
**Sent:** Tuesday, June 21, 2016 5:37 PM  
**To:** Kilmarx, Peter (NIH/FIC) [E] <peter.kilmarx@nih.gov>  
**Subject:** your thoughts?

Hi Peter!

I hope you're well. DGHT Annual Meeting a couple weeks back was a very good experience, but I kept thinking I'd run into you there. Anyway, as I alluded to before, I wanted your eyes and ideas on something... take a look at the attached. The authors will be myself, Beth, Nicola Willis and Joseph Murungu. I'm just waiting for Beth's input, but otherwise I think it's nearly final. Please tell me what you think... I'm not sure about where to submit. Beth was checking into this thing called JANE that gives guidance on manuscript submissions, and its suggestion was AIDS Care, because stigma was a central theme. This may be best. But I guess I was naively thinking that we could get a larger readership given the Ministry's co-authorship... but I don't know if Lancet, BMJ, etc. welcome "uninvited commentary." What say you? And, it goes without saying that you're welcome to jump aboard if you have significant suggestions on content and/or if your co-authorship can bump us into a higher weight class 😊

Many thanks,  
Shirish



Shirish Balachandra, MD  
Branch Chief, HIV Services  
U.S. Centers for Disease Control and Prevention, Zimbabwe  
2<sup>nd</sup> Floor, Nestle House  
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Harare, Zimbabwe  
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<b>Recipient:</b>	Balachandra, Shirish (CDC/CGH/DGHT) /O=NIH/OU=NIHEXCHANGE/cn=Recipients/cn=yxm1 <ymx1@cdc.gov>
<b>Sent Date:</b>	2016/06/24 11:14:50
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Page 402 of 561 to Page 404 of 561

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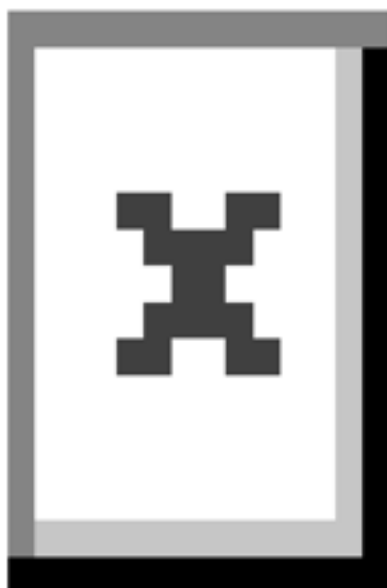
(b)(4); (b)(6)

of the Freedom of Information and Privacy Act

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<b>To:</b>	Prakash, Gyan (NIH/NEI) [E] /O=NIH/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=Prakashg <gyan.prakash@nih.gov>; Glass, Roger (NIH/FIC) [E] /O=NIH/OU=NIHEXCHANGE/cn=FIC/cn=glassr <glassr@mail.nih.gov>
<b>Subject:</b>	Integrated health care innovations in Africa!
<b>Date:</b>	2016/06/17 14:38:44
<b>Priority:</b>	Normal
<b>Type:</b>	Note

John and Roger – thought you would appreciate this photo from Zimbabwe. Actually, the Indian Avarind Eye Care System has trained male circumcision providers.

Best,  
PK



[https://scontent.xx.fbcdn.net/v/t1.0-9/13418999\\_10207969229762186\\_5713791870906831678\\_n.jpg?oh=d7b8006d696844781c4325c9a771be2b&oe=57E2795E](https://scontent.xx.fbcdn.net/v/t1.0-9/13418999_10207969229762186_5713791870906831678_n.jpg?oh=d7b8006d696844781c4325c9a771be2b&oe=57E2795E)

Peter H. Kilmarx, MD, FACP, FIDSA  
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[Health](#)

**Sender:** Kilmarx, Peter (NIH/FIC) [E] </O=NIH/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=KILMARXPH4BA>

<b>Recipient:</b>	Prakash, Gyan (NIH/NEI) [E] /O=NIH/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=Prakashg <gyan.prakash@nih.gov>; Glass, Roger (NIH/FIC) [E] /O=NIH/OU=NIHEXCHANGE/cn=FIC/cn=glassr <glassr@mail.nih.gov>
<b>Sent Date:</b>	2016/06/17 14:38:43
<b>Delivered Date:</b>	2016/06/17 14:38:44

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Catherine Hankins /O=NIH/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=Catherine Hankins446 <c.hankins@aighd.org>;  
Elly Katabira [Redacted by agreement]  
Elly Katabira [Redacted by agreement]  
Serge Eholie [Redacted by agreement]  
Serge Eholie [Redacted by agreement]  
Koulla Sinata [Redacted by agreement]  
**To:** Guido Ferrari <gflmp@duke.edu>;  
Francois Venter [Redacted by agreement]  
Debrework Zewdie [Redacted by agreement]  
[Redacted by agreement]  
Anna Laura Ross [Redacted by agreement]  
Kenly Sikwese [Redacted by agreement]  
Mauro Schechter [Redacted by agreement]  
Charles Boucher [Redacted by agreement]  
coumba kane [Redacted by agreement]  
**CC:** Brandon O'Dell <b.odell@joelplangeinstitute.org>  
**Subject:** RE: Manuscript about INTEREST 10  
**Date:** 2016/06/06 13:36:24  
**Priority:** Normal  
**Type:** Note

Thanks Cate for outstanding report, remarkably quickly produced.

My few comments attached. If you need to shorten a little, the emerging infections part could be reduced or cut since it is not strictly related to the overall theme of ending AIDS as a public health threat by 2030.

Best to all!

PK

Peter H. Kilmarx, MD, FACP, FIDSA  
CAPT, U.S. Public Health Service  
Deputy Director, Fogarty International Center  
U.S. National Institutes of Health  
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**From:** Catherine Hankins  
**Sent:** Saturday, May 28, 2016 2:54 AM  
**To:** Elly Katabira [Redacted by agreement]; Serge Eholie [Redacted by agreement]; Koulla Sinata [Redacted by agreement];  
Kilmarx, Peter (NIH/FIC) [E] <peter.kilmarx@nih.gov>; Guido Ferrari <gflmp@duke.edu>; Francois Venter



[Redacted by agreement]; Debrework Zewdie [Redacted by agreement]  
Anna Laura Ross [Redacted by agreement]; Kenly Sikwese [Redacted by agreement]; Mauro Schechter  
[Redacted by agreement]; Charles Boucher [Redacted by agreement]; coumba kane  
[Redacted by agreement]

**Cc:** Brandon O'Dell <b.odell@joeplangeinstitute.org>  
**Subject:** Manuscript about INTEREST 10

Hi everyone,  
Trust this finds you all fine as May comes to a close. The long report for the 10th INTEREST Workshop will have links to all the presentations that will be posted on the <http://interestworkshop.org/> website. In the meantime, attached is a draft scientific manuscript that I have edited from a preliminary draft based on the long report.

We are asking all international and scientific organizing committee members who attended INTEREST 2016 if they would like to be a co-author. If you are interested, you will need to read the manuscript and provide comments. I have approached the editors but have not heard back yet on whether this might need to be shortened so if you see areas that could be shortened, please feel free to let me know. It would be helpful if you could get back to me by June 15th about whether you would like to be co-author and any changes that you propose.

All the best,  
Cate

Catherine Hankins MD PhD FRCPC CM  
Scientific Chair, INTEREST 2016  
Deputy Director, Science; Amsterdam Institute for Global Health and Development  
Department of Global Health, Academic Medical Center. University of Amsterdam  
Honorary Professor, London School of Hygiene and Tropical Medicine  
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<b>Recipient:</b>	Catherine Hankins /O=NIH/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=Catherine Hankins446 <c.hankins@aighd.org>; Elly Katabira [Redacted by agreement] Elly Katabira [Redacted by agreement] Serge Eholie [Redacted by agreement] Serge Eholie [Redacted by agreement] Koulla Sinata [Redacted by agreement] Guido Ferrari <gfimp@duke.edu>; Francois Venter [Redacted by agreement] Debrework Zewdie [Redacted by agreement] [Redacted by agreement] Anna Laura Ross [Redacted by agreement] Kenly Sikwese [Redacted by agreement] Mauro Schechter [Redacted by agreement] Charles Boucher [Redacted by agreement] coumba kane [Redacted by agreement] Brandon O'Dell <b.odell@joeplangeinstitute.org>
<b>Sent Date:</b>	2016/06/06 13:36:24

Comments – PK – June 6, 2016

The 10<sup>th</sup> International Workshop on HIV Treatment, Pathogenesis and Prevention Research in Resource-Limited Settings (INTEREST) in Yaoundé, Cameroon

*Authors: to be determined*

The goal to end AIDS as a public health threat by 2030(1) was the underpinning theme of the 10<sup>th</sup> International Workshop on HIV Treatment, Pathogenesis, and Prevention Research in Resource-Limited Settings (INTEREST) held in Yaoundé, Cameroon, 3-6 May 2016<sup>1</sup>. Spirited debates on the UNAIDS 90-90-90<sup>2</sup> treatment cascade goal for 2020(2) and on the place of antiretroviral pre-exposure prophylaxis (PrEP) took place along with presentations on treatment optimisation, acquired drug resistance, care of children and adolescents, laboratory monitoring and diagnostics, implementation challenges, HIV prevention, key populations, vaccine and cure, hepatitis C, mHealth, financing the HIV response, and emerging pathogens(3). Presentations and videos are available at [www.interestworkshop.org](http://www.interestworkshop.org).

The meeting attracted 509 registrants and 369 active delegates from 22 African countries, Cameroon, South Africa, Nigeria, Cote D'Ivoire, Kenya, Uganda, Zambia, Zimbabwe, Botswana, Ghana, Senegal, Tanzania, Benin, Burkina Faso, Congo, Gabon, Guinea, Liberia, Malawi, Namibia, and Rwanda, and Swaziland, along with participants from the Netherlands, the USA, the United Kingdom, France, Switzerland, Germany, Italy, India, Brazil, Turkey, Canada, and Singapore, a total of 34 countries.

In addition to 8 oral abstract presentations and 18 poster discussion presentations (mini-orals) in the main programme, the 10<sup>th</sup> INTEREST Workshop offered parallel early morning sessions on three mornings. The Joep Lange research career guidance sessions saw mid-career and senior investigators explain how they got started on a research career and give advice on how to get funded, choose a mentor, and get published. Research grantspersonship sessions were presented by the ANRS (France Recherche Nord & Sud Sida-hepatites); the Fogarty International Center, U.S. National Institutes of Health; Fogarty; and the EDCTP (European & Developing Countries Clinical Trials Partnership).

#### HIV in Cameroon

Following the opening of the meeting by the Cameroon Minister of Public Health, André Mama Fouda, the Permanent Secretary of the National AIDS Programme, Dr. JB Elat, presented an overview of HIV in Cameroon. With a population currently estimated at 22.2 million, HIV prevalence in 2011 was 4.3%, with urban populations and women disproportionately affected: 5.6% of women vs. 2.9% of men. In 2014, 34,158 people died of AIDS. An estimated 320,000 children have been orphaned by HIV. Comparing HIV prevalence in key populations to the general population, men who have sex with men (MSM) have 8-14 times higher (24-44%), truck drivers have five times higher (16%), and female sex workers (FSW) have six times higher (36%) HIV prevalence. Clients of FSW account for

<sup>1</sup>Please see [www.interestworkshop.org](http://www.interestworkshop.org)

<sup>2</sup>90-90-90 refers to the targets of 90% of people living with HIV knowing their serostatus, 90% of those who know they are HIV-positive being on antiretroviral treatment (ART), and 90% of those on ART achieving viral suppression. This translates into 70% of all people living with HIV being virally suppressed.

b(5)

36% of new HIV infections annually. The Cameroon national AIDS programme was established a year after the first case of HIV infection was identified in 1985. After the antiretroviral treatment (ART) programme began in 2000, HIV prevalence fell by 20% between 2004 and 2011 from 5.5% to 4.3%. Prenatal consultations for prevention of mother-to-child transmission (PMTCT) have increased steadily since 2009, with attendance reaching 74% in 2015. Between 2005 and 2015, the number of people on ART increased ten-fold and approximately 7000 children now receive ART. In 2015, 882,639 Cameroonians were tested for HIV. Cameroon is working towards the UNAIDS 90-90-90 goals by increasing access to HIV testing and treatment services, reducing stigma, strengthening the supply chain, tailoring HIV prevention for key populations, and building civil society capacity.

(b)(5)

#### Achieving the UNAIDS 90-90-90 targets by 2020

The passionate debate that took place about whether the targets can be achieved addressed factors that may prevent this such as insufficient resources (human, infrastructure, financial), inability to reach all people living with HIV (PLHIV) and retain them in care, the risk of emerging drug resistance, and international donor fatigue. Optimists highlighted the tremendous achievements in the past decade to provide ART to 15 million PLHIV, the opportunity to halt the HIV epidemic now, and data from several countries that are close to achieving the target of 73% viral suppression. Although the majority of delegates at the end of the debate continued to believe that achieving the targets is desirable, more people became convinced that the proposed timeframe is too short.

#### The first 90: HIV Testing

More than 150 million HIV tests are conducted in low- and middle-income countries annually and although the goal of diagnosing 90% of PLHIV is achievable, testing must be done with 100% accuracy because misdiagnosis has profound consequences at both the individual and population level. Outreach programs are necessary for key populations who are marginalised, stigmatized, often criminalised, and hard to reach.

#### The second 90: antiretroviral treatment

The World Health Organisation recommends an immediate offer of ART to all those diagnosed with HIV infection, regardless of their CD4 count that measures immune status(4). By mid-2015, 15.8 million people were on ART, representing an estimated 41% of people living with HIV (PLHIV) globally. ART optimisation is crucial to achieve the 90% treatment target and it must be effective, well tolerated, and quality assured. Increased access to ART in resource-limited settings has been made possible through voluntary licensing that enables generic companies to manufacture antiretroviral (ARV) drugs in individual or combination formulations. Generic combinations can provide ART for US\$100-130 per year. Voluntary licensing of drugs such as dolutegravir and tenofovir alafenamide may reduce this cost to US\$60/year in the near future, however, more efficacy and safety data in pregnant women and in HIV/TB co-infected patients are needed before these drug can be rolled out globally. Robust supply chains are essential to prevent stock-outs and ensure continuity of ART. Increasing domestic healthcare funding in sub-Saharan Africa to reach the Abuja target of 15% of annual government budgets will reduce donor dependency and facilitate sustainable programs. Building on the global success of generic ART, generic versions of drugs for tuberculosis, cancer, and hepatitis B and C could facilitate drug access for people in resource-limited settings around the world at very affordable prices.

(b)(5)

### The third 90: Viral suppression

Rapid scale up of viral load (VL) monitoring is essential to track the third 90 and know how many patients achieve viral suppression. This will require improved efficiencies across the VL testing spectrum, from sample collection and transportation to laboratory performance and ensuring that results are sent to clinics and patients and are acted upon appropriately. A major barrier to achieving the 90% viral suppression target is poor adherence, which leads to the emergence of drug resistance. Because retention in care and adherence support are key to achieving virological suppression, the design of effective interventions must be anchored in a comprehensive understanding of the multiple barriers at different levels that people on ART face.

The WHO recommends that ART scale up is accompanied by high quality surveillance of HIV drug resistance, which requires investment in human and laboratory resources, innovative and efficient technical approaches, robust supply chains, and quality assurance measures, as well as community and political commitment. Limited laboratory capacity in sub-Saharan Africa (SSA) is hampering drug resistance monitoring. Specific studies can identify suitable ARV options, such as the ultra-deep pyrosequencing work at the Chantal Biya International Reference Centre in Yaoundé, Cameroon that has shown that protease inhibitors and maraviroc are likely to be effective in young Cameroonian children(5). The author of this abstract received the Joep Lange award for the top-scoring abstract by an African scientist at the 10<sup>th</sup> INTEREST Workshop.

### HIV Prevention

More than 10 million voluntary medical male circumcisions (VMMC) have been performed(6) in sub-Saharan Africa since WHO/UNAIDS recommended male circumcision for HIV prevention in settings with predominant heterosexual transmission(7). Countries are moving forward to establish sustainable VMMC programs focused on early infant and early adolescent male circumcision as they achieve a high prevalence of adult male circumcision.

In Africa, pre-exposure prophylaxis (PrEP) with antiretroviral drugs has achieved regulatory approval in South Africa and Kenya, following clinical trials among serodiscordant couples, men who have sex with men, and heterosexual men and women. Lessons learned from follow-on studies and demonstration projects of oral PrEP in tablet form have shown that adherence is higher than in trial settings, possibly because people know that they are taking an effective product. Novel products and delivery options under investigation include injectables that would be taken every 2 to 3 months, vaginal gel and ring formulations, and monoclonal antibodies. PrEP is not for everyone all the time. Serodiscordant couples can use PrEP until the partner starting on ART is virally suppressed and it works for anyone experiencing a high risk of HIV exposure during a specific time of his or her life. A debate on whether Africa was ready for pre-exposure prophylaxis, following on a similar debate at INTEREST 2015 in Harare, Zimbabwe, persuaded some that had been sure that Africa was ready to wonder whether the regulatory, logistical, equity, and other issues had all been adequately addressed.

### Vaccine and Cure

The search for a vaccine, following the promising results of RV 144(8), includes active and passive approaches to HIV prevention. Clade-specific trials in South Africa and elsewhere are part of the pox-protein public-private partnership (P5) evaluating pox-protein candidates. Hypothesis-generating Phase 2b trials are underway of passive vaccine strategies involving monoclonal antibodies using trivalent and tetravalent vectors to obtain broader coverage against HIV. Research to understand viral reservoirs with the goal of an eventual cure has found that Ugandans without HIV infection have increased immune activation and lymph node pathology that resembles early HIV infection among patients in Minnesota, USA. If they acquire HIV, they may develop a larger HIV reservoir with persistent immune activation This can lead to lymph node fibrosis that damages mechanisms to maintain CD4 counts(9) and may limit the diffusion of therapeutic agents to reduce the reservoir.

## Key Populations

Alongside success stories in bringing down HIV prevalence among sex workers in countries such as Rwanda, Burkina Faso, Kenya, and Namibia, there is a paucity of data on successful interventions among men involved in sex work, regular partners of female sex workers, and girls under 18 years who receive money or goods in exchange for sexual services. Exciting new developments include studies of PrEP use, integration of HIV and sexual and reproductive health services, and use of mobile technology, social media and biometric measures to assist in studying the often mobile sex work population. Striking data on the use of heroin, tramadol, and other opioids have led to the African Union Plan of Action on Drug Control that recognises the burden of HIV and hepatitis C among people who inject drugs in Africa. Political barriers to holistic harm reduction remain for illicit drugs and for alcohol, a psychoactive substance with dependence-producing properties that has been strongly linked to HIV risk in Africa and around the world. As a key population, men who have sex with men have high HIV risks and continue to be criminalised in many African countries, making it difficult to reach them with services. In 8 African countries, between 25 and 65% of these men aged 18-19 years are meeting male sexual partners on line, suggesting that social media and mobile platforms could help increase access to HIV prevention and treatment.

## Emerging pathogens – lessons learned for and from HIV

Lessons were drawn from the Ebola epidemic of 2014-2015 for HIV and for emerging and re-emerging pathogens such as Zika and Lassa Fever. Developing and running Ebola clinical trials proved to be very challenging in Guinea, Liberia, and Sierra Leone. In Guinea, an adaptive trial 'ring vaccination' design was used to evaluate vaccine candidate, with real time modifications to take account of the rapidly changing epidemic and logistical issues(8). The impact of favipiravir on Ebola virus disease was evaluated in a single arm, proof of concept trial that found it well-tolerated and possibly more effective in a subset of patients(9).

It proved possible to run high-quality studies alongside the response during an outbreak, but healthcare systems must be strengthened before another epidemic occurs. Ideally outbreaks of emerging and re-emerging pathogens should be anticipated and potential drugs and vaccines for them investigated on an ongoing basis so that efficacy trials can be initiated quickly when an outbreak occurs. Engaging stakeholders, including community stakeholders, at all stages of a clinical trial contributes to robust trial design, facilitates trial conduct by addressing rumours and enhancing participant retention, and helps ensure ownership of the results for action. The 2011 UNAIDS/AVAC Good Participatory Practices (GPP) guidelines for biomedical HIV prevention trials(10) are currently being adapted for emerging pathogens. Addressing ethical issues is key to ensuring that studies are conducted ethically, communities support them, and post-trial legacies are assured. Robust public health infrastructure, appropriate legislation, and community involvement were key in containing an Ebola outbreak of 20 cases in Nigeria. Subsequent investments in the healthcare system have upgraded disease surveillance, research infrastructure, and treatment facilities, with lessons learned from Ebola being applied to Lassa fever.

Worldwide, infectious diseases remain among the leading causes of death, despite tremendous progress in treating and preventing them. New pathogens are emerging and known pathogens are re-emerging in the 21<sup>st</sup> century, with climate change and the interconnectedness of the world increasing the risk that humans will be exposed to zoonosis. This underscores the need for early warning surveillance, quick action responses by public health authorities, and timely research to develop effective treatments and vaccines, alongside vector control and behaviour change.

## Conclusions

Although new HIV infections declined in sub-Saharan Africa by 41% between 2000 and 2014, in 2014 alone there were an estimated 1.4 million new HIV infections. An estimated 25.8 people are living with HIV in sub-Saharan Africa, with women accounting for more than half.

(b)(5)

Over 10.7 million people were accessing ART, 41% of all people living with HIV in the region, up from fewer than 100,000 people in 2002 (36% of men and 47% of women living with HIV)(11). Although there has been a 48% decline in new HIV infections among children in the 21 priority countries of the Global Plan to eliminate new HIV infections among children and keeping their mothers alive in Africa(12), 190,000 African children became infected with HIV infections in 2014(13). Late diagnosis of HIV infection is the most substantial barrier to scaling up HIV treatment, with 790 000 people dying of AIDS-related causes in sub-Saharan Africa in 2014.

The 10<sup>th</sup> INTEREST Workshop heard a call for leadership and activism among HIV investigators and physicians to show global solidarity with PLHIV worldwide and to ensure that resources are used effectively. Africa loses US\$150 billion/year through illicit financial flows, corruption, and money laundering. This money could replace international donations and fund healthcare throughout the continent. At the closing ceremony, the Yaoundé Declaration(14) was read out, calling on African governments; UNAIDS; development, bilateral, and multilateral partners; and civil society to adopt urgent and sustained approaches to end HIV by 2030(15)(11).

2428 words

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<b>To:</b>	Timothy Mastro <TMastro@fhi360.org>
<b>Subject:</b>	Re: Circumcision of male infants and children as a public health measure in... - Academia.edu
<b>Date:</b>	2016/06/04 22:05:44
<b>Priority:</b>	Normal
<b>Type:</b>	Note

Hi,  
 Did you see the paper? Would be interested if it adds anything.  
 I hope the recommendations will finally be published this summer, 6.5 years after starting clearance.

Hope you are off to a good start to summer.

PK

Peter Kilmarx, MD, FACP, FIDSA  
 Fogarty International Center, NIH

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**From:** Timothy Mastro  
**Sent:** Saturday, June 4, 2016 9:44 PM  
**To:** Kilmarx, Peter (NIH/FIC) [E]  
**Subject:** FW: Circumcision of male infants and children as a public health measure in... - Academia.edu

This beat goes on.....

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**From:** Academia.edu Weekly Digest [mailto:noreply@academia-mail.com]  
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### [Circumcision of male infants and children as a public health measure in developed countries: A critical assessment of recent evidence](#)

In December of 2014, an anonymous working group under the United States' Centers for Disease Control and Prevention (CDC)

issued a draft of the first-ever federal recommendations regarding male circumcision. In accordance with the American Academy of Pediatrics' circumcision policy from 2012 - but in contrast to the more recent 2015 policy from the Canadian Paediatric Society as well as prior policies (still in force) from medical associations in Europe and Australasia - the CDC suggested that the benefits of the surgery outweigh the risks. In this article, we provide a brief scientific and...

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**Roula Choueiri**

### **Early Intervention for Children With Autism Spectrum Disorder Under 3 Years of Age: Recommendations for Practice and Research**

This article reviews current evidence for autism spectrum disorder (ASD) interventions for children aged <math>\leq 3</math> years, based on peer-reviewed articles published up to December 2013. Several groups have adapted treatments initially designed for older, preschool-aged children with ASD, integrating best practice in behavioral teaching methods into a developmental framework based on current scientific understanding of how infants and toddlers learn. The central role of parents has been emphasized, and interventions are designed to incorporate learning opportunities into everyday activities,...

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**Fasoro Ayodeji Akinwande**

Afe Babalola University, Ado-Ekiti, Community Medicine, Faculty Member

### **Assessment of Food Safety Practices in a Rural Community in Southwest Nigeria**

---

Food safety has been a major health challenge in both developed and developing countries. Ensuring food safety is key to preventing food borne illnesses which are contracted through consumption of unsafe foods. The aim of this study was to identify the food safety practices of residents of a rural community in Southwest, Nigeria. The study design was cross-sectional. Five clusters were selected by simple random sampling from the sampling frame. All households in the clusters were sampled to achieve a sample size of 216 households. Data were collected using a semi-structured questionnaire....

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**Luca Colucci-D'Amato**

 Seconda Università di Napoli, Dipartimento di Scienze e Tecnologie Ambientali, Biologiche e Farmaceutiche, Faculty Member

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**Mathias Uhlén**

 KTH Royal Institute of Technology, Science for Life Lab, Faculty Member

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## A single fixation protocol for proteome-wide immunofluorescence localization studies

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<b>Recipient:</b>	Timothy Mastro <TMastro@fhi360.org>
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<b>From:</b>	Kilmarx, Peter (NIH/FIC) [E] </O=NIH/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=KILMARXPH4BA>
<b>To:</b>	Qualls, Michael (CDC/CGH/DGHT) </O=NIH/OU=NIHHUB/cn=CDC Proxy List/cn=SMTP:muq1@CDC.GOV>
<b>Subject:</b>	RE: HIV Prevention (HIP) Literature Digest--2nd Edition
<b>Date:</b>	2016/05/18 11:27:10
<b>Priority:</b>	Normal
<b>Type:</b>	Note

Thanks Michael. The Malawi 90-90-90 paper is impressive.

Best,  
PK

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**From:** Qualls, Michael (CDC/CGH/DGHT)  
**Sent:** Wednesday, May 18, 2016 8:58 AM  
**To:** Kilmarx, Peter (NIH/FIC) [E] <peter.kilmarx@nih.gov>  
**Subject:** FW: HIV Prevention (HIP) Literature Digest--2nd Edition

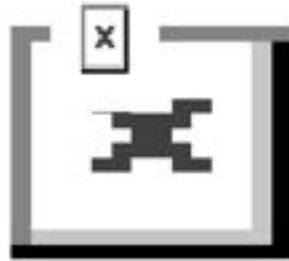
Greetings from Atlanta! Just a FYI in case you are interested. Best regards.

MLQ

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**From:** Chevalier, Michelle (CDC/CGH/DGHT)  
**Sent:** Wednesday, May 18, 2016 8:55 AM  
**To:** [CDCL-DGHT-PREVLIT@LISTSERV.CDC.GOV](mailto:CDCL-DGHT-PREVLIT@LISTSERV.CDC.GOV)  
**Subject:** HIV Prevention (HIP) Literature Digest--2nd Edition





Dear Field and Headquarters HIV Prevention Colleagues and Supporters,

We are pleased to share our second collection of prevention and prevention-related publications. Thanks much to HiP ( for their scientific insights and innovations.

Please stay tuned for further HiP communications coming out soon as we are preparing some exciting prevention works on combination prevention, pre-exposure prophylaxis and more, as part of the up-coming CGH & DGHT Annual Meeting from

You will have received earlier announcements but just a quick reminder, that we are offering the one-day "Gender & Sexuality Training" on [xka3@cdc.gov](mailto:xka3@cdc.gov) to register. Both HQ and field staff are invited. Of note to supervisors, as an additional bonus, this training

I am looking forward to seeing many of you in Atlanta soon. Happy reading,

Irene

Irene Benech  
DGHT HIV Prevention Branch Chief

<b>Title</b>	<b>Introduction</b>
<p><u><a href="#">Closer to 90–90–90. The cascade of care after 10 years of ART scale-up in rural Malawi: a population study.</a></u> Journal of the International AIDS Society 19.1 (2016).</p>	<p>Empirical data on population-level coverage is important to assess and generate accurate estimates to help track progress on achieving UNAIDS 90-90-90 target. Our colleagues conducted a cross-sectional household survey in the Chiradzulu district to evaluate after 10 years of available ART services: (1) HIV prevalence among the population, (2) HIV status and ART coverage, and (3) population viral load. The overall HIV prevalence was 17.0% (95% CI 16.1%-17.9%). Of HIV-infected participants, 76.7% were diagnosed, 71.2% were in HIV care, 65.8% were receiving ART, and 61.2% were suppressed. The authors concluded that high population coverage of ART with low HIV incidence, can be achieved in resource-limited settings.</p>

<p><u>The Impact of Methadone Maintenance Treatment on HIV Risk Behaviors among High-Risk Injection Drug Users: A Systematic Review</u> Evidence Based Med Public Health (2016)</p>	<p>This systematic review explores relevant literature for evidence of methadone maintenance treatment (MMT) on HIV risk behaviors among high-risk injection drug users conducted between 2005 and 2015 associate MMT with a significant reduction in drug use and sharing of injecting equipment. Limited evidence on sex risk behaviors suggests that MMT is associated with a lower incidence of multiple sex partners. The review literature also finds that the most significant factor in reducing these behaviors is adherence.</p>
<p><u>Findings of Phlebotomy Practices in Kenya in 2010: Need for Action</u> <u>Journal of Infectious Diseases</u> J Infect Dis. 2016 Apr 15;213 Suppl 2:S53-8</p>	<p>This article presents findings of a cross-sectional observational study in Kenya on compliance with safe, accurate phlebotomy techniques. Key findings include practices that could adversely affect patient and healthcare worker safety and quality. None of the blood collection procedures in this study adhered to the standard phlebotomy procedure as described by WHO, and the majority of deviations could compromise patient safety, including hand hygiene, gloves use, patient identification, and sample labeling.</p>
<p><u>Supervised Oral HIV Self-Testing is Accurate in Rural KwaZulu Natal, South Africa</u> Trop Med Int Health. doi:10.1111/tmi.12703</p>	<p>The authors describe implementation of supervised HIV self-testing in a rural prevalence rural area in South Africa, with the specific aims of determining how to correctly perform, read, and interpret the rapid HIV test under community conditions and diagnostic accuracy of the oral self-testing method. Only two participants failed the OraQuick test as they accidentally spilled the developer solution vial. Interrater agreement was 99.8% (Kappa 0.9925). Sensitivity for the oral self-testing was 99.6% (95%CI 99.6-100) and specificity was 100% (95%CI 99.8-100). Oral self-testing has the potential to increase uptake of HIV testing and could be offered at clinics and community health centers in Africa.</p>
<p><u>HIV Transmission Risk Persists During the First 6 Months of Antiretroviral Therapy</u> JAIDS Journal of Acquired Immune Deficiency Syndromes Publish Ahead of Print DOI: 10.1097/QAI.0000000000001019</p>	<p>The protective value of pre-exposure prophylaxis for HIV-serodiscordant couples is supported by data from the Partners PrEP Study, a prospective cohort study of 4747 serodiscordant couples in Kenya and Uganda. Findings show cumulative incidence of blood viral suppression (&lt;80 copies/ml) 3, 6 and 9-months after ART initiation was 89.1% and 89.1%, respectively. Among initially uninfected partners, HIV incidence was 1.79 per 100 person-years (55 infections; 2644 person-years), 1.79 for 0-6 months of ART (168 infections; 168 person-years), and 0 with &gt;6 months of ART (0 infections). These findings are obviously good news for uninfected partners whose likelihood of protection is increased with the corresponding viral suppression of their HIV-positive partner.</p>
<p><u>Influence of parental factors on adolescents' transition to first sexual intercourse in Nairobi, Kenya: a longitudinal study</u> Reproductive Health (2015) 12:73</p>	<p>While the overall global HIV mortality rate has been declining, the HIV incidence among adolescents is rising. In this article, Okigbo et al. assessed the influence of parental communication and discipline on the transition to first sexual intercourse among adolescents, aged 12-19, and living in urban slums of Kenya. The study found that gender communication, i.e., mothers talking to sons and fathers talking to daughters, was associated with a delay in the onset of sexual intercourse; accordingly, targeted reproductive health interventions that include parents, may be highly effective in helping adolescents to delay sexual debut, and may reduce sexual risk behaviors in high risk settings such as urban slums.</p>



<p><u>The Relationship Between Distance and Post-operative Visit Attendance Following Medical Male Circumcision in Nyanza Province, Kenya</u> AIDS Behav. 2015 Sep 30.</p>	<p>This study used VMMC client demographic information, clinical data, and the relationship between distance to a VMMC facility and attendance at follow-up visit. Among 1437 participants, 46.7 % attended follow-up. We found that distance beyond which follow-up attendance significantly dropped. This distance is an important predictor of attending follow-up, and this relationship was modified by facility type.</p>
<p><u>Tetanus Cases After Voluntary Medical Male Circumcision for HIV Prevention — Eastern and Southern Africa, 2012–2015</u> MMWR / January 22, 2016 / Vol. 65 / No. 2</p>	<p>This report summarizes 12 tetanus cases from five sub-Saharan African countries supported by PEPFAR from April 2012 – November 2015. Eight patients received care, four were circumcised via PrePex, a nonsurgical male circumcision device. According to the World Health Organization case definition, five of the eight cases were determined to be associated with VMMC; three were determined to be indeterminate due to insufficient data. PEPFAR is working with implementing partners and local health systems to strengthen national surveillance systems for VMMC-related adverse events, report adverse events, and support the implementation of tetanus prevention in accordance with WHO tetanus prevention recommendations for VMMC clients and wound care for VMMC clients.</p>

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<b>Subject:</b>	FW: Research career capacity building in Zambia
<b>Date:</b>	2016/03/21 15:23:27
<b>Priority:</b>	Normal
<b>Type:</b>	Note

FYI – background on Holmes/CIDRZ. Will likely host him for brownbag next month. Shannon is communicating with him.

PK

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**From:** Charles Holmes [mailto:Charles.Holmes@cidrz.org]  
**Sent:** Thursday, March 17, 2016 2:12 AM  
**To:** Kilmarx, Peter (NIH/FIC) [E]  
**Subject:** Research career capacity building in Zambia

Dear Peter,

I hope this message finds you well. Congratulations on your position at NIH! I have been meaning to reach out to you for some time to see if I could come by and update you, and potentially Roger as well, on the work we've done to morph CIDRZ into a strong Zambian organization (2014 Annual Report attached). With our new Deputy Director, Dr. Izukanji Sikazwe, our new Scientific Director, Dr. Roma Chilengi, and numerous other local leaders in place, we have overcome some difficult institutional challenges of historical making, and have built a foundation for successfully meeting our research, program and training goals. At the moment, we are completely research/program grant funded, and we are finding it particularly difficult to support the capacity building and other elements that are needed to recruit, nurture and retain in science, junior Zambian investigators. We have started talking with a number of funders, including the Bill and Melinda Gates Foundation about ways to support this broader goal of building solid career pathways in science for Zambians, and it would be great if you would have some time to talk about the same.

I will be in the Washington area for the last 2 weeks of April, and it would be great if you might have time to connect.

Many thanks,  
Charles

Charles B. Holmes, MD, MPH  
Director and CEO  
Centre for Infectious Disease Research in Zambia  
5032 Great North Road, Lusaka, ZAMBIA | Lusaka Cell  
[Redacted] charles.holmes@cidrz.org | www.cidrz.org

[Redacted by agreement]

US Cell

[Redacted by agreement]

CIDRZ is an independent Zambian organisation with a mission to "improve access to quality healthcare in Zambia through capacity development, exceptional implementation science and research, and impactful sustainable public health programmes." We are governed by a majority Zambian Board of Directors and a 14-member Leadership Team. CIDRZ has collaborations with

numerous renowned local and international universities and other partners that enable the organisation to stay at the cutting edge of science, programme delivery and training.

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# CIDRZ



## 2014

# ANNUAL REPORT

Centre for Infectious Disease Research in Zambia



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# About CIDRZ

**Established in 2001, and recognised as an independent Zambian organisation in 2011,**

CIDRZ has a strong commitment to answering key research questions relevant to Zambia; supporting the financial and technical local ownership of high-quality complementary and integrated healthcare services within the public health system; and facilitating clinical, research and professional development training.



Since inception, CIDRZ has been an active partner of the Government of the Republic of Zambia (GRZ) through our collaboration with multiple Ministries, including the Ministry of Health, the Ministry of Community Development Mother and Child Health, the Ministry of Home Affairs, the Ministry of Local Government and Housing, and other line Ministries, as well as key stakeholders and institutions such as the Zambian Prisons Services, University of Zambia - School of Medicine, and Cancer Diseases Hospital, among others.

**CIDRZ programmes support healthcare-related activities in all 10 Zambian Provinces as we focus on strengthening primary healthcare provision systems in the following areas:**

- ◆ HIV/AIDS Prevention, Care & Treatment
- ◆ Tuberculosis Diagnosis & Treatment
- ◆ Primary Care & Health Systems Strengthening
- ◆ Newborn & Child Health
- ◆ Women's Health, including Cancer
- ◆ Hepatitis & other Infectious Disease

***Think CIDRZ... for a healthier Zambia***

# Message from the CIDRZ Board Chair, Mr Bradford Machila



I am privileged to welcome you to the 2014 CIDRZ annual report.

This is our second annual report as a local Zambian organisation, and I hope you will find it informative and inspiring. What continues to motivate, drive and inspire the Board more than anything else, is the prospect of and opportunity to contribute to CIDRZ's realisation of its Mission and Vision. I am confident that this desire is shared with our hundreds of staff members, who are and remain key to this aspiration.

As you will read in the message that follows from Drs Holmes and Sikazwe, management faced some difficult challenges of historical making in 2014. My perspective as Board Chair, and that of my fellow Board Members, is that the new leadership has dealt with these issues in an exceptionally fine manner, replacing them with positive developments that have put CIDRZ on track for a long and productive future.

During the course of 2014 we also embarked on building a Board of Directors of highly committed individuals that bring leadership and skills at the top of their professions to the service of CIDRZ, and we will continue this process into 2015. We have also worked with management to put into place world-class governance procedures and controls that have allowed CIDRZ to not only excel at its core mission, but to do so in an accountable fashion that is valued by our donors, partners and beneficiaries.

Lastly, CIDRZ has truly become a significant partner to the government of the Republic of Zambia, as evidenced by the recently signed Memoranda of Understanding with three of the critical Ministries relating to health. My fellow Board Members and I will continue to work with the dedicated CIDRZ management team to ensure that the organisation is in complete alignment with Zambian national health priorities as CIDRZ contributes to improving health in our country through innovative service delivery, locally-relevant research, and training of the next generation of Zambian health leaders.

A handwritten signature in black ink, appearing to read 'Bradford Machila'. The signature is written in a cursive style and is positioned above a horizontal line.

**Bradford Machila**

# Board of Directors as of 30<sup>th</sup> September 2014



1



2



3



4



5

In 2014, CIDRZ initiated a Board recruitment exercise to actively seek experienced and dedicated finance, business, governance and controls, and science leaders to join our Board of Directors. Following best governance practices, our target is a ten-member, 75%-majority Zambian board, that meets quarterly and ad hoc as required. \*As of the date of publication a full board has been constituted. Membership can be found at: <http://www.cidrz.org/about-us/board-of-directors/>

**Over the last year we are gratified that four exceptional new members have joined our board to contribute their experience and expertise to furthering the CIDRZ mission.**



6

*"I was delighted to be asked to join the board of CIDRZ because during 30 years' of living and being involved in research in Africa I have been committed to the development of local institutions. I see in the team at CIDRZ great determination and potential to develop a genuinely Zambian institution which will make a real difference."* -- Professor Kevin Marsh  
Former Director of Kenya Medical Research Institute (KEMRI)

- 1 **Chair, Mr Bradford Machila** - Former Deputy Minister of Justice, Minister of Lands, and Minister of Livestock and Fisheries Development, Zambia
- 2 **Member, Dr Chipepo Kankasa** - Consultant Paediatrician, and Director of the UNZA UTH HIV/AIDS Programme and The Paediatric HIV Centre of Excellence, Zambia
- 3 **Member, Ambassador Dr Eric Goosby** - Former U.S. Global AIDS Coordinator, Distinguished Professor of Medicine at the University of California, San Francisco, and United Nations Special Envoy for TB
- 4 **Member, Mr Christopher Mubemba** - Director of Transmission Development, ZESCO Ltd., Zambia
- 5 **Member, Ms Annabelle DeGroot** - Finance Director, Zambian Breweries Plc., National Breweries Plc., Heinrichs Beverages Ltd., Zambia
- 6 **Member, Professor Kevin Marsh** - Professor of Tropical Medicine, University of Oxford, and Chair of the World Health Organization Malaria Policy Advisory Committee





## Message from CIDRZ Leadership



We would like to welcome you to our second annual report!  
As you are likely aware, CIDRZ has undergone substantial changes over the last two years.  
While 2013 was a year of serious challenges, 2014 was a year in which we  
successfully addressed these challenges head-on.

We are pleased to say that the organisation is nothing short of transformed as we write this letter of introduction.

New additions to our management team have allowed us to bring integrity, smart ideas and hard work to tackle difficult historical problems. We have built systems and controls in response to organisational issues identified in early 2013, overhauled the management of the CIDRZ Central Laboratory and achieved re-accreditation with the National Institutes of Health Clinical Trials Networks, adapted our labour practices to ensure local compliance, become fully compliant with local tax authorities, recruited a merit-based Board of Directors well-versed in organisational governance, re-organised our HIV programmes and dramatically improved performance, obtained substantial new grant funding after achievement of organisational milestones with key donors, and built strong new research links with the University of California, San Francisco and Johns Hopkins University, to complement existing collaborations with the University of Zambia, University of Alabama at Birmingham, the London School of Hygiene and Tropical Medicine and others, along with many other achievements made possible by our talented and committed staff.

What does all of this mean? It means that we now have a strong foundation on which to fulfill our mission of delivering better health for Zambians. Although we collaborate with partners, we are now a completely independent Zambian organisation, with the management and governance needed to face future challenges and to thrive. In the pages that follow, you will see examples of our innovative and impactful healthcare, research, and training programmes. All of this work is done with the closest collaboration with our colleagues in the Government of the Republic of Zambia, whose lead we take, and whose vision for a healthier Zambia we share. Thank you for your support and interest in CIDRZ!

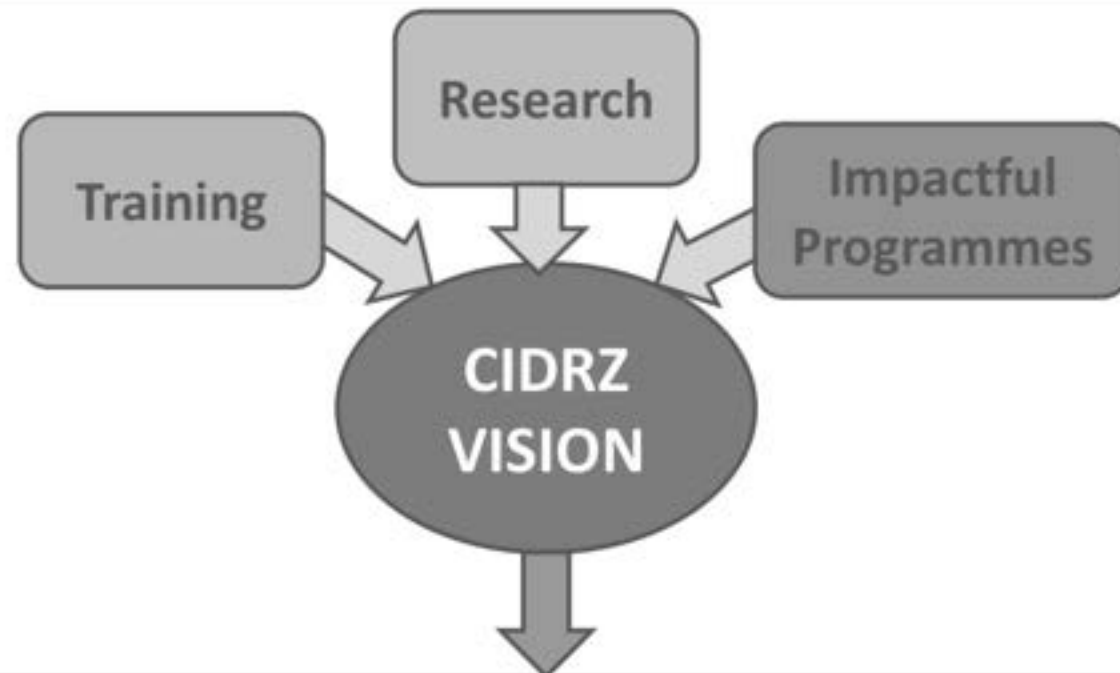
With best regards,  
Charles and Izukanji

**Dr Charles B. Holmes, MD, MPH**

**Dr Izukanji Sikazwe, MBChB, MPH**

The CIDRZ Mission:

To improve access to quality healthcare in Zambia through capacity development, exceptional implementation research, and impactful sustainable public health programmes.



A Zambia, and a region, in which all people have access to quality healthcare and enjoy the best possible health, including a life free of AIDS

# Thank You to the Government of the Republic of Zambia



CIDRZ would not be able to contribute to the development of a strong, responsive and resilient local healthcare system without the close partnership of the Zambian Government.

Since inception, over 14 years ago, CIDRZ has been privileged to collaborate with Zambian health leaders at the District, Provincial and National levels so that we may work together to find effective programmes and interventions that are locally-relevant, culturally-appropriate, and most importantly, acceptable, affordable and sustainable to serve the Zambian people.

We ensure our healthcare services and programmes, logistic, infrastructure and technical support, and research activities align with the Zambian National Health Strategic Plans. We provide, or source partners to provide, training activities that support local capacity-building so that Zambians have the systems, skills and abilities required to implement their development policies and achieve their own economic, social, and environmental goals.

## CIDRZ's Zambian Institutional Partners

Ministry of Health (MOH)  
Ministry of Community Development Mother and Child Health (MCDMCH)  
Ministry of Home Affairs  
Ministry of Chiefs and Traditional Affairs  
Ministry of Education  
Ministry of Gender  
Ministry of Local Government and Housing  
Cancer Diseases Hospital  
Medical Stores Limited  
National TB Programme  
University Teaching Hospital  
University of Zambia – School of Medicine  
Zambian Medicines Regulatory Authority  
Zambian Prisons Services

# Thank you to the Government of the United States

The U.S. President's Emergency Plan for AIDS Relief (PEPFAR) programme coordinated through the Centers for Disease Control and Prevention (CDC) - Zambia has generously provided significant financial support to CIDRZ without which the organisation could not have contributed to efforts to mitigate the Zambian HIV/AIDS epidemic.



In 2004, CIDRZ received a PEPFAR/Elizabeth Glaser Pediatric AIDS Foundation (EGPAF)-coordinated grant through its affiliated university for Project HEART. The story of partnership continued in 2011 when CIDRZ - as a newly minted independent local organisation - received its first-ever PEPFAR award as a Prime Recipient for the HIV Integration into Local Ownership (HILO) grant.



# HIV Integration into Local Ownership (HILO) - PEPFAR/CDC



Zambia's rapid scale-up of HIV prevention, care and treatment services has been among the most successful programmes in Africa and CIDRZ has been privileged to serve as a key technical and implementing partner in this response.

As of June 2014,  
over 400,000 Zambian  
men, women and children  
in 336 CIDRZ-supported  
public clinics are enrolled  
in long-term HIV ART care.

40,588 clients are  
newly enrolled.

Supported by PEPFAR, our HILO programmes are aligned to support Zambia's strategic response to the HIV/AIDS epidemic, and our longstanding engagement with local communities and their health systems enable us to support Zambian priorities efficiently, holistically and respectfully. Partnering with the Ministry of Health and Ministry of Community Development Mother and Child Health, we integrate HIV programmes into primary healthcare settings, and build workforce capacity at all levels to ensure sustainable delivery of HIV services to all clients.

The primary aim of HILO is to ensure access to quality HIV care and services while progressively transitioning the anti-retroviral treatment (ART), Prevention of Mother-to-Child Transmission of HIV, voluntary medical male circumcision, tuberculosis, cervical cancer, pharmacy, laboratory and data management services we support through HILO to local ownership, ensuring that high-quality, cost-effective HIV-related services are increasingly country-owned and country-driven.

## From Emergency Response to Proactive Strategic Planning: CIDRZ Responds to the Changing HIV Epidemic

Significant progress has been made in the fight to tackle HIV and we are now within reach of an AIDS-free generation: access to life-saving ART has been expanded, new infections are being averted, and losses in life expectancy have begun to reverse. In light of these advances, CIDRZ has shifted focus from emergency scale-up of HIV services towards a more comprehensive and sustainable approach of health service delivery by building a well-trained and healthy workforce, that functions within a comprehensive, well-supplied and sustainable healthcare system that is Zambia-owned and Zambia-driven. CIDRZ is committed to expanding and strengthening the quality of local health services for all Zambians. Here is how...



# Anti-Retroviral Treatment (ART) - HILO PEPFAR/CDC

## We Build Local Capacity:

CIDRZ has always invested in developing the capacity of the Zambian healthcare workforce. We train, and support the training of, personnel from all levels of the Ministry of Health and the Ministry of Community Development Mother and Child Health and also serve as an essential resource for the **336 public system health clinics we support**. Our rotating teams of Technical Area Experts conduct joint site visits with Provincial and District Health Officers to provide mentorship and technical assistance to both healthcare facility and district staff.

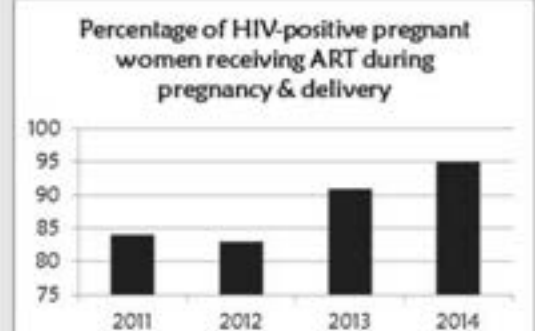
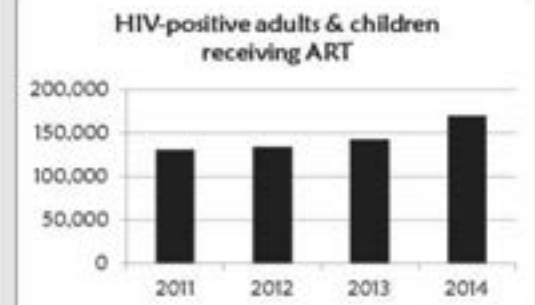
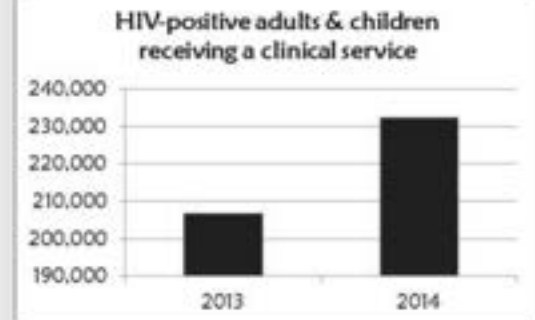


Right: Some of our CIDRZ HILO programme team

## We Invest in Communities:

We value a community-centred approach and work to ensure that persons living with and affected by HIV and TB are included in our prevention, care and treatment efforts. Since the beginning, CIDRZ has been training and supporting community-based volunteers to provide culturally-appropriate health education both in healthcare facilities and the community, to promote healthy behaviour and positive living, and to follow-up clients who have missed appointments. These Peer Educators and Treatment Supporters are invaluable assets to clinic personnel and clients alike. Additionally, we work with the traditional infrastructure and liaise with Traditional Leaders, Faith-based institutions and Neighbourhood Health Committees to reach more people at the community level.

## HILO FY 2014 Highlights



# Anti-Retroviral Treatment (ART) - HILO PEPFAR/CDC

## We Strengthen Health Systems:

Working hand-in-hand with our government counterparts and other partners, we support and strengthen data collection, record-keeping and forecasting of the national supply chain so that pharmacies remain stocked, clinics get the supplies they need and laboratories have the tools required to conduct testing for all clients in the public sector. We are also an instrumental partner in government's push to roll-out a nationwide Peri-natal and Adult HIV Electronic Medical Records system which aims to improve the continuity and quality of care wherever a client seeks healthcare services in the public health sector of Zambia.

## We Integrate Healthcare Services:

In all the programmes that we support we integrate comprehensive healthcare services so that HIV, and TB testing and counselling, provision of ART and family planning, referral for cervical cancer screening, and voluntary male circumcision for HIV-uninfected male partners is part of holistic care.



## A HILO Success Story:

### Building Capacity in Midwives Improves Ante-natal Care and ART Uptake

*"This programme has been of great benefit to me as a healthcare provider as well as to my patients. Because I can now provide ART in the Maternal Child Health clinic my pregnant patients don't have to wait a long time to be seen to receive their ART care. They are no longer 'lost-referrals' to the ART clinic."* -- Margaret Chitenge, Nurse Midwife

In Zambia's public sector health facilities, HIV-infected pregnant women are usually referred to separate HIV Prevention, Care and Treatment clinics to access ART care. However, these clinics are under-staffed and overwhelmed by the high demand and as a result, only a small proportion of pregnant women who need this healthcare actually access these services, thus delaying their initiation on the recommended ART. To address this critical issue, CIDRZ piloted an 'ART in Maternal Child Health' mentorship programme in 10 ante-natal care clinics. Midwives from the ante-natal clinics completed an intensive on- and off-site mentorship programme with CIDRZ Clinical Mentors. Upon completion, the mentored midwives reported feeling more confident in their ability to provide quality services to both HIV-infected and uninfected mothers, and there was a significant increase in the uptake of ART among HIV-infected pregnant women at their ante-natal care visits.

# Prevention of Mother –To- Child Transmission of HIV (PMTCT) - HILO PEPFAR/CDC



In 2014, we supported 336 facilities providing PMTCT services in 3 provinces. 100% of infants born to HIV-infected women received a virologic HIV test within 12 months of birth.

In 2013, the World Health Organization recommended that National PMTCT programmes begin providing life-long anti-retroviral treatment (ART) to pregnant and breastfeeding women living with HIV, regardless of their CD4 T - cell count or their WHO AIDS Clinical Stage in a programme called OPTION B+.

Zambia adopted this strategy and beginning in 2014, CIDRZ through the PEPFAR/CDC- funded HILO Programme has been supporting the Ministry of Health

and the Ministry of Community Development Mother and Child Health's efforts to promptly roll-out Option B+ in health facilities across Zambia.

Working hand-in-hand with counterparts in the Ministry, Provincial and District Health Offices, in health facilities and with Neighbourhood Health Committees, we are building the capacity of new cadres of health workers to provide ART to all HIV-infected pregnant and breastfeeding women, and strengthening the health systems that make efficient delivery of these life-saving services possible.



- ⇒ We trained 181 healthcare workers and 100 lay counsellors in Option B+ and the PMTCT minimum package
- ⇒ Introduced the Option B+ programme into 115 facilities
- ⇒ Supported initiation of 7,740 HIV-infected pregnant women on lifelong ART treatment



# Voluntary Medical Male Circumcision - HILO PEPFAR/CDC

As a local organisation, our research and programme activities are driven by the priorities determined by the Zambian national health agenda.

In 2014, MC providers in 23 static & mobile clinics circumcised 19,951 men (105% of our target).

Trained 12 government providers in surgical circumcision skills.

The Zambian government recently expanded the Voluntary Medical Male Circumcision (VMMC) for HIV Prevention Programme, as the WHO recommends it for countries like Zambia with high HIV prevalence (12.5% UNAIDS 2013) coupled with low rates of circumcision as VMMC is a safe, effective prevention strategy to reduce the risk of sexual transmission of HIV. However, male circumcision is not widely practiced in some areas of Zambia and as a result uptake is low in several rural areas, especially in Eastern Province.

To tackle this we increased our engagement and sensitisation of rural traditional rulers and local leaders, and as a result we saw an increase of VMMC acceptance in Eastern Province, including some Chiefs who themselves 'took the step' to become circumcised and become MC Champions encouraging others to do the same. This approach enabled us to correct misconceptions and gain the trust of local leadership. In turn, community members trust the messages of their local leadership. This model was so successful in increasing male circumcisions that we replicated it with traditional leaders in other CIDRZ-served provinces with similar success.



Above CIDRZ VMMC staff sensitising the Chief's Indunas (Advisors) in Western Province



The CIDRZ HILO - supported VMMC programme also is an active partner in the government-led National MC campaigns. In addition to providing actual services, throughout the year we supported sensitisation via rural radio call-in programmes, community awareness and mobilisation via megaphone and flier distribution, and gave awareness and Questions & Answers talks to students, teachers, and at workplaces.

# Community COMPACT: Community-Led HIV Prevention Programme

The PEPFAR/CDC- funded Community COMPACT Programme puts the community in charge of its HIV/AIDS response by identifying the drivers of infection and taking action to combat them.

Active in rural Kalabo in Western Province and over 870 km (600 miles) away in urban Kanyama, a congested shanty compound in the capital Lusaka - the focus of COMPACT is to increase the number of people who are aware of their HIV status and accessing HIV prevention, care & treatment. A key activity is to train Community Volunteers in HIV/AIDS basics, Prevention with Positives, and psychosocial & couples Counselling so they can support health facility-based Voluntary Counselling and Testing (VCT) departments and also take part in quarterly community-based VCT drives. In 2014, COMPACT Kanyama volunteers were trained in PMTCT, while Kalabo volunteers were trained in rapid HIV testing. Both groups received training on how to test vulnerable populations such as commercial sex workers (CSW) or men who have sex with men (MSM). Because of this and previous training, more counsellors were available this year and able to reach more community members during door-to-door outreach activities.

## In FY14:

54, 979 Adults and Children received VCT  
(Includes 25, 232 couples, 59 CSW, and 61 MSM)  
359, 118 Condoms distributed  
114, 462 Language-appropriate education materials distributed

COMPACT volunteers are now recognised and respected as key sources of information on HIV prevention, care and treatment in their communities.

Another COMPACT activity is to try to reduce barriers to care at the local health facilities by working with partners and stakeholders. In Kalabo, there are only two CD4 -T cell testing machines in the entire district.

HIV-infected clients identified in the field must travel long distances to the mission or district hospital for CD4 testing to learn of the health of their immune system and if they need to start ART. In some cases clients have waited at the facility and have to return seeing a clinician because their food has run out.

Therefore, CIDRZ is working with the District Community Health Office in Kalabo to ensure that clients who test positive can have their CD4 T-cell count determined as quickly as possible.



# Cervical Cancer Screening Programme

The PEPFAR/CDC and Pink Ribbon Red Ribbon - funded Screen & Treat Visual Inspection with Acetic Acid (VIA) programme features a nurse-led, low-cost, efficient & effective technique where nurses are trained to wash the cervix with a dilute vinegar, take a photo with a digital camera, and freeze any pre-cancerous lesions with carbon dioxide or nitrous oxide gas. Real time, remote digital consultation is available, and women with advanced lesions are referred to Loop Electrosurgical Excision Procedure (LEEP) clinics or tertiary centres for comprehensive care.



By September 2014, 168, 617 women have been screened at 33 screening sites or received treatment at 21 LEEP sites. Services are available in all 10 Provinces.

In Zambia, VIA Screening & Treatment prevents 1 DEATH from cervical cancer for every 46 HIV-infected women SCREENED



In FY14 in addition to clinics being refurbished and opened, cervical cancer screening sensitisation also reached new audiences in rural areas, at the mines on the Copperbelt, and with the visually-impaired community in Lusaka.



As well as providing training to teams from other countries, a free DVD and Provider Training manual was developed and an e-learning platform launched.

See more at [el.acewcc.org](http://el.acewcc.org).



## In the Cervical Cancer Programme Pipeline for 2015

- ⇒ Continue to train staff and introduce new cervical cancer screening and LEEP services throughout Zambia
- ⇒ With funding from Susan G. Komen introduce breast cancer screening services

# Saving Mothers Giving Life (SMGL)

Known locally as the Accelerating Maternal care Access Initiative (AMAI), this PEPFAR/CDC - funded programme aims to rapidly reduce maternal & neonatal mortality in the CIDRZ- supported Lundazi and Nyimba districts of the Eastern Province of Zambia.



AMAI Phase 1 aimed to improve maternal and neo-natal outcomes by increasing the demand for and access to local quality obstetric care. This was done by sensitisation of key stakeholders, including health facility staff, and community members about the importance of early care-seeking in pregnancy and the benefit of health facility deliveries. In addition to better equipping local health



facilities (left: 5 ambulances were purchased to serve Lundazi and Nyimba Districts), we supported Community Health Workers and Clinic Support Workers to spread health messages to seek early ante-natal care as well as to deliver at health facilities.

AMAI Phase 2 began in July 2014 and monitored and evaluated existing SMGL interventions, as well as performed baseline assessments in a third underserved district, Chipata. After development of data collection tools to extract data from clinic registers and government Health Management Information Systems and training on best data collection practices, teams collected data on **31 SMGL indicators** including: the number of basic & comprehensive Emergency Obstetric and Neo-natal Care trained and equipped health facilities; the number of vaginal and caesarean deliveries; maternal complications and deaths, stillbirths and neo-natal deaths from **over 109 health facilities** in Lundazi and Nyimba ranging from small rural health posts to district hospitals. In Chipata, **47 health facility assessments** were done to quantify staff, infrastructure, and available maternal and newborn healthcare services.

## In the SMGL- AMAI Programme Pipeline for 2015

- ⇒ Purchase and distribution of basic Ante-natal care supplies
- ⇒ Provide Emergency Obstetric & Neo-natal Care (EmONC) trainings for healthcare staff in Lundazi, Nyimba & Chipata districts
- ⇒ Mentor Training of Trainers (TOT) participants for programme sustainability
- ⇒ Sensitise Chipata district traditional leaders about SMGL activities
- ⇒ Purchase and distribute incentives for community-based Safe Motherhood Action Groups (SMAGs)
- ⇒ Print back-up Health Management Information System tools

# Eastern Province - Improving Maternal Outcomes

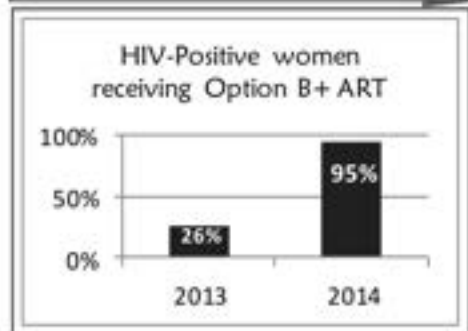
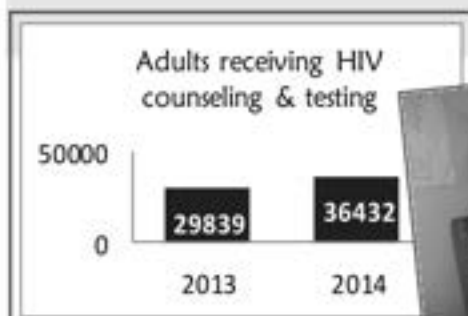
This PEPFAR/CDC- funded programme supports the provision of high-quality, comprehensive, integrated health services to the underserved population of Zambia's Eastern Province through support of PMTCT activities in 54 facilities in Chadiza and Lundazi districts; and TB activities in 43 facilities in Chipata district.

The aim is to build healthcare worker capacity & strengthen health systems that promote improved health, sustainability, and local ownership. Working through the District & Provincial Health Offices, we provide technical support through on-site training, mentorship, and supervision. We also establish Quality Assurance/Quality Improvement systems and conduct data quality audits.

In FY14, we trained 25 healthcare workers & 25 lay counsellors in PMTCT; and 126 healthcare workers, 9 lay counsellors, 42 traditional birth attendants and 56 classified daily employees in Option B+.

We also held 15 Option B+ 'Community Conversations' and provided blood draw, syphilis testing and infant HIV testing supplies, cooler boxes, transport for CD4 T-cell count testing, and bicycles for mother-infant follow-up in the community.

**We aim to eliminate paediatric HIV by enhancing implementation of Option B+ to provide lifelong ART to HIV-infected pregnant women.**



*"I am Precious Sakala of Chadiza, Eastern Province and a mother of two children. During my first pregnancy I tested HIV-negative so I knew my baby was safe, but during my second I tested HIV-positive. I couldn't accept my status and did not take ART; and my twin babies died. During my third pregnancy, after intense counselling from the healthcare workers, I finally accepted my HIV status. My hubby also asked to be tested, and he was negative. When I reported to ante-natal care I was 5 months pregnant and had already started ART. I was advised not to miss any doses so that I did not weaken my immune system. When my baby son was born he was given Nevirapine syrup while I continued with my ART. I was instructed to give him the syrup every day and also to exclusively breastfeed him for 6 months to try to prevent him getting HIV from me. He was tested for HIV at 6 weeks, and 6, 12 and 18 months. Thankfully he was HIV-negative! These results made me extremely happy as it meant that I did not pass the HIV virus to my innocent baby. In order to help other women like me I have started talking to women in my village about the benefits of counselling and testing, and also about adhering to ART as it not only saves the lives of our unborn babies, but also benefits the mother's health. I am eternally grateful to the nurses and lay counsellors at Chanjowe clinic who made it possible for me to accept my status, to start medication and so save the life of my unborn baby." -- Precious Sakala*

# Eastern Province - TB Programme

The goal of the Eastern Province TB Programme - also funded by PEPFAR/CDC - is to reduce morbidity & mortality by improving diagnosis and co-management of TB & HIV co-infected patients; and by reducing the spread of TB, particularly in HIV clinics.

Previously, an HIV-infected patient suspected of having TB was referred to the TB clinic and had to wait in another long queue to be screened. This led to patients being 'lost to referral' and meant that HIV patients with active TB might not be screened and receive treatment, risking their own health and spreading TB to others. The same was true for TB patients suspected of also having HIV infection. To integrate TB & HIV care and capacitate District health facility staff to provide holistic services we supported the training of Provider Initiated Testing and Counseling (PITC). This means that now HIV counseling & testing is available at the TB Corner, and TB screening is available in the ART & Maternal Child Health clinics. The Eastern Province TB Programme also focused on improving infection control procedures to reduce the airborne spread of TB, particularly in HIV Care & Treatment Clinics.



*"My name is Moses N'gombe. I am 32 years old and am married and have three children. In May 2013, I developed a cough, fever, difficulties in breathing, night sweats and loss of appetite. I was admitted to the clinic because of the fever and was treated for malaria. But the fever did not stop, so sputum and blood was collected and I was diagnosed with both TB and HIV. This was the second time I suffered with TB; the first was in 2008. I was put on TB treatment – pills and injections for months - the nurses encouraged me to take the drugs every day and the community volunteers were also helpful. When I started treatment I weighed 38 kg (84 lbs) and after 3 months I was 50 kg (110 lbs). Though I was gaining weight, I still had problems so the nurse from the TB Corner took me to the ART Department and on the same day I was put on ART as well as continuing on my TB drugs. I finally finished my TB treatment in October 2014 and am still on the ART – I will take ART for the rest of my life. I thank the clinic staff for their hard work and the care they showed me, and I also want to thank the cooperating partners for the assistance rendered to health facilities that help our healthcare workers better manage patients like me so we can get healthy again and take care of our families. It is my prayer that they continue with the good works."-- Moses N'gombe*

## TB Training

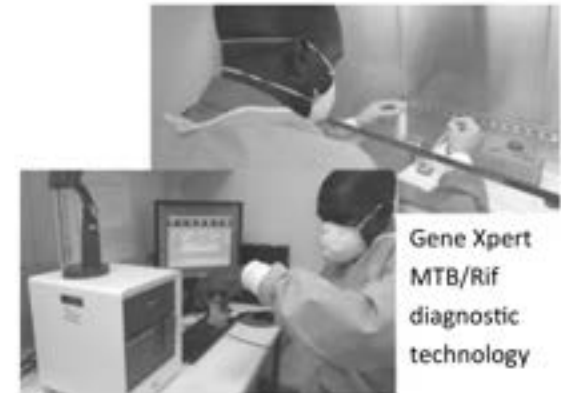
- ⇒ Infection control to 25 healthcare workers
- ⇒ PITC training to 25 Trainer of Trainers
- ⇒ Fixed sputum smear slide preparation for 25 Laboratory staff

## TB Commodity Support

- ⇒ Distribution of HIV & TB Diagnosis and Treatment Guidelines
- ⇒ Printing of Diagnostic Algorithms
- ⇒ Provision of 20 cooler boxes, 5,000 sputum containers, and 20 bicycles for sample transportation to TB Diagnostic Centres

# Tuberculosis Programme Highlights

Our CIDRZ CDC- funded healthcare service and TB & HIV training programme assists the MOH to reduce the burden of TB in 4 provinces, 12 districts and 337 sites. We also focus on TB & HIV healthcare service integration and completed evaluation of a pilot HIV & TB care integration at 2 TB clinics in Lusaka which demonstrated an increase in the proportion of co-infected patients enrolling into care, and initiating ART.



Gene Xpert  
MTB/Rif  
diagnostic  
technology

TB Plus-Up 3 I's strategy of Intensified Case Finding, Isoniazid Preventive Therapy, & Improved Infection Control. In 2014 we implemented this CDC- funded initiative in **29 health facilities and 8 prisons in 4 provinces**. This laboratory 'hub' and clinic or prison based 'satellite' model uses **Gene Xpert MTB/Rif TB testing** (top right) to improve accuracy; decrease time to TB diagnosis thereby enabling earlier patient initiation on TB and ART treatment; decrease the number of patients lost to follow-up; and ultimately provide better TB treatment outcomes. TB Plus-Up will end in 2015.

## TB Op-X: Optimizing Clinical Outcomes in HIV-Infected Adults & Children Using Xpert MTB/Rif in Zambia

Another CDC- funded initiative has been implementation of the Xpert MTB/Rif diagnostic capability in HIV-infected patients at **3 peri-urban sites in Chongwe and Kafue districts**. Identifying concurrent TB and HIV infection is important as it can shorten time to initiate necessary TB and ART treatment, thereby improving a patient's clinical outcome. This implementation study is comparing the performance of Xpert MTB/Rif to the existing standard of care of culturing sputum for TB - the 'gold standard' - for TB diagnosis.

In addition, lipoarabinoman or LAM (left) is being tested for accuracy and utility as a novel point-of-care TB diagnostic test that uses urine instead of sputum. Results are expected in late 2016.



TB LAM Ag rapid test

Results in  
25 min

# Prisons Work



In 2013, we implemented the successful and high profile WHO - funded **TB REACH TB and HIV Screening in Zambia Prisons Programme** which highlighted the extremely high prevalence of both TB and HIV in Zambian prisons and the threat this posed to community public health *outside* prison walls. This experience helped us source additional funding from the European Union to build capacity within the Zambian Prisons Services.



Hon. Deputy Minister of Home Affairs (in yellow), Prisons & CIDRZ leadership at the ZaPHSS Stakeholders Meeting

This 3-year Zambian Prisons Health Systems Strengthening (ZaPHSS) project aims to capacitate the Zambian Prisons Service Health Directorate to plan, manage, and implement improved and sustainable health services in Zambian Prison facilities.

This project is being conducted in **11 prisons** and includes conducting a qualitative study to better understand inmate health and access to health care, as well as creating facility-based Prison Health Committees of inmates and prisons staff and training them on health needs assessment, peer education, TB and HIV screening, and monitoring and evaluation.



Right: A training for a Prisons Health Committee comprising of inmates & staff

## In the TB Programme Pipeline for 2015

- ⇒ With funding from GlaxoSmithKline and Aeras, prepare to conduct the international multi-centre protective immunity TB Vaccine Clinical Trial, TB-018
- ⇒ With funding from Global TB Alliance for TB Drug Development, prepare to conduct the Shortening Treatment by Advancing Novel Drugs (STAND) multi-centre international clinical trial to test a treatment-shortening, novel drug combination including an investigational new drug, PA-824. Both of these studies will start in mid-2015 and will help build capacity in both our laboratory and clinical staff



# Programme for the Awareness and Elimination of Diarrhoea (PAED)

The Ark and Comic Relief - funded PAED Programme is a comprehensive, sustainable and scalable diarrhoea prevention and treatment programme designed to decrease post neo-natal Under-5 child mortality in Lusaka Province.



MCDMCH Hon. Minister Katema speaking at the National Rotavirus Vaccine launch

After a successful pilot in Lusaka Province and training 561 frontline health workers on the Improved Management of Childhood Illnesses (IMCI), we worked through the Ministry of Community Development Mother and Child Health to successfully roll-out the Rotarix™ oral rotavirus vaccine nationally in November 2013 as part of the national Expanded Program for Immunisation (EPI).

In June 2014, CIDRZ PAED hosted the 8<sup>th</sup> African Rotavirus Symposium in Livingstone, the first time this scientific event was held in Zambia.



Above : VIPs at the 8th ARS. Back row: Dr Umesh Parashar CDC-Atlanta; Dr Evans Mpabalwani University Teaching Hospital Zambia; Dr Duncan Steele Bill & Melinda Gates Foundation; Dr Roma Chilengi CIDRZ; Dr George Armah University of Ghana; Cheryl Rudd CIDRZ. Front row: Dr Penelope Kalesha MCDMCH; Dr Jason Mwenda WHO AFRO; Hon. Minister MCDMCH Emerine Kabanshi; and Dr Roger Glass U.S. National Institutes of Health.

Over 130 leading researchers and scientists from 35 countries -- 28 from across Africa -- attended to discuss current research results and epidemiological trends of the rotavirus. A total of 70 abstracts were accepted and 35 oral presenta-

tions were made during the 2-day symposium.



*"The African Rotavirus group began as a simple training grant which has provided critical data on the burden of rotavirus disease in Africa leading to the rollout of vaccination programmes in more than 14 countries in the region. This 8<sup>th</sup> African Rotavirus Symposium organised by CIDRZ provided the first documentation of the impact of these vaccines and so was really a highlight of many years of training, support and investment."* -- Dr Roger I. Glass, Director of the Fogarty International Center and NIH Associate Director for International Research

# The Komboni Housewives: A Behavioural Change Campaign

PAED also launched a Lusaka Province - wide behaviour change campaign and research study to promote the prevention, management and treatment of childhood diarrhoea.

Partnering with the London School of Hygiene and Tropical Medicine, funded by Ark and Comic Relief, the Komboni Housewives (gossiping “Compound

Housewives”) was an innovative and educating ensemble of women, accompanied

by popular Zambian musician Afunika that conducted community events, radio programmes and contests that trained parents and caregivers in the proper technique and times for hand-washing with soap, the importance of exclusive breastfeeding up to 6 months of age, and the correct method to constitute and use Oral Rehydration Salts (ORS) and oral Zinc to treat childhood diarrhoea. Our PAED’s Komboni Housewives initiative advocated for a Zambian company to produce Zinc locally, thus improving access and price competition for this life-saving commodity. An evaluation of the campaign impact is ongoing.



Above: Zambian music artist Afunika - encouraging audience participation in the Komboni Housewives campaign song of “Tiku Checking Ani” (Checking Up On You!) Above right: The Komboni Housewives in action.

## In the PAED Pipeline for 2015

- ⇒ With funding from Ark and Comic Relief, complete the PAED Programme Monitoring and Evaluation
- ⇒ With support from the ELMA Vaccine and Immunizations Foundation, strengthen the vaccine cold chain at the District and Health Facility levels so that life-saving vaccines can reach every child in Zambia, including those that live in distant rural areas

# Water, Sanitation and Hygiene Activities (WASH)

## 3 Million People Sanitation Programme: A Community-Led Total Sanitation Success Story!



In July 2014, CIDRZ and WaterAid Zambia - both implementing partners - joined the Ministry of Local Government and Housing, and funders UNICEF and DFID, to celebrate an important milestone in the 3 Million People Sanitation Programme. This Community-Led Total Sanitation (CLTS) programme promotes improvement in community-wide sanitation in rural Zambia through providing awareness to promote the end of open defecation. After appropriate sensitisation, the community itself must truly take the lead in this accomplishment by constructing latrines and 'tippy tap' hand-washing stations supplied with soap, or ash, to attain WASH Open Defecation Free (ODF) status in order to reduce diarrhoeal disease and improve general well-being of the community.

The celebration of **1,000,000 New Sanitation Users** was held in tiny Mupapa Village in Kafue district just outside of the capital Lusaka. The Mupapa village effort towards attaining ODF status was triggered by a Community Champion in August 2013 and the village was verified to be ODF - status in March 2014. Villagers like Josephine Aisam (below right with her new latrine and tippy tap) were ecstatic to be recognised as a model village and chosen to host the celebrations. The Honourable Deputy Minister of Local Government and Housing Nicholas Banda presented an ODF certificate to the Village Headman (above). At the event, 15-year old Catherine Isam expressed her joy at finally having a latrine that provided privacy, and how shameful it was before when she and members of her household practiced open defecation. She wholeheartedly encouraged other villages to emulate Mupapa's achievement!



## In the WASH Programme Pipeline for 2015

- ⇒ With support from DFID through UNICEF continue to improve Water, Sanitation and Hygiene through Community-Led Total Sanitation (CLTS) and initiate School-Led Total Sanitation (SLTS) in CIDRZ-responsible districts in Lusaka Province.

# Better Health Outcomes through Mentoring and Assessment

The BHOMA 1 Project - in the 6<sup>th</sup> year of funding from the Doris Duke Charitable Foundation under the Population Health Initiative and Training African Health Initiative - is a collaboration with MOH, MCDMCH and Zambart focusing on 5 rural districts of Lusaka Province. The overall aim is to accelerate a shift from disease-specific to sustainable, integrated primary healthcare programmes that strengthen health systems and achieve measurable significant health improvements. Another aim is to increase use of evidence-based health delivery and health systems planning by supporting implementation research. In 2014 the BHOMA 1 team completed implementations at **40 rural clinic sites** by setting up sustainable electronic data systems with **over 1 million individual patient visit records entered**. Clinic staff received ongoing mentoring and supportive visits, and 500 volunteers in 20 facilities received trainings in safe motherhood through 'Community Conversations.'



Above: A BHOMA 'Community Conversation' meeting

## BHOMA 2 Project

In 2013, Comic Relief provided funding for 20 existing BHOMA sites to focus intensively on implementation of adapted best practices for maternal newborn care packages at the community and clinic level. Titled BHOMA 2, this project is promoting establishment of community-led and community-owned maternal support activities as well as implementation of incentive-based traditional birth attendant activities to improve Ante-natal care attendance, facility deliveries and family planning use.



## In the BHOMA Programme Pipeline for 2015

- ⇒ Scale-down mentoring support to BHOMA 1 sites
- ⇒ Collect and map BHOMA 2 baseline implementation data around Sexual Reproductive Health (SRH) issues affecting target communities; conduct sensitisations and 'Community Conversations' to address barriers to access of SRH care services

# Engaging the Community

Central to CIDRZ healthcare programme and research activity is engaging the community and key stakeholders through the traditional social infrastructure.



After all required Government, Ministry and local and international Ethical approvals have been received, our health and research programmes must sensitise the relevant health facility staff, local government ward counsellors, and other key stakeholders through face-to-face or small group meetings.

However, sensitising the general community is done *Zambian style*. First, is the rhythmic pounding of the African drums to attract attention interspersed with energetic traditional dancing and singing. This may be followed by a humorous skit or song to demonstrate the message, and end with a health talk or small group sessions to allow for questions and answers. Formal events with dignitaries may follow the same style, but may also include brass bands, flag-off marches, speeches, ribbon-cutting, and much pomp and ceremony.



When engaging the community it is very important to respect the *Zambian social infrastructure* and ensure that the local Traditional Chief or Chieftainess, the Indunas (Advisors), Village Headmen, Traditional Marriage Counselors, and Traditional Health Practitioners are also sensitised on the purpose of the programme/research or health message.

After a sensitisation event for traditional rulers in Eastern Province the Voluntary Medical Male Circumcision (VMMC) programme saw an increased acceptance of circumcision in the entire province, with some Chiefs becoming MC Champions and undergoing the procedure themselves! Sensitising the Faith-based community and Neighbourhood Health Committee members is also very important as their involvement assists in raising awareness, and promoting the health programme and the desired behaviours, because the public better trust the messenger and thus the message.

Right: Her Highness Chieftainess Nkomeshya at the National Rotavirus Vaccine launch



# Research: A Core Component of Our Mission

We conduct high-quality, clinical trials & implementation science that follow Good Clinical Practices (GCP) and the UNAIDS/AVAC Good Participatory Practices (GPP). We have dedicated research regulatory affairs, data management & analysis, quality control & assurance, research pharmacy & lab departments. Every study receives all necessary local & international ethical and regulatory approval prior to initiation, and undergoes annual ethical review. All research staff has current Human Subjects Protection certification.

Because of our strong focus on programme implementation, integration & evaluation, many of our studies seek to directly improve the provision and uptake of healthcare services, using culturally-relevant, resource appropriate strategies. We collaborate with District & Provincial Health Offices and working together raise healthcare provision to new standards.

Knowledge generated during healthcare service delivery inform research questions, and results of research studies directly inform programmes. CIDRZ has conducted numerous influential studies, and this work has been enhanced by our relationship and engagement with local and international policymakers.

We strive to bridge the gap between research and policy, allowing CIDRZ to inform clinical practice and promote evidence-based strategies locally, regionally, and globally.

CIDRZ research activities range from small diagnostic and pharmacokinetic trials, to behavioural studies, to individual & multi-site clinical trials, to multi-district or multi-country evaluations.

**CIDRZ is committed to answering key research questions relevant to Zambia.**  
**As of September 2014, CIDRZ has 40 research studies in planning, enrollment or follow-up.**

- ◆ Our U.S. National Institutes of Health-funded **Clinical Trials Unit** takes part in international multi-site clinical trials as part of the International Maternal, Pediatric, Adolescent AIDS (IMPAACT) Network, and the HIV Prevention Trials Network (HPTN).
- ◆ We also take part in the U.S. National Institute of Allergy and Infectious Disease - funded International Epidemiologic Databases to Evaluate AIDS (IeDEA) research consortium which addresses unique and evolving research questions in HIV/AIDS that are unanswerable by single cohorts. By pooling large data sets data can be generated which address high priority research questions in a more timely and cost efficient manner. We take part in the pooled regional analysis for ART, and have sub-awards for hepatitis and malaria research.
- ◆ We are involved in the U.S. National Institute of Child Health and Human Development-funded Global Network for Maternal and Child Health and have completed over 10 field studies.
- ◆ We also manage 6 observational databases.

# More About Our Research

## Key *NEW* Research Project

### Better Information for Health in Zambia (BetterInfo)

This Bill & Melinda Gates Foundation supported grant award aims to systematically gain a better understanding of why some patients enrolled in anti-retroviral treatment (ART) care stay in care, while others are 'lost' from care.

It is estimated that 25 - 40% of patients enrolled in ART care may be lost-to-follow-up.

The 'BetterInfo' study will trace lost patients, learn of their outcomes, and more importantly, why. It will provide more accurate estimates of patient outcomes at both the clinic and provincial levels which will help the Government of the Republic of Zambia to make informed decisions about HIV care service programmes and facilities to better meet the needs of ART patients so that they stay in life-saving care. Study staff will use best practices when tracing 'lost' patients to protect their privacy and confidentiality, and patients that have stopped receiving care will be encouraged to resume.

**BetterInfo will be conducted in 30 sites in Lusaka, Western, Southern & Eastern Provinces over 29-months.**

The study involves dynamic stakeholder engagement including guidance by a local and international Advisory Committee of scientists and government officials and quarterly meetings with Neighbourhood Health Committees at the sites. BetterInfo is lead by CIDRZ Principal Investigators, Dr Charles Holmes and Dr Izukanji Sikazwe, and Dr Elvin Geng of the University of California, San Francisco.



## In the Research Pipeline for 2015

- ⇒ New collaboration with **HIV Vaccine Trials Network (HVTN)** to conduct a **Phase 1 HIV vaccine trial** to evaluate the safety and immunogenicity of a candidate HIV Clade C vaccine in healthy, HIV-uninfected adult participants
- ⇒ New collaboration with **AERAS TB Vaccine Network** to conduct TB - 018, a Phase 2b trial of a Protective Immunity TB Vaccine
- ⇒ New collaboration with **TB Alliance - Global Alliance for TB Drug Development** to conduct the Phase 3 **STAND** trial to evaluate the efficacy, safety and tolerability of a treatment shortening regimen for TB

# Select 2014 CIDRZ Publications

Since inception CIDRZ has published  
over 260 peer-reviewed manuscripts

- Rainwater-Lovett K, Nkamba H, Mubiana-Mbewe M, Bolton Moore C, Margolick J, Moss W. **Antiretroviral therapy restores age-dependent loss of resting memory B cells in young HIV-infected Zambian children.** *J Acquir Immune Defic Syndr.* 2013 Dec 8. PubMed PMID: 24326598.
- De Vuyst H, Alemany L, Lacey C, Chibwasha CJ, Sahasrabudde V, Banura C, Denny L, Parham GP. **The burden of human papillomavirus infections and related diseases in sub-Saharan Africa.** *Vaccine.* 2013 Dec 29;31 Suppl 5:F32-46. PubMed PMID: 24331746.
- Denny LA, Sankaranarayanan R, De Vuyst H, Kim JJ, Adefuye PO, Alemany L, Adewole IF, Awolude OA, Parham G, de Sanjosé S, Bosch FX. **Recommendations for cervical cancer prevention in sub-Saharan Africa.** *Vaccine.* 2013 Dec 29;31 Suppl 5:F73-4. doi: 10.1016/j.vaccine.2012.11.077. PubMed PMID: 24331750.
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# Training: A Core Component of Our Mission

**Training is central to almost every healthcare programme & research study we conduct.**

Some examples are increasing the knowledge and skills of community - based counsellors to provide door-to-door VCT and Couples Counselling at the grassroots level in our PEPFAR/CDC- funded Community Compact programme; updating frontline health workers on how to correctly manage childhood diarrhoea as part of the rotavirus vaccine scale-up programme; training research staff on how to correctly administer informed consent to research volunteers following Good Clinical Practice (GCP) guidelines; and mentoring District nurse-midwives, laboratory technicians and pharmacy personnel in our PEPFAR/CDC- funded HILO programme.

In addition to project specific training, CIDRZ staff also received training on Finance, ICT and ERP systems as well as extracurricular health messages linked to celebrations of World Health Days & commemorative days. This year there were events featuring the importance of blood donation followed by a blood drive, cervical cancer prevention and screening, handwashing with soap, and a TB Knowledge Fair.



Clockwise:  
Dr Joseph Mulenga, Medical Director of the Zambia National Blood Transfusion Service; ICT training; TB Knowledge Fair



Above: Community Compact programme Psychosocial counselling graduates in rural Kalabo, Western Province

⇒ CIDRZ hosts a **Weekly Research Meeting** where Lusaka-based healthcare and research members hear local & international experts present on their areas of expertise. Key presentations in 2014 were delivered by:

- Dr Paul Kelly, London School of Hygiene and Tropical Medicine
- Dr Edward Hook, University of Alabama at Birmingham
- Dr Omar Siddiqi, Harvard Medical School
- Dr Samuel Zuercher, Infectious Disease Institute of the University of Bern
- Dr Elvin Geng, University of California, San Francisco

⇒ CIDRZ researchers also come together at bi-weekly **Analysis Meetings and Journal Clubs**.

# Public Health & Research Training Opportunities

## **CIDRZ HIVCorps Public Health Fellowships**

This fellowship provides a valuable field training opportunity for Zambian and expatriate students and early-career public health professionals through one-year long attachments in programme implementation & management, clinical trials research, clinical care quality improvement systems, data management & analysis, implementation science, or public health communications.

## **Global Health Corps Fellowship Programme**

CIDRZ is a site for this international programme for promising early career public health professionals. The emphasis on 'paired' local and international trainees enhances the bi-directional exchange of knowledge and encourages future collaboration.

## **Fogarty Global Health Fellowship**

Through a competitive process, pre- and post-doctoral trainees are provided 12 months of dedicated Fogarty/U.S. National Institutes of Health-support to gain international research experience. Mentored by senior investigators in Zambia, trainees work in the areas of HIV, TB, maternal-child health, primary healthcare, childhood immunisation, and reproductive health screening, among others.

## **Doris Duke International Clinical Research Fellowship**

Expatriate medical students explore international clinical research careers through exposure to one-year long attachments. Under CIDRZ mentors, fellows gain experience in various steps of clinical and epidemiologic research, including study concept development, project implementation, and results dissemination.

## **Cervical Cancer Research Capacity Initiative**

In collaboration with U.S. and Zambian institutions, CIDRZ has developed a multidisciplinary cancer research training programme with U.S. National Cancer Institute funding to build research capacity within the Lusaka-based University Teaching Hospital and Cancer Diseases Hospital through individualised mentorship and grant support for seed projects in various fields of epidemiology, nutrition, pathology, gynaecologic oncology, radiation oncology, and virology.

## **Short-term CIDRZ Internship Attachments**

We accept applications from Zambian under- or post-graduate students seeking one to six month long placements on a case-by-case basis including a range of technical (e.g., laboratory, study implementation, pharmacy) and administrative (e.g., accounting, human resource, ICT) disciplines.

# Training and Dissemination Events

## 8th National Anti-Retroviral Technical Update Meeting

*Harmonised, Integrated and Simplified Quality HIV Care*



Every year CIDRZ HIV/AIDS Clinicians, Pharmacists and Programme Managers take part in the National ART Technical Update either through collaboration with MOH and MCDMCH colleagues to organise the event, to make presentations, and/or to attend. This year's update included eight CIDRZ presentations as well as a Civil Society Plenary chaired by CEO Dr Charles Holmes and Dr Jonas Mwale of CDC– Zambia (left).

Right: Hon. Deputy Minister of Health, Dr Chitalu Chilufya, officially opening the Pharmacy Research Conference



Left: Physician, HIV prevention activist and journalist, Dr Mannasseh Phiri with Deputy CEO Dr Izukanji Sikazwe

## Pharmacy Research Conference

*Promoting Pharmacists' Involvement in Quality Research to Improve Health*



With the support of ABBVIE Pharmaceuticals, the CIDRZ Pharmaceutical Services Department staff (left) convened a conference for local public and private sector pharmacists and technicians to provide updates on ART and prevention of drug resistance as well as to train on the role of pharmacy-related research. This training conference is intended to become an annual event.



## TB Programme Dissemination



Presentations were made by CIDRZ TB Project Managers and Coordinators (right) to multiple Ministries, Zambian regulators, partners, NGOs, TB stakeholders, and the media. The event was officially opened by the Hon. Deputy Minister of Health and closing remarks were delivered by the National TB/Leprosy Control Programme Manager, Dr Nathan Kapata (left).



# Key Organisational Updates

## Successful Implementation of Sage X3 ERP

A big accomplishment in 2014 was the successful implementation of the Sage X3 Enterprise Resource Planning (ERP) business system; CIDRZ is the first NGO in Zambia to do so! In the past, CIDRZ ran AccPac for accounting, PURIDIOM for web-based procurement processing, and LIMS for lab tests, but these programmes could not exchange data amongst themselves, thus slowing decision-making, reporting and increasing risk of error. Implementation of ERP has increased transparency and accountability as users can now view the workflow process to see how their task is progressing. Now, performing financial transactions is streamlined with automated workflows and controls built in. Implementation of ERP included initial and refresher staff training as well as the production of one-page training guides and tools.

⇒ In 2015 we look forward to introducing a Human Resource Self-Service Portal where staff will file time sheets, submit performance assessments and access leave forms and payslips online.



## CIDRZ Celebrates National Labour Day

May 1<sup>st</sup> is important for the Zambian workforce, so it was with pleasure that in Zambia's Independence Jubilee year CIDRZ took part in this annual event under the theme 'Zambia at 50: Creating Decent Work and Promoting Socio-Economic Justice for National Development'.

CIDRZ was the only health NGO represented at the event. Thirty staff volunteered to walk behind the CIDRZ banner in the National march-past and Presidential Salute event. The following day staff gathered at the CIDRZ Head Office in Lusaka for a ceremony and lunch to honour the 39 CIDRZ Labour Day employee awardees who received certificates, a gift voucher and a photo with the Board of Directors Chairman. The categories of CIDRZ staff awards were: Most Outstanding, Most Innovative, Most Cost-Efficient, and Most Improved and Committed Employees.

# Fundraising Campaign



Left: CEO Dr Charles Holmes

In late 2014, CIDRZ launched the first-ever fundraising campaign with the target of raising USD 2.5 million.

In this initiative we chose to partner with Accordia Global Health Foundation, an organisation dedicated to building Africa's permanent capacity for health leadership and innovation through the establishment and support of sustainable African Health Institutions such as CIDRZ. Secure donations may be made through the Accordia website and being a 501 (C) (3) organisation, individual donors may receive a U.S. Internal Revenue Service deduction, if desired. (<http://www.accordiafoundation.org/>).

Although CIDRZ has a very successful record of winning and executing competitive grant awards, as a newly independent organisation we also require non-grant or 'unrestricted' revenue. A reserve of this nature will provide CIDRZ with the financial flexibility we need to be able to act quickly on innovative ideas and strategic opportunities, to continue to develop our laboratory and other infrastructure so that we may expand our programmes to serve more Zambians, to provide professional development training for our staff, and to continue our work and training to build the next generation of Zambian public health leaders.

To date the campaign has centred on social media call-to-action activities and small hosted events where CEO Charles Holmes, and other dedicated supporters such as Mary Fisher speak and show our film CIDRZ: Bringing Hope and Health in Zambia (<https://www.youtube.com/watch?v=6q-tYLbM6Ws>) which features employee, health advocate and woman living with HIV, Susan Chirwa sharing her CIDRZ story.



Left: Mary Fisher, AIDS advocate, artist, author and longtime CIDRZ supporter



Right: Accordia Foundation President Dr Robert L. Mallet speaking at the CIDRZ fundraising event



**Our aim is for CIDRZ to be a long-term resource to the country of Zambia, one that will create knowledge, build the capacity of future health leaders and save and improve more lives.**

# Financials

01 October 2013 - 30 September 2014

An independent local organisation, CIDRZ supports Zambia's national health priorities. Our ultimate aim is to strengthen primary health care systems to improve health for ALL Zambians.

During FY 2014 CIDRZ focused on recruiting skilled and experienced Board members, and building robust internal management structures and governance systems/controls to anchor organisational functioning. Success was achieved and CIDRZ now has:

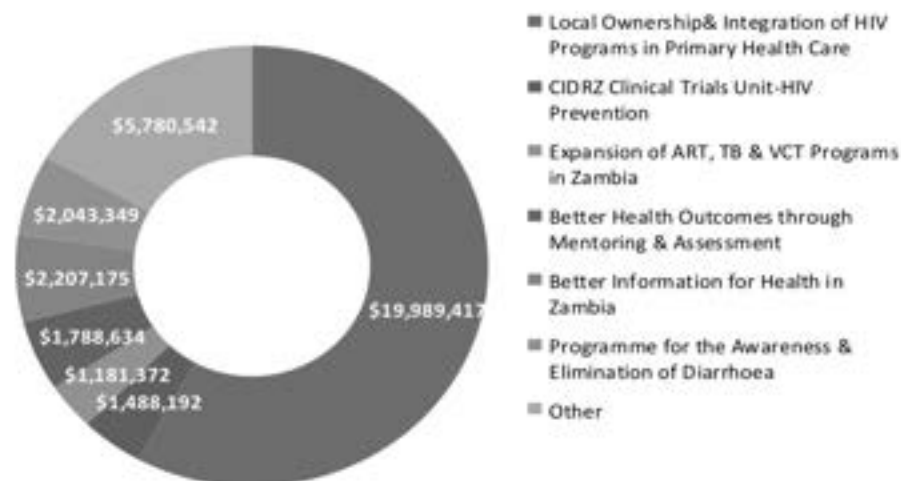
- ⇒ A reconstituted Board with subcommittees of Audit and Finance, Investment, and Scientific and Programmatic Performance
- ⇒ A strong Internal Audit Department led by a Certified Internal Auditor and Fraud Examiner
- ⇒ Implemented a Financial and Operations Enterprise Resource Planning (ERP) system to streamline organisational processes

In September 2013, CIDRZ signed Ministry of Justice approved Memorandums of Understanding with the Ministry of Health and Ministry of Community Development Mother and Child Health as well as reached an agreement with the Zambian Revenue Authority to ring-fence historical tax liabilities and become fully tax compliant regarding Pay-As-You-Earn source deductions for all employees. Other notable accomplishments were the closing of both the 2013 and 2014 books with unqualified audits by top auditing firms.

**CIDRZ manages over USD 34 million worth of grant awards ranging from U.S. Federal to Private Foundations.**

Notable accomplishments this year was winning 10 new grant awards totaling over USD 6,325,000 with 4 awards from new funders: M·A·C AIDS Fund, Elma, the National Cancer Institute, and the HIV Vaccines Trials Network.

**Program Income 2013-2014**



# Thank You to Our Donors and Partners



Without the collaboration, confidence and generous support of our donors and partners, CIDRZ would not be able to contribute to improving health in Zambia. We thank you!

AIDS Clinical Trials Group  
Abataka Zambia  
ABBVIE Pharmaceuticals  
Accordia Global Health Foundation  
Aeras  
Ark  
Bill & Melinda Gates Foundation  
Bush Institute  
Canadian International Development Agency  
Cancer Diseases Hospital (Zambia)  
Centers for Disease Control and Prevention  
Cervical Cancer Research Capacity Initiative  
Churches Health Association of Zambia  
Columbia University ICAP  
Comic Relief  
Delft  
DFID  
Doris Duke Charitable Foundation  
Doris Duke International Clinical Research  
Fellowship  
ELMA Vaccine and Immunizations Foundation  
European Union  
Fogarty Global Health Fellowship

GlaxoSmithKline  
Global Advocacy for HIV Prevention - AVAC  
Global Health Corps  
Groundwork Initiative for Global Health at MIT Sloan  
HIV Prevention Trials Network  
HIV Vaccine Trials Network  
International Epidemiologic Databases to Evaluate  
AIDS  
International, Maternal, Pediatric Adolescent AIDS  
Clinical Trials  
International Initiative for Impact Evaluation 3ie  
Japan International Cooperation Agency JICA  
Johns Hopkins University  
London School of Hygiene and Tropical Medicine  
M A C AIDS Fund  
Mary Fisher  
Max M & Marjorie S Fisher Foundation  
Ministry of Chiefs and Traditional Affairs  
Ministry of Community Development Mother and  
Child Health  
Ministry of Education  
Ministry of Health  
Ministry of Home Affairs  
Ministry of Housing and Local Government

National Cancer Institute  
National Institute of Allergy and Infectious Disease  
National Institute of Child Health and Human  
Development  
National Institutes of Health  
Pink Ribbon Red Ribbon  
Susan G. Komen  
TB Alliance - Global Alliance for TB Drug  
Development  
UKAID  
United National Children's Fund  
United States Agency for International  
Development - USAID  
U.S. President's Emergency Plan for AIDS Relief  
University of Alabama at Birmingham  
University of North Carolina at Chapel Hill  
University Teaching Hospital (Zambia)  
Vanderbilt University  
WaterAid Zambia  
World Health Organization  
Zambian AIDS Related Tuberculosis Project  
Zambian Prisons Services  
Zambian Center for Applied Health Research and  
Development

# Abbreviations and Acronyms

**AIDS** - Acquired Immunodeficiency Syndrome  
**AMAI** - Accelerating Maternal care Access Initiative  
**ART** - Anti-Retroviral Treatment  
**BHOMA** - Better Health Outcomes through Mentoring and Assessment  
**CDC** - Centers for Disease Control and Prevention  
**CLTS** - Community-Led Total Sanitation  
**EGPAF** - Elizabeth Glaser Pediatric AIDS Foundation  
**EmONC** - Emergency Obstetric and Neo-natal Care  
**EPI** - Expanded Programme on Immunisations  
**ERP** - Enterprise Resource Planning  
**GCP** - Good Clinical Practices  
**GPP** - Good Participatory Practices  
**GRZ** - Government of the Republic of Zambia  
**HILO** - HIV Integration into Local Ownership  
**HIV** - Human Immunodeficiency Virus  
**HSP** - Human Subjects Protections (Ethics)  
**ICT** - Information, Communication and Technology  
**IMCI** - Improved Management of Childhood Illness  
**LAM** - Lipoarabinoman  
**LEEP** - Loop Electrosurgical Excision Procedure  
**MC** - Male Circumcision  
**MCDMCH** - Ministry of Community Development Mother and Child Health  
**MLGH** - Ministry of Local Government and Housing

**MOH** - Ministry of Health  
**MOHA** - Ministry of Home Affairs  
**NGO** - Non Governmental Organisation  
**NIH** - National Institutes of Health  
**ODF** - Open Defecation Free  
**ORS** - Oral Rehydration Salts  
**PITC** - Provider-Initiated Testing and Counselling  
**SLTS** - School-Led Total Sanitation  
**SMAG** - Safe Motherhood Action Group  
**SMGL** - Saving Mothers Giving Life  
**SRH** - Sexual Reproductive Health  
**TB** - Tuberculosis  
**TOT** - Training/Trainer of Trainers  
**PEPFAR** - U.S. President's Emergency Plan for AIDS Relief  
**PMTCT** - Prevention of Mother to Child Transmission (of HIV)  
**UNZA** - University of Zambia  
**UTH** - University Teaching Hospital  
**VCT** - Voluntary Counseling and Testing  
**VIA** - Visual Inspection with Acetic Acid  
**VMMC** - Voluntary Medical Male Circumcision  
**WASH** - Water, Sanitation and Hygiene  
**WHO** - World Health Organization  
**ZAMRA** - Zambia Medicines Regulatory Authority  
**ZaPHSS** - Zambia Prisons Health Systems Strengthening





## Centre for Infectious Disease Research in Zambia (CIDRZ)

CIDRZ Head Office  
Plot 5032 Great North Road  
PO Box 34681  
Lusaka, ZAMBIA 10101  
E-mail: [info@cidrz.org](mailto:info@cidrz.org)



[facebook.com/CIDRZ](https://facebook.com/CIDRZ)



[twitter.com/CIDRZ](https://twitter.com/CIDRZ)

<b>From:</b>	Kilmarx, Peter (NIH/FIC) [E] /O=NIH/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=KILMARXPH4BA <peter.kilmarx@nih.gov>
<b>To:</b>	Glass, Roger (NIH/FIC) [E] /O=NIH/OU=NIHEXCHANGE/cn=FIC/cn=glassr <glassr@mail.nih.gov>
<b>Subject:</b>	Fw: Greetings: Visit of Albanian Minister of Health to NIH
<b>Date:</b>	2016/02/24 07:23:53
<b>Priority:</b>	Normal
<b>Type:</b>	Note

FYI - Albania info.

Peter Kilmarx, MD, FACP, FIDSA  
Fogarty International Center, NIH

---

**From:** Kilmarx, Peter (NIH/FIC) [E]  
**Sent:** Monday, February 22, 2016 3:59 PM  
**To:** Herrfurth, George (NIH/FIC) [E]  
**Cc:** Bridbord, Ken (NIH/FIC) [E]  
**Subject:** RE: Greetings: Visit of Albanian Minister of Health to NIH

Thanks very much George for great legwork

I also found:

[https://en.wikipedia.org/wiki/Albania#Science\\_and\\_technology](https://en.wikipedia.org/wiki/Albania#Science_and_technology)

## Science and technology

*Main article: Science and technology in Albania*

From 1993 human resources in sciences and technology have drastically decreased. Various surveys show that during 1991–2005, approximately 50% of the professors and research scientists of the universities and science institutions in the country have emigrated.<sup>[1][2]</sup> However, in 2009 the government approved the "National Strategy for Science, Technology and Innovation in Albania"<sup>[1][2]</sup> covering the period 2009–2015. It aims to triple public spending on research and development (R&D) to 0.6% of GDP and augment the share of gross domestic expenditure on R&D from foreign sources, including via the [European Union's Framework Programmes for Research](#), to the point where it covers 40% of research spending, among others. And link to the Strategy, which mentions public health but no details:

[http://portal.unesco.org/en/files/47499/12677115709STI\\_english.pdf/STI%2Benglish.pdf](http://portal.unesco.org/en/files/47499/12677115709STI_english.pdf/STI%2Benglish.pdf)

Also of note, 520 articles in:

<http://www.ncbi.nlm.nih.gov/pubmed?term=Albania%5BAffiliation%5D>

PK

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**From:** Herrfurth, George (NIH/FIC) [E]  
**Sent:** Monday, February 22, 2016 2:43 PM  
**To:** Kilmarx, Peter (NIH/FIC) [E]  
**Cc:** Bridbord, Ken (NIH/FIC) [E]

**Subject:** FW: Greetings: Visit of Albanian Minister of Health to NIH

**Importance:** High

Hi Peter: Attached are the bios for the visitors from Albania.

We don't know too much about biomedical or behavioral research in Albania given the paucity of data for the country. Health care in Albania declined steeply after the collapse of socialism in the country, but a process of modernization has reportedly been taking place since 2000. In the 2000s, there were 51 hospitals in the country, including a [military hospital](#) and specialist facilities. Albania has successfully eradicated diseases such as [malaria](#).

Life expectancy is estimated at 77.59 years, ranking 51st worldwide, and outperforming a number of European Union countries, such as Hungary and the Czech Republic. [Demographic and Health Surveys](#) completed a survey in April 2009, detailing various health statistics in Albania, including [male circumcision](#), [abortion](#) and more.

The Faculty of Medicine of the [University of Tirana](#) is the main medical school in the country. There are also nursing schools in other cities.

The general improvement of health conditions in the country is reflected in the lower mortality rate, down to an estimated 6.49 deaths per 1,000 in 2000, as compared with 17.8 per 1,000 in 1938. In 2000, average life expectancy was estimated at 74 years, compared to 38 years at the end of World War II. Albania's infant mortality rate, estimated at 20 per 1,000 live births in 2000, has also declined over the years since the high rate of 151 per 1,000 live births in 1960. There were 69,802 births in 1999 and the fertility rate in 1999 was 2.5 while the maternal mortality rate was 65 per 100,000 live births in 1993. In addition, in 1997, Albania had high immunization rates for children up to one year old: tuberculosis at 94 percent; diphtheria, pertussis, and tetanus, 99 percent; measles, 95 percent; and polio, 99.5%. In 1996, the incidence of tuberculosis was 23 in 100,000 people. In 1995 there were two reported cases of AIDS and seven cases in 1996. In 2000 the number of people living with HIV/AIDS was estimated at less than 100 cases. The leading causes of death are cardiovascular disease, trauma, cancer, and respiratory disease.

[Alternative medicine](#) is also practiced among the population in the form of [herbal remedies](#) as the country is reportedly a net exporter of aromatic and [medicinal herbs](#).

Hope this helps. However, it still does not address what, if any, significant biomedical research is ongoing in the country of nearly 2.9 million

George

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**From:** Mamica Toska [mailto:[redacted by agreement](#)]

**Sent:** Monday, February 22, 2016 11:11 AM

**To:** Glass, Roger (NIH/FIC) [E]

**Cc:** Bridbord, Ken (NIH/FIC) [E]; Herrfurth, George (NIH/FIC) [E]; Floreta Faber

**Subject:** RE: Greetings: Visit of Albanian Minister of Health to NIH

**Importance:** High

Dear Dr. Glass,

Please find attached the bios of the Albanian delegation's members that will visit NIH.

Best regards,  
Mamica

-----  
Mamica Toska  
Minister Plenipotentiary  
Embassy of the Republic of Albania  
2100 S Street, NW  
Washington DC 20008  
Tel. (202) 223 4942  
Fax. (202) 628 7342  
Mob. [Redacted by agreement]  
Email. [Redacted by agreement]

---

**From:** Floreta Faber  
**Sent:** Monday, February 22, 2016 10:13 AM  
**To:** Glass, Roger (NIH/FIC) [E]  
**Cc:** Bridbord, Ken (NIH/FIC) [E]; Herrfurth, George (NIH/FIC) [E]; Mamica Toska  
**Subject:** RE: Greetings: Visit of Albanian Minister of Health to NIH

Dear Dr. Glass:

It was nice to hear from you and thank you for giving your time in putting this meeting and tour together.

Please let me confirm Wednesday, February 24 at 9:00.

The delegation is composed as following, all Albanian citizens:

Ilir Beqaj [Redacted by agreement]  
Klodiana Spahiu, [Redacted by agreement]  
Floreta Faber,  
Edmond Faber [Redacted by agreement]

We will bring you shortly the Bios of the members of the delegation.

I would highly appreciate to also have the bio of who we are meeting at NIH, hopefully in a very short note the meeting can also meet with Director Collins. I am also hoping that Ms. Diane can also be with us during the visit.

Looking forward to it.

Sincerely,

Floreta

**Floreta FABER**  
Ambassador  
Embassy of the Republic of Albania

2100 S Street, NW  
Washington DC 20008

Tel. (202) 223 4942

Fax. (202) 628 7342

Mob. [Redacted by agreement]

Email [Redacted by agreement]

---

**From:** Stover, Kathleen (NIH/FIC) [E] [stoverka@mail.nih.gov] on behalf of Glass, Roger (NIH/FIC) [E] [glassr@mail.nih.gov]

**Sent:** Monday, February 22, 2016 9:33 AM

**To:** Floreta Faber

**Cc:** Glass, Roger (NIH/FIC) [E]; Bridbord, Ken (NIH/FIC) [E]; Herrfurth, George (NIH/FIC) [E]

**Subject:** FW: Greetings: Visit of Albanian Minister of Health to NIH

Dear Ambassador Faber,

We are delighted that you and Minister Beqaj will be able to visit NIH this week. Unfortunately, Dr. Collins' heavy schedule does not allow for a meeting with the Minister this week and I have instead been given the honor of hosting the meeting to discuss issues of mutual interest. We propose that the Albanian delegation meet us at 9:00 on Wednesday, February 24, in the Fogarty International Center's facilities followed by a tour of the NIH Clinical Center at 10:00AM, time permitting.

If acceptable, then in order to adequately clear the Albanian delegation through NIH Security for the visit we will need the full name (first, middle and last), dates of birth, and citizenship of each individual and we will also need that information for the vehicle that you will be using to convey the delegation to NIH, including the make, model and color of the vehicle as well as the Driver's full name, date of birth and citizenship. We would greatly appreciate the above information by 12 noon tomorrow.

Thank you and please do not hesitate to contact me if you wish to discuss.

Best regards,

Roger

Roger I. Glass, M.D., Ph.D.  
Associate Director for International Research  
& Director, Fogarty International Center  
National Institutes of Health

---

**From:** Floreta Faber [mailto:[Redacted by agreement]]

**Sent:** Sunday, February 21, 2016 10:09 AM

**To:** Roger Glass [Redacted by agreement]

**Cc:** Glass, Roger (NIH/FIC) [E]

**Subject:** RE: Greetings: Visit of Albanian Minister of Health to NIH

Dear Dr. Glass:

I am so happy to have heard from you. Minister of Health, H.E. Ilir Beqaj will be in Washington DC available for meetings until Wednesday, February 24th.

I hope you find appropriate one of the following time slots:

February 22, at 4.30 pm

February 23, at 9.00 am

February 23, at 3.30 pm

February 24, at 11.30 am

The delegation accompanying the Minister in visiting NIH will be:

Dr. Klodiana Spahiu, MP, Vice Chair of the Health Commission of the Albanian Parliament

Floreta Fabe, Ambassador of Albania

Dr. Edmond Faber, ex Director for Trauma Center in Albania, now living in DC.

Thank you very much in advance.

Looking forward to hear from you.

Sincerely,

Floreta

Floreta FABER  
Ambassador  
Embassy of the Republic of Albania  
Mob. Redacted by agreement

Sent from my Samsung device

----- Original message -----

From: Roger Glass <Redacted by agreement>

Date: 2/20/2016 12:02 (GMT-05:00)

To: Floreta Faber <Redacted by agreement>

Cc: [glassr@mail.nih.gov](mailto:glassr@mail.nih.gov)

Subject: Greetings: Visit of Albanian Minister of Health to NIH

Dear Ambassador Faber,

Greetings . Diane Baker spoke to us about your visit and we have received the official notice from your Office about the arrival of your Minister of Health H.E. Ilia Beqja to Washington. My office would be happy to set up a visit to NIH and the clinical center and we can request a meeting with the Director, Dr. Collins, if he is available. I serve as his Associate Director for Global Health so we can also brief you and the Minister about NIH.

Mr. George Herrfurth in my office ([Herrfurth@mail.nih.gov](mailto:Herrfurth@mail.nih.gov)) will be helping me and has already contacted the Embassy to find the prospective dates. This note is to reassure you that we will help facilitate the visit whenever the Minister arrives. Please let us know if the Minister has any areas of special interest.

Best personal regards and please let me or Mr. Herrfurth know if you have questions or need extra help.

Roger

Roger Glass, M.D., Ph.D  
Director, Fogarty International Center and  
Associate Director for Global Health  
National Institutes of Health

Tel: 301-496-1415

Sent from my iPad

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<b>Sender:</b>	Kilmarx, Peter (NIH/FIC) [E] /O=NIH/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=KILMARXPH4BA <peter.kilmarx@nih.gov>
<b>Recipient:</b>	Glass, Roger (NIH/FIC) [E] /O=NIH/OU=NIHEXCHANGE/cn=FIC/cn=glassr <glassr@mail.nih.gov>
<b>Sent Date:</b>	2016/02/24 07:23:52
<b>Delivered Date:</b>	2016/02/24 07:23:53

<b>From:</b>	Kilmarx, Peter (NIH/FIC) [E] /O=NIH/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=KILMARXPH4BA <peter.kilmarx@nih.gov>
<b>To:</b>	Glass, Roger (NIH/FIC) [E] /O=NIH/OU=NIHEXCHANGE/cn=FIC/cn=glassr <glassr@mail.nih.gov>
<b>Subject:</b>	Fw: Greetings: Visit of Albanian Minister of Health to NIH
<b>Date:</b>	2016/02/24 07:22:41
<b>Priority:</b>	Normal
<b>Type:</b>	Note

Hi Roger,

George says you will be with Albanians. Please let me know if you want me to join you.

Thanks,

PK

Peter Kilmarx, MD, FACP, FIDSA

Fogarty International Center, NIH

---

**From:** Herrfurth, George (NIH/FIC) [E]  
**Sent:** Tuesday, February 23, 2016 6:26 PM  
**To:** Kilmarx, Peter (NIH/FIC) [E]  
**Subject:** Re: Greetings: Visit of Albanian Minister of Health to NIH

RG will lead so you might want to check with him. There is no agenda as the Albanians never clued RG in on exactly what they want to address.

Sent from my BlackBerry 10 smartphone.

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**From:** Kilmarx, Peter (NIH/FIC) [E]  
**Sent:** Tuesday, February 23, 2016 5:55 PM  
**To:** Herrfurth, George (NIH/FIC) [E]  
**Cc:** Bridbord, Ken (NIH/FIC) [E]; Officer, Jackie (NIH/FIC) [E]  
**Subject:** RE: Greetings: Visit of Albanian Minister of Health to NIH

Hi George,

Do we have an agenda for tomorrow? Where are we meeting? 9 am?

Do you have an NIH 101 PowerPoint? Otherwise I can use mine.

Thanks,

PK

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**From:** Herrfurth, George (NIH/FIC) [E]  
**Sent:** Monday, February 22, 2016 2:43 PM  
**To:** Kilmarx, Peter (NIH/FIC) [E]  
**Cc:** Bridbord, Ken (NIH/FIC) [E]  
**Subject:** FW: Greetings: Visit of Albanian Minister of Health to NIH  
**Importance:** High

Hi Peter: Attached are the bios for the visitors from Albania.



We don't know too much about biomedical or behavioral research in Albania given the paucity of data for the country. Health care in Albania declined steeply after the collapse of socialism in the country, but a process of modernization has reportedly been taking place since 2000. In the 2000s, there were 51 hospitals in the country, including a [military hospital](#) and specialist facilities. Albania has successfully eradicated diseases such as [malaria](#).

Life expectancy is estimated at 77.59 years, ranking 51st worldwide, and outperforming a number of European Union countries, such as Hungary and the Czech Republic. [Demographic and Health Surveys](#) completed a survey in April 2009, detailing various health statistics in Albania, including [male circumcision](#), [abortion](#) and more.

The Faculty of Medicine of the [University of Tirana](#) is the main medical school in the country. There are also nursing schools in other cities.

The general improvement of health conditions in the country is reflected in the lower mortality rate, down to an estimated 6.49 deaths per 1,000 in 2000, as compared with 17.8 per 1,000 in 1938. In 2000, average life expectancy was estimated at 74 years, compared to 38 years at the end of World War II. Albania's infant mortality rate, estimated at 20 per 1,000 live births in 2000, has also declined over the years since the high rate of 151 per 1,000 live births in 1960. There were 69,802 births in 1999 and the fertility rate in 1999 was 2.5 while the maternal mortality rate was 65 per 100,000 live births in 1993. In addition, in 1997, Albania had high immunization rates for children up to one year old: tuberculosis at 94 percent; diphtheria, pertussis, and tetanus, 99 percent; measles, 95 percent; and polio, 99.5%. In 1996, the incidence of tuberculosis was 23 in 100,000 people. In 1995 there were two reported cases of AIDS and seven cases in 1996. In 2000 the number of people living with HIV/AIDS was estimated at less than 100 cases. The leading causes of death are cardiovascular disease, trauma, cancer, and respiratory disease.

[Alternative medicine](#) is also practiced among the population in the form of [herbal remedies](#) as the country is reportedly a net exporter of aromatic and [medicinal herbs](#).

Hope this helps. However, it still does not address what, if any, significant biomedical research is ongoing in the country of nearly 2.9 million

George

---

**From:** Mamica Toska [mailto:[Redacted by agreement](#)]  
**Sent:** Monday, February 22, 2016 11:11 AM  
**To:** Glass, Roger (NIH/FIC) [E]  
**Cc:** Bridbord, Ken (NIH/FIC) [E]; Herrfurth, George (NIH/FIC) [E]; Floreta Faber  
**Subject:** RE: Greetings: Visit of Albanian Minister of Health to NIH  
**Importance:** High

Dear Dr. Glass,

Please find attached the bios of the Albanian delegation's members that will visit NIH.

Best regards,  
Mamica

-----  
Mamica Toska  
Minister Plenipotentiary  
Embassy of the Republic of Albania  
2100 S Street, NW  
Washington DC 20008  
Tel. (202) 223 4942  
Fax. (202) 628 7342  
Mob. [Redacted by agreement]  
Email. [Redacted by agreement]

---

**From:** Floreta Faber  
**Sent:** Monday, February 22, 2016 10:13 AM  
**To:** Glass, Roger (NIH/FIC) [E]  
**Cc:** Bridbord, Ken (NIH/FIC) [E]; Herrfurth, George (NIH/FIC) [E]; Mamica Toska  
**Subject:** RE: Greetings: Visit of Albanian Minister of Health to NIH

Dear Dr. Glass:

It was nice to hear from you and thank you for giving your time in putting this meeting and tour together.

Please let me confirm Wednesday, February 24 at 9:00.

The delegation is composed as following, all Albanian citizens:

Ilir Beqaj, DoB [Redacted by agreement]  
Klodiana Spahiu, [Redacted by agreement]  
Floreta Faber, [Redacted by agreement]  
Edmond Faber [Redacted by agreement]

We will bring you shortly the Bios of the members of the delegation.

I would highly appreciate to also have the bio of who we are meeting at NIH, hopefully in a very short note the meeting can also meet with Director Collins. I am also hoping that Ms. Diane can also be with us during the visit.

Looking forward to it.

Sincerely,

Floreta

**Floreta FABER**  
Ambassador  
Embassy of the Republic of Albania  
2100 S Street, NW  
Washington DC 20008  
Tel. (202) 223 4942  
Fax. (202) 628 7342

Mob [Redacted by agreement]  
Email [Redacted by agreement]

---

**From:** Stover, Kathleen (NIH/FIC) [E] [stoverka@mail.nih.gov] on behalf of Glass, Roger (NIH/FIC) [E] [glassr@mail.nih.gov]  
**Sent:** Monday, February 22, 2016 9:33 AM  
**To:** Floreta Faber  
**Cc:** Glass, Roger (NIH/FIC) [E]; Bridbord, Ken (NIH/FIC) [E]; Herrfurth, George (NIH/FIC) [E]  
**Subject:** FW: Greetings: Visit of Albanian Minister of Health to NIH

Dear Ambassador Faber,

We are delighted that you and Minister Beqaj will be able to visit NIH this week. Unfortunately, Dr. Collins' heavy schedule does not allow for a meeting with the Minister this week and I have instead been given the honor of hosting the meeting to discuss issues of mutual interest. We propose that the Albanian delegation meet us at 9:00 on Wednesday, February 24, in the Fogarty International Center's facilities followed by a tour of the NIH Clinical Center at 10:00AM, time permitting.

If acceptable, then in order to adequately clear the Albanian delegation through NIH Security for the visit we will need the full name (first, middle and last), dates of birth, and citizenship of each individual and we will also need that information for the vehicle that you will be using to convey the delegation to NIH, including the make, model and color of the vehicle as well as the Driver's full name, date of birth and citizenship. We would greatly appreciate the above information by 12 noon tomorrow.

Thank you and please do not hesitate to contact me if you wish to discuss.

Best regards,

Roger

Roger I. Glass, M.D., Ph.D.  
Associate Director for International Research  
& Director, Fogarty International Center  
National Institutes of Health

---

**From:** Floreta Faber [mailto:[Redacted by agreement]]  
**Sent:** Sunday, February 21, 2016 10:09 AM  
**To:** Roger Glass [Redacted by agreement]  
**Cc:** Glass, Roger (NIH/FIC) [E]  
**Subject:** RE: Greetings: Visit of Albanian Minister of Health to NIH

Dear Dr. Glass:

I am so happy to have heard from you. Minister of Health, H.E. Ilir Beqaj will be in Washington DC available for meetings until Wednesday, February 24th.

I hope you find appropriate one of the following time slots:

February 22, at 4.30 pm  
February 23, at 9.00 am  
February 23, at 3.30 pm  
February 24, at 11.30 am

The delegation accompanying the Minister in visiting NIH will be:

Dr. Klodiana Spahiu, MP, Vice Chair of the Health Commission of the Albanian Parliament

Floreta Fabe, Ambassador of Albania

Dr. Edmond Faber, ex Director for Trauma Center in Albania, now living in DC.

Thank you very much in advance.

Looking forward to hear from you.

Sincerely,

Floreta

Floreta FABER  
Ambassador  
Embassy of the Republic of Albania  
Mob Redacted by agreement

Sent from my Samsung device

----- Original message -----

From: Roger Glass <(b)(6)>  
Date: 2/20/2016 12:02 (GMT-05:00)  
To: Floreta Faber <Redacted by agreement>  
Cc: [glassr@mail.nih.gov](mailto:glassr@mail.nih.gov)  
Subject: Greetings: Visit of Albanian Minister of Health to NIH

Dear Ambassador Faber,

Greetings . Diane Baker spoke to us about your visit and we have received the official notice from your Office about the arrival of your Minister of Health H.E. Ilia Beqja to Washington. My office would be happy to set up a visit to NIH and the clinical center and we can request a meeting with the Director, Dr. Collins, if he is available. I serve as his Associate Director for Global Health so we can also brief you and the Minister about NIH.

Mr. George Herrfurth in my office ([Herrfurg@mail.nih.gov](mailto:Herrfurg@mail.nih.gov) ) will be helping me and has already contacted the Embassy to find the prospective dates. This note is to reassure you that we will help facilitate the visit whenever the Minister arrives. Please let us know if the Minister has any areas of special interest.

Best personal regards and please let me or Mr. Herrfurth know if you have questions or need extra help.

Roger

Roger Glass, M.D., Ph.D  
Director, Fogarty International Center and  
Associate Director for Global Health  
National Institutes of Health

Tel: 301-496-1415

Sent from my iPad

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<b>Sender:</b>	Kilmarx, Peter (NIH/FIC) [E] /O=NIH/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=KILMARXPH4BA <peter.kilmarx@nih.gov>
<b>Recipient:</b>	Glass, Roger (NIH/FIC) [E] /O=NIH/OU=NIHEXCHANGE/cn=FIC/cn=glassr <glassr@mail.nih.gov>
<b>Sent Date:</b>	2016/02/24 07:22:41

<b>From:</b>	Kilmarx, Peter (NIH/FIC) [E] /O=NIH/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=KILMARXPH4BA <peter.kilmarx@nih.gov>
<b>To:</b>	Herrfurth, George (NIH/FIC) [E] /O=NIH/OU=NIHEXCHANGE/cn=FIC/cn=herrfurg <herrfurg@mail.nih.gov>
<b>Subject:</b>	Re: Greetings: Visit of Albanian Minister of Health to NIH
<b>Date:</b>	2016/02/24 07:07:53
<b>Priority:</b>	Normal
<b>Type:</b>	Note

Thanks George.

Peter Kilmarx, MD, FACP, FIDSA  
Fogarty International Center, NIH

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**From:** Herrfurth, George (NIH/FIC) [E]  
**Sent:** Wednesday, February 24, 2016 5:41 AM  
**To:** Kilmarx, Peter (NIH/FIC) [E]  
**Subject:** Re: Greetings: Visit of Albanian Minister of Health to NIH

It will be in the FIC Conference room. Thanks  
Sent from my BlackBerry 10 smartphone.

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**From:** Kilmarx, Peter (NIH/FIC) [E]  
**Sent:** Tuesday, February 23, 2016 6:32 PM  
**To:** Herrfurth, George (NIH/FIC) [E]  
**Subject:** Re: Greetings: Visit of Albanian Minister of Health to NIH

Oh, thanks. I don't think Roger and I both need to be there. I may bow out. 9 am in Bldg 31?  
Peter Kilmarx, MD, FACP, FIDSA  
Fogarty International Center, NIH

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**From:** Herrfurth, George (NIH/FIC) [E]  
**Sent:** Tuesday, February 23, 2016 6:26 PM  
**To:** Kilmarx, Peter (NIH/FIC) [E]  
**Subject:** Re: Greetings: Visit of Albanian Minister of Health to NIH

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**To:** Herrfurth, George (NIH/FIC) [E]  
**Cc:** Bridbord, Ken (NIH/FIC) [E]; Officer, Jackie (NIH/FIC) [E]  
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Thanks,  
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**Sent:** Monday, February 22, 2016 2:43 PM  
**To:** Kilmarx, Peter (NIH/FIC) [E]  
**Cc:** Bridbord, Ken (NIH/FIC) [E]  
**Subject:** FW: Greetings: Visit of Albanian Minister of Health to NIH  
**Importance:** High

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George

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**From:** Mamica Toska [mailto:[Redacted by agreement](mailto:Redacted by agreement)]  
**Sent:** Monday, February 22, 2016 11:11 AM  
**To:** Glass, Roger (NIH/FIC) [E]  
**Cc:** Bridbord, Ken (NIH/FIC) [E]; Herrfurth, George (NIH/FIC) [E]; Floreta Faber  
**Subject:** RE: Greetings: Visit of Albanian Minister of Health to NIH  
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Minister Plenipotentiary  
Embassy of the Republic of Albania  
2100 S Street, NW  
Washington DC 20008  
Tel. (202) 223 4942  
Fax. (202) 628 7342  
Mob. [Redacted by agreement](mailto:Redacted by agreement)  
Email. [Redacted by agreement](mailto:Redacted by agreement)

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**From:** Floreta Faber  
**Sent:** Monday, February 22, 2016 10:13 AM  
**To:** Glass, Roger (NIH/FIC) [E]  
**Cc:** Bridbord, Ken (NIH/FIC) [E]; Herrfurth, George (NIH/FIC) [E]; Mamica Toska  
**Subject:** RE: Greetings: Visit of Albanian Minister of Health to NIH

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Ilir Beqaj, [Redacted by agreement](mailto:Redacted by agreement)  
Klodiana Spahiu, [Redacted by agreement](mailto:Redacted by agreement)  
Floreta Faber,  
Edmond Faber

We will bring you shortly the Bios of the members of the delegation.

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Looking forward to it.



Sincerely,

Floreta

**Floreta FABER**

Ambassador

Embassy of the Republic of Albania

2100 S Street, NW

Washington DC 20008

Tel. (202) 223 4942

Fax. (202) 628 7342

Mob. [Redacted by agreement]

Email [Redacted by agreement]

---

**From:** Stover, Kathleen (NIH/FIC) [E] [stoverka@mail.nih.gov] on behalf of Glass, Roger (NIH/FIC) [E] [glassr@mail.nih.gov]

**Sent:** Monday, February 22, 2016 9:33 AM

**To:** Floreta Faber

**Cc:** Glass, Roger (NIH/FIC) [E]; Bridbord, Ken (NIH/FIC) [E]; Herrfurth, George (NIH/FIC) [E]

**Subject:** FW: Greetings: Visit of Albanian Minister of Health to NIH

Dear Ambassador Faber,

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Thank you and please do not hesitate to contact me if you wish to discuss.

Best regards,

Roger

Roger I. Glass, M.D., Ph.D.  
Associate Director for International Research  
& Director, Fogarty International Center  
National Institutes of Health

---

**From:** Floreta Faber [mailto:Redacted by agreement]  
**Sent:** Sunday, February 21, 2016 10:09 AM  
**To:** Roger Glass <Redacted by agreement>  
**Cc:** Glass, Roger (NIH/FIC) [E]  
**Subject:** RE: Greetings: Visit of Albanian Minister of Health to NIH

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Dr. Klodiana Spahiu, MP, Vice Chair of the Health Commission of the Albanian Parliament

Floreta Fabe, Ambassador of Albania

Dr. Edmond Faber, ex Director for Trauma Center in Albania, now living in DC.

Thank you very much in advance.

Looking forward to hear from you.

Sincerely,

Floreta

Floreta FABER  
Ambassador  
Embassy of the Republic of Albania  
Mob: Redacted by agreement

Sent from my Samsung device

----- Original message -----

**From:** Roger Glass <Redacted by agreement>  
**Date:** 2/20/2016 12:02 (GMT-05:00)  
**To:** Floreta Faber <Redacted by agreement>

Cc: [glassr@mail.nih.gov](mailto:glassr@mail.nih.gov)

Subject: Greetings: Visit of Albanian Minister of Health to NIH

Dear Ambassador Faber,

Greetings . Diane Baker spoke to us about your visit and we have received the official notice from your Office about the arrival of your Minister of Health H.E. Ilia Beqja to Washington. My office would be happy to set up a visit to NIH and the clinical center and we can request a meeting with the Director, Dr. Collins, if he is available. I serve as his Associate Director for Global Health so we can also brief you and the Minister about NIH.

Mr. George Herrfurth in my office ([Herrfurg@mail.nih.gov](mailto:Herrfurg@mail.nih.gov) ) will be helping me and has already contacted the Embassy to find the prospective dates. This note is to reassure you that we will help facilitate the visit whenever the Minister arrives. Please let us know if the Minister has any areas of special interest.

Best personal regards and please let me or Mr. Herrfurth know if you have questions or need extra help.

Roger

Roger Glass, M.D., Ph.D  
Director, Fogarty International Center and  
Associate Director for Global Health  
National Institutes of Health

Tel: 301-496-1415

Sent from my iPad

---

Informacioni i trasmetuar në përmbajtje të këtij mesazhi është i destinuar vetëm për individin ose për institucionin të cilit i është nisur, mund të përmbajë materiale konfidenciale dhe / ose të privileguara vetëm për marrësin. Çdo rishikim, trasmetim, shpërndarje apo kryerje e ndonjë veprimi tjetër të ngjashëm me këto, nga personat apo nga subjekte të tjera të ndryshme nga marrësi i synuar, është i ndaluar. Nëse merrni gabimisht këtë mesazh, ju lutem kontaktoni urgjentsisht dërguesin e tij dhe fshini çdo material të trasmetuar në kompjuterin tuaj. Ne nuk pranojmë asnjë detyrim lidhur me dëmtimin apo humbjen e shkakuar nga programe të dëmshme apo nga viruse , përveç rastit të neglizhencës së plotë, apo sjelljes së gabuar dhe të qëllimshme.

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Informacioni i trasmetuar në përmbajtje të këtij mesazhi është i destinuar vetëm për individin ose për institucionin të cilit i është nisur, mund të përmbajë materiale konfidenciale dhe / ose të privileguara vetëm për marrësin. Çdo rishikim, trasmetim, shpërndarje apo kryerje e ndonjë veprimi tjetër të ngjashëm me këto, nga personat apo nga subjekte të tjera të ndryshme nga marrësi i synuar, është i ndaluar. Nëse merrni gabimisht këtë mesazh, ju lutem kontaktoni urgjentsisht dërguesin e tij dhe fshini çdo material të trasmetuar në kompjuterin tuaj. Ne nuk pranojmë asnjë detyrim lidhur me dëmtimin apo humbjen e shkakuar nga programe të dëmshme apo nga viruse , përveç rastit të neglizhencës së plotë, apo sjelljes së gabuar dhe të qëllimshme.

<b>Sender:</b>	Kilmarx, Peter (NIH/FIC) [E] /O=NIH/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=KILMARXPH4BA <peter.kilmarx@nih.gov>
<b>Recipient:</b>	Herrfurth, George (NIH/FIC) [E] /O=NIH/OU=NIH/EXCHANGE/cn=FIC/cn=herrfurg <herrfurg@mail.nih.gov>
<b>Sent Date:</b>	2016/02/24 07:07:53

<b>From:</b>	Kilmarx, Peter (NIH/FIC) [E] /O=NIH/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=KILMARXPH4BA <peter.kilmarx@nih.gov>
<b>To:</b>	Viboud, Cecile (NIH/FIC) [E] /O=NIH/OU=NIH/EXCHANGE/CN=FIC/CN=VIBOUDC <viboudc@mail.nih.gov>
<b>CC:</b>	McKenzie, Ellis (NIH/FIC) [E] /O=NIH/OU=NIH/EXCHANGE/cn=FIC/cn=mckenzel <mckenzel@mail.nih.gov>; Stephen Kissler <sk792@cam.ac.uk>
<b>Subject:</b>	RE: Upcoming December visitors and seminars
<b>Date:</b>	2015/12/11 15:59:38
<b>Priority:</b>	Normal
<b>Type:</b>	Note

Re-reading below - I meant it was syphilis, not HIV!

PK

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**From:** Viboud, Cecile (NIH/FIC) [E]  
**Sent:** Friday, December 11, 2015 2:26 PM  
**To:** Kilmarx, Peter (NIH/FIC) [E]  
**Cc:** McKenzie, Ellis (NIH/FIC) [E]; Stephen Kissler  
**Subject:** RE: Upcoming December visitors and seminars

Thanks Peter, for these two papers, and I have cc-ed Stephen so that he can investigate these as well.

Interestingly, our data also come from SDI, although the company has been bought over by the giant IMS Health since, which is part of our problem. It would be great to pull resources across sister agencies to purchase these costly medical claims datasets –at this point, I feel we (in the RAPIDD/DIEPS group) have exhausted the few contacts we still have within IMS Health and have hit a wall...

Kind regards  
Cecile

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**From:** Kilmarx, Peter (NIH/FIC) [E]  
**Sent:** Friday, December 11, 2015 1:02 PM  
**To:** Viboud, Cecile (NIH/FIC) [E]  
**Cc:** McKenzie, Ellis (NIH/FIC) [E]  
**Subject:** RE: Upcoming December visitors and seminars

Hi Cecile,  
I enjoyed meeting Stephen.

Here's the Route 95 paper I mentioned. It was HIV, not syphilis:  
<http://www.ncbi.nlm.nih.gov/pmc/articles/PMC1508624/>  
Am J Public Health. 1999 March; 89(3): 369–373. What's driving an epidemic? The spread of syphilis along an interstate highway in rural North Carolina. R L Cook, R A Royce, J C Thomas, and B H Hanusa.

Also, we used SDI data for a paper on male circumcision at CDC:  
<http://www.ncbi.nlm.nih.gov/pmc/articles/PMC4578797/> I could put you in touch with folks there (Bob Chen) about joint data procurement.

Bon weekend,  
PK

---

**From:** Viboud, Cecile (NIH/FIC) [E]  
**Sent:** Monday, December 07, 2015 9:54 AM  
**To:** Alonso, Wladimir (NIH/FIC) [C]; Ambikapathi, Ramya (NIH/FIC) [C]; Carreon, Danny (NIH/FIC) [C]; Doan, Viyada (NIH/FIC) [C]; Durrani, Sahrish (NIH/FIC) [C]; FIC EPS Stone House; Gaffey, Robert (NIH/FIC) [C]; Host, Christel (NIH/FIC) [C]; Kilmarx, Peter (NIH/FIC) [E]; Knobler, Stacey (NIH/FIC) [C]; Lang, Dennis (FNIH) [T]; McGrath, Monica (NIH/FIC) [C]; Nelson, Martha (NIH/FIC) [V]; Rasmussen, Zeba (NIH/FIC) [C]; Richard, Stephanie (NIH/FIC) [C]; Rosenthal, Josh P. (NIH/FIC) [E]; Seidman, Jessica (NIH/FIC) [C]; Skoczopole, Kate (NIH/FIC) [C]; Szu, Shousun (NIH/FIC) [V]; Thomas, Elizabeth (NIH/FIC) [V]; Viboud, Cecile (NIH/FIC) [E]; Kilmarx, Peter (NIH/FIC) [E]  
**Subject:** Upcoming December visitors and seminars

Dear all

We have a busy schedule of visitors and seminars in the next few days, as follows:

- As announced by Martha: **Tue Dec 8 (tomorrow)**, 2.00-3.00pm. Nidia Trovao, University of Leuven, Belgium. *The genetic traceability of viral pathogens: from isolation by distance to proximity by host mobility.*

- **Fri Dec 11**, 11.00am-12.00pm. Stephen Kissler, University of Cambridge, UK. *Spatiotemporal patterns and age structure of the 2009 influenza pandemic in the US.*

- **Mon Dec 14**, 2.00-3.00 pm: Simon Pollett, University of California at San Francisco and University of Sydney, Australia. *Applying molecular and digital epidemiological tools in the Americas: case studies, challenges and future directions*

All seminars will be held in 16A.

Nidia, Stephen, and Simon will stay with us for a few days, so even if you miss their talk, please drop by the 3<sup>rd</sup> floor and say hello!

Kind regards  
Cecile

<b>Sender:</b>	Kilmarx, Peter (NIH/FIC) [E] /O=NIH/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=KILMARXPH4BA <peter.kilmarx@nih.gov>
<b>Recipient:</b>	Viboud, Cecile (NIH/FIC) [E] /O=NIH/OU=NIHEXCHANGE/CN=FIC/CN=VIBOUDC <viboudc@mail.nih.gov>; McKenzie, Ellis (NIH/FIC) [E] /O=NIH/OU=NIHEXCHANGE/cn=FIC/cn=mckenzel <mckenzel@mail.nih.gov>; Stephen Kissler <sk792@cam.ac.uk>
<b>Sent Date:</b>	2015/12/11 15:59:38

<b>From:</b>	Kilmarx, Peter (NIH/FIC) [E] </O=NIH/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=KILMARXPH4BA>
<b>To:</b>	Samandari, Taraz (CDC/OID/NCHHSTP) </O=NIH/OU=NIHHUB/cn=CDC Proxy List/cn=SMTP:tts0@CDC.GOV>; LeBaron, Charles (CDC/OID/NCHHSTP) /O=NIH/OU=NIHEXCHANGE/cn=Recipients/cn=CEL3 <cel3@cdc.gov>; Dominguez, Ken (CDC/OID/NCHHSTP) </O=NIH/OU=NIHHUB/cn=CDC Proxy List/cn=SMTP:kld0@CDC.GOV>; Mermin, Jonathan (CDC/OID/NCHHSTP) </O=NIH/OU=NIHHUB/cn=CDC Proxy List/cn=SMTP:jhm7@CDC.GOV>
<b>Subject:</b>	RE: Published critique of Earp's attack on the draft CDC circumcision policy
<b>Date:</b>	2015/10/15 10:12:09
<b>Priority:</b>	Normal
<b>Type:</b>	Note

That's too bad. I'll look forward to seeing the CDC recommendations finalized.

PK

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**From:** Samandari, Taraz (CDC/OID/NCHHSTP)  
**Sent:** Thursday, October 15, 2015 7:39 AM  
**To:** Kilmarx, Peter (NIH/FIC) [E]; LeBaron, Charles (CDC/OID/NCHHSTP); Dominguez, Ken (CDC/OID/NCHHSTP); Mermin, Jonathan (CDC/OID/NCHHSTP)  
**Subject:** RE: Published critique of Earp's attack on the draft CDC circumcision policy

Thanks for sharing, PK.

I heard yesterday that the Canadian healthcare system has decided to no longer reimburse infant male circumcision. My feeling about that is that Canada's followed European trends due to the lack of leadership on the issue in the US.

Taraz

---

**From:** Kilmarx, Peter (NIH/FIC) [E]  
**Sent:** Wednesday, October 14, 2015 8:10 PM  
**To:** LeBaron, Charles (CDC/OID/NCHHSTP) <cel3@cdc.gov>; Dominguez, Ken (CDC/OID/NCHHSTP) <kld0@cdc.gov>; Samandari, Taraz (CDC/OID/NCHHSTP) <tts0@cdc.gov>; Mermin, Jonathan (CDC/OID/NCHHSTP) <jhm7@cdc.gov>  
**Subject:** Fw: Published critique of Earp's attack on the draft CDC circumcision policy

Nice supportive article. Good luck bringing this over the finish line.

Best to all.

Peter Kilmarx, MD, FACP, FISDA  
Deputy Director, Fogarty International Center, NIH

---

**From:** Brian Morris <brian.morris@sydney.edu.au>  
**Sent:** Wednesday, October 14, 2015 7:33 PM

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**To:** Brian Morris

**Subject:** Published critique of Earp's attack on the draft CDC circumcision policy

Dear colleagues

You would be familiar with the ridiculous attacks mounted by intactivists and other anti-circs on good evidence-based male circumcision policies produced by the CDC and AAP in recent times.

These have been consistently repudiated by clinical, academic and other experts.

The URL below will take you to my critique of the published criticisms of the CDC draft recommendation by the well-known MC opponent, Brian Earp.

[http://journal.frontiersin.org/article/10.3389/fped.2015.00088/full?utm\\_source=Email\\_to\\_authors&utm\\_medium=Email&utm\\_content=T1\\_11.5e1\\_author&utm\\_campaign=Email\\_publication&field=&journalName=Frontiers in Pediatrics&id=165120](http://journal.frontiersin.org/article/10.3389/fped.2015.00088/full?utm_source=Email_to_authors&utm_medium=Email&utm_content=T1_11.5e1_author&utm_campaign=Email_publication&field=&journalName=Frontiers%20in%20Pediatrics&id=165120)

Open access, so free download.

Best wishes

Brian

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Brian J. Morris, DSc PhD FAHA  
Professor Emeritus  
School of Medical Sciences and Bosch Institute  
Anderson Stuart Building (F13)  
Sydney Medical School  
The University of Sydney  
Sydney, NSW 2006, Australia

The contents of this email might include material that could possibly reflect the expert views of an academic at the University of Sydney. Unless stated otherwise they should not be regarded as representing University policy since on many issues that academic staff are expert in the University does not maintain any specific policy.

<b>Sender:</b>	Kilmarx, Peter (NIH/FIC) [E] </O=NIH/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=KILMARXPH4BA>
<b>Recipient:</b>	Samandari, Taraz (CDC/OID/NCHHSTP) </O=NIH/OU=NIHHUB/cn=CDC Proxy List/cn=SMTP:tts0@CDC.GOV>; LeBaron, Charles (CDC/OID/NCHHSTP) /O=NIH/OU=NIHExchange/cn=Recipients/cn=CEL3 <cel3@cdc.gov>; Dominguez, Ken (CDC/OID/NCHHSTP) </O=NIH/OU=NIHHUB/cn=CDC Proxy List/cn=SMTP:kld0@CDC.GOV>; Mermin, Jonathan (CDC/OID/NCHHSTP) </O=NIH/OU=NIHHUB/cn=CDC Proxy List/cn=SMTP:jhm7@CDC.GOV>
<b>Sent Date:</b>	2015/10/15 10:12:09

<b>From:</b>	Kilmarx, Peter (NIH/FIC) [E] </O=NIH/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=KILMARXPH4BA>
<b>To:</b>	'Natalie Culbertson' <nculbertson@jhu.edu>
<b>Subject:</b>	RE: Invitation to review a manuscript for GHSP
<b>Date:</b>	2015/07/13 17:45:45
<b>Priority:</b>	Normal
<b>Type:</b>	Note

Received, thanks.

PK

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**From:** Natalie Culbertson [mailto:nculbertson@jhu.edu]  
**Sent:** Monday, July 13, 2015 5:42 PM  
**To:** Kilmarx, Peter (NIH/FIC) [E]  
**Subject:** RE: Invitation to review a manuscript for GHSP

Dear Dr. Kilmarx,

Thanks very much for agreeing to review the manuscript and congratulations on your new position. I have just sent you an email through our system with links and instructions. Often our emails are intercepted as spam so please let me know if you did not receive it.

Please don't hesitate to contact me if you have any questions.

Best,  
Natalie Culbertson

**Natalie Culbertson**  
Managing Editor, *Global Health: Science and Practice Journal*  
Knowledge for Health (K4Health)



cid:image001.png@01D03ADA.638FCC60



cid:image002.png@01D03ADA.638FCC60

**Johns Hopkins Bloomberg School of Public Health**  
111 Market Place, Suite 310  
Baltimore, Maryland 21202  
Phone: 410-659-6134  
Email: [nculbertson@jhu.edu](mailto:nculbertson@jhu.edu)



Web: [www.ghspjournal.org](http://www.ghspjournal.org) | [ccp.jhu.edu](http://ccp.jhu.edu) | [www.k4health.org](http://www.k4health.org)

---

**From:** Kilmarx, Peter (NIH/FIC) [E] [<mailto:peter.kilmarx@nih.gov>]  
**Sent:** Monday, July 13, 2015 5:10 PM  
**To:** Natalie Culbertson  
**Subject:** RE: Invitation to review a manuscript for GHSP

Hi Natalie,  
I'd be happy to review. Please send the MS and instructions.

Thanks,  
Peter H. Kilmarx, MD, FACP, FIDSA  
CAPT, U.S. Public Health Service  
Deputy Director, Fogarty International Center  
U.S. National Institutes of Health  
Cell [Redacted by [Redacted]] Email [peter.kilmarx@nih.gov](mailto:peter.kilmarx@nih.gov)



[NIH - Fogarty International Center - Advancing Science for Global](#)

[Health](#)

---

**From:** Natalie Culbertson [<mailto:nculbertson@jhu.edu>]  
**Sent:** Monday, July 13, 2015 11:00 AM  
**To:** Kilmarx, Peter (NIH/FIC) [E]  
**Subject:** Invitation to review a manuscript for GHSP

Dear Dr. Kilmarx,

I'm writing because I recently sent you an invitation to review an original manuscript for *Global Health: Science and Practice*. You didn't accept or decline the invitation and so it was withdrawn. However, I realize now that you are no longer with CDC Zimbabwe and are with NIH. I am writing in the hopes that you might be willing to review this manuscript.

I have included the title and abstract below for your reference. We would need your review in 2-3 weeks.

Thanks and I look forward to hearing from you.

Natalie Culbertson  
Managing Editor  
Global Health: Science and Practice

\*\*\*\*\*

## Sexual Satisfaction, Performance and Partner Response following Voluntary Medical Male Circumcision in Zambia: A Cluster Randomized Controlled Trial

Voluntary medical male circumcision (VMMC) represents an important strategy to prevent HIV infection in men, particularly in countries or regions with high HIV incidence and low rates of male circumcision, e.g., Eastern and Southern Africa. This study examined the post-VMMC responses of Zambian men who initially were unwilling to consider circumcision as an HIV prevention option, and their female partners. Men (n = 800) undergoing HIV Testing and Counselling (HTC) were enrolled and given the option of inviting their female partners (n = 668). Clinics were randomized to offer a sexual risk reduction/VMMC promotion intervention (experimental) or a time- equivalent control condition. Results indicated that despite their initial unwillingness to consider circumcision, men who underwent VMMC experienced increased levels of sexual satisfaction and acceptability. Nearly all men and their partners indicated they would recommend VMMC to a friend. Approximately half of the men reported increased erections, orgasms and increased time to achieve orgasms or no change from pre-VMMC, while one-third of participants indicated fewer erections, orgasms and decreased time to achieve orgasms post-VMMC. Nearly half of the participants said their sexual pleasure increased while 22% reported less sexual pleasure post-VMMC. Cleanliness and appearance were considered improved by the majority of both men and women. Men who engaged in sexual intercourse prior to full healing incurred the most adverse events and generally reported lower levels of post-VMMC sexual satisfaction. Future studies should consider innovative interventions to assist men in their efforts to abstain from engaging in sexual activities prior to complete healing. Future studies should also include female partners when attempting to increase VMMC acceptability among "hard to reach" men.

\*\*\*\*\*

### Natalie Culbertson

Managing Editor, *Global Health: Science and Practice Journal*  
Knowledge for Health (K4Health)



cid:image001.png@01D03ADA.638FCC60



cid:image002.png@01D03ADA.638FCC60

### Johns Hopkins Bloomberg School of Public Health

111 Market Place, Suite 310

Baltimore, Maryland 21202

Phone: 410-659-6134

Email: [nculbertson@jhu.edu](mailto:nculbertson@jhu.edu)

Web: [www.ghspjournal.org](http://www.ghspjournal.org) | [ccp.jhu.edu](http://ccp.jhu.edu) | [www.k4health.org](http://www.k4health.org)

<b>Sender:</b>	Kilmarx, Peter (NIH/FIC) [E] </O=NIH/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=KILMARXPH4BA>
<b>Recipient:</b>	'Natalie Culbertson' <nculbertson@jhu.edu>
<b>Sent Date:</b>	2015/07/13 17:45:44
<b>Delivered Date:</b>	2015/07/13 17:45:45

<b>From:</b>	Kilmarx, Peter (NIH/FIC) [E] </O=EXCHANGELABS/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=E4ECF449BD7044BA9FD585C8DA4D47B4-KILMARXPH>
<b>To:</b>	Gordon, Latoya (NIH/FIC) [C] /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=b43d702b63f14d0fad8e8eb2c32777ce-gordonlr <latoya.gordon@nih.gov>
<b>Subject:</b>	RE: 6284539: Manuscript Review
<b>Date:</b>	2018/10/21 05:26:00
<b>Priority:</b>	Normal
<b>Type:</b>	Note

Hi Latoya,  
Please print attached for me.

Thanks,  
PK

-----Original Message-----

From: Advances in Urology <heba.abdelsabour@hindawi.com>  
Sent: Sunday, October 21, 2018 5:24 AM  
To: Kilmarx, Peter (NIH/FIC) [E] <peter.kilmarx@nih.gov>  
Subject: 6284539: Manuscript Review

Dear Dr. Kilmarx,

Thank you for agreeing to review the Review Article titled "Arguments Opposing Male Circumcision Are Undermined by Strong Scientific Evidence From a Systematic Review" by Brian Morris, Stephen Moreton and John N. Krieger.

You can view the full PDF file of the manuscript and post your review report using the following URL:

<http://mts.hindawi.com/reviewer/8924231586213940/>

Best regards,

--

\*\*\*\*\*  
Heba Abdelsabour  
Editorial Office  
Hindawi  
<http://www.hindawi.com>  
\*\*\*\*\*

<b>Sender:</b>	Kilmarx, Peter (NIH/FIC) [E] </O=EXCHANGELABS/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=E4ECF449BD7044BA9FD585C8DA4D47B4-KILMARXPH>
<b>Recipient:</b>	Gordon, Latoya (NIH/FIC) [C] /o=ExchangeLabs/ou=Exchange Administrative Group (FYDIBOHF23SPDLT)/cn=Recipients/cn=b43d702b63f14d0fad8e8eb2c32777ce-gordonlr <latoya.gordon@nih.gov>
<b>Sent Date:</b>	2018/10/21 05:26:25
<b>Delivered Date:</b>	2018/10/21 05:26:00

Aug 9, 2018

*Advances in Urology*

Word count:

Abstract: 299

Main text: 11,558

References: 396

## **Arguments Opposing Male Circumcision Are Undermined by Strong Scientific Evidence From a Systematic Review**

Brian J. Morris,<sup>1</sup> Stephen Moreton,<sup>2</sup> John N. Krieger<sup>3</sup>

<sup>1</sup>School of Medical Sciences and Bosch Institute, University of Sydney, Sydney, New South Wales, 2006, Australia

<sup>2</sup>33 Marina Avenue, Warrington, England, WA5 1HY, UK

<sup>3</sup>Department of Urology, University of Washington School of Medicine, Seattle, Washington, 98195, USA

Correspondence should be addressed to Brian J. Morris; [brian.morris@sydney.edu.au](mailto:brian.morris@sydney.edu.au)

Email addresses of all authors: [brian.morris@sydney.edu.au](mailto:brian.morris@sydney.edu.au); [stephenmoreton@totalise.co.uk](mailto:stephenmoreton@totalise.co.uk); [jkrieger@uw.edu](mailto:jkrieger@uw.edu)

**Abstract**

Multiple claims are used by opponents in arguing against male circumcision (MC), especially in minors. We evaluated such claims by a PRISMA-compliant systematic review of the scientific evidence from searches of PubMed, Google Scholar, EMBASE and Cochrane databases. Database searches retrieved 283 publications for inclusion. Examination of bibliographies of these yielded 69 further publications. Evaluation of arguments and evidence claiming that MC is either unnecessary or harmful, when compared with scientific data rated by quality found: (1) frequency of adverse events from early infant MC by medical providers using local anesthesia is low (approximately 0.5%). (2) Virtually all adverse events are minor and easily treated with complete resolution. (3) The evidence shows no long-term psychological harm. (4) There are no adverse effects on sexual function or pleasure. (5) MC provides a lifetime of protection against urinary tract infections, oncogenic types of human papillomavirus, genital herpes, human immunodeficiency virus, various other sexually transmitted infections (STIs), candidiasis, inferior hygiene, penile inflammatory conditions, very strong protection against penile cancer and modest protection against prostate cancer. (6) In female sexual partners, MC reduces the risk of cervical cancer and various STIs. To maximize benefits and minimize risks, the evidence supported early infant MC rather than delay of the procedure until males are old enough to decide for themselves. Risk-benefit analyses have reported that benefits exceed risks by 100–200 to 1. A balanced evaluation of ethical issues supported the rights of children to be provided with low-risk, high-benefit interventions such MC in order to protect their health. Expert evaluations of case-law have supported the legality of MC of minors. Other data have demonstrated that early infant MC is cost-saving to health systems. In conclusion, arguments opposing MC are supported mostly by low quality evidence and opinion and are contradicted by strong scientific evidence.

*Key words:* Male circumcision; infancy; adulthood; evidence, arguments; public health; urinary tract infection; sexually transmitted infection; complications; sexual function; sexual pleasure; ethics; policy

*“The human understanding when it has once adopted an opinion (either as being the received opinion or as being agreeable to itself) draws all things else to support and agree with it. And though there be a greater number and weight of instances to be found on the other side, yet these it either neglects and despises, or else by some distinction sets aside and rejects, in order that by this great and pernicious predetermination the authority of its former conclusions may remain inviolate.”*

Sir Francis Bacon, *The New Organon*, 1620.

## 1. Introduction

Compelling data, such as randomized controlled trials (RCT), systematic reviews and meta-analyses, showing net benefits of male circumcision (MC) to males and their female sexual partners led the American Academy of Pediatrics (AAP) in 2012 [1, 2] and the US Centers for Disease Control and Prevention (CDC) in 2014 [3, 4] to release affirmative guidelines in support of non-therapeutic early infant MC (EIMC) and non-therapeutic MC of older males. These statements supersede older policies in the US, as well as non-evidence-based negative policies in other countries [5-8] (**Table 1**).

Various individuals, certain small professional organizations and lay lobby groups (**Table 1**) actively discourage non-therapeutic circumcision of boys. Members use social media and other tactics to bully and threaten parents, physicians, academics and others regarding MC [9-13]. Contradicting the AAP and CDC policy recommendations, opponents have lobbied to have MC of minors banned in the US [14] and Scandinavian countries, although to date such efforts have not been successful [15-18]. Arguments opposing non-therapeutic MC, especially in minors, appear to start with the premise that MC has no benefits, only harms, or that any benefits only apply later in life when the male can make his own decision to get circumcised [19-22]. In this “post-truth” era, vocal minority groups consider that their opinions count more than those of medical and scientific experts [23]. These attitudes fit with a pattern of radical individualism, devaluation of scientific evidence, and promotion of autonomy, in which life-saving childhood vaccines, for example, may be refused by parents, as is their legal right, which must be respected.

To help provide clarity to this vexing issue, especially given the adverse consequences to global public health and individual well-being of getting MC policy wrong, the aim of the present systematic review was to evaluate the arguments made against non-therapeutic MC (summarized in **Table 2**), as well as the claims by opponents of functions of the foreskin that are lost to circumcision (listed in **Table 3**). In particular, we examine the extent to which arguments used to oppose non-therapeutic MC are supported by current scientific evidence. In our article, benefits (and harms) of non-therapeutic MC (hereinafter referred to simply as “MC” and “EIMC”) are judged according to the difference in

prevalence of an adverse medical condition in those who have received MC compared with those who have not.

## 2. Literature Searches

*2.1. Methods.* We conducted sequential literature searches of PubMed, Google Scholar, EMBASE, and the Cochrane Systematic Review database for articles dating from 1 Jan 2005 until 28 Feb 2018; with an update to 8 June 2018. PubMed searches used the keyword "circumcision" in combination with one of 35 other relevant keywords shown in **Table 4**. An extraction file was created for each set and examined by the authors. Google Scholar, EMBASE and Cochrane database searches to find additional references used "circumcision" as keyword. Bibliographies of articles were examined to retrieve further key references. In accord with the hierarchy of scientific evidence, articles were graded for quality using the Scottish Intercollegiate Guidelines Network (SIGN) grading system [24] (**Figure 1**). Those rated 2+ and above by conventional criteria were given emphasis. In instances in which a MC-related topic had been the subject of recent high quality systematic reviews or meta-analyses (level 1++ or 1+ evidence), these were cited for efficiency instead of all the individual studies on that topic. Internet searches were conducted for other relevant information, including MC policies. The study complied with Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) [25].

*2.2. Search Results:* PubMed searches yielded 12,344 "hits" (**Table 4**). Any pertaining to "circumcision" of women were excluded. The most relevant 269 of these were selected for inclusion. A Google Scholar search yielded 14 additional articles from the maximum return of 1,000 "hits" for this search engine. Searches of the EMBASE database and Cochrane Central Register of RCTs yielded, respectively, 5220 and 37 "hits", but did not generate additional citable articles. Examination of bibliographies of the articles chosen yielded 63 further articles and 6 book chapters. Thus, total number of publications included was 352. We also included 44 relevant items from the Internet. **Figure 2** summarizes the search strategy in accord with the PRISMA statement [25].

## 3. Urinary Tract Infection

The most recent meta-analysis reported UTI incidence as 10-times lower in circumcised vs. uncircumcised infant males [26]. Prevalence was 0.1% vs. 1%, respectively. Infant UTI has been regarded by some as rare [20, 27], although pediatric urologists consider it to be a common problem [28]. Number needed to treat is 25–100 [29]. In the case of influenza vaccination, one outpatient visit can be prevented through vaccination of less than 50 children, leading the authors to conclude that



“influenza vaccination can reduce influenza-attributable medical visits in children significantly, even in years with modest vaccine efficacy” [30]. UTI in infancy can result in significant morbidity [31] and is the most common cause of sepsis in male neonates [32]. Within the first 2 years incidence of pyelonephritis, kidney disease, hypertension and vesicoureteral reflux per 100 person-years in 2,334 neonatally circumcised vs. 573 uncircumcised US infant males was 0 vs. 0.67, 0.063 vs. 0.13, 0.031 vs. 0.27 and 0.13 vs. 0.27, respectively [29]. Rate for all diagnoses combined was 0.65 vs. 3.5 ( $p < 0.0001$ ) [29]. Progression to renal damage occurred in 19% of children with UTI aged  $< 2$  years [33].

Studies questioning the value of EIMC for UTI prevention [34, 35] were found to contain flaws [26, 36, 37]. Diagnosis of UTI is more challenging in infant males than in older children or adults. Patients are more likely to present with nonspecific, systemic symptoms, and history must be obtained from the parents. Obtaining a good urine specimen can prove challenging in this age group. Of infant males with UTI, 27.6% were hospitalized in a US study, so adding to costs [38]. The authors stated that most of these UTIs could have been avoided by EIMC [38].

A neonate with possible UTI presents with a systemic illness and symptoms that include distress and fever, requiring diagnosis. This may entail blood collection and lumbar puncture [15]. It has been argued that infants with UTI can be easily treated with oral antibiotics [20, 27]. However, this applies to older boys and men. It is difficult to get a neonate to take medications reliably and some drugs are not absorbed well because of their diet, so if UTI is diagnosed, they need to be hospitalized to enable intravenous antibiotic administration [15, 39]. Emergence of resistance to most or all antibiotics, including methicillin, will make treatment of UTI more challenging [40-45]. Maternal antibiotic use during pregnancy also increases the risk of resistant pathogens responsible for early infant UTI [46]. Antibiotics disrupt the microbiome, now increasingly linked to various adverse medical conditions.

Swabs taken from under the foreskin of boys aged 7 days to 11 years identified 50 bacterial isolates, most being multi-drug-resistant strains [47]. The continual rise in antibiotic-resistant uropathogens could further add to hospital admissions and poor clinical outcomes. Uncircumcised males of all ages are more likely to harbor subpreputial uropathogenic bacteria. Foreskin swabs taken prior to MC at age 2 months to 9 years (mean 5.7 years) contained 72 microorganisms, including 54 gram-positive bacteria (57% *Enterococcus* species) and 17 gram-negative bacteria (41% *Escherichia coli*) and *Candida* species [48]. Swabs from healthy uncircumcised males (mean age 26.5 years) contained a higher proportion of potentially uropathogenic gram negative rods (17%) than in circumcised males (4%) [49]. Gram positive cocci, which are less likely to cause UTI, were seen in 62% of uncircumcised and 80% of circumcised males, while streptococci, strict anaerobes and genital mycoplasmas were only present in the

uncircumcised males [49]. A RCT found that MC significantly reduced both the prevalence and load of genital anaerobic bacteria [50].

A meta-analysis further illustrated how common UTI is over the lifetime [26]. Prevalence was 32.1% in uncircumcised males and 8.8% in circumcised males [26]. Number needed to treat was 4.29 [26]. The foreskin contributed to 72.6% of lifetime UTI risk in an uncircumcised male UTI patient. UTI risk in uncircumcised males was 1.26% in the first year of life and cumulatively 2.68% from age 1–16 years [26]. Level of protection afforded by circumcision declined with age [26].

In summary, EIMC reduces the substantial risk of UTI in infancy and beyond.

## 4. Physical Harm

*4.1. Terminology.* MC has been termed, “*male genital mutilation*” [14], a term adopted from “*female genital mutilation*”, which has no medical benefits and is often harmful. Mutilation means damage or disfigurement. Below we examine whether this applies to MC. MC has also been referred to as “*amputation*”, a term used in the medical literature when referring to removal of a limb, digit or the entire penis. A belief in physical harm underlies arguments that MC presents, “*intractable moral, child abuse, human rights, and ethical problems*” [51], the veracity of which will be addressed in the section on ethical issues.

*4.2. Immediate Complications of MC and Their Frequency.* A 2014 study by CDC researchers of 1.4 million circumcisions in the US, based on inpatient data as well as data from more than 870 000 unique outpatient medical providers found frequency of adverse events associated with EIMC was 0.4% [52]. The AAP’s 2012 policy statement [1] reported figures of 0.19%[53] and 0.22%[32] from two large US studies, and 0.34% from a large Israeli study [54]. The most common complications were: hemorrhage (0.08–0.18%), infection (0.06%) and injury to the penis (0.01–0.04%) [52].

*4.3. Deaths From EIMC.* Data from a MC opponent reporting that 117 or over 100 newborn males die from MC in the US each year originated from his assumption that the well-known higher infant mortality in males was entirely due to MC complications [55]. This sex difference is, however, also seen in non-circumcising countries (tabulated in ref.[56]). Moreover, in non-circumcising Norway, this gender difference (30%) is greater than in the US (19%) and in Israel (5%)[56].

While it is difficult to ascertain actual deaths attributable to EIMC, no death was found among neonatal records of 100,157 boys circumcised in US Army hospitals from 1980–1985 [32]. In contrast, amongst 35,929 infant males who were not circumcised, 88 (0.24%) developed a UTI, leading to

meningitis in 3, renal failure in 2, and death in 2 [32]. Thus, death rate was much higher in the 26.4% boys who were not circumcised. That article stated in the Discussion that no EIMC-related deaths occurred amongst 300,000 boys born in US Army hospitals between 1970 and 1986, nor amongst 650,000 infants who had EIMC in Texas from 1971–1987 [32]. It further stated, “*We can find evidence for no more than two to three deaths per year that can be attributed to the procedure among the more than 1,200,000 boys that are circumcised [in the US annually].*” Other studies found no deaths [57]. One death from an “*at home*” procedure was found in records of the New York City Health Department in 1953 [58]. There were no deaths after 500,000 EIMCs in the US in 1982 [59]. In the mid-1940s in England, deaths during MC of boys aged 0–4 years were mostly from the types of general anesthetics used at the time [60]. Data from the US National Inpatient Sample found that during 2000 to 2010 noted one death was recorded per 49,166 circumcisions during the first 30 days of life [61]. The authors stressed that, “*this figure should not be interpreted as causal but correlational.*” Deaths were more likely in boys with significant co-morbidities such as cardiac disease (OR = 697.8). Since the authors had access to data on deaths in uncircumcised boys, the authors failure to present such data is a major limitation that undermines the findings.

In Canada, where approximately half of males are circumcised, only 3 deaths were attributed to EIMC [62] and 3 to vaccination over the period 1992–2004 [63]. The report also documented 38 cases of anaphylaxis, 37 cases of convulsions, and 4 brain infections attributable to vaccination. Like EIMC, benefits of childhood vaccination greatly outweigh the risks. In comparison to deaths from EIMC, in Canada there were 43 deaths from penile cancer [64], 3,708 annual deaths from prostate cancer and 443 from cervical cancer [64]. The evidence, discussed below, shows EIMC reduces risk of each.

The data show that mortality from MC performed by a qualified medical practitioner is rare. Risk is higher for MC performed by non-medically qualified circumcisers. MC-associated deaths, mostly in adolescent males, have been reported after traditional “bush” MCs. Nevertheless, rare, undiagnosed hemophilia has resulted in hemorrhage so severe as to cause death during medical MC.

**4.4. Breastfeeding Outcomes.** A longitudinal study in New Zealand found that, over the course of 4 months, there was no difference in initiation of, duration of, or stopping of breastfeeding in circumcised vs. uncircumcised males [65]. Similar findings were obtained for infants from discharge to 2 weeks in a large retrospective San Diego study [66]. Outcomes associated with breastfeeding, such as being less prone to gastrointestinal problems and asthma, were also unaffected [65]. Whether or not anesthesia was used for the EIMC procedures was not stated, although would have been less likely for this cohort of boys born in the 1970s. The New Zealand authors concluded, “*These results strongly suggest that*

*claims about the adverse effects of neonatal circumcision on breastfeeding and child health are not sound, and have arisen as a result of unjustified extrapolation from the evidence on neonatal responses to circumcision” [65].*

**4.5. Meatal Stenosis (MS).** MS has been said to be a common complication of circumcision [67]. Often quoted by opponents is a prevalence of 20% reported in a small study of neonatally-circumcised boys at age 5–10 years attending a pediatric clinic in Iran for other problems, the incidental MS diagnosed being asymptomatic [68]. MS data from a large Danish study [69] were further evaluated by critics, revealing a MS prevalence of 0.099% in Muslim (circumcised) males and 0.12% in non-Muslim (uncircumcised) males, making the condition uncommon [70]. A US study that reported a figure of 7% [71] was criticized by a former chair of the AAP’s infant MC policy committee [72]. In the Danish study, prevalence of other urethral stricture disease was 0.55% in Muslim and 0.82% in non-Muslim males [69, 70]. In elderly men prevalence of MS was 1.9-times higher in the uncircumcised [69]. Each condition was higher in younger ethnic Danish men circumcised for medical problems compared with uncircumcised Danish men [69]. Rather than being a long-term complication of MC [73], onset was found recently to occur in the first 2 months after neonatal MC [74], but diagnosis is much later [75].

A recent systematic review and meta-analysis of all published data involving 31 strata from 27 studies reported in total 350 MS cases among 1,498,536 males [76]. The overall summary risk estimate for MS was 0.66% [76]. The authors pointed out that in uncircumcised males MS gradually increases in prevalence with age, mostly as a result of penile inflammation caused by lichen sclerosis, which is much more common in uncircumcised males [69, 70, 76]. It is likely that MS in uncircumcised males is under-reported [76]. While more studies are warranted, the current data do not support MS being a major adverse effect of MC.

**4.6. Glans Keratinization.** An argument that over time the glans of a circumcised penis becomes thickened, hardened and cornified is contradicted by histological studies comparing glans skin of circumcised and uncircumcised men [77, 78]. A difference in rete ridges was, however, found in a small study [78], but the finding could have been confounded by age.

## **5. Psychological Harm**

**5.1. “False Beliefs”.** In a recent survey of 902 US men by MC opponents, a satisfaction score of 3.5–3.9 out of 5 amongst 732 circumcised men was found, compared to lower scores among 170 uncircumcised men [79]. Rather than accepting the findings at face value, the authors then asserted that circumcised

men held, “*false beliefs concerning circumcision and the foreskin*”, and that, “*These findings provide tentative support for the hypothesis that the lack-of-harm reported by many circumcised men .... may be related to holding inaccurate beliefs concerning unaltered genitalia and the consequences of childhood genital modification*” [79].

**5.2. Neurological Damage.** It has been argued that pain associated with EIMC causes permanent, harmful, neurological changes in the brain [80]. As support, a small study by Taddio *et al.* found neonatally circumcised infants exhibited a stronger pain response to vaccination at 4 or 6 months than did uncircumcised infants [81]. This finding was, however, confined to infants circumcised without anesthetic. Infants circumcised with topical local anesthesia (EMLA cream) had significantly lower pain scores at later vaccination than those circumcised without anesthetic [81]. Taddio *et al.* recommended there be a, “*study of the vaccination pain response of infants who had received more effective circumcision pain management*”. Pain can be avoided when local anesthetic creams are applied *an hour* prior to the MC procedure [82].

An “*after-hours*” MRI brain scan of a single infant before and after circumcision without anesthesia was reported to reveal changes in parts of the brain associated with reasoning, perception and emotion [83]. Ethical approval, logistics and compliance with procedural guidelines were not stated. The mother was strongly opposed to MC, leading critics to question her approval for this experiment and an assertion that the on-line report by an opponent was a fabrication [84].

**5.3. Cognitive Ability Later in Life.** A New Zealand longitudinal study comparing boys circumcised in 1977 or left uncircumcised found no adverse effect on cognitive ability (IQ at age 8–9 years and scholastic ability at age 13) [65]. Similarly, a Swedish study found no adverse psychological effect of MC [85]. A longitudinal study in the UK, beginning in 1946, of more than 5,000 individuals followed from birth to age 27 found no difference in developmental and behavioral indices between circumcised and uncircumcised males [86]. A study of boys aged 9–11 in San Francisco found that circumcised boys had higher satisfaction scores, although general body image was no different [87]. Taken together, these consistent findings in different populations support an absence of an effect of MC on cognitive ability.

**5.4. Autism Spectrum Disorder (ASD).** Analysis of a Danish national medical records databank led to a finding that infant “*circumcision pain*” causes ASD and hyperkinetic disorder [88]. Critics exposed numerous flaws in the study [89]. Firstly, the number of cases was small and statistical significance was marginal. The association with ASD diagnosis was stronger for boys under the age of 4 years, whereas it

should have been greater with the benefit of the higher number in the entire cohort of circumcised (mostly Muslim) boys aged up to 10 years [89]. No attempt was made to examine whether pain in *uncircumcised* boys – such as caused by UTI, that is 10-times more frequent in uncircumcised vs. circumcised male infants, might be associated with ASD [89].

The study authors did not consider alternative explanations. General anesthesia, sometimes advocated for infant MC [90], is neurotoxic and associated with later cognitive impairment [91], and has been disavowed in favour of local anesthesia [92]. Medications for post-EIMC analgesia – specifically, the use of acetaminophen (paracetamol), found in 1994 to be effective for management of post-EIMC pain [93], led the AAP to recommend it [94]. In support of acetaminophen use, rather than EIMC, being responsible for the association, a US study by Bauer *et al.* found no association of EIMC with ASD prior to 1995 [95]. Unlike in older individuals, acetaminophen metabolism in immature brains generates neurotoxic by-products. Bauer criticized the Danish ASD study for falsely suggesting that her group's findings applied to EIMC [96]. These observations may also explain why the older boys in the Danish study (i.e., boys born before the introduction of the guidelines in 1999) showed only a weak association of MC with autism, whereas the younger ones (born after 1999) showed a stronger association. Another Danish study, by Sneppen and Thorup, found an extraordinarily high prevalence of ASD of 7.2% in *uncircumcised* boys [97]. They suggested that the figure of 1.5% reported by Frisch and Simonsen for uncircumcised Danish boys [88] indicated confounding in the latter study. Diagnosis of ASD has been rising steady over the years but has now plateaued in males at 3.6% in the US [98, 99] and 3.7% in South Korea [100], whilst rate of MC has been steadily declining in each country. Other authorities have also criticized the Danish autism study [101, 102].

**5.5. Alexithymia.** Alexithymia is an idiopathic personality trait characterized by difficulty identifying and describing an individual's own, or other peoples' emotions. Like many personality traits, a complex interaction of genetics and environment is generally postulated to be responsible. It has been argued that early trauma, such as pain from EIMC (presumably when performed contrary to recommendations to use local anesthesia) affects the brain, leading to alexithymia [103]. Research support for the hypothesis was provided in a study involving subjects recruited by advertisements on an anti-MC website [103]. Psychiatric problems appeared to be more common in men unhappy at having been circumcised [104]. Body dysmorphic disorder has been linked to alexithymia [105]. Consistent with bias in the small self-selected sample, the overall rate of alexithymia was over 3-times higher than seen in the general population [106]. There was, moreover, no association between age of MC and alexithymia. The authors

later conceded that, “*Circumcision pain itself did not seem to effect [sic] acquiring alexithymia*”, that their sample may be biased, and that the findings were both “*preliminary*” and needed replication [107].

There is strong empirical support for alexithymia being a stable personality trait rather than just a consequence of psychological distress [108]. A large survey evaluating a comprehensive array of emotional problems in pre-school [109] and in 6–16 year-old [110] children from 24 different societies found differences in severity of these between countries, irrespective of MC prevalence in each. While some, but not all [111], studies have shown that men exhibit higher alexithymia scores than women, the difference is seen in countries with divergent MC rates [106].

**5.6. Psychological Trauma.** An unpublished study in 2000 claimed MC was associated with post-traumatic stress disorder [112]. This was contradicted by the survey above [103]. We found no studies to support other MC trauma-related claims [113].

**5.7. Conclusion.** As summarized in **Table 5**, high quality studies show little or no adverse effect of MC on physical or psychological outcomes.

## **6. Sexual Function and Pleasure**

**6.1. Sexual Function.** All systematic reviews of relevant research studies rated by quality found no harmful effect [114-117]. One systematic review included data from 19,542 uncircumcised and 20,931 circumcised men [114]. The key finding was that MC had no adverse effect on sexual function, including erectile function, premature ejaculation, ejaculatory latency, orgasm difficulties, and pain during penetration. Evaluations by researchers in China [115, 116] and Denmark [117], where MC is uncommon, found the same. The findings were, moreover, supported by a meta-analysis of each sexual dysfunction [115]. A UK study of 6,293 men and 8,869 women added further support [118]. The recent systematic review from China found pain during intercourse was 64% more common in uncircumcised males [116]. It also found that erectile dysfunction was significantly less common in circumcised men. A case-control study in Kenya found that circumcised men reported less pain during sexual intercourse than uncircumcised control men during 2 years of follow-up [119]. Other aspects of sexual function did not differ between circumcised and uncircumcised men. Included in each review were 2 RCTs [120, 121], which are regarded as high quality evidence [24]. Each RCT found no adverse effect on any aspect of sexual function by the 2-year post-MC follow-up point. Coital injuries were significantly lower in circumcised men [122-124].

Sexual dysfunction is common in men. The evidence that MC may be responsible included outlier studies that were generally small and of low quality, as well as anecdotes and speculation.

*6.2. Sexual Pleasure.* Several studies concluded that MC diminishes sexual pleasure for men and their female sexual partners [125-131]. Evaluation of these identified multiple flaws [114, 132-138]. Other studies [139-142], including RCTs [120-122, 143], found MC had no adverse effect. In fact, the RCTs found a net increase in sexual pleasure in men and their female partners. The reasons given by women for favoring MC were also esthetics, vaginal penetration, hygiene and reduced infection risk [143].

A list of “16 functions of the foreskin” [144] has been compiled by opponents (**Table 3**). Here we evaluate some of these proposed functions and related claims. The others are mostly speculative, there being no evidence to assess their veracity.

It has been argued that the foreskin contains “10,000” or “20,000” nerve endings essential for sexual pleasure. The “10,000” figure (specifically fine-touch nerve endings; Meissner’s corpuscles) stemmed from a calculation by Prof. Ken McGrath, which he subsequently retracted as being, “an order of magnitude too high” [145].

Fingertips have the highest concentration of Meissner’s corpuscles of any human glabrous skin, and the foreskin the lowest [146]. Meissner’s corpuscles in the foreskin are most abundant up to age 10–14 years, then decline substantially [147], which was stated to contradict the sexual pleasure claim [137]. Cox *et al.* provided data explaining that other types of nerve endings specific to the glans, but absent from the foreskin, are responsible for sexual pleasure [137].

The figure of “20,000” nerve endings appeared in a 1997 magazine article [148] by Paul Fleiss [149]. It cited as support a 1932 paper [150] that did not state there are 20,000 nerve endings in the foreskin. Instead, the “20,000” figure stemmed from a count of 212 nerve endings in 1 cm<sup>2</sup> of an undisclosed part of a single foreskin from an individual of unknown age [150]. Amongst these were 2 fine-touch receptors, but no genital corpuscles that have been invoked as the nerve endings responsible for erogenous sensations [137]. To arrive at “20,000”, 212 would need to be multiplied by 94.3. The 94.3 cm<sup>2</sup> value for both inner and outer surfaces combined, is near the top of the range of 7–99.8 cm<sup>2</sup> (av. 38.5 cm<sup>2</sup>) reported more recently for total foreskin surface area [151].

It has also been argued that the foreskin has a surface area of “15 square inches” [22]. This value is at the upper (~0.1%) limit of the range found for the combined inner and outer foreskin area of 965 Ugandan men (aged 15–49 year) of 7–99.8 cm<sup>2</sup> (mean 38.5 cm<sup>2</sup>) [151], i.e., 1.1–15.5 square inches. The only other study, involving 8 cadavers (of unstated age, race, etc), reported a combined outer and inner foreskin area of 18.1–67.5 cm<sup>2</sup> (2.8–10.5 square inches, mean 7.2



square inches [46.7 cm<sup>2</sup>]) [152]. Those measurements showed that foreskin size is highly variable, very much more so than penis length [153]. Darwin noted, “*An organ, when rendered useless, may well be variable, for its variations cannot be checked by natural selection*” [154].

We could find no evidence to support the existence of pheromones in the foreskin [155].

It has been postulated that, “*In heterosexual intercourse, the non-abrasive gliding of the [uncircumcised] penis in and out of itself within the vagina facilitates smooth and pleasurable intercourse for both partners*”, meaning easier penetration, nerve stimulation and prevention of loss of vaginal lubricant [156]. No gliding would, however, occur for men with short foreskins. We could find no studies investigating this proposed phenomenon in men or their sexual partners. The purported lubrication provided by “*gliding*” should reduce pain during intercourse (dyspareunia). However, the better-quality studies reported either no difference or less pain in circumcised men [114-117, 119-122, 157] and their female sexual partners [143] (**Table 6**). Contrary claims appeared to be based on speculation, anecdotes or low quality studies [158].

Further information addressing the “16 functions” is available [159].

**6.3. Data From High Quality Studies.** Two high quality studies, a RCT in Kenya [121] and a cohort study in the Caribbean [122], found that sexually experienced adults reported improved sexual pleasure and function after circumcision. A meticulously conducted systematic review of all studies found that, overall, MC had no adverse effect on penile sensitivity, sexual arousal, sexual sensation or pleasure [114]. Criticisms of that study [131] were shown to lack merit [136]. The findings were consistent with a systematic review of histological correlates of sexual sensation showing that the sensory receptors responsible for sexual pleasure (genital corpuscles) reside in the glans, not the foreskin, meaning loss of the foreskin by MC should not diminish sexual pleasure [137]. By exposing the glans, as often occurs in an uncircumcised man during erection, MC was proposed to increase sexual pleasure [137]. The foreskin, just as other skin on the body, contains sensory receptors that respond to touch, temperature and pain. Since the density of Meissner’s corpuscles in the prepuce diminishes at puberty when male sexual activity is increasing, these touch receptors are unlikely to be involved in sexual sensation [137]. Moreover, free nerve endings (that also respond to touch) showed no correlation with sexual response. Sensitivity of the glans to touch decreased with sexual arousal, so further diminishing a role for touch receptors in sexual sensation [160]. Sensitivity of the penis to vibration, which is able to elicit arousal and ejaculation, is not related to MC status [137].

6.4. *"Foreskin Restoration"*. This undertaking involves stretching the skin on the shaft of the circumcised penis using weights. Various psychological disorders [161, 162] were found to be more prevalent in circumcised men preoccupied with their absent foreskin [104]. Such men were more likely to undertake *"foreskin restoration,"* which was found to occasionally require subsequent "re-circumcision" [163-165] or medical attention for resulting genital mutilation [163, 166].

6.5. *Conclusion:* As summarized in **Table 7**, high quality research shows that MC has no adverse effect on sexual function, sensitivity or pleasure. This finding contradicts arguments based on low-quality evidence.

## 7. HIV Infection

7.1. *Heterosexual Men.* Evidence showing that MC provides protection against heterosexually acquired HIV infection in men has been disputed [31, 167-184]. Early evidence of protection was confirmed by three RCTs in sub-Saharan Africa [185-187], a review [188] and a Cochrane committee meta-analysis that showed high consistency of the trial results [189], leading to endorsement of MC by the World Health Organization (WHO) and UNAIDS as an additional important intervention to help reduce HIV prevalence in epidemic settings [190, 191]. Roll-out of VMMC programs has resulted in 15 million MC procedures in high-priority countries [192], so helping reduce infections and save lives [192]. Criticisms of the RCT findings by MC opponents [168-171, 180-184] were shown by scientists and public health authorities to contain fundamental flaws [56, 193-209]. The suggestion that, once circumcised, men would forego condom use was contradicted by a recent meta-analysis that found no difference in use of condoms for up to 2 years post-MC [210].

Recent meta-analyses have shown protective effects of MC in circumcised men of 70% (95% CI 0.24–0.38;  $p < 0.00001$ ) [211] and 72% (95% CI 1.7–7.1) [212].

Compelling biological reasons have been used to explain the vulnerability of the foreskin to HIV infection [77, 213-217]. Infectivity is exacerbated in inflammatory states and ulceration from sexually transmitted infections (STIs) [218-222], coital injuries more common in uncircumcised men [122-124] and foreskin size [151]. Langerin, produced by the mucosal epithelium of the foreskin, is protective at low viral loads [223], but becomes overwhelmed at high HIV loads [223, 224].

Those who had denied the evidence, but have now accepted that MC is effective in HIV prevention in sub-Saharan Africa, continue to dispute its effectiveness in developed countries, despite US data to the contrary in heterosexual men [225, 226], supported by the US CDC [3, 4, 227]. In European

countries (low MC rate) heterosexually-acquired HIV was 6 times higher in men and 10 times higher in women compared with Israel (high MC rate) [228].

*7.2. HIV infection in women.* Based on data from two studies [229, 230], it was argued that MC increases women's HIV infection risk. In the Rwanda study, women with higher HIV-positivity were from higher socioeconomic groups [229] in which MC is more common, as is promiscuity. Cross-infection from unhygienic traditional MC may also have contributed [231]. The Uganda study found that the marginally higher ( $p=0.04$ ) HIV infection in women was limited to women whose male partner disobeyed medical advice and resumed sexual intercourse prior to the 6 weeks post-MC wound-healing period [230]. Inadequate recruitment, and thus power, resulted in the trial being stopped at interim analysis [230]. Enrolment of the necessary 10,000 serodiscordant couples was deemed "*logistically unfeasible*" [232].

Meta-analyses have found 20% [232] and 32% [211] non-significantly lower HIV risk in women with circumcised male partners. Much lower HIV prevalence was seen in South African women who only had circumcised male partners [233, 234].

*7.3. HIV Infection in Men Who Have Sex With Men (MSM).* A Cochrane meta-analysis concluded that, "*Current evidence suggests that male circumcision may be protective among MSM who practice insertive anal sex, but the role of male circumcision overall in the prevention of HIV [...] among MSM remains to be determined*" [235]. The meta-analysis found a 73% decrease in HIV infection risk in studies of MSM reporting an *insertive* role during anal intercourse, but no significant difference in studies of men reporting a *receptive* role [235]. The protective effect of MC was 89% in a study of insertive MSM in Sydney, Australia [236].

*7.4. Intercountry Comparisons.* Arguments that HIV rate is higher in the US than Europe despite higher MC rate in the US, have failed to acknowledge that the major route of HIV infection in the US is receptive anal intercourse amongst MSM, for which MC affords no protection [56].

## **8. Other Sexually Transmitted Infections**

*8.1. Overview.* An extensive article disputed the ability of MC to protect against other STIs [237]. Detailed evaluation of that article revealed serious flaws in statistical analyses, as well as obfuscation and misrepresentation of data [238]. That author's previous MC and (non-HIV) STI analyses [237, 239-241] have also been shown to contain serious analytical and evidential flaws [238, 242, 243].

The following summarizes the high-quality evidence addressing the role of MC in protecting against various specific STIs.

**8.2. Oncogenic Human Papillomavirus (HPV) Genotypes.** A recent meta-analysis of 30 studies found MC was strongly associated with reduced odds of genital HPV prevalence (OR 0.68; 95% CI 0.56–0.82) [244]. That meta-analysis treated all study types equally. Risk reduction was 53–65% in 2 earlier meta-analyses and 40% in 6 RCTs (see recent risk-benefit analyses [245, 246]). A large multinational study found penile HPV in 19.6% of uncircumcised vs. 5.5% of circumcised men [247]. After adjustment for age at first intercourse, lifetime number of sexual partners, and other potential confounders, circumcised men were 63% less likely to be infected with HPV [247]. A large UK survey found high-risk HPV types were 86% less prevalent in circumcised men [248]. A RCT published in 2012 found that the incidence of flat penile lesions (mostly caused by high-risk HPV types) was 98% lower among circumcised men [249]. Thus, these high-quality studies and analyses confirm the protective effect of MC.

MC also protects against low-risk (non-oncogenic) HPV types responsible for genital warts [250]. These HPV types infect the shaft and genital area generally, whereas high-risk types mostly infect the foreskin and underlying glans [250]. A RCT found that circumcised men had a shorter duration of HPV infection of the glans/coronal sulcus [251], but duration of infection did not vary by circumcision status in the penile shaft, scrotum, or all genital sites combined. Thus, clearance is greatest in precisely the area of the penis exposed by MC. A US study found that MC was associated with a statistically significant increased likelihood of clearance of any HPV infection (HR 2.7; 95% CI, 1.3–5.7) and of clearance of oncogenic HPV infection (HR 3.2; 95% CI, 1.4–7.4), but not with an increased clearance of non-oncogenic HPV infection [252]. The meta-analysis cited above conceded that, “*sampling sites also played an important role in the final results,*” and that, “*selection bias in our meta-analysis*” (i.e., not taking into account penile sites used for sampling) affected the conclusions [244]. Use of a single combined sample for the penis and scrotum was the likely explanation for a negative result in one study [253]. Foreskin HPV infection is significantly higher in men with phimosis [254].

In summary, MC reduces penile infection by, and increases clearance of, high-risk HPV genotypes.

**8.3. Genital Herpes Simplex Virus-2 (HSV-2):** Data from 3 RCTs in sub-Saharan Africa found significant decreases of 45%, 30%, and 28% in HSV-2 infection in men after MC [255–258]. A 2006 meta-analysis, that predated publication of the RCTs, found HSV-2 was 15% (OR 0.74–0.98) lower in circumcised men, after adjustment for confounding factors [259].

**8.4. Protection of Men Against Other STIs.** As documented in a critical review [238], RCTs and other studies have found MC affords protection against *Trichomonas vaginalis* (50%) [260], *Mycoplasma genitalium* (40%) [261], *Treponema pallidum* (syphilis) (33–50%) [259, 262, 263], chancroid (50%) [259] and genital ulcer disease (50%) [264, 265]. Genital ulcers in uncircumcised men contain a higher prevalence of anaerobic bacteria. RCT data showed that MC reduces total bacterial load and microbiota biodiversity [50]. A RCT found no syphilis infections in the 24 months after MC compared with 9.6% in men who remained uncircumcised ( $p=0.09$ ) [218]. Although RCT data by Tobian *et al.* failed to find a reduction in syphilis, this might have reflected lack of statistical power due to the small number of syphilis infections identified on follow-up testing [266]. Tobian, in an editorial covering another large study that found 42% lower syphilis in circumcised men [262], acknowledged that MC *does* reduce syphilis risk [267]. Arguments disputing the use of MC for syphilis risk reduction [268] have been criticized [205]. Data show that MC does not, however, protect men against sexually transmitted urethritis [243].

**8.5. Protection Against STIs in Women.** Findings on the impact of MC on STIs in women are mixed. At the very least, it should be obvious that any measure that reduces risk to the male partner of being infected should reduce STI prevalence in women. Below we summarize available data.

In women, high-risk HPV infection may cause cervical dysplasia that can progress to cervical cancer. High-risk HPV also contributes to other genital cancers and to oropharyngeal cancers. Over her lifetime, a woman may have sexual partners of either MC status, potentially confounding associations between male partner MC status and a woman's HPV risk. This issue was addressed in a large multinational study, in which confounding was minimized by restricting the analysis to 1,420 men whose female partner reported having had only a single sexual partner [247]. The men were rated for their "sexual-behavior risk index". Men who were high-risk had had  $\geq 6$  sexual partners and first intercourse prior to 17 years of age. Men who were low-risk had had  $\leq 5$  sexual partners and first sexual intercourse at  $>17$  years of age. The remaining men were classified as having an intermediate risk. Monogamous women whose male partner had either a high or an intermediate sexual-behavior risk index were much less likely to have had a cervical cancer diagnosis if the male partner was circumcised (OR 0.18 [95% CI 0.04–0.89] and 0.50 [95% CI 0.27–0.94], respectively). An RCT found prevalence and incidence of high-risk HPV after 2 years to be, respectively, 28% and 23% lower among women with circumcised male partners than women with uncircumcised partners [269].

An argument that effective HPV vaccines render MC for protection irrelevant fails to appreciate that current HPV vaccines are prophylactic not therapeutic, are primarily administered to girls in early

high school, and are directed at only the two most common of the >14 mucosotropic HPV genotypes. In theory, the advent of a nonavalent HPV vaccine could, only if 100% effective, increase protection from the current 70% to as much as 93%. A recent systematic review of real-world experience with HPV vaccination [270] revealed its suboptimal effectiveness (see Figure 3C of that publication). In Australia, one of the earliest countries to vaccinate girls (in 2007), there was an 86% (not 100%) decrease in the 4 vaccine genotypes (HPVs 6, 11, 16 and 18) [270].

As with other public health interventions, a package of multiple preventive measures is likely to have a greater impact than vaccination alone. HPV vaccination against a subset of HPV types in early adolescence can help mitigate cervical cancer risk, but uptake is not widespread in all settings and durability of effectiveness remains to be seen. The emerging switch from pap smears to primary screening for HPV in high-income countries, by a PCR-based test [271], will improve risk detection, but is not practicable in resource-constrained settings.

Genital herpes infection risk in a Pittsburgh study was twice as high in women who had ever had intercourse with an uncircumcised man (OR 2.2; 95% CI 1.4–3.6; n=1,207). Similarly, a RCT found 2-fold higher HSV-2 infection over 12 months in 783 wives of uncircumcised men [272]. Secondary data from another RCT found HSV-2 was the primary pathogen in 96% of the 67% of genital ulcers in the female partners in whom an etiological agent had been identified [273]. Most participants had been infected with HSV-2 prior to commencement of the trial and HSV-2 detected in these women represented mostly reactivation of pre-existing infection.

*Chlamydia trachomatis* seropositivity in a large, multinational study was 5.6-fold higher in women with an uncircumcised male partner [274]. The finding also applied to women who had only had one sexual partner. Prevalence of *C. pneumoniae*, which is not transmitted sexually, did not differ. The authors suggested that infected cervicovaginal secretions may be trapped under the foreskin for longer in uncircumcised men, increasing risk of penile urethral infection and transmission to the vagina during sexual intercourse [274]. A prospective study involving populations from Uganda, Zimbabwe and Thailand, however, found no difference in chlamydial, gonococcal or trichomonal infections in women as a function of MC status [275].

For other STIs, a RCT found that genital ulcer disease risk was 22% lower in women with circumcised male partners, bacterial vaginosis was 40% lower, severe bacterial vaginosis was 61% lower, and *Trichomonas vaginalis* was 48% lower, but there was no difference in dysuria or vaginal discharge [272]. A large prospective cohort study of 2,946 HIV-negative couples found syphilis was 75% lower in the female partners of circumcised men [262]. A prospective study in Kenya by the same

authors found that those with circumcised male partners had a 58% lower risk of incident *Trachomatis vaginalis* compared to women with uncircumcised partners [276].

A recent systematic review of MC and STIs in women identified 9 RCTs and 48 observational studies of populations globally [277]. Overall, MC reduced acquisition of STIs and cervical cancer in women, being strongest for HSV-2, chlamydia and syphilis. The authors found medium consistency evidence for protection against any HPV type and low-risk HPV types, intermediate consistency for any STI, candidiasis, dysuria, genital warts, gonorrhoea, high-risk HPV viral load and *Mycoplasma genitalium*, with discrepant values for bacterial vaginosis, HIV, high-risk HPV, non-specific genital ulcers, trichomonas and vaginal discharge that rendered the latter low-consistency. More information was presented in an editorial [278]. Clearly, reduced population prevalence of STIs in men will translate into lower risk of STI exposure in women.

**8.6. STI Vaccination.** Development of prophylactic vaccines against HIV and STIs other than HPV remains a desirable, but to date elusive goal. Thus, although STI diagnosis and treatment continues to improve, prevention has to remain a priority for many reasons, not the least of which is cost.

**8.7. Protection Against Other STIs in MSM.** A study in 2012 found that MC provided 57% protection against the major oncogenic HPV type, HPV16, in Australian MSM who practiced predominantly insertive anal intercourse [279]. Not surprisingly, no protection was observed for men who predominantly assumed the receptive role during anal intercourse. A 2011 Cochrane meta-analysis examined syphilis, HSV-1 or HSV-2 in MSM and found no overall significant association with MC status [235]. The Australian group found that MC protected against *incident* syphilis (HR 0.35; 95% CI 0.15–0.85), particularly in the one-third of MSM who engaged predominantly in insertive anal intercourse (HR 0.10; 95% CI 0.01–0.81)[280]. An explanation for association with incident but not prevalent syphilis in that study was that MSM who initiated sexual activity during the late 1980s and 1990s when syphilis prevalence was low would have been at very low risk of acquiring syphilis irrespective of their MC status, but only since 2001 has syphilis re-emerged in Australian MSM [280].

**8.8. Conclusion.** As summarized in **Table 8**, high quality data show that MC protects against risk of HIV and various other STIs.

## 9. Condoms for Protection Against STIs

It has been argued that condoms afford complete protection against HIV and other STIs, so obviating the need for MC [67, 180, 181]. Current data show, however, that condoms provide protection against HIV infection that ranges from 80% [281] to 71–77% [282]. This protection only applies if condoms are used consistently and correctly [281, 283]. A Cochrane systematic review and meta-analysis of RCTs of condom use (2 in the US, one in England and 4 in Africa) found, “*little clinical evidence of effectiveness*” and no, “*favorable results*” for HIV prevention [284]. That study did, however, find that condoms were 42% effective in prevention of syphilis infection [284].

Unlike condoms, MC is a one-off procedure that does not require future compliance each time a man has sexual intercourse. In this respect MC can be compared with vaccination. However, the only vaccines currently in widespread use (in early high school females and increasingly in males) for STI prevention are those that protect against certain HPV genotypes. MC and condom use each provide a reasonable degree of protection against STIs. When both are in place protection is higher [56].

## **10. Delay of MC Until Males Become Sexually Active**

It has been argued that MC be delayed to allow the male to decide if he wishes to reduce his risk by choosing to get circumcised when he is old enough to be sexually active [285, 286]. Substantial problems with this argument have been enunciated [287] (**Table 9**). First, MC has other benefits besides STI prevention and these benefits start early in life (see UTIs section above and inflammatory skin conditions and physical problems sections below). The benefit-to-risk ratio from EIMC is high and has increased over the years as more evidence has accumulated [245, 246, 287-291] (**Table 10**). Second, EIMC is simpler, quicker, less expensive, with lower risk of complications [52], healing is faster and the scar can be almost invisible [287]. Third, there are substantial barriers to later circumcision [287]. These barriers include: the decision process, peer pressure, affordability, slower healing, pain during nocturnal erections, the need to abstain from sexual activity for ~6 weeks, and a visible scar afterwards. The sexual abstinence period is often cited by men as a significant barrier, so favoring EIMC as the preferred time [292].

An argument that infant MC should be banned, discouraged or at least delayed until the boy is old enough to decide for himself [19-21, 293, 294] was refuted by authorities in ethics, who have presented sound reasons why such reasoning is flawed [295-301]. It was argued that being circumcised boosts autonomy more than constraining it [302]. The AAP recommended that prior to or early in a pregnancy the medical practitioner should provide parents with unbiased education about risks and benefits of EIMC so they have adequate opportunity to choose what is in their child’s best interests should they have a boy [1]. Furthermore, MC later in life is not only associated with a 10–20-fold higher risk of



adverse events [52], but, as explained above, having MC performed later poses significant barriers to adolescent boys and men that usually mean MC will not happen except for a medical reason [287].

## 11. Penile Inflammatory Conditions and Treatment

There has been a trend away from MC and toward use of steroid creams for treatment of phimosis and penile inflammation [303]. This approach is not ideal [304, 305]. Commitment is needed for regular application, there is a risk of side effects from long-term use of steroids, and effectiveness of 2 (range 1–23) months' treatment was only 35% during 4 (range 1.5–60) months' follow-up in a recent meta-analysis on the very serious foreskin-related inflammatory condition, lichen sclerosus [305]. In contrast, MC is close to 100% effective [306].

Phimosis, balanitis and candidiasis can occur alone, or can co-occur. A meta-analysis found 68% lower balanitis rates in circumcised males [307]. Penile candidiasis was reported in 7.7% of uncircumcised men vs. 4.9% of circumcised men in a large Australian survey [308]. In boys aged 8 months to 18 years (mean 6.4 years) the prevalence of fungal infection was 44% in uncircumcised boys vs. 18% in circumcised boys [309]. The fungal species were, in order of decreasing prevalence: *Malassezia globosa*, *M. furfur*, *M. slooffiae*, *C. albicans*, *C. tropicalis* and *C. parapsilosis*. Each was present in uncircumcised infants, but none in circumcised infants. A gradual accumulation with age occurred, increasing by age 18 years to 62.5% in uncircumcised boys vs. 37.5% in circumcised boys. Recently, a strong direct link has been found between *C. albicans* antibodies and schizophrenia in men, independent of potential confounders [310].

## 12. Penile Cancer

The strong protection afforded by MC, especially EIMC, against penile cancer has been disputed by opponents [27, 311, 312]. The arguments used have been criticized as flawed [313–315]. A claim that a penile cancer diagnosis of 1 in 100,000 men indicates that the disease is very rare. This figure is, however, an approximation of the *annual incidence*. The more relevant figure is *lifetime risk*, which is approximately 1 in 1,000 for an uncircumcised man [316]. This would make penile cancer uncommon, but not rare. Its prevalence in *circumcised* men, of 1 in 50,000 to 1 in 12,000,000 [317, 318], might be considered rare. A California study found that uncircumcised men had a 22-fold higher risk [319]. The reason why uncircumcised men are at elevated risk stems from foreskin-related conditions, most prominently phimosis, which was shown in a meta-analysis to increase the risk 12-fold [307]. EIMC eliminates lifetime risk of phimosis. Meta-analyses found that balanitis increases penile cancer risk 3.8-fold and smegma (a whitish film that accumulates under the foreskin of men and that is comprised of

dead and decomposing exfoliated skin cells, bacteria and other microorganisms) increases penile cancer risk 3.0-fold [307].

Penile inflammatory conditions are much more common in uncircumcised men [304]. A meta-analysis found 47% of penile cancers are positive for high-risk HPV genotypes [320]. Since HPV genotypes prevented by current HPV vaccines constitute approximately 70% of population prevalence of all high-risk HPV genotypes, one might predict that HPV vaccination would offer the potential to reduce penile cancer by up to  $47 \times 0.7 = 33\%$ . This level of risk reduction is similar to that conferred by MC. An early concern was that, over time, non-vaccine HPV genotypes might replace vaccine genotypes [321]. There is now evidence for this. Eight years after introduction of the HPV vaccination program for girls in Australia, prevalence of HPV 16 and 18 decreased in heterosexual men from 13% to 3% ( $P < 0.0001$ ) [322]. But there was no decrease in HPV genotypes overall, and, "*prevalence of non-vaccine-targeted genotypes*" increased from 16% to 22% ( $p < 0.0001$ ) [322]. A combination of public health measures is normally advocated for disease prevention.

### 13. Prostate Cancer

Prostate cancer affects  $\geq 10\%$  of men over the lifetime. A 2015 meta-analysis found that, after reducing heterogeneity by removing outlier studies, prostate cancer risk was significantly lower in circumcised men, especially in the post-PSA testing era ( $p=0.01$ ) [323]. In Blacks, large US [324] and Canadian [325] studies showed risk reductions of up to 36% (95% CI 8–61) and 60% (95% CI 0.19–0.86), respectively. MC prevalence worldwide is inversely correlated with prostate cancer incidence [326]. Countries with high MC prevalence have lower prostate cancer-related mortality, corrected for potential confounding factors [327]. The risk reduction associated with MC is on a par with other commonly recognized factors associated with decreased prostate cancer risk [328, 329].

### 14. Ethical and Legal Issues

Legal, human rights and other arguments have been invoked in opposing EIMC [27, 67, 294, 330-335]. Evaluation of those arguments have revealed flaws [315, 336-347]. Arguments criticizing the AAP's policy statement on ethical and legal grounds [1, 2, 27, 286, 294, 330, 348, 349] were followed by evaluations undermining the arguments used [336, 337, 340, 347, 350-352]. Articles critical of the CDC's draft recommendations on similar grounds [3, 4, 67, 303, 331] have also been shown to contain serious flaws [338, 339, 341].

Scholarly assessments concluded that MC of minors is ethical [295, 297, 298, 300, 301, 344, 353]. Given the wide-ranging protection against multiple medical conditions and infections in infancy and

childhood, including STIs in adolescents who become sexually active, it was argued that it would be unethical to leave boys uncircumcised [297, 344]. It was argued that Article 24(3) of the United Nations (UN) on the Rights of the Child might be interpreted as mandating EIMC, since not circumcising boys has been deemed as prejudicial to their health [297].

The statement “*First do no harm*” (often incorrectly attributed to the Hippocratic Oath) has been used to argue against MC. That statement is derived from the four pillars of medical ethics. The argument presupposes that MC is harmful, meaning that it is based on a false premise. It ignores the principal of beneficence – acting in the patient’s best interest. The statement in the Hippocratic Oath, “*I will prevent disease whenever I can, for prevention is preferable to cure*” [354, 355] is pertinent. Recent evaluation of the legal and ethical issues was provided by professors of law, bioethics, urology and medical sciences in the *International Journal of Children’s Rights* [339] and the *Journal of Law, Medicine and Ethics* [315]. Rivin *et al.* determined that given the strong evidence for diverse benefits and very low risk, in the light of current international conventions it would be unethical not to recommend EIMC [339].

Evaluation of US and international statutes as well as US case-law, including of cases used by a lawyer to support his arguments against MC [67], revealed no precedent for outlawing parent-approved MC of minors [315, 341]. A report by the Tasmanian Law Reform Commission [333] was shown by legal, public health and medical experts to be seriously flawed [344]. If it was, “*unlawful for physicians to circumcise*” [67], then EIMC would not be one of the most common medical procedures in the US [339]. It was noted, moreover, in a detailed treatise by a US lawyer opposed to MC that, “*Most circumcision lawsuits go nowhere*” [356].

An evaluation pointed out that those who condemn parent-approved MC of boys are not as quick to condemn other procedures in children, such as ear-piercing, cosmetic orthodontia, surgery for correction of harelip and tongue-tie, removal of supernumerary digits, and treatment of dwarfism by growth hormone injections [297]. Removal of birthmarks and moles can be included. It was suggested that these interventions should be regarded by parents and physicians as being beneficial to the child, and that it seemed odd that infant MC is regarded by some as controversial [297].

## 15. Logic

*15.1. False Equivalence.* It has been pointed out that, unlike EIMC, it is not the practice to routinely cut off ear lobes and breast buds to prevent future cancers or to remove the appendix to prevent appendicitis [22]. The fallacy of false equivalence was invoked in disputing the argument [357, 358]. It was pointed out that the breast is a body part with an important function. In contrast to MC, none of the other

proposed prophylactic interventions would come close to the outcome of risk-benefit (Table 10) or cost-benefit analyses obtained for EIMC.

Another example we found was associating MC with female genital cutting/mutilation, the more extreme forms of which cause severe harm. The closest female equivalent of MC, clitoral hoodectomy, was introduced in the 1950s for women with an excessive or phimotic clitoral foreskin [359, 360]. In a sexual dysfunction clinic in Boston, severity of clitoral phimosis was associated with increased likelihood of anorgasmia [361]. We could find no evidence for its practice today. No scientific reason was found to equate the strong arguments favoring MC because of its multiple medical benefits with female genital mutilation or other procedures without proven medical benefits.

*15.2. Genetic Fallacy.* Historical anecdotes, such as a belief by some in Victorian times that MC could be used to cure masturbation, have been used by opponents to dismiss MC [362]. It has been suggested that irritation from balanitis, smegma and infections could cause an uncircumcised boy to touch his penis, leading to stimulation and masturbation, behaviors frowned on in Victorian times [363, 364]. A major 1913 textbook that expressed disdain for masturbation, made no mention of MC as a “cure” [365].

MC is an ancient practice [366, 367]. Evidence of MC in Europe during the Upper Paleolithic era (38,000–11,000 BCE) was found in portable art and rock art at that time [366]. It was suggested that the practice of MC may have accompanied the radiation of *Homo sapiens* out of Africa [367] ~220,000 years ago [368]. It has further been suggested that privation and other forces explain why MC subsequently ceased in some cultures [367]. In Victorian times, health benefits, such as protection against syphilis [369], balanitis, inferior hygiene and phimosis [370], have been used to explain why MC became popular in Anglophone countries [367]. MC is common in diverse cultures globally [371]. The modern continuation or re-emergence of ancient practices such as MC and hand-washing likely stem from underlying disease prevention measures. Over time these may have been subsumed into religious custom [367]. The reasons humans might have had for MC hundreds or thousands of years ago can nevertheless be separated from the reasons for medical MC in contemporary society, the latter being based on sound scientific evidence described above, this being independent of these earlier reasons.

## 16. Cost Effectiveness

In the US, a downturn in MC prevalence has been attributed to weak pediatric policy statements prior to 2012, increased immigration from countries in which MC is less common, a reduction in access and affordability, and lobbying by organizations opposed to MC [291]. Similar trends occurred in Australia from the 1970s and the UK from the mid-20<sup>th</sup> century. In the US, this has included cessation of

Medicaid coverage for elective MC in 18 States. US studies show that, in the long-term, costs will be substantially higher because of the need for later, more expensive, medically indicated MC [226, 372-375], which carries a 10–20 fold higher risk of an adverse event [52], and for treatment of a wide array of conditions that EIMC protects against [226, 343, 372-377]. One study, of UTI and STIs in the US, estimated that if MC declined from current levels to a level of 10%, costs would escalate to in excess of US\$4.4 billion over 10 annual birth cohorts, the increase in expenditure being \$313 per foregone MC [372]. Just for HIV in the US, the, “*associated indirect costs may be more than 4 times the total direct medical expenses*” [378]. It was suggested out that if other conditions prevented by MC, as well as the indirect costs, were to be considered, the true cost would be considerably higher [372]. For prostate cancer in the US, in the absence of MC it was estimated that there would be 24–40% more cases and US\$0.8–1.1 billion extra in costs for treatment and terminal care per year [328]. The CDC found MC in the US was cost-saving for HIV prevention in black and Hispanic males in whom HIV prevalence is highest [226].

Medicaid does not cover elective MC in several US states, thus making it unaffordable for poor families. The ensuing decrease in infant MC has been estimated to result in >100 additional HIV cases and \$30M in net medical costs for treatment per year [373]. The cost to circumcise males in this birth cohort was US\$4,856,000, i.e., 6% of the cost of treating just HIV. Modelling studies have, moreover, found cost savings initially generated by non-coverage of elective infant MC by Medicaid in Louisiana [374] and Florida [375] were mitigated by increases in rate and expense of medically indicated MC. The Louisiana study only considered the costs of later MC for boys aged 0–5 years. Lifetime costs would therefore represent a far greater financial burden on healthcare systems. The Florida study found Medicaid defunding led to a 6-fold rise in publicly-funded MCs at a cost of US\$112M [375], leading Florida to restore Medicaid coverage for non-medical MC [379].

Medical MC is enormously cost-saving in high-HIV settings [380], and continues to be rolled out in 14 high-priority sub-Saharan African countries with the approval of the WHO, the US CDC, UNAIDS, PEPFAR, the Bill & Melinda Gates Foundation, and local government and medical bodies dealing with the epidemic. Since EIMC is more cost-effective, procedurally simpler, has a lower risk of adverse events, is quicker, more convenient, acceptable, and confers immediate benefits, albeit with a considerable lag before its HIV protection benefits begin, the CDC has recommended EIMC in 12 of these countries [381].

## 17. US and Non-US Policies

MC policies by the AAP 2012 [1, 2] and, in draft form, by the CDC [3, 4] arrived at affirmative recommendations. Although the Canadian Pediatric Society (CPS) produced a position statement in 2015, it only recommended MC for males in high-risk situations [8]. Its recommendations stemmed from a faulty risk-benefit analysis that was subsequently performed correctly by critics [245]. The CPS responded to the criticisms [62], but their response was also seriously flawed [382].

Current policies in other countries are negative and out-of-date. The 2010 Royal Australasian College of Physicians (RACP) policy [6] led to a detailed critique by Fellows of the RACP and other medical bodies showing it was not evidence-based [383]. A defence by the chair of RACP committee [177] was repudiated [384]. Arguments by another commentator [385] were also criticized [386]. Guidance by the British Medical Association has been negative [5]. The only actual MC policy in Europe is by the Royal Dutch Medical Association [7]. It was formulated by Gert van Dijk, a philosopher having no relevant scientific or medical background, and appears ideology-based, rather than science-based. Significantly, none of the policies opposing MC of boys denies MC's importance in high-HIV settings, or make extravagant claims about foreskin function.

In summary, our detailed evaluation of the high-quality evidence shows that policies opposing MC are out-dated and are not based on scholarly reviews of the medical scientific literature. At this point, we conclude that only policies by US medical bodies [1-4] and the Circumcision Academy of Australia [290], each involving a detailed evaluation of the scientific evidence, should be relied on.

## 18. Consequences

Based on our evaluation of the scientific evidence, a downturn in MC, as intended by MC opponents, would have a detrimental impact on public health and individual wellbeing. This will in turn drive up costs for treatment of foreskin-related conditions and more expensive MC in older males.

Men circumcised as adults are able to compare their experience before and after MC, but men circumcised as infants have no experience to draw upon. Arguments used opposing MC can result in psychological problems [387] and their sequelae [388] in vulnerable men. The risk of distress, depression and the broader psychological impact of arguments opposing MC in vulnerable men, and parents, merit further investigation.

In light of the above, one might appreciate the importance of MC education based on strong scientific evidence. Pseudoscience concerning HIV and AIDS led the then South African President, Thabo Mbeki, to disavow antiviral drugs, leading to loss of 330,000 lives and 35,000 babies being born with HIV [389].

## 19. Limitations

A limitation of this study is that many arguments opposing MC are absent from the scientific literature, but are popular on anti-MC websites and social media. Searching only publication databases will miss these. We address this limitation to some extent by examining the “*16 functions of the foreskin*” meme (Table 3), which is particularly popular, as an Internet search will show. Some others are mentioned where they are relevant to published claims. But others will, inevitably, be overlooked as our review gives priority to published claims, these being the ones more likely to be influential to health care professionals. Not being in the peer-reviewed scientific literature necessarily reduces the credibility of certain claims in the first place and, it is to be hoped, health-care professionals at least should be wary of claims that are not supported by scientific evidence published in reputable journals.

Another limitation is that the degree of benefit over the long-term may be higher than evident from age-restricted or short-term studies. For example, early studies of UTIs in infancy found MC conferred a 10-fold risk reduction, but only 1% of uncircumcised males were diagnosed with a UTI in the first year of life, whereas inclusion of data for older children and men found the lower risk reduction later meant overall lifetime risk reduction was 4-fold, but the proportion of uncircumcised males experiencing a UTI over their lifetime was 32% compared with 8.8% for circumcised males [26]. Long-term follow-up of the three MC and VMMC RCTs have shown a continuation of level of effectiveness of approximately 60% in two of these [390, 391], but an increase in effectiveness in another RCT to 73% [392]. Thus, with larger and wider studies we expect the data will continue to consolidate and even increase the strength of the protective effect conferred by MC.

The specific focus of our evaluation is another potential limitation. The purpose of our systematic review was to assess the scientific and medical data, including data on sexual function. We did not address psychosocial, religious or emotional arguments that might be posed. Nor did we address local or regional factors, MC practice in developed countries vs. developing countries, or Muslims vs. others.

## 20. Conclusions

The present systematic review has contrasted evidence used to argue against MC with evidence from RCTs, systematic reviews and meta-analyses, in particular, that has demonstrated the multiple medical and health benefits and low risk of MC to males [246, 291, 393] and their female sexual partners [277, 278]. The key publications forming the framework of the present systematic review are provided in **Table 11**, as required by PRISMA guidelines. We find that based on the evidence rated by quality, MC, especially when performed in early infancy, is favored.

One should be aware of confirmation bias and asymmetric Bayesianism [394] when it comes to any discussion of a contentious topic such as MC. A recent study revealed, moreover, that *ad hominem* attacks on scientists themselves, rather than the empirical basis of the science, are an effective strategy by those who reject scientific evidence on a topic [395].

Following the 2012 AAP infant MC policy, a commentary in *AAP News* [396] suggested that the statement by the AAP Task Force that, “*It is important that clinicians routinely inform parents of the health benefits and risks of male newborn circumcision in an unbiased and accurate manner,*” may require pediatricians, at least those in the US, to modify their discussions about newborn health interventions with parents, since, “*physicians sometimes can be held accountable for harm that results from not telling patients about an available medical treatment or procedure*” [396].

The AAP suggested that after evaluation of the evidence by parents those individuals should be free to either consent to having their son circumcised or decline circumcision for a son [396]. Women can have considerable power in regard to the decision. They can influence the choice of EIMC or later MC for their sons [287], brothers, other male family members and friends. They can, moreover, choose to have a circumcised sexual partner or encourage an uncircumcised partner to undergo the procedure.

The present systematic review should help prioritize the best scientific evidence when it comes to MC, especially EIMC, as an important public health issue worldwide. It should also provide a useful resource for those confronted with contrary information.

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## **Conflicts of Interest**

The first author is a member of the Circumcision Academy of Australia, a not-for-profit, government registered, medical association that provides evidence-based information on male circumcision to medical practitioners, parents and others, as well as contact details of doctors who perform the procedure. The other authors declare that they have no conflicts of interest regarding the publication of this article. All authors have no religious or other affiliations that might influence the topic of circumcision.



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Level 1++	High quality meta-analyses, systematic reviews of RCTs, RCTs with very low risk of bias
Level 1+	Well-conducted meta-analyses, systematic reviews of RCTs, RCTs with a low risk of bias
Level 2++	High quality systematic reviews of case-control or cohort studies High quality case-control or cohort studies with a very low risk of confounding, bias or chance, and a high probability that the relationship is causal
Level 2+	Well-conducted case-control or cohort studies with a low risk of confounding, bias or chance, and a moderate probability that the relationship is causal
Level 2–	Case-control or cohort studies with a high risk of confounding, bias or chance, and a significant risk that the relationship is not causal
Level 3	Non-analytical studies, e.g., case reports, case series
Level 4	Expert opinion

FIGURE 1: The hierarchy of quality of evidence used in science to evaluate claims, as specified by the Scottish Intercollegiate Guidelines Network (SIGN) [24].





FIGURE 2: Search strategy diagram as required by PRISMA guidelines [25].

TABLE 1: Organizations opposed to non-therapeutic MC of boys.

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*Non-US medical bodies having formal policy statements*British Medical Association (2006)<sup>1</sup> [5]Royal Australasian College of Physicians – Paediatrics & Child Health Division (2010)<sup>1</sup> [6]

Royal Dutch Medical Association (KNMG) (2010) [7]

Canadian Pediatric Society (2015)<sup>2</sup> [8]

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*Small fringe professional organizations*

Doctors Opposing Circumcision (DOC)

Attorneys for the Rights of the Child (ARC)

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*Lay lobby groups*

National Organization of Circumcision Information Research Centers (NOCIRC)

National Organization to Halt the Abuse and Routine Mutilation of Males (NOHARMM)

National Organization for Restoring Men (NORM)

International Coalition for Genital Integrity

Intact America

Bloodstained Men (BSM)

Mothers Against Circumcision

The VMMC Experience Project<sup>3</sup>

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<sup>1</sup>Policy is currently in the process of being updated<sup>2</sup>Only recommends non-therapeutic MC for “*boys in high-risk populations and circumstances*”<sup>3</sup>Opposition by this group is directed at MC irrespective of age, with a particular focus on the voluntary medical male circumcision (VMMC) programs currently underway in sub-Saharan Africa

TABLE 2: Arguments used in opposing non-therapeutic MC of minors

- MC for prevention of urinary tract infections in infancy is unnecessary as these are rare, of minor consequence, and easily treated with oral antibiotics
- MC causes physical harm, including a high rate of surgical complications, numerous deaths, disrupts breastfeeding, commonly results in meatal stenosis and glans keratinization
- MC “pain” can result in permanent brain damage, autism, alexithymia, and post-traumatic stress disorder
- MC reduces sexual function in men
- MC reduces sexual pleasure in men and their female sexual partners
- MC does not protect against infection with HIV or other sexually transmitted infections during heterosexual intercourse with an infected partner
- Condoms afford complete protection against HIV and other STIs, so obviating the need for MC
- MC is not needed for prevention of phimosis and penile inflammatory conditions since these can be easily treated with steroid creams
- Penile cancer is so rare that prevention by MC is not worth the effort
- MC should be delayed until the boy is old enough to make the decision for himself
- Non-therapeutic MC of minors should be deemed unethical and illegal
- Early infant MC is a waste of money

TABLE 3: The “16 Functions of the Foreskin” claim [144]

1. Erotic pleasure especially via the ridged band and 20,000 nerve endings (Meissner’s corpuscles)
2. Acts as a rolling bearing in intercourse and masturbation
3. Prevents dyspareunia (painful intercourse)
4. Simulates partner’s genitalia, giving her erotic pleasure
5. Supplies skin to cover the shaft in erection and prevent tightness
6. Stores pheromones and releases them on arousal
7. Stores, releases and helps distribute natural lubricants (“smegma” and pre-ejaculatory fluid)
8. Makes the glans a visual signal of sexual arousal
9. Provides a seal against the vaginal wall to contain semen
10. Prevents the glans becoming keratinized, and keeps it soft and moist.
11. Protects the thin-skinned glans against injury
12. Protects the nerves of the glans and their erotic function
13. In infancy, it protects the urethra against contamination, UTIs and meatal stenosis
14. Provides lysosomes for bacteriostatic action around the glans
15. Pigmented, it protects the unpigmented glans against sunburn
16. Vascular (rich in blood vessels that bring heat to the tissues), it protects the less vascular glans against frostbite and other weather-related conditions

TABLE 4: PubMed search results, showing number of "hits" each year using as keyword "circumcision", together with the additional keyword shown in left-hand column.

<b>Keyword</b>	<b>2005</b>	<b>2006</b>	<b>2007</b>	<b>2008</b>	<b>2009</b>	<b>2010</b>	<b>2011</b>	<b>2012</b>	<b>2013</b>	<b>2014</b>	<b>2015</b>	<b>...cont.</b>
Public health	162	187	240	237	248	266	258	295	277	195	189	
Urinary tract infection	13	17	13	11	13	19	13	29	23	11	16	
Sexually transmitted infection	67	100	174	147	135	163	162	174	152	90	95	
Human papillomavirus	6	12	8	8	23	29	23	32	26	10	10	
HPV	5	10	8	7	17	23	22	30	22	12	14	
HSV-2	6	6	12	5	20	15	15	10	6	6	5	
Syphilis	3	5	14	2	8	8	7	6	4	10	10	
Trichomonas	1	0	2	4	3	5	0	4	2	0	0	
Chancroid	1	2	4	0	0	2	0	2	1	1	6	
Mycoplasma	0	1	0	0	0	2	0	3	1	1	1	
HIV	63	92	182	159	151	172	184	191	171	147	146	
Chlamydia	3	9	6	4	3	8	2	4	2	2	3	
Bacterial vaginosis	2	0	0	2	1	6	1	7	5	3	4	
Microbiome	0	0	0	0	0	2	2	4	1	1	1	
Hygiene	8	12	18	11	13	16	15	23	13	14	19	
Phimosis	29	36	27	34	33	28	28	37	39	20	18	
Paraphimosis	4	3	3	5	2	4	4	7	3	0	2	
Balanitis	10	11	13	12	12	10	11	16	13	7	4	
Balanoposthitis	2	3	0	1	0	3	4	7	2	0	2	
Lichen sclerosus/is	5	1	2	4	7	4	11	4	7	3	0	
Penile cancer	14	32	17	17	21	20	27	29	24	7	16	
Penis cancer	11	28	16	15	18	15	25	21	17	7	12	
Prostate cancer	2	2	4	1	4	0	0	5	4	3	1	
Cervical cancer	1	8	5	0	6	8	7	16	14	6	5	
Sexual function	12	14	22	20	20	31	28	34	27	20	19	
Sensation	9	7	11	4	6	8	3	9	15	9	8	
Sensitivity	7	13	10	9	11	9	11	12	13	5	3	
Satisfaction	15	12	19	23	20	25	24	29	29	22	18	
Pleasure	3	3	2	6	9	5	3	10	9	3	9	
Complications	66	84	76	86	84	101	83	108	121	90	82	
Adverse events	4	3	9	7	13	14	19	24	17	24	18	
Risk-benefit	17	13	23	23	27	33	20	33	27	19	32	
Cost effectiveness	6	7	7	10	13	20	11	16	12	16	10	
Timing	4	0	2	3	3	2	3	2	5	3	0	
Best time	1	4	2	1	1	0	2	5	1	1	1	
Policy	16	23	38	23	27	38	42	52	39	40	51	

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<b>Keyword</b>	<b>2016</b>	<b>2017</b>	<b>Jun 2018</b>	<b>Total</b>
Public health	234	164	36	2968
Urinary tract infection	14	12	3	207
Sexually transmitted infection	96	62	4	1621
Human papillomavirus	7	12	6	212
HPV	8	10	3	192
HSV-2	2	2	1	112
Syphilis	3	3	0	83
Trichomonas	0	3	0	24
Chancroid	0	0	0	19
Mycoplasma	0	0	0	9
HIV	147	87	36	1912
Chlamydia	2	4	1	47
Bacterial vaginosis	0	1	0	32
Microbiome	1	2	0	14
Hygiene	16	19	3	200
Phimosis	25	27	8	586
Paraphimosis	1	1	0	39
Balanitis	9	14	3	145
Balanoposthitis	1	2	1	28
Lichen sclerosus	4	10	4	66
Penile cancer	11	13	4	252
Penis cancer	6	11	3	205
Prostate cancer	5	3	0	34
Cervical cancer	6	5	1	88
Sexual function	16	21	2	286
Sensation	7	11	1	108
Sensitivity	14	6	3	126
Satisfaction	33	23	7	299
Pleasure	4	6	0	72
Complications	76	67	18	1142
Adverse events	20	18	5	195
Risk-benefit	29	20	6	322
Cost effectiveness	25	12	3	168
Timing	6	5	2	40
Best time	2	3	2	26
Policy	42	26	8	465

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Grand total = 12,344 "hits"\*

\*The numbers shown do not take into account duplication of publication in different searches.

TABLE 5: Quality rating [24] of published studies that have shown negligible physical and psychological effects of MC and studies claiming a detrimental effect.

<b>Rating</b>	<b>Studies showing no adverse effect</b>
2++	El Bcheraoui <i>et al.</i> [52], Fergusson <i>et al.</i> [65], Morris & Krieger [76], Calnan <i>et al.</i> [86], Bauer & Kriebel [95]
2+	Christakis <i>et al.</i> [53], Wiswell & Geschke[32], Ben Chaim <i>et al.</i> [54], Mondzelewski <i>et al.</i> [66], Halata & Munger [78], Stenram <i>et al.</i> [85], Schlosberger <i>et al.</i> [87], Sneppen & Thorup [97]
<b>Rating</b>	<b>Studies claiming a detrimental effect</b>
2+	Taddio <i>et al.</i> [81]
2-	Frisch <i>et al.</i> [129], Frisch & Simonsen[69], Bollinger & Van Howe[103]
4	Bollinger[55], Adler[67], Van Howe[71], Tinari <sup>[86]</sup> , Boyle <i>et al.</i> [126]

TABLE 6: Pain during sexual intercourse for circumcised vs. uncircumcised men, and for women.

Reference	Type of study	n	More (+), Less (-), No difference (0)
<u>Men</u>			
Kigozi <i>et al.</i> 2008[120]	RCT	1,500	0
Krieger <i>et al.</i> 2008[121]	RCT	1,995	0
Morris & Krieger 2013[114]	Systematic review	8288 vs. 6894 (6 studies)	0 (all 6 studies)
Tian <i>et al.</i> 2013[115]	Systematic review & meta-analysis	7349 vs. 6407 (5 studies)	0 (4 studies); - (1 study)
Shabanzadeh <i>et al.</i> 2016[117]	Systematic review	8 studies	0 (7 studies); - (1 study)
Brito <i>et al.</i> 2017[122]	Cohort study	500	- ( $p < 0.001$ ; fewer coital injuries)
Galukande <i>et al.</i> 2017[157]	Cohort study	304	- (42%); 0 (58%)
Nordstrom <i>et al.</i> 2017[119]	Case-control	>3,000	- ( $p < 0.001$ ).
Yang <i>et al.</i> 2017[116]	Systematic review & meta-analysis	6736 vs. 4201 (6 studies)	0 (3 studies); - (3 studies)
<u>Women:</u>			
Kigozi <i>et al.</i> 2009[143]	RCT	455	0 (99.8%); + (0.2%) ( $p=NS$ )



TABLE 7: Conventional quality rating[24] of published studies that have shown no adverse effect of MC on sexual function and pleasure and studies finding a detrimental effect.

<u>Rating</u>	<u>Studies showing no adverse effect</u>
1+	Tian <i>et al.</i> [115], Nordstrom <i>et al.</i> [119], Kigozi <i>et al.</i> [120, 143], Krieger <i>et al.</i> [121]
1-	Morris & Krieger [114], Cox <i>et al.</i> [137], Yang <i>et al.</i> [116], Shabanzadeh <i>et al.</i> [117], Payne <i>et al.</i> [160]
2++	Homfray <i>et al.</i> [118], Brito <i>et al.</i> [122], Galukande <i>et al.</i> [157], Bossio <i>et al.</i> [138]
2+	Cortés-González <i>et al.</i> [140, 141], Zulu <i>et al.</i> [142]
<u>Rating</u>	<u>Studies showing a detrimental effect</u>
2-	O'Hara & O'Hara [125], Boyle & Bensley [126], Kim & Pang [127], Sorrells <i>et al.</i> [128], Frisch <i>et al.</i> [129], Bronsalaer <i>et al.</i> [130]

TABLE 8: Quality rating[24] of studies that have found MC protects against HIV and several other STIs and studies showing no protective effect.

### *HIV*

<i>Rating</i>	<i>Studies supporting a protective effect of MC</i>
1++	Auvert <i>et al.</i> [185], Bailey <i>et al.</i> [186], Gray <i>et al.</i> [187], Siegfried <i>et al.</i> [189]
1+	Weiss <i>et al.</i> [188], Morris <i>et al.</i> [207], Lei <i>et al.</i> [211], Sharma <i>et al.</i> [212], Freeman <i>et al.</i> [219], Weiss <i>et al.</i> [232], Wiysonge <i>et al.</i> [235]
2++	Morris <i>et al.</i> [206], Gray <i>et al.</i> [218], Boily <i>et al.</i> [209, 220]
2+	Warner <i>et al.</i> [225], Sansom <i>et al.</i> [226], Chemtob <i>et al.</i> [228], Templeton <i>et al.</i> [236]
2-	Wawer <i>et al.</i> [230]

<i>Rating</i>	<i>Studies disputing the protective effect of MC</i>
2-	Van Howe [167, 183, 184]

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### *Other STIs*

<i>Rating</i>	<i>Studies supporting a protective effect of MC</i>
1+	Waskett <i>et al.</i> [243], Zhu <i>et al.</i> [244], Mehta <i>et al.</i> [261, 265], Weiss <i>et al.</i> [269], Gray <i>et al.</i> [272], Brankin <i>et al.</i> [273]
2++	Morris <i>et al.</i> [246], Castellsagué <i>et al.</i> [247, 274], Homfray <i>et al.</i> [248], Backes <i>et al.</i> [249], Hernandez <i>et al.</i> [251], Lu <i>et al.</i> [252], Albero <i>et al.</i> [253], Tobian <i>et al.</i> [255, 256], Sobngwi-Tambekou <i>et al.</i> [257, 260], Mehta <i>et al.</i> [258], Pintye <i>et al.</i> [262, 276], Otieno-Nyunya <i>et al.</i> [263], Nasio <i>et al.</i> [264], Poynten <i>et al.</i> [279], Templeton <i>et al.</i> [280]
2+	Turner <i>et al.</i> [275]

<i>Rating</i>	<i>Studies disputing the protective effect of MC</i>
2-	Van Howe [237, 239-241]

TABLE 9: Reasons why early infancy is the preferred time for MC

EIMC	MC of older boys and men
<ul style="list-style-type: none"> <li>• Simple</li> <li>• Quick (takes several minutes)</li> <li>• Cost is lower</li> <li>• Low risk (adverse events 0.4%)</li> <li>• Bleeding (uncommon) is minimal and easily stopped</li> <li>• Sutures not needed</li> <li>• Convenient for patient (sleeps mostly)</li> <li>• Local anesthesia for &lt; 2 months</li> </ul>	<ul style="list-style-type: none"> <li>• More complex</li> <li>• Half an hour or more to perform</li> <li>• Much more expensive (often unaffordable)</li> <li>• Moderate risk (adverse events 4–8%)</li> <li>• Bleeding more common, requiring cautery or other interventions</li> <li>• Sutures or tissue glue needed</li> <li>• Inconvenient (time off school or work)</li> <li>• General anesthesia for &gt; 2 months to age 9 years. Local anesthesia for men, although general anesthesia sometimes preferred by surgeon</li> <li>• Healing takes 6 weeks or more</li> <li>• If stitches used stitch marks may be seen</li> <li>• Fear of undergoing an operation</li> <li>• Abstinence from sexual intercourse for the 6-week healing period</li> </ul>
<ul style="list-style-type: none"> <li>• Healing is fast (&lt; 2 weeks)</li> <li>• Cosmetic outcome usually good</li> <li>• No long-term memory of procedure</li> <li>• Does not disrupt (breast) feeding or other day-to-day activities</li> </ul>	

TABLE 10: Risk-benefit analyses of EIMC and medical conditions over the lifetime

Benefit-to-risk ratio	Uncircumcised males affected	Publication
> 100:1	~1 in 3	Morris <i>et al.</i> 2006 <i>ANZ J Public Health</i> [289]
> 100:1	~1 in 3	Morris 2007 <i>BioEssays</i> [288]
“very favourable”	~1 in 2	Morris <i>et al.</i> 2012 <i>Open J Prevent Med</i> [290]
“strongly favors”	~1 in 2	Morris <i>et al.</i> 2012 <i>BMC Pediatr</i> [287]
> 100:1	~1 in 2	Morris <i>et al.</i> 2014 <i>Mayo Clin Proc</i> [291]
~ 100:1	~2 in 3	Morris <i>et al.</i> 2016 <i>Can J Urol</i> [245]
~ 200:1	~2 in 3	Morris <i>et al.</i> 2017 <i>World J Clin Pediatr</i> [246]

TABLE 11: PRISMA-required summary of the key publications on each topic cited in this systematic review

<u>Topic</u>	<u>Critique(s) of each respective article</u>
<u>Data and arguments opposing MC</u>	
<i>Urinary tract infections</i>	
Singh-Grewal <i>et al.</i> 2005 [34]	Schoen 2005 [36], Morris & Wiswell 2013 [26]
Van Howe 2005 [35]	Simforoosh <i>et al.</i> 2012 [37]
<i>Deaths from infant MC</i>	
Bollinger 2010 [55]	Morris <i>et al.</i> 2012 [56]
<i>Meatal stenosis</i>	
Van Howe 2006 [71]	Schoen 2007 [72]
Frisch & Simonsen 2018 [69]	Morris & Krieger 2017 [76], Morris & Krieger 2018 [70]
Van Howe 2018 [73]	Morris & Krieger 2018 [75]
<i>Alexithymia</i>	
Bollinger & Van Howe 2011 [103]	Morris <i>et al.</i> 2012 [106]
<i>Anesthesia</i>	
Paix 2012 [90]	Dilley & Morris 2012 [92]
<i>Autism spectrum disorder</i>	
Frisch & Simonsen 2015 [88]	Bauer 2015 [96], Morris & Wiswell 2015 [89], Sneppen & Thorup 2016 [97]
<i>Sexual function and pleasure</i>	
O'Hara & O'Hara 1999 [125]	Cortéz-González <i>et al.</i> 2008 [140], Kigozi <i>et al.</i> 2009 [143], Zulu <i>et al.</i> 2015 [142]
Boyle & Bensley 200 [126]	Morris & Krieger 2013 [114]
Kim & Pang 2007 [127]	Willcourt 2007 [132]
Sorrells <i>et al.</i> 2007 [128]	Waskett & Morris 2007 [133], Morris & Krieger 2013 [114], Cox <i>et al.</i> 2015 [137], Bossio <i>et al.</i> 2016 [138]
Frisch <i>et al.</i> 2011 [129]	Morris <i>et al.</i> 2012 [134], Morris <i>et al.</i> 2013 [114]
Bronselaeer <i>et al.</i> 2013 [130]	Morris <i>et al.</i> 2013 [135]
Boyle 2015 [131]	Morris & Krieger 2015 [136]
<i>HIV</i>	
Van Howe 1999 [167]	Moses <i>et al.</i> 1999 [193]
Green <i>et al.</i> 2008 [168]	O'Farrell & Egger 2000 [194]
Gisselquist <i>et al.</i> 2009 [169]	Wamai <i>et al.</i> 2008 [195]
Green <i>et al.</i> 2010 [170]	Wamai <i>et al.</i> 2011 [198]
Boyle & Hill 2011 [171]	Banerjee <i>et al.</i> 2011 [196]
	Wamai <i>et al.</i> 2012 [201]
Boyle & Hill 2011 [172], Chin 2011 [173], Conroy 2011 [174],	Cooper <i>et al.</i> 2011[208], Morris <i>et al.</i> 2012 [56] Cooper <i>et al.</i> 2011 [208], Morris <i>et al.</i> 2012 [56]

- Darby 2011 [175], Darby & Van Howe 2011 [176], Forbes 2011 [177], Paix 2011 [178], Travis *et al.* 2011 [179]
- Cooper *et al.* 2011 [208], Morris *et al.* 2012 [56]  
Cooper *et al.* 2011 [208], Morris *et al.* 2012 [56]  
Cooper *et al.* 2011 [208], Morris *et al.* 2012 [56]
- Van Howe & Storms 2011 [180]  
de Camargo *et al.* 2013 [181]  
de Camargo *et al.* 2015 [182]  
Van Howe 2015 [183]  
Van Howe 2018 [184]
- Morris *et al.* 2011 [197]  
Wamai *et al.* 2015 [203]  
Wamai *et al.* 2015 [204]  
Morris *et al.* 2018 [206]  
Morris *et al.* 2017 [207]
- Other STIs*
- Van Howe 2007 [240]  
Van Howe 2007 [239]  
Van Howe 2009 [241]  
Van Howe 2013 [237]  
Darby 2015 [268]
- Castellsague *et al.* 2007 [242]  
Waskett *et al.* 2009 [243]  
Morris *et al.* 2014 [238]  
Morris *et al.* 2014 [238]  
Morris *et al.* 2017 [205]
- MC can be delayed ("self-determination")*
- Darby 2013 [19]  
Merkel & Putzke 2013 [293]  
Darby 2015 [294]  
Van Howe 2015 [285]
- Morris *et al.* 2012 [287]  
Morris *et al.* 2012 [287]  
Morris *et al.* 2012 [287]  
Morris *et al.* 2012 [287]
- Treatment of inflammatory conditions*
- Frisch & Earp 201 [303]
- Morris & Krieger 2017 [304], Folaranmi *et al.* 2018 [305]
- Penile cancer*
- Preston 1970 [311]  
Van Howe & Hodges 2008 [312]  
Svoboda *et al.* 2016 [27]
- Dagher *et al.* 1973 [313]  
Waskett & Morris 2008 [314]  
Morris *et al.* 2017 [315]
- Legal, ethical*
- Green *et al.* 2009 [332]
- Leibowitz *et al.* 2009 [342]  
Morris *et al.* 2009 [343]  
Bates *et al.* [344]
- Tasmanian Law Reform Institute 2010 [333]  
Hill *et al.* 201 [334]  
Svoboda 2014 [335]  
Darby 2015 [294]  
Adler 2016 [67]  
Svoboda *et al.* 2016 [27]
- Bates & Morris 2012 [345]  
Morris 2014 [346]  
Morris *et al.* 2016 [340]  
Rivin *et al.* 2016 [339]  
Morris *et al.* 2017 [347]
- 2012 AAP policy on EIMC*
- Frisch *et al.* 2013 [330]  
Svoboda & Van Howe 2013 [286]  
Jenkins 2014 [348]  
Darby 2014 [349]  
Darby 2015 [294]  
Svoboda *et al.* 2016 [27]
- AAP Task Force 2013 [336]  
Morris *et al.* 2014 [337]  
Morris *et al.* 2014 [350]  
Morris 2014 [351]  
Morris *et al.* 2016 [340]  
Brady 2016 [352]  
Morris *et al.* 2017 [347]

*2014 CDC MC draft policy*

Earp 2015 [331]

Adler 2016 [67]

Frisch &amp; Earp 201 [303]

Morris BJ. 2015 [338]

Rivin *et al.* 2016 [339]Morris *et al.* 2017 [341]*2010 RACP policy on EIMC*

RACP 2010 [6]

Forbes 2012 [177]

Jansen 2016 [385]

Morris *et al.* 2012[383]Morris *et al.* 2012 [384]Wodak *et al.* 2017 [386]*2015 CPS policy on EIMC*Sorokan *et al.* 2015 [8]Robinson *et al.* 2017 [62]Morris *et al.* 2016 [245]Morris *et al.* 2017 [382]